



Office of the Governor
State of South Carolina

Application for Boards, Commissions, and Committees

Your nomination **will not** be complete until this application is filed. Please return the application to:
Office of the Governor, Attn: Katie Philpott, 1205 Pendleton Street, Columbia, South Carolina 29201.

1] Your Name:

Dr./Mr./Mrs./Ms. Batts Jr Lemia Clarence
Last First Middle

2] Name of Board, Commission, or Committee you are being considered for:

DHEC

3] Your Current Address, City, Zip Code and County:

Your Congressional District: 37

105 Rockport Way Pacolet, SC 29372 Union

4] Home Telephone: 864 474 4269 5] Office Telephone: — 6] Fax: —

7] Mobile Telephone: 864 542 7813 8] Email Address: Clarence.batts@att.net

9] Drivers License # 004409707 10] Social Security #: 245 74 4039

11] Voter Registration # 6 957 053 12] Date of Birth: 3/11/45

13] Race: B 14] Sex: Male / Female

15] Level of Educational Background Completed:

Some High School —

High School graduate or equivalence (G.E.D.) —

Some College —

College graduate BS

Professional degree (please specify) Chemical Engineering

16] Present Employer Retired BASF Corporation

Address —

Current Position —

17] Years of residence in South Carolina: 15

18] Have you ever been arrested for a crime other than a minor traffic violation? No If so, give details.*

- 19] Have you filed state and federal income tax returns for the past five years? Yes If not, give details.*
- 20] Are you or any company in which you have a controlling interest delinquent in any local, state or federal taxes? No If so, give details.*
- 21] Have you ever defaulted on any state or federal student loan? No If so, give details.*
- 22] Have you been treated for any alcohol, drug addiction, or substance abuse for the preceding five years? No
If so, give details.*
- 23] Have you been party (plaintiff or defendant) in any state or federal litigation for the preceding five years? No
If so, give details.*
- 24] Have you ever served in the military? No
Were you honorably discharged? _____ If not, give details.*
- 25] Have you ever been terminated from employment for cause? No If so, give details.*
- 26] Have you or any employer in the preceding ten years been investigated, reprimanded, fined, or suspended for doing business with any state or federal agency? No If so, give details.*
- 27] Have you ever been disciplined or fined by the State Ethics Commission? No If so, give details.*
- 28] Have you ever been disciplined or fined by any professional or regulatory agency? No If so, give details.*
- 29] Do you serve on any local or state board, commission, committee, or elected office? Yes If so, list.* DHEC
- 30] Are you a registered lobbyist in the State of South Carolina? No
- 31] Do you or any member of your immediate family receive any income, compensation or benefits from state and local agencies in South Carolina? No If so, give details.*
- 32] Do you or any member of your immediate family have any interest in any business that has, is, or will do business with the State of South Carolina or the entity for which you are applying? No If so, give details.*
- 33] Are you or any member of your immediate family associated with any business regulated by the entity to which you are applying? No If yes, give details.*

34] Have you or any member of your immediate family sold, leased, or rented personal property to any state or local public agency in South Carolina? No If so, please identify *:

- a) the type of property,
- b) the name of the agency(s) involved,
- c) the value of the transaction(s).

35] Do you or any member of your immediate family owe a debt in excess of \$500 to any creditor regulated by the entity to which you are applying? No If so, give details.* (Do not disclose debt promised or loaned by a bank, savings and loan or other licensed financial institution.)

36] Do you or any member of your immediate family owe a debt in excess of \$500 to any creditor seeking a business relationship with the entity for which you are applying? No If so, give details.* (Do not disclose debt promised or loaned by a bank, savings and loan or other licensed financial institution.)

37] Do you or any member of your immediate family receive compensation from any individual or business that contracts with the entity for which you are applying? No If yes, please identify *:

- a) the individual or business,
- b) the amount of compensation paid to you,
- c) the nature and amount of the contract,
- d) the governmental entity involved.

38] I, Clarence Battle Jr, agree that, if I am appointed to the DHEC Board, I will attend all stated or called meetings of this entity. If I am absent from three consecutive meetings, or if I am absent from half of the meetings within a six-month period, then I will resign my appointment. However, if the Chairperson excuses my absence prior to the meeting, in recognition of circumstances beyond my control (illness, family emergency, etc.), then I am entitled to retain my position.

*Use extra sheet if necessary.

CERTIFICATION OF APPLICANT

Personally appeared before me, the applicant, who being duly sworn, disposed, and says that all his/her statements are true, accurate and complete: and that he/she knows and agrees that any misrepresentation or omission of the facts may result in his/her being disqualified or being discharged should he/she already be appointed by the Governor. He/she authorizes the State Law Enforcement Division to conduct a background investigation including, but not limited to, a criminal history, driving record and credit check. He/she also authorizes the Governor's Office to provide the nominating authorities with copies of this application, the criminal history and credit report and any other information gathered in processing this appointment.

Clarence Battle Jr
Applicant's Signature

Sworn and subscribed before me this 27th day of April, Two Thousand and SIXTEEN.

SA
Notary Public for South Carolina

My commission expires 8-8-16

Confidential Financial Statement
Net Worth

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) and all liabilities (including debts, mortgages, loans, and other financial obligations).

ASSETS

4/28/2016

Cash on hand in banks

\$ 9,000

U. S. Government securities—add schedule

Listed securities—add schedule

Accounts and notes receivable:

Due from relatives and friends

0

Due from others

0

Doubtful

0

Real estate owned—add schedule

200,000 (home)

Real estate mortgages receivable

Cash value—life insurance

Other assets—itemize:

Ameriprise IRA \$ 605,625

Fidelity IRA \$ 1,589,306

Fidelity ROTH \$ 60,772

Fidelity other \$ 100,000

Total assets \$ 2,564,703

LIABILITIES

Notes payable to banks—secured \$5,000
Notes payable to banks—unsecured
Notes payable to relatives
Notes payable to others
Accounts and bills due
Unpaid income tax
Other unpaid tax and interest
Real estate mortgages payable—add schedule
Chattel mortgages and other liens payable
Other debts—itemize:
Credit Cards \$30,000

Total liabilities \$75,000
Net worth \$2,489,703

CONTINGENT LIABILITIES

As endorser, comaker or guarantor \emptyset
On leases or contracts
Legal claims
Provision for Federal Income Tax \emptyset
Other special debt

GENERAL INFORMATION

Are any assets pledged? *No*
(Add schedule)

Are you defendant in any suits or legal actions? *No*

Have you ever taken bankruptcy? *No*

I HEREBY CERTIFY THAT MY ANSWERS ARE TRUE AND COMPLETE TO THE
BEST OF MY KNOWLEDGE.

Date: 4/28/2016

Signature: L Clarence Batt Jr

March 1, 2016 - March 31, 2016

Ameriprise Brokerage Account

AMERIPRISE TRUST COMPANY
C/O LEMIA CLARENCE BATTIS JR
AS CUSTD FOR THE LEMIA CLARENCE BATTIS JR IRA

Account #

Plan ID

Account Summary

	This Year	This Period
Beginning Value	\$126,514.30	\$144,955.90
Additions		
Other Additions	\$25,187.80	\$0.00
Total Additions	\$25,187.80	\$0.00
Withdrawals		
Other Withdrawals	-\$18,000.00	-\$12,000.00
Total Withdrawals	-\$18,000.00	-\$12,000.00
Change In Value	\$2,997.61	\$3,743.81
Ending Value ⁷	\$136,699.71	\$136,699.71

Product Summary

	Last Period	This Period
Ameriprise Insured Money Market Investments	\$22,648.90	\$11,329.21
Ending Value	\$144,955.90	\$136,699.71

Income Summary

	This Year	This Period
Dividends	\$1,498.30	\$683.83
Cash Equivalent Div/Int	\$0.46	\$0.13
Foreign Withholding	-\$3.65	-\$3.65
Total Income	\$1,495.11	\$680.31

Important information for IRA Clients: If you are an IRA client, the interest and dividends described on this statement may not be taxable to you. In general, distributions from your IRA will be taxed as ordinary income for the year in which the distribution occurs.

Account Holdings

Symbol/Cusip Rating	Description	Beginning Value	Quantity	Ending Price	Ending Value	Cost Basis ¹	Estimated Unrealized Gain/Loss	Annual Income	Yield
Cash Equivalents									
	Ameriprise Insured Money Market (AIMMA) ²				\$11,329.21	N/A	N/A	\$1.02	0.01%
	Synchrony Bank				\$11,329.21				
	Drepper UT				\$11,329.21				
Total Cash Equivalents ⁵		\$22,648.90						\$1	

March 1, 2016 - March 31, 2016

Ameriprise Brokerage Account (cont'd)

AMERIPRISE TRUST COMPANY
C/O LEMIA CLARENCE BATTS JR
AS CUSTD FOR THE LEMIA CLARENCE BATTS JR IRA

Account #

Plan ID

Account Holdings (continued)

Symbol/Cusip Rating	Description	Beginning Value	Quantity	Ending Price	Ending Value	Cost Basis ¹	Estimated Unrealized Gain/Loss	Annual Income	Yield
Equities									
HCP	HCP INC	\$3,697.50	125.00000	\$32.5800	\$4,072.50	\$4,838.45	-\$765.95	\$288	7.06%
JNJ	JOHNSON & JOHNSON	\$21,042.00	200.00000	\$108.2000	\$21,640.00	\$12,127.00	\$9,513.00	\$600	2.77%
UN	UNILEVER N V NEW YORK SHARES NEW	\$6,391.50	150.00000	\$44.6800	\$6,702.00	\$5,767.90	\$934.10	\$248	3.69%
Total Equities									
					\$32,414.50	\$22,733.35	\$9,681.15	\$1,135	
Fixed Income/Accrued Int. (as available)									
BCSIC	BARCLAYS BANK PLC PFD NON S&P = BB	\$25,480.00	1,000.00000	\$25.9600	\$25,960.00	\$16,283.29	\$9,676.71	\$1,938	7.46%
	PERPETUAL								
Unlisted REITs & Other Alternative Investments									
Real Estate Investment Trusts									
22003D102	CORPORATE PROPERTY ASSOCIATES 17 GLOBAL	\$24,300.00	2,500.00000	\$10.2400	\$25,600.00	\$25,000.00	\$600.00		
46124J102	INVENTRUST PROPERTIES CORP Total Real Estate Investment Trusts	\$41,396.00	10,349.00000	\$4.0000	\$41,396.00	\$98,957.18	-\$57,561.18		
					\$66,996.00	\$123,957.18	-\$56,961.18		
Total Unlisted REITs & Other Alternative Investments									
					\$66,996.00	\$123,957.18	-\$56,961.18		
Total Account Holdings									
					\$136,699.71	\$162,973.82	-\$37,603.32	\$3,074	

¹ Cost basis may be incomplete or inaccurate and should not be relied upon in preparing your tax return. See the Disclosures section of this statement for more information.

² Ameriprise Insured Money Market Account (AIMMA) deposits are FDIC-insured within applicable limits, are not considered securities covered by SIPC and represent direct obligations of the Program Banks. You may opt out of depositing your funds at listed Program Banks as outlined in your Brokerage Client Agreement.

⁵ Any balances held in AIMMA or a money market mutual fund can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.

⁷ Ending value amounts for Account Holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement.

The MSRB provides disclosure and offering documents from municipal securities issuers online at www.emma.msrb.org. You can also find pricing for municipal trades, interest rates, auction results, daily market statistics and educational material about municipal securities.

** These ratings for municipal bonds are supplied by Standard & Poor's, a division of the McGraw-Hill Companies, Inc, an investment rating provider. Other rating services may rate this security differently. Lack of a rating by Standard & Poor's does not imply the security is not rated as it may be rated by other rating services.

March 1, 2016 - March 31, 2016

RiverSource Life Insurance Company
RiverSource Retirement Advisor 4 Advantage VA
LEMIA CLARENCE BATTS JR

Account #

Account Summary

	This Year	This Period
Beginning Value	\$389,579.15	\$353,186.45
Withdrawals		
Full/Partial Surrenders	-\$25,187.80	\$0.00
Total Withdrawals	-\$25,187.80	\$0.00
Change in Value		
Fixed/Variable Account Results	\$2,028.32	\$13,233.22
Total Change in Value	\$2,028.32	\$13,233.22
Ending Value		
Charge if Surrendered	\$366,419.67	-\$13,175.09
Value if Surrendered		
Payments to Date	\$300,000.00	\$353,244.58
Surrenders to Date	\$124,661.67	

Contract Information

Annuitant: LEMIA CLARENCE BATTS JR	
Date Account Opened: 10/07/2008	
Current Death Benefit	\$365,274.58
Fixed Account Interest Rates	
Rate for New Payments	3.00%
SecureSource	
Guaranteed Benefit Amount	\$419,796.66
Remaining Benefit Amount	\$367,436.52
Guaranteed Benefit Payment	\$29,385.77
Remaining Benefit Payment	\$4,197.97
Annual Lifetime Payment	\$25,187.80
Remaining Annual Lifetime Payment	\$0.00
Current Rider Fee	0.65%

Transferring to a different fund or electing to lock in contract gains to your benefit (Step Up) may result in a change to your rider fee.

Important Contract Owner Notice

If you take a withdrawal that is higher than the maximum amount available (from your withdrawal benefit rider), it is considered an "excess withdrawal." An excess withdrawal will permanently decrease your guaranteed income and benefit values. If you would like to make a withdrawal and are uncertain of whether it would be considered an excess withdrawal or would like to see how an excess withdrawal will reduce your future guaranteed income and benefit values, please contact your financial advisor. They can work with one of our Customer Contact Professionals on a personalized calculation showing the effect of the withdrawal before you make a withdrawal request.

If you are currently invested in Portfolio Navigator, you have the option to transfer to a Portfolio Stabilizer fund. It's important to note that if you transfer to a Portfolio Stabilizer fund, you will not be able to transfer back to any of the Portfolio Navigator funds.

Allocation of Current Investments

Account	Allocation Percentage	Amount	# of Units	Unit Value	Future Payment Allocation
VP Moderate C14	100.00%	\$366,419.67	267,592.045000	\$1.369322	100.00%
Total	100.00%	\$366,419.67			100.00%

March 1, 2016 - March 31, 2016

RiverSource Life Insurance Company
RiverSource Fixed Annuity Rate Bonus 3
LEMIA CLARENCE BATTS JR

Account #
[REDACTED]

Account Summary

Contract Information

	This Year	This Period	Annuitant: LEMIA CLARENCE BATTS JR
Beginning Value	\$95,884.87	\$96,344.10	Date Account Opened: 10/15/08
Change in Value			Death Benefit
Fixed/Variable Account Results	\$701.41	\$242.18	Annual Effective Interest Rate
Total Change in Value	\$701.41	\$242.18	
Current Value		\$96,586.28	
Charge if Surrendered		-\$2,611.72	
Value If Surrendered		\$93,974.56	
Payments to Date	\$150,000.00		
Surrenders to Date	\$89,550.10		

Account Activity Detail

Date	Description	Amount	# of Units	Unit Value
CHANGE IN VALUE				
	Fixed/Variable Account Results	\$242.18		
Total CHANGE IN VALUE		\$242.18		



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INVESTMENT REPORT
March 1, 2016-March 31, 2016

Portfolio Summary

Accounts Included in This Report

Page	Account Type/Name	Account Number	Beginning Value ²	Ending Value
4	GENERAL INVESTMENTS FIDELITY ACCOUNT LEMIA C BATTS JR - INDIVIDUAL TOD		\$65,220.66	\$74,001.12
10	PERSONAL RETIREMENT PORTFOLIO ADVISORY SERVICES LEMIA C BATTS JR - TRADITIONAL IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN		-	516,784.33
27	FIDELITY TRADITIONAL IRA LEMIA C BATTS JR - TRADITIONAL IRA - FIDELITY MANAGEMENT TRUST CO - CUSTODIAN		1,472,288.94	1,037,947.07
37	FIDELITY ROTH IRA LEMIA C BATTS JR - ROTH INDIVIDUAL RETIREMENT ACCOUNT - FMTC CUSTODIAN		55,027.36	57,878.55
	Ending Portfolio Value		\$1,592,536.96	\$1,686,611.07

² If you added or removed an account to your statement-reporting household during this period, the Beginning Portfolio Value and Change from Last Period are based off the accounts that were in the statement household as of the end of the last period and thus may not accurately reflect the updated statement household.

Total Portfolio Trades Apr 2015 - Mar 2016: 22

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INVESTMENT REPORT
March 1, 2016 - March 31, 2016

Envelope # BBSDBJBBFNDHN

LEMMA CLARENCE BATTIS JR
105 ROCKPORT WAY
PACOLET SC 29372-3443

Your Portfolio Value:

\$1,686,611.07

Portfolio Change from Last Period:

▲ \$94,074.11

	This Period	Year-to-Date
Beginning Portfolio Value ^z	\$1,592,536.96	\$388,083.87
Additions	508,535.94	1,721,134.66
Subtractions	-507,089.28	-527,823.58
Transaction Costs, Fees & Charges	-7.43	-63.52
Change in Investment Value [*]	92,627.45	105,216.12
Ending Portfolio Value ^{**}	\$1,686,611.07	\$1,686,611.07
Accrued Interest (AI)	-	-
Ending Portfolio Value incl. AI	\$1,686,611.07	

Your Financial Consultant

Jason Wagner Phone: (864) 385-3993
ext. 57024

Contact Information

Online Fidelity.com/epas
FASTSM-Automated Telephone (800) 544-5555
Portfolio Advisory Services (800) 544-3455
8am - 7pm ET, Mon - Fri
Private Client Group (800) 544-5704

- * Appreciation or depreciation of your holdings due to price changes plus any distribution and income earned during the statement period.
- ** Excludes unpriced securities.
- ^z If you added or removed an account to your statement-reporting household during this period, the Beginning Portfolio Value and Change from Last Period are based off the accounts that were in the statement household as of the end of the last period and thus may not accurately reflect the updated statement household.





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Account Value: **\$74,001.12**

Change in Account Value **▲ \$8,780.46**

	This Period	Year-to-Date
Beginning Account Value	\$65,220.66	\$74,666.57
Additions	-	9,740.00
Exchanges In	-	9,740.00
Subtractions	-	-9,740.00
Exchanges Out	-	-9,740.00
Change in Investment Value *	8,780.46	-665.45
Ending Account Value	\$74,001.12	\$74,001.12
Accrued Interest (AI)	0.00	
Ending Account Value Incl. AI	\$74,001.12	
Free Credit Balance	\$1,859.37	

Free credit balances (FCB) include cash credits from the sale of long positions, deposits, cash dividends, and interest payments which have not been transferred to a money market fund or FDIC core position. FCB also includes multi-currency positions, FCASH and credit balances that exceed the amount required to satisfy your margin obligations. Refer to the back of your statement for more information.

Total Account Trades Apr 2015 - Mar 2016: 6

- Appreciation or depreciation of your holdings due to price changes plus any distribution and income earned during the statement period.

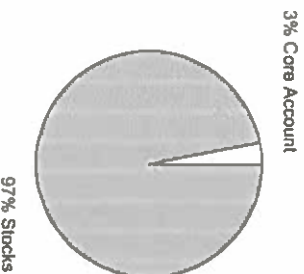
Income Summary

	This Period	Year-to-Date
Taxable	\$25.26	\$95.89
Dividends	25.24	95.83

Income Summary (continued)

	This Period	Year-to-Date
Interest	0.02	0.06
Total	\$25.26	\$95.89

Account Holdings



Holding Type	Value	Percent of Account
Stocks	\$72,141	97%
Core Account	1,859	3
Ending Market Value of Holdings	\$74,001	100%

Please note that, due to rounding, percentages may not add to 100%.

Account Summary (continued)

Top Holdings

Description	Value	Percent of Account
Baif SE Sponsored Adr Isin #US0552625057	\$53,079	72%
Cisco Sys INC Com Isin #Us17275R1023	9,651	13
Sedol #219816	6,554	9
Leucadia Natl Corp	2,856	4
Eldorado Gold Corp Com Npv Isin #CA2849021035	1,859	3
Cash		
Total	\$74,001	100%

Core Account and Credit Balance Cash Flow

Core Account: CASH

	This Period	Year-to-Date
Beginning Balance	\$1,859.35	\$1,859.31

Investment Activity

Dividends, Interest & Other Income D	0.02	0.06
Exchanges In	-	9,740.00
Exchanges Out	-	-9,740.00

Total Investment Activity	\$0.02	\$0.06
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Ending Balance	\$1,859.37	\$1,859.37
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D Includes dividend reinvestments.

Margin Information (as of March 31, 2016)

Maximum amount you can borrow	\$12,904.17
Maximum rate that could currently apply	8.57%

LEMIA CLARENCE BATTS JR - INDIVIDUAL - TOD



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Account Value: **\$516,784.33**

Change in Account Value **▲ \$516,784.33**

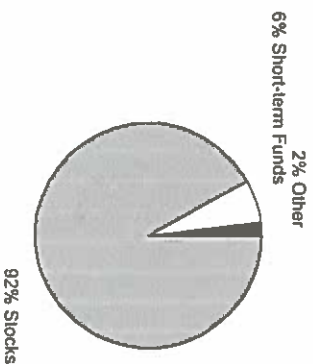
	This Period	Year-to-Date
Beginning Account Value	-	-
Additions	507,081.85	507,081.85
Exchanges In	507,081.85	507,081.85
Subtractions	-4.19	-4.19
Transaction Costs, Fees & Charges	-4.19	-4.19
Change in Investment Value *	9,706.67	9,706.67
Ending Account Value	\$516,784.33	\$516,784.33
Accrued Interest (AI)	0.00	
Ending Account Value Incl. AI	\$516,784.33	

* Appreciation or depreciation of your holdings due to price changes plus any distribution and income earned during the statement period.

Income Summary	This Period	Year-to-Date
Tax-deferred	\$30.12	\$30.12
Total	\$30.12	\$30.12

Account Holdings

LEMMA CLARENCE BATTS JR - TRADITIONAL IRA



Holding Type	Value	Percent of Account
Stocks	\$476,601	92%
Short-term Funds	31,059	6
Other	9,123	2
Ending Market Value of Holdings	\$516,784	100%

Please note that, due to rounding, percentages may not add to 100%.

Top Holdings

Description	Value	Percent of Account
Fidelity Government Cash Reserves	\$31,059	6%
Johnson & Johnson	20,449	4
Chevron Corp New	18,698	4
Procter & Gamble CO	18,519	4
General Electric CO	14,082	3
Total	\$102,810	21%

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INVESTMENT REPORT
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Holdings

LEMIA CLARENCE BATTS JR - TRADITIONAL IRA

Short-term Funds

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
FIDELITY GOVERNMENT CASH RESERVES (FDRXX)	6.01%	31,059.280	\$1.000	\$31,059.28	not applicable	not applicable	-	-
- 7-day yield: 0.06%								
Total Short-term Funds	6.01%			\$31,059.28			-	-

Stocks

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
EATON CORP PLC COM(ETN)	0.76%	63,000	\$62.560	\$3,941.28	\$3,783.15	\$158.13	\$143.64	3.640%
MEDTRONIC PLC USD0.0001	1.85	128,000	75.000	9,600.00	9,824.10	-224.10	194.56	2.030
ISIN #E00BTN1Y115 SEDOL #BTN1Y11 (MDT)								
SEAGATE TECHNOLOGY PLC COM USD0.00001(STX)	0.29	45,000	34.450	1,550.25	1,530.45	19.80	113.40	7.310
CHUBB LIMITED COM NPV	1.93	84,000	119.150	10,008.60	10,053.37	-44.77	225.12	2.250
ISIN #CH0044328745 (CB) TE CONNECTIVITY LTD REG SHS	0.58	49,000	61.920	3,034.08	2,878.63	155.45	64.68	2.130
ISIN #CH0102993182 SEDOL #B62B7C3 (TEL)								
LYONDELBASELL INDUSTRIES NV COM	0.62	38,000	85.580	3,252.04	3,159.04	93.00	118.56	3.650
USD0.01 CLASS A(LYB) AT&T INC COM ISIN #US0206R1023	2.37	314,000	39.170	12,299.38	12,070.10	229.28	602.88	4.900
SEDOL #2831811 (T) ABBVIE INC COM USD0.01(ABBV)	0.71	65,000	57.120	3,712.80	3,621.09	91.71	148.20	3.990
AMERICAN ELEC PWR CO(AEP)	0.68	53,000	66.400	3,519.20	3,415.76	103.44	118.72	3.370
AMGEN INC (AMGN)	0.84	29,000	149.930	4,347.97	4,178.25	169.72	116.00	2.670
ANADARKO PETE CORP(APC)	0.40	45,000	46.570	2,095.65	2,037.51	58.14	-	-



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INVESTMENT REPORT
March 1, 2016 - March 31, 2016

Holdings (continued)

LEMMA CLARENCE BATTS JR - TRADITIONAL IRA

Stocks (continued)

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	0.45	19,000	124.660	2,368.54	2,216.89	151.65	75.08	3.170
ISIN #US03524A1088 SEDOL #B3P93Y7 (BUD)								
APACHE CORP(APA)	0.53	57,000	48.810	2,782.17	2,692.48	89.69	57.00	2.050
APPLE INC(AAPL)	2.42	115,000	108.990	12,533.85	12,055.19	478.66	239.20	1.910
APPLIED MATERIALS INC(AMAT)	1.18	290,000	21.180	6,142.20	5,761.26	380.94	116.00	1.890
B & G FOODS INC NEW COM(BGS)	0.43	64,000	34.810	2,227.84	2,172.73	55.11	107.52	4.830
BANK OF AMERICA CORP(BAC)	1.50	576,000	13.520	7,787.52	7,747.14	40.38	115.20	1.480
BOEING CO(BA)	0.93	38,000	126.940	4,823.72	4,758.36	65.36	165.68	3.430
C H ROBINSON WORLDWIDE INC COM	0.45	32,000	74.230	2,375.36	2,325.22	50.14	55.04	2.320
NEW ISIN #US12541W2098 SEDOL #2116228 (CHRW)								
CVS HEALTH CORP COM(CVS)	1.94	97,000	103.730	10,061.81	9,809.94	251.87	164.90	1.640
CHEVRON CORP NEW(CVX)	3.61	196,000	95.400	18,698.40	18,200.32	498.08	838.88	4.490
CISCO SYS INC COM ISIN #US17275R1023	2.38	433,000	28.470	12,327.51	11,947.77	379.74	450.32	3.650
SEDOL #2198163 (CSCO)								
COCA COLA CO(KO)	1.58	177,000	46.390	8,211.03	8,062.00	149.03	247.80	3.020
COMCAST CORP NEW CL A (CMCSA)	1.74	148,000	61.080	9,039.84	8,735.70	304.14	162.80	1.800
COMERICA INC (CMA)	0.57	79,000	37.870	2,991.73	2,970.28	21.45	66.36	2.220
DENTSPLY SIRONA INC COM (XRAY)	0.41	35,000	61.630	2,157.05	2,142.00	15.05	10.85	0.500
DOW CHEMICAL CO(DOW)	0.49	50,000	50.860	2,543.00	2,492.15	50.85	92.00	3.620
DUNKIN BRANDS GROUP INC COM USD0.001 (DNKN)	0.80	88,000	47.170	4,150.96	4,083.86	67.10	105.60	2.540
E M C CORP MASS (EMC)	1.28	249,000	26.650	6,635.85	6,503.13	132.72	114.54	1.730
EMERSON ELECTRIC CO (EMR)	0.95	91,000	54.380	4,948.58	4,604.59	343.99	172.90	3.490
ENTERGY CORP NEW (ETR)	0.53	35,000	79.280	2,774.80	2,696.33	78.47	119.00	4.290
EXELON CORP(EXC)	1.16	168,000	35.860	6,024.48	5,800.89	223.59	208.32	3.460
FIRST NIAGARA FINL GP INC COM ISIN #US33582V1089 SEDOL #242171 (FNFG)	0.40	214,000	9.680	2,071.52	2,059.04	12.48	68.48	3.310
FOOT LOCKER INC COM ISIN #US3448491049 SEDOL #2980906 (FL)								
	0.32	26,000	64.500	1,677.00	1,675.97	1.03	28.60	1.710

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FIDELITY PRIVATE
CLIENT GROUP®

INVESTMENT REPORT
March 1, 2016 - March 31, 2016

Holdings (continued)

LEMMA CLARENCE BATTIS JR. - TRADITIONAL IRA

Stocks (continued)

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
FORD MTR CO DEL COM (F)	0.58	224.000	13.500	3,024.00	2,933.84	90.16	134.40	4.440
GENERAL DYNAMICS CRP (GD)	0.61	24.000	131.370	3,152.88	3,192.84	-39.96	72.96	2.310
GENERAL ELECTRIC CO (GE)	2.72	443.000	31.790	14,082.97	13,409.26	673.71	407.56	2.890
GENERAL MTRS CO COM ISIN	1.19	196.000	31.430	6,160.28	6,036.70	123.58	297.92	4.840
#US37045V1008								
SEDOL #B3SMT78 (GM)	0.78	44.000	91.860	4,041.84	3,945.04	96.80	75.68	1.870
GILEAD SCIENCES INC (GILD)	0.94	120.000	40.550	4,866.00	4,808.40	57.60	285.78	5.870
GLAXOSMITHKLINE ADR EACH CNV INTO 2 ORD								
GBPO.25 (GSK)								
HERSHEY CO (HSY)	0.80	45.000	92.090	4,144.05	4,154.06	-10.01	104.94	2.530
INTL BUSINESS MACH (IBM)	1.78	61.000	151.450	9,238.45	8,732.81	505.64	317.20	3.430
JPMORGAN CHASE & CO (JPM)	2.48	217.000	59.220	12,850.74	12,729.13	121.61	381.92	2.970
JOHNSON & JOHNSON (JNJ)	3.95	189.000	108.200	20,449.80	20,362.82	86.98	567.00	2.770
KAR AUCTION SERVICES INC COM USD0.01 (KAR)	0.70	96.000	38.140	3,661.44	3,537.54	123.90	111.36	3.040
KINDER MORGAN INC COM USD0.01 (KMI)	0.55	160.000	17.860	2,857.60	2,873.26	-15.66	80.00	2.800
KOHL'S CORP COM ISIN	0.17	19.000	46.610	885.59	909.23	-23.64	38.00	4.290
#US5002551043								
SEDOL #2496113 (KSS)								
M & T BANK CORP (MTB)	1.31	61.000	111.000	6,771.00	6,744.15	26.85	170.80	2.520
MACYS INC COM ISIN	0.39	46.000	44.090	2,028.14	1,999.61	28.53	69.46	3.420
#US55616P1049								
SEDOL #2345022 (M)								
MATTEL INC (MAT)	0.65	101.000	33.620	3,395.62	3,229.96	165.66	153.52	4.520
MAXIM INTEGRATED PRODS INC (MXIM)	0.72	102.000	36.780	3,751.56	3,496.25	255.31	122.40	3.260
MCDONALDS CORP (MCD)	0.97	40.000	125.680	5,027.20	4,937.09	90.11	142.40	2.830
MERCK & CO INC NEW COM (MRK)	0.87	85.000	52.910	4,497.35	4,438.16	59.19	156.40	3.480
METLIFE INC COM (MET)	1.06	125.000	43.940	5,492.50	5,383.73	108.77	187.50	3.410
MICROSOFT CORP (MSFT)	1.61	151.000	55.230	8,339.73	8,040.51	299.22	217.44	2.610
MOLSON COORS BREWING CO CL B (TAP)	0.40	22.000	96.180	2,115.96	2,034.81	81.15	36.08	1.710
MORGAN STANLEY (MS)	0.39	81.000	25.010	2,025.81	2,048.90	-23.09	48.60	2.400
NOBLE ENERGY INC COM (NBL)	0.18	30.000	31.410	942.30	976.50	-34.20	12.00	1.270
PPL CORP (PPL)	0.99	135.000	38.070	5,139.45	4,928.55	210.90	205.20	3.990

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Holdings (continued)

LEMMA CLARENCE BATTS JR - TRADITIONAL IRA

Stocks (continued)

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
PACKAGING CORP AMER(PKG)	0.29	25,000	60.400	1,510.00	1,346.64	163.36	55.00	3.640
PAYCHEX INC COM ISIN #US7043261079	1.09	105,000	54.010	5,671.05	5,559.81	111.24	176.40	3.110
SEDOL #2674458 (PAYX)								
PFIZER INC (PFE)	0.69	122,000	29.640	3,616.08	3,630.07	-13.99	146.40	4.050
PRUDENTIAL & GAMBLE CO (PG)	3.58	225,000	82.310	18,519.75	18,248.00	271.75	596.61	3.220
PRUDENTIAL FINL INC(PRU)	0.76	55,000	72.220	3,972.10	3,938.52	33.58	154.00	3.880
QUALCOMM INC (QCOM)	1.33	135,000	51.140	6,903.90	6,925.37	-21.47	259.20	3.750
RAYTHEON CO COM NEW(RTN)	0.75	32,000	122.630	3,924.16	3,918.13	6.03	93.76	2.390
REGIONS FINL CORP(RF)	0.49	328,000	7.850	2,574.80	2,639.68	-64.88	78.72	3.060
ST JUDE MEDICAL INC(STJ)	0.35	33,000	55.000	1,815.00	1,839.31	-24.31	40.92	2.250
SANOFI SPONSORED AD(RSNY)	0.39	51,000	40.160	2,048.16	2,099.08	-50.92	83.14	4.060
SOUTHERN CO (SO)	1.21	121,000	51.730	6,259.33	6,077.18	182.15	262.57	4.190
STATE STR CORP COM ISIN #US8574771031	0.80	71,000	58.520	4,154.92	4,031.98	122.94	96.56	2.320
SEDOL #2842040 (STT)								
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2 (SU)	0.83	156,000	27.810	4,338.36	4,051.15	287.21	-	-
SUNTRUST BANKS INC(STI)	1.07	154,000	36.080	5,556.32	5,630.18	-73.86	147.84	2.660
TARGET CORP COM ISIN #US87612E1064	1.32	83,000	82.280	6,829.24	6,772.58	56.66	185.92	2.720
SEDOL #2259101 (TGT)								
TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH CNV INTO 1 ORD ILS0.10 (TEVA)	0.70	66,000	53.510	3,638.68	3,843.92	-205.24	92.48	2.540
TRAVELERS COMPANIES INC COM STK NPV (TRV)	0.31	14,000	116.710	1,633.94	1,597.59	36.35	34.16	2.090
TUPPERWARE BRANDS CORP (TUP)	0.37	33,000	57.980	1,913.34	1,830.93	82.41	89.76	4.690
US BANCORP DEL COM NEW (USB)	1.38	176,000	40.590	7,143.84	7,136.20	7.64	179.52	2.510
UNITED PARCEL SVC INC CL B (UPS)	1.79	88,000	105.470	9,281.36	8,927.41	353.95	274.56	2.960
UNITED TECHNOLOGIES CORP (UTX)	1.16	60,000	100.100	6,006.00	5,796.60	209.40	153.60	2.560
VERIZON COMMUNICATIONS (VZ)	2.06	197,000	54.080	10,653.76	10,381.23	272.53	445.22	4.180



FIDELITY PRIVATE
CLIENT GROUP®

INVESTMENT REPORT
March 1, 2016 - March 31, 2016

Holdings (continued)

LEMIA CLARENCE BATTS JR - TRADITIONAL IRA

Stocks (continued)

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
WAL-MART STORES INC COM ISIN #US9311421039 SEDOL #2936921 (WMT)	1.17	89,000	68.490	6,095.61	6,058.88	36.73	178.00	2.920
WALGREENS BOOTS ALLIANCE INC COM ISIN #US9314271084 SEDOL #BTN1Y44 (WBA)	0.78	48,000	84.240	4,043.52	3,901.92	141.60	69.12	1.710
WELLS FARGO & CO NEW(WFC)	2.01	215,000	48.360	10,397.40	10,685.63	-288.23	322.50	3.100
WESTROCK CO COM (WRK)	0.23	31,000	39.030	1,209.93	1,132.43	77.50	46.50	3.840
WHOLE FOODS MKT INC(WFM)	0.31	53,000	31.110	1,648.83	1,756.72	-107.89	28.62	1.740
WILLIAMS COS INC(WMB)	0.69	223,000	16.070	3,583.61	3,477.11	106.50	570.88	15.930
Total Stocks	91.78%			\$476,601.26	\$467,182.09	\$9,419.17	\$14,886.31	

Other

Description	Percent of Holdings	Quantity	Price Per Unit	Total Market Value	Cost	Unrealized Gain/Loss	Est. Annual Income (EAI)	Est. Yield (EY)
AMERICAN CAP AGY CORP COM (AGNC)	0.28%	80,000	\$18.630	\$1,490.40	\$1,447.83	\$42.57	\$192.00	12.880%
ANNA LY CAPITAL MANAGEMENT INC COM (NLV)	0.33	170,000	10.260	1,744.20	1,738.34	5.86	204.00	11.700
CROWN CASTLE INTL CORP NEW COM	0.45	27,000	86.500	2,335.50	2,304.00	31.50	95.58	4.090
ISIN #US2822V1017 SEDOL #BTGQCX1 (CCI)								
DUKE REALTY CORP COM NEW (DRE)	0.31	72,000	22.540	1,622.88	1,544.85	78.03	51.84	3.190
PUBLIC STORAGE COM(PSA)	0.37	7,000	275.830	1,930.81	1,835.58	95.23	47.60	2.470
Total Other	1.74%			\$9,123.79	\$8,870.60	\$253.19	\$591.02	

Total Holdings	100%			\$516,784.33	\$476,052.69	\$9,672.36	\$15,477.33	
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STATE ETHICS COMMISSION
STATEMENT OF ECONOMIC INTERESTS FORM

Name of Candidate or Filer: Last Name, First Name, Middle Initial Mr. () Mrs. () Ms. ()

B A T T S , L E M I A , C . (M R .)

Mailing Address: 105 ROCKPORT WAY

City: P A C O L E T

State: S C

Zip: 29372 Phone: 864-474-4269

The following information is required for administrative purposes, only for positive identification of the filer, and will not be released to the public.

Social Security Number:

245.74.4039

NOTE:

PLEASE COMPLETE THIS ENTIRE REPORT IN BLUE OR BLACK INK, OR TYPE.

DO NOT USE PENCIL**KEEP A COPY FOR YOUR RECORDS****\$100 PER DAY PENALTY IF FILED LATE**

PRINT IN BLACK OR BLUE INK, OR TYPE (DO NOT USE PENCIL)

1. Have you previously filed this form? ☒ Yes ☐ No

2. County of Residence: | U | N | I | O | N | | | | | | | | | |

3. Name: (Last-First-Middle Initial) | B | A | T | T | S | - | L | E | M | I | A | - | C | | | | | | | |

4. Mailing Address: | 1 | 0 | 5 | | R | O | C | K | P | O | R | T | | W | A | Y | | | | | | | |

City: | P | A | C | O | L | E | T | | | | | | | | | | State: | S | C |

Zip: | 2 | 9 | 3 | 7 | 2 | 5. Phone: | 8 | 6 | 4 | - | 4 | 7 | 4 | - | 4 | 2 | 6 | 9 |

	*Status	Position, Title, and Agency (If House or Senate, include District #)	Term of Office (mo/yr)
6. Current	<u>1</u>	(a) <u>DHEC Board</u>	From <u>2011</u> To <u>current</u>

7. Sought	<u>1</u>	(b) <u>DHEC Board</u>	From _____ To _____
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*Status: 1. Appointed 2. Candidate 3. Employee 4. Elected 5. Employee/Regulated Business Association

8. Date of Hire or Appointment (mo-yr): _____

CANDIDATES ONLY

9. Date filed as a candidate (mo/da/yr)

10. Election Date(s) (mo/da/yr) Primary _____ General _____ Special _____

11. **NOTE: ALL CANDIDATES MUST ALSO FILE A CAMPAIGN DISCLOSURE FORM. A CAMPAIGN DISCLOSURE FORM MUST BE FILED AT LEAST FIFTEEN DAYS BEFORE EACH ELECTION, EVEN IF NO MONEY IS RAISED OR SPENT. AN INITIAL REPORT MUST ALSO BE FILED WITHIN TEN DAYS AFTER SPENDING OR RECEIVING \$500, EVEN IF THE MONEY PROVIDED IS SOLELY THE CANDIDATE'S OWN FUNDS. AFTER THE CANDIDATE FILES THE INITIAL FORM, A CAMPAIGN DISCLOSURE FORM MUST BE FILED WITHIN 10 DAYS AFTER THE END OF EACH CALENDAR QUARTER. THE QUARTERLY REPORTS MUST BE FILED UNTIL A FINAL REPORT IS FILED (i.e., NO MONEY IN THE CAMPAIGN ACCOUNT AND NO UNPAID DEBTS).**

IF THE REPORTS ARE NOT FILED OR IF THE FORMS ARE LATE, A LATE FILING PENALTY, OF \$100 PER DAY, WILL BE LEVIED.

ALL CANDIDATES MUST OPEN A SEPARATE CHECKING OR SAVINGS ACCOUNT, UNLESS THE FILING FEE IS THE ONLY EXPENSE AND IT IS PAID FROM PERSONAL FUNDS.

12. CERTIFICATION: I certify that the contents of this statement are true, correct, and complete to the best of my knowledge and belief. I understand that if this statement is not received within five (5) days of the deadline, a late filing penalty will be levied.

Date 4-28-2016 Signature Clarence Batte, Jr.

FOR OFFICE USE ONLY:

☐ COMPLETE _____ ☐ INCOMPLETE
☐ ENTERED _____ ☐ SCANNED

FAXED COPIES WILL NOT BE ACCEPTED

The original must be received no later than 5:00 p.m. on the date of the established deadline.

NOTE: PLEASE PROVIDE ONE ORIGINAL AND ONE COPY OF THIS FORM TO THE APPROPRIATE SUPERVISORY OFFICE, AND KEEP A COPY FOR YOURSELF.

SEC STATEMENT OF ECONOMIC INTERESTS

pg. 3 of 4

E5A.2

(ALL RESPONSES MUST BE FOR THE PRIOR CALENDAR YEARS)

13. INCOME AND BENEFITS FROM STATE AND LOCAL AGENCIES IN SOUTH CAROLINA (Check if none ☒)

Source	Type	Amount/Value

14. REGULATED BUSINESS ASSOCIATIONS (Check if none ☒)

Name of Business	Relationship	Source of Regulatory Involvement

15. REAL OR PERSONAL PROPERTY INTERESTS (Check if none ☒)

Description	Value	Location

Nature and Value of Improvements _____

Nature of Potential Conflict of Interest _____

Agency Purchasing, Leasing, or Renting the Property*

*A copy of the contract, lease, or rental agreement must be attached to this form.

16. BUSINESS INTERESTS (Check if none ☒)

Name of Business	Relationship

SEC STATEMENT OF ECONOMIC INTERESTS

pg. 4 of 4

E5A.3

17. CREDITORS (Check if none ☒)

Name and Address of Creditor	Rate of Interest	Original Amount	Outstanding Amount

18. LOBBYISTS (Check if none ☒)

(a) Name of Lobbyist	Relationship or Association

(b) Name of Lobbyist	Goods/Service Purchased	Amount	Purchased From	Relationship

19. GOVERNMENT CONTRACTS (Check if none ☒)

Contractor Name and Address	Relationship	Nature of Business	Amount	Agency

20. GIFTS (Check if none ☒)

Nature of Gift	Value	Donor	Relationship

21. MEMBERS OF AND CANDIDATES FOR THE GENERAL ASSEMBLY ONLY (Check if none ☐)

Person Represented	Services Rendered	Nature Contact w/Gov. Agency	Fees Earned

SENATE CONFIRMATION
CONFIDENTIAL PERSONAL DATA QUESTIONNAIRE

NOTICE: The information requested herein is needed to assist the Senate in its screening of candidates. This document is made available to and used by the appropriate Committee of jurisdiction and is not made public. Since this questionnaire is the initial step in the appointment and confirmation process, it should be returned to the Governor's Office as soon as possible. Please use additional sheets as necessary to complete this document.

Office or Seat to which you are being appointed: PHEC Board

1. NAME: Mr. Lemia Clarence Batts Jr.
Ms.

HOME ADDRESS: 105 Rockport Way
Pacolet, SC 29372

BUSINESS ADDRESS:

TELEPHONE NUMBER: (home): 864 474 4269
(office): —

RESIDE IN SENATE DISTRICT#: 13 CONGRESSIONAL DISTRICT#: 34

2. Date and Place of Birth: 3-11-45 Social Security #: 245744039
Rose Hill, NC

3. Are you a citizen of South Carolina? Have you been a resident of this state for at least the immediate past 5 years? Yes

4. SCDL# or SCHD#: 004409707 Voter Registration Number: 6957053

5. Family Status: Are you
single ();
married ☒;
widowed (); or
divorced ()?

(a) If married, state the date of your marriage and your spouse's full name.

Feb 19, 1972 - Scarlet Fowler Batts

(b) If you have ever been divorced, state the date, name of the moving party, court, and grounds.

No

- (c) State the names of your children and their ages. If your children are old enough to work, include the occupation of each child.

Pamela Nicole Batts - Graphic Communication
Nyla Denise Batts Smith - Owns her own business (Web design, etc.)

6. Have you ever served in the military? If so, give the dates, branch of service, highest rank attained, serial number, present status, and the character of your discharge or release.

No

7. List each college and graduate or professional school you attended, including the dates of your attendance, the degrees you received, and if you left an institution without receiving a degree, the reason for your departure.

NC State University 1963-1967
Returned after working in Iowa (Standard Brands) for 2 years
and completed my degree in 1970

8. List the states in which you have been licensed and/or admitted to a professional practice and the year of each license and/or admission. Also, list any states in which you took a professional license exam, but were never admitted to the practice. If you took an exam more than once in any of the states listed please indicate the number of times you took the exam in each state.

None

9. List the significant activities in which you took part during your attendance at college, graduate, and/or professional school. Give the dates you were involved in these activities and list any leadership positions you held.

I worked by way thru college (N.C. State University) at a new restaurant - Amedes's from fall 1963-1967.

10. Briefly describe any continuing education during the past five years.

None

11. List all published books and articles you have written and give citations and dates of publication for each.

The Collection and Dewatering of Alum Sludge published in
Proceedings of the 33rd Industrial Waste Conference
May 9, 10, and 11 1978
Purdue University

12. If an attorney, list all courts in which you have been admitted to practice and list the dates of your admission. Give the same information for administrative bodies which require a special admission to practice.

—

13. Have you ever held public office? If so, list the periods of your service, the office or offices involved, and whether you were elected or appointed.

No

14. Have you ever been an unsuccessful candidate for elective, judicial, or other public office? If so, give details, including dates.

No

15. Since completing your education, list any occupation, business, or profession in which you have been engaged other than holding public office. Give details, including a description of your occupation, business, or profession, the dates of your employment, and the name of your business or employer.

I am a chemical engineer who was employed by American Enka Company
Feb 3, 1970 (Asheville, N.C.) which later merged with BASF Corporation.

I have served in multiple functions including Design, Process and project
engineering; Environmental Control both at the plant level and corporate
level; R&D; Production Manager; Engineering Manager; Internal Audit Leader
and Site Manager

16. Are you now an officer or director or involved in the management of any business enterprise? Explain the nature of the business, your duties, and the term of your service.

No

17. Provide a complete, current financial net worth statement that itemizes in detail:

- a) the identity and value of all financial assets held, directly or indirectly, including, but not limited to, bank accounts, real estate, trusts, investments, and other financial holdings

105 Rockport Way \$225,000

IRA accounts - \$1,550,000 + 605 = \$2,155,000

~~401k \$633,000~~

Non IRA accounts - ~~1,500,000~~ 100,000

ROTH - ~~1,500,000~~ 60,000

- b) the identity and amount of each liability owed, directly or indirectly, which is in excess of \$1,000, including, but not limited to, debts, mortgages, loans, and other financial obligations.

105 Rockport Way - ~~644,000~~ 45,000

Credit cards - 130,000

~~Automobile \$16,000~~

A sample net worth statement is provided with this questionnaire for your convenience. You may use any other comparable form if it was prepared within the past six months.

18. Describe any financial arrangements or business relationships which you have, or have had in the past, that could constitute or result in a possible conflict of interest in the position you seek. Explain how you would resolve any potential conflict of interest.

None except I was employed by BASF until my retirement in August 31, 2009

19. Have you ever been arrested, charged, or held by federal, state, or other law enforcement authorities for violation or for suspicion of violation of any federal law or regulation, state law or regulation or county or municipal law, regulation or ordinance? If so give details but do not include traffic violations for which a fine of \$125 or less was imposed.

No

20. Have federal, state, or local authorities ever instituted a tax lien or other collection procedure against you? Have you ever defaulted on a student loan? Have you ever filed for bankruptcy? If so, give details.
No
21. Have you ever been sued, personally or professionally? If so, give details.
No
22. Have you ever been disciplined or sited for unprofessional conduct or a breach of ethics by any court, administrative agency, bar association, disciplinary committee, or other professional group? Have you ever been the subject of a formal complaint, or is there a complaint pending against you before such a group? If so, give the details and describe any final disposition.
No
23. Are you now or have you ever been employed as a "lobbyist," as defined by S.C. Code §2-17-10(14)? If so, give the dates of your employment or activity in such capacity and specify by whom you were directed or employed.
No
24. Since being notified of your possible appointment, have you accepted lodging, transportation, entertainment, food, meals, beverages, money, or any other thing of value as defined by S.C. Code §2-17-10(1) from a lobbyist or lobbyist's principal? If so, please specify the item or items you received, the date of receipt, and the lobbyist or lobbyist's principal involved.
No
25. Itemize (by amount, type, and date) all expenditures, other than those for travel and room and board, made by you, or on your behalf, in furtherance of your candidacy for the position you seek.
None

26. List the amount and recipient of all contributions made by you or on your behalf to the appointing authority or members of the General Assembly within six months of the filing of this questionnaire.
None
27. Have you directly or indirectly requested the pledge of any member of the General Assembly as to your confirmation for the position for which you are being appointed?
No
28. Have you requested a friend or colleague to contact members of the General Assembly on your behalf? If so, give details.
No
29. Have you or has anyone on your behalf solicited or collected funds to aid in the promotion of your candidacy? If so, please specify the amount, solicitor, donor, and date of the solicitation.
None
30. List all professional organizations of which you are a member and give the titles and dates of any offices you have held in such groups.

31. List all civic, charitable, educational, social, and fraternal organizations of which you are or have been a member during the past five years and include any offices held in such a group, any professional honors, awards, or other forms of recognition received and not listed elsewhere.

*United Way of the Piedmont - Board member; Community Impact
Chairperson; member of Management Committee
Chamber of Commerce - Chairperson of Pacolet Area Council
Formerly served on The Salvation Army and Habitat boards*

32. List any local, county or statewide board, commission, council or other body on which you currently serve which constitutes the holding of an office under the provisions of Article VI, Section 3 of the South Carolina Constitution, to wit:

No person may hold two offices of honor or profit at the same time. This limitation does not apply to officers in the militia, notaries public, members of lawfully and regularly organized fire departments, constables, or delegates to a constitutional convention.

None other than DHEC

33. Provide any other information which may reflect positively or negatively on your candidacy, or which you believe should be disclosed in connection with consideration of appointment to the position that you seek.

34. List the names, addresses and telephone numbers of five persons, including your banker, who will provide letters of reference. Letters should be *addressed* the South Carolina Senate and **must be mailed with your completed application to: Office of the Governor, ATTN: Katie Philpott, 1205 Pendleton Street, Columbia, SC 29201.**

- (a) Victor Roman Bank of America 295 E. Main, Spartanburg, SC 29302
864-208-1155
- (b) Kathy Dunkley; P.O. Box 5624; Spartanburg, SC 29304
864-582-7556
- (c) David Cordery; 105 North Pine Street; Spartanburg, SC 29302
864-594-5000
- (d) Dr. Jim Ray; 3535 Clifton Glendale Road; Glendale, SC 29346
- (e) Lanny Littlejohn 295 Lauren Pines; York, SC 29745
864 706 5565

YOUR SIGNATURE WILL BE HELD TO CONSTITUTE A WAIVER OF THE
CONFIDENTIALITY OF ANY PROCEEDING BEFORE ANY PROFESSIONAL GRIEVANCE
COMMITTEE OR ANY INFORMATION CONCERNING YOUR CREDIT.

I HEREBY CERTIFY THAT MY ANSWERS ARE TRUE AND COMPLETE TO THE BEST OF MY
KNOWLEDGE.

Date: 4-28-2016

Signature: L. Clarence Batto Jr

South Carolina Senate

Statehouse

Columbia, South Carolina

Dear Ladies and Gentlemen of the Senate,

Mr. Clarence L. Batts Jr. is a long time customer of Bank of America. His accounts have been and continue to be in good standings. He maintains a strong relationship with Bank of America.

Victor J. Roman



Officer, Financial Center Manager II

Spartanburg Main Financial Center

295 E. Main Street, Spartanburg, SC 29302

SC2-520-02-01

p. 864-208-1155 f. 864-208-1166

victor.j.roman@bankofamerica.com

Bank of America 

Life's better when we're connected™



**United Way
of the Piedmont**

March 4, 2011

South Carolina Senate
State House
Columbia, South Carolina

Dear Ladies and Gentlemen of the Senate,

It is with great pleasure I offer my recommendation and support of Clarence Batts to be a member of the DHEC board.

I have known Clarence for over seven years in my role as CEO of United Way of the Piedmont. He has been a great supporter and volunteer, first as the General Manager of BASF, leading campaigns and encouraging his associates to participate in the community. He then came on our board of directors and has subsequently chaired the community impact committee, volunteers at our gift in kind warehouse, and countless other projects we are working on. Clarence received the Volunteer of the Year award two years ago for all his efforts. He is truly a community leader with his involvement in the College Hub, an effort that focuses on education and can take Spartanburg upward in the importance of secondary education.

Clarence is a man of integrity, passion, and commitment who works hard and well at everything he does. I am honored to know him and work with him.

Sincerely,

Katherine A. Dunleavy
President/CEO

P.O. Box 5624, Spartanburg, SC 29304
Phone: 864-582-7556 or Toll Free: 866-345-3086
Fax: 864-582-9826
www.uwpiedmont.org



SPARTANBURG AREA CHAMBER OF COMMERCE

March 7, 2011

South Carolina Senate
State House
Columbia, SC

Ladies and Gentlemen of the Senate

Mr. Clarence Batts is requesting your favorable consideration for appointment to the DHEC Board.

This letter is an indication of our strong support of Mr. Batts and our encouragement of his approval.

Clarence is a business leader who has expanded his influence into the fields of educational excellence, tourism, economic development and regional collaboration.

As a member of the College Hub of Spartanburg, he is a part of the team building the ladder to college completion. As the chair of the Pacolet Area Council, he is bringing communities together to write the plans for their future.

Mr. Batts also brings with him the knowledge and perspective of an industry leader.

He is an extraordinary candidate for this position, and would be an excellent choice.

Sincerely,

David P. Cordeau
President & CEO

DPC:jsh



Spartanburg School District Three

Steeped in History . . . Focused on the Future

Office of the Superintendent

March 4, 2011

South Carolina Senate
State House
Columbia, South Carolina

Dear Ladies and Gentlemen of the Senate:

It is my distinct honor to recommend Clarence Batts for the Board of the Department of Health and Environmental Control. I have known Mr. Batts for over a decade as the former Executive Officer of the BASF Corporation of Whitestone, South Carolina and his continuing role of community leader.

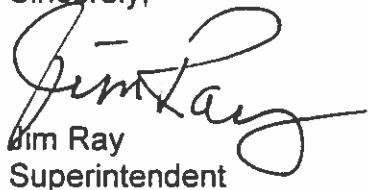
Under his guidance, BASF provided our schools science materials, experience for service learners, and considerable volunteer time. Mr. Batts also gave our schools innovative, nationally recognized curricula, *Science Screen Report for Kids* and *Science Screen Report*. The seven program series covers topics related to science, technology, the environment, and medicine.

Mr. Batts also brought the National Plastics Center's Plasti-Van to our schools. The Plasti-Van is a unique, exciting learning experience that engages students while teaching about chemistry, history, processing, manufacturing, sustainability, and applications of plastic. He has also been a tireless mentor at Pacolet Elementary School and works with students on computer technology, homework, classwork, and special projects. He also trained high school chemistry teachers in our county on the identification, and disposal of, dangerous chemicals.

Mr. Batts has been a community leader in many other venues. He is Chairman of the Pacolet Area Chamber of Commerce, a member of the Spartanburg Three Leadership Council, our Strategic Planning Team, and our Comprehensive Health Education Committee. He is also an active member of the Rotary Club of Spartanburg.

Clarence Batts has substantial knowledge of environmental issues, puts service before self, and fulfills his commitments. I recommend him highly and enthusiastically!

Sincerely,



Jim Ray
Superintendent



House of Representatives

State of South Carolina

Retired

Lanny F. Littlejohn

District No. 33 - Spartanburg-Cherokee

Counties

~~210 Deerwood Drive~~

~~Pacolet, SC 29372~~

~~402 B Blatt Building~~

~~Columbia, SC 29211~~

~~Tel. (803) 734-3007~~

~~e-mail: LFL@scstatehouse.net~~

Committee:

Ways and Means

3-7-11

To whom it may concern:

I write this letter in support of my friend Clarence Battle for a seat on the D.H.E.C. Board or commission.

I have known Clarence for some 20 yrs. and he is very qualified because of his background in the business world.

Clarence served as CEO for BASF Corp. for quite some time and this alone will make him very familiar with all environmental issues that come before the commission.

He also has been a very committed member and has supported our state with Vision.



House of Representatives

State of South Carolina

Retired.

Lanny F. Littlejohn

District No. 33 - Spartanburg-Cherokee

Counties

~~210 Deenwood Drive~~

~~Pacolet, SC 29372~~

~~402-B Blatt Building~~

~~Columbia, SC 29211~~

~~Tel. (803) 734-3007~~

Committee:

~~Ways and Means~~

~~e-mail: LFL@scstatehouse.net~~

*I give my wholehearted support for
Lanny to serve the state in this new
endeavor.*

*Lanny
Lanny P. Littlejohn*