MINUTES OF
Budget and Control Board Meeting
July 12, 1983

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

July 12, 1983

MEMORANDUM

TO: Budget and Control Board Division Directors

FROM: William A. McInnis, Secretary WAM

SUBJECT: Summary of Budget and Control Board Actions at July 12, 1983 Meeting

The following is a summary of actions taken by the Budget and Control Board at the referenced meeting:

1. Approved the minutes of a telephone conference call meeting held on June 16, 1983;

2. Received as information a Forestry Commission report on the forest renewal fund;

3. Received as information a Division of Local Government report on the expenditure of rural improvement funds during June, 1983;

4. Received as information the 1982-83 full-time equivalent position operational report for May and June, 1983;

5. Approved a right-of-way easement to the South Carolina Electric and Gas Company for the purpose of constructing, operating and maintaining a 23 KV aerial transmission line across Clouter Creek approximately 2,000 feet upstream from the Cooper River near the U.S. Naval Reservation in Berkeley County;

6. Received as information a State Engineer's report that the following permanent improvement projects had been reviewed by the Joint Bond Review Committee and released by staff: (a) on Summary 48-83: Clemson University, items 3-6; Francis Marion College, item 7; DHEC, item 8; Mental Retardation, items 9-10; PRT, items 11-13; Technical Education, items 14-16; USC-Columbia, item 17; B&C Board, General Services, item 18; (b) on Summary 51-83: Adjutant General's Office, items 1-2; B&C Board, General Services, item 3; Clemson University, item 4; Mental Retardation, items 5-20; Vocational Rehabilitation,

item 21; Wildlife and Marine Resources, item 22; Youth Services, items 23-24; (c) on Summary 42-83: Adjutant General's Office, only item; (d) on Summary 46-83: The Citadel, only item; (e) on Summary 49-83: Department of Agriculture, only item; (f) on Summary 50-83: USC-Columbia, only item; (g) Adjutant General's Office, project E24-8015, decrease budget by $3,000 and transfer of that amount to building renovations on fourth floor of Dennis Building; (h) School for the Deaf and the Blind, establish project H75-8175, dormitory renovation, $15,000;

7. Received as information a State Engineer's report that the following Wildlife and Marine Resources Department permanent improvement projects had been released by staff and that Joint Bond Review Committee action is not required: (a) Durham Creek Boat Ramp, project P24-8176, $5,125; (b) Odell Venters Boat Landing, project P24-8177, $8,650; (c) Black Creek Boat Ramp, project P24-8178, $48,769; (d) Beaty's Bridge, project P24-8000, increase budget by $3,000;

8. Received as information reports on the payment of interviewee travel expenses by Clemson University, College of Charleston, University of South Carolina, Technical and Comprehensive Education, and Department of Mental Health;

9. Received as information a report on the status of the Department of Corrections permanent improvement program as of June, 1983;

10. Received as information a report on the foster care study;

11. Approved the 1983-84 tuition fee structure for institution bond debt service purposes as proposed by the Winthrop College Board of Trustees calling for the payment of a $50 tuition fee by in-state students and a $100 tuition fee by out-of-state students with the understanding that the funds generated by what the tuition fee had been (a reduction of $100 and $300 for in-state and out-of-state students, respectively) amounting to approximately $431,700 would be reallocated for use on maintenance, repair, and renovation projects;

12. Adopted a resolution granting preliminary approval to the issuance by the State Housing Authority of its Multi-Family Development Revenue Bonds in an amount sufficient for the Authority to fund not exceeding $15,000,000 of construction and permanent loans, subject to the approval by the State Treasurer of various details related to the proposed issue;

13. Authorized the State Housing Authority to proceed with its mortgage loan program in the issuance of bonds in an amount which will produce the sum determined to reflect the demand for mortgage loans for single-family homes for members of the beneficiary class, estimated to be not less than $30,000,000 and not more than $60,000,000, and authorized the State Treasurer to conditionally approval the interest rate on such bonds subject to final approval by the Board with the expectation that that rate will not exceed 10.25%;

14. Adopted a resolution authorizing the execution of and entering into Revision #5 of the Assistance Agreement entered into by and between the Board and the Town of Pageland relating to a sewer loan in the original sum of $276,346.63;

15. Agreed with the broad concepts of a proposed policy on the personal use of state-owned vehicles, developed in response to Section 164 of the 1983-84 Appropriations Act, providing that the personal use of state-owned vehicles is prohibited except that employees may be authorized to commute in such vehicles and use them in unusual or emergency situations provided they reimburse their agency for such use at the rate of 20¢ per mile and further providing for certain exemptions and specifying various administrative procedures, with the understanding that a refined version of the proposed policy would be presented to the Board for adoption at a future meeting;

16. Was advised that a manual for planning and execution of state permanent improvements has been prepared by staff and is to be put into effect on a draft basis for a one-year period during which time suggestions for its improvement will be solicited; reaffirmed the $10,000 cost cut-off level in the construction of additional facilities and the renovation, repair, alteration or demolition of existing facilities as a part of the official definition of a permanent improvement; and shifted the authority delegated to staff for approving permanent improvement projects up to $250,000 in costs to the Executive Director's Office;

17. Approved the following transfer requests: (a) Department of Agriculture, $6,338 from personal service to other operating expenses; (b) Department of Agriculture, $4,600 from classified positions to equipment; (c) Barber Examiners Board, $2,834.89 from personal service to other operating expenses (with the understanding that the $1,500 allocation approved at the previous meeting from the Civil Contingent Fund would not be paid as a result of the approval of this transfer); (d) Physical Therapy Board, $80 from employer contributions to contractual services; (e) Board of Financial Institutions - Bank Examining Division, $800 from personal service to travel; (f) Lieutenant Governor's Office, $1,044.06 from personal service to travel; and (g) Division of Local Government, $1,200 from personal service to other operating expenses on the condition that this transfer be reviewed and determined to be appropriate by the State Auditor;

18. Approved an increase of 80¢ per square foot in the rental rate for state-owned real property to be charged by the Division of General Services effective July 1, 1984;

19. Approved a fee schedule approved by the Children's Bureau Board of Directors in accordance with a proviso in the 1983-84 Appropriations Act;

20. Granted procurement certification for the Department of Mental Health, in accordance with Consolidated Procurement Code Section 11-35-1210, as follows: (a) annual term contracts only for drugs and related pharmaceuticals defined in the State Procurement Commodity Code Manual under #270 - Drugs, Pharmaceuticals and Biologicals; $1,600,000 maximum of all such contracts combined; (b) annual term contracts only for medical supplies under commodity code classes #435 - Germicides; #470 - Hospital Equipment - Invalid; #475 - Hospital Sundries; and #465 - Hospital Equipment; $400,000 maximum of all such contracts combined; and (c) all other goods and services procurements excluding printing equipment which must be approved by the Materials Management Office and food commodities that are currently combined with other agencies' needs and procured on scheduled buys by the Materials Management Office; $15,000 per purchase commitment;

21. Approved the following Civil Contingent Fund allocations and encumbrances: (a) an allocation of $25,000 for a one-time payment for membership in the Southeast Interstate Low-Level Radioactive Waste Management Compact; (b) the encumbrance of $858 for the State Fire Marshal's Office for an LP gas training program to be conducted at Wampee on July 19, 20, and 21; and (c) the encumbrance of $1,500 for the Executive Director's Office for microfilming Budget and Control Board minutes and exhibits during 1983-84;

22. Approved the State Board for Technical and Comprehensive Education compensation plans for unclassified institutional officers and for unclassified faculty personnel in the technical colleges for implementation in the 1983-84 academic year;

23. Approved by a vote of three to one a request by the Forestry Commission to send an employee to study at North Carolina State University for two semesters for training in forest genetics under an agreement that the individual will work for the state for at least four years following completion of his training;

24. Cancelled the regular meeting scheduled for July 26, 1983, unless items of extreme importance arise in the interim and agreed to hold a regular business meeting at 10:00 A. M. on August 9, 1983, in the Governor's conference room;

25. Authorized the Comptroller General's Office to carry forward into 1983-84 not to exceed $27,000 collected from various agencies in payment for the development for the basic agency reporting system;

26. Affirmed the findings and recommendations of the Fire Marshal Appeal Panel in an appeal involving Pelzer Primary School and incorporated those recommendations as the Board's decision;

27. After the Insurance Reserve Fund Officer advised the Board that the state would fare as well or better by continuing to pay the premium due on fire and difference in conditions coverage on an annual basis rather than making quarterly payments, approved the procedure followed in making the annual premium payment for the referenced coverage;

28. Authorized the payment of an additional $50,000 from the Ordinary Sinking Fund for legal fees related to the reactivation of the Savannah River Plant L-reactor;

29. Reappointed the following Board staff members to the Employee Suggestion Awards Committee for a one-year term: J. Samuel Griswold, Executive Director's Office; Larry L. Hamilton, Division of Information Resource Management; and Phyllis Gardner, Retirement System; and appointed the following Board staff members to the Committee for a one-year term: Martha Watts, Motor Vehicle Management; Nancy White, Local Goverment; Harvey Scurry, Fire Marshal; and Norman K. Olsen, Research and Statistics; and received as information an activity report on the Pilot Employee Suggestion Program for the October 1982 - June 1983 period;

30. Authorized the State Personnel Division to proceed with the development of a sole-source procurement contract with Hay Associates to perform a salary and fringe benefit survey for state agency heads and other executive managers, totalling approximately 350 positions, for not to exceed $145,000, on the condition that the State Personnel Division is reasonably assured that funds will be available from the personal service reconciliation and other sources to finance this work;

31. Approved special salary adjustments for 17 unclassified positions at Lander College;

32. Approved salary schedule and authorized requested increases for residents at MUSC;

33. Approved the continuation in service of SLED part-time employee Woodrow Carter for a one-year period;

34. Authorized the State Personnel Division to hire outside counsel to assist in the rebidding of major insurance and management contracts during the current fiscal year provided the State Personnel Division not pay such outside counsel for more than 200 hours of work at $50 per hour without securing further Budget and Control Board approval;

35. Authorized the State Personnel Division to retain an attorney at a fee of $50 per hour to perform those functions normally handled for the State Employee Grievance Committee in grievance situations by the Office of the Attorney General;

36. Approved the figure of 7,630 as the safe and reasonable inmate capacity of the facilities operated by the Department of Corrections, as certified to the Budget and Control Board by the State Board of Corrections; and

37. Ratified actions taken during executive session.

WAM:dw

MINUTES OF BUDGET AND CONTROL BOARD MEETING

JULY 12, 1983 10:00 A. M.

The State Budget and Control Board met at 10:00 A. M. on Tuesday, July 12, 1983, in the Governor's conference room in the State House with the following members in attendance:

Governor Richard W. Riley
Mr. Grady L. Patterson, Jr.
Senator Rembert C. Dennis
Representative Tom G. Mangum

Mr. Earle E. Morris, Jr., was absent.

Also attending were Executive Director W. T. Putnam; Board Secretary William A. McInnis; Governor's Executive Assistant Katherine M. Clarke; and staff members of the various Board divisions.

MINUTES OF PREVIOUS MEETING - Board members previously had been furnished a draft version of the minutes of the telephone conference meeting held on June 16, 1983.

Upon a motion by Mr. Patterson, seconded by Representative Mangum, the Board approved the referenced minutes as written.

BLUE AGENDA - Upon a motion by Mr. Patterson, seconded by Senator Dennis, the Budget and Control Board approved all items on the blue agenda.

Blue agenda items are identified as such in these minutes.

FORESTRY COMMISSION - REPORT ON FOREST RENEWAL FUND (BLUE AGENDA #1) - The Board received as information a Forestry Commission report on the status of the forest renewal fund.

Information relating to this matter has been retained in these files and is identified as Exhibit 1.

DIVISION OF LOCAL GOVERNMENT - EXPENDITURE OF RURAL IMPROVEMENT FUNDS, JUNE, 1983 (BLUE AGENDA #2) - The Budget and Control Board received as information a Division of Local Government report on rural improvement funds expended during June, 1983, which included 19 projects involving a total expenditure of $137,850 in state grant funds. The report also showed an ending balance of $63 and indicated that 289 applications involving $4,605,020 were approved during 1982-83.

Information relating to this matter has been retained in these files and is identified as Exhibit 2.

FINANCE DIVISION - 1982-83 FULL-TIME EQUIVALENT POSITION OPERATIONAL REPORT FOR MAY AND JUNE (BLUE AGENDA #3) - The Board received as information the 1982-83 full-time equivalent position operational report for May and June, 1983.

Information relating to this matter has been retained in these files and is identified as Exhibit 3.

GENERAL SERVICES - EASEMENT (BLUE AGENDA #4) - Upon a motion by Mr. Patterson, seconded by Senator Dennis, the Board approved a right-of-way easement to the South Carolina Electric and Gas Company for the purpose of constructing, operating and maintaining a 23 KV aerial transmission line across Clouter Creek approximately 2,000 feet upstream from the Cooper River near the U.S. Naval Reservation in Berkeley County.

Information relating to this matter has been retained in these files and is identified as Exhibit 4.

GENERAL SERVICES, STATE ENGINEER - PERMANENT IMPROVEMENT PROJECT ACTIONS BY STAFF (BLUE AGENDA #5) - The Board received as information a State Engineer's report that the following permanent improvement projects had been reviewed by the Joint Bond Review Committee and released by staff: (a) on Summary 48-83: Clemson University, items 3-6; Francis Marion College, item 7; Department of Health and Environmental Control, item 8; Mental Retardation, items 9-10; Parks, Recreation and Tourism, items 11-13; Technical Education, items 14-16; USC-Columbia, item 17; Budget and Control Board, General Services, item 18; (b) on Summary 51-83: Adjutant General's Office, items 1-2; Budget and Control Board, General Services, item 3; Clemson University, item 4; Mental Retardation, items 5-20; Vocational Rehabilitation, item 21; Wildlife and Marine Resources, item 22; Youth Services, items 23-24; (c) on Summary 42-83: Adjutant General's Office, only item; (d) on Summary 46-83: The Citadel, only item; (e) on Summary 49-83: Department of Agriculture, only item; (f) on Summary 50-83: USC-Columbia, only item; (g) Adjutant General's Office, project E24-8015, decrease budget by $3,000 and transfer of that amount to building renovations on fourth floor of Dennis Building; (h) School for the Deaf and the Blind, establish project H75-8175, dormitory renovation, $15,000.

The Board also received as information a State Engineer's report that the following Wildlife and Marine Resources Department permanent improvement projects had been released by staff and that Joint Bond Review Committee action is not required: (a) Durham Creek Boat Ramp, project P24-8176, $5,125; (b) Odell Venters Boat Landing, project P24-8177, $8,650; (c) Black Creek

Boat Ramp, project P24-8178, $48,769; and (d) Beaty's Bridge, project P24-8000, increase budget by $3,000.

Information relating to this matter has been retained in these files and is identified as Exhibit 5.

OFFICE OF EXECUTIVE DIRECTOR - INTERVIEWEE TRAVEL PAYMENTS (BLUE AGENDA #6) - The Budget and Control Board received as information reports on the payment of interviewee travel expenses by Clemson University, the College of Charleston, University of South Carolina, Technical and Comprehensive Education, and the Department of Mental Health.

Information relating to this matter has been retained in these files and is identified as Exhibit 6.

DEPARTMENT OF CORRECTIONS - PERMANENT IMPROVEMENT PROGRAM REPORT FOR JUNE, 1983 (BLUE AGENDA #7) - The Board received as information a report on the status of the Department of Corrections permanent improvement program as of June, 1983.

Information relating to this matter has been retained in these files and is identified as Exhibit 7.

EXECUTIVE DIRECTOR'S OFFICE - DATA ON FOSTER CARE STUDY (BLUE AGENDA #8) - The Board received as information a report giving further data on the foster care study and the methodologies employed in it.

Information relating to this matter has been retained in these files and is identified as Exhibit 8.

EXECUTIVE DIRECTOR'S OFFICE - Executive Director Putnam called the Board's attention to the last item scheduled for consideration in the executive session having to do with the safe and reasonable operating capacity of the prison system. He noted that that item is to be considered in executive session because of certain legal aspects involved in the data to be discussed but he indicated that the Board would probably want to discuss certain features of that information in open session following the executive session and that that discussion would involve more than the usual ratification action.

WINTHROP COLLEGE - INSTITUTION BOND TUITION REDUCTION/REALLOCATION - Executive Director Putnam noted that the effect of the action taken by the Winthrop College Board of Trustees at its April 23 meeting was not clearly understood by staff in that, while tuition fees were reduced, total fees charged by the College in 1983-84 are to be increased by $360 for in-state students and $470 for out-of-state students. The result is that, although tuition fees which are committed to debt service purposes are reduced from $150 to $50 for in-state students and from $400 to $100 for out-of-state students, other fees were increased by more than these reductions. Mr. Putnam then indicated that it is now understood that Winthrop College plans to reallocate the difference between what the tuition fee for debt service had been and what is being proposed to an operating account for maintenance, repair and renovation projects just as has been approved for The Citadel and Clemson University.

Interim President Glenn Thomas of Winthrop noted that it is estimated that $431,735 would be reallocated as a result of the fee shift being proposed.

Following a brief discussion, upon a motion by Senator Dennis, seconded by Representative Mangum, the Board approved the 1983-84 tuition fee structure for institution bond debt service purposes as proposed by the Winthrop College Board of Trustees which calls for the payment of a $50 tuition fee by in-state students and a $100 tuition fee by out-of-state students, on the understanding that the funds generated by what the tuition fee had been (a reduction of $100 and $300 for in-state and out-of-state students, respectively) amounting to approximately $431,700, would be reallocated for use on maintenance, repair, and renovation projects.

Information relating to this matter has been retained in these files and is identified as Exhibit 9.

STATE HOUSING AUTHORITY - MULTI-FAMILY DEVELOPMENT REVENUE BONDS, SERIES 1983 - With Housing Authority Chairman Thomas E. Felder and Executive Director Steve Mayfield present, the Board considered a request by the Authority for preliminary approval of the further development of the Insured Direct Loan Program and the issuance and sale of the Authority's Multi-Family Development Revenue Bonds in a principal amount sufficient to provide the Authority with the monies required to fully fund not exceeding $15,000,000 of construction and permanent loans under the program and to pay other costs related to the program.

Chairman Felder noted that the Authority and its staff have had lengthy discussions of this proposal with State Treasurer Patterson and with representatives of Morgan Guaranty and that both have reacted positively to the proposal.

Executive Director Mayfield pointed out that this is another version of last year's program and that the units involved would be located in Spartanburg and North Charleston and are to be FHA-insured. He emphasized that it is purely and simply a continuation of the program.

Following a brief discussion, upon a motion by Mr. Patterson, seconded by Senator Dennis, the Board adopted a resolution granting preliminary approval to the issuance by the State Housing Authority of its Multi-Family Development Revenue Bonds in an amount sufficient for the Authority to fund not exceeding $15,000,000 of construction and permanent loans, subject to the approval by the State Treasurer of various details related to the proposed issue and on the further condition that the net interest cost of the bonds to the Authority shall not exceed 10.25% per annum to be approved by the State Treasurer as being reasonably reflective of the then-existing market conditions.

Information relating to this matter has been retained in these files and is identified as Exhibit 10.

STATE HOUSING AUTHORITY - AUTHORIZATION TO PROCEED WITH SINGLE FAMILY BOND ISSUE - In a continuation of the prior item, Housing Authority Executive Director Mayfield indicated that his Authority has determined to proceed with preparations to provide funds for mortgage loans by the sale and issue of mortgage bonds with the expectation that this issue would be between $30,000,000 and $60,000,000 with the final amount to be determined on the basis of the apparent demand for the mortgage loans. He indicated that this also is a continuation of the 1982 program and that it had been discussed widely with representatives of the industry and the community and that it had been endorsed unanimously. He indicated that the maximum interest rate anticipated for borrowers would

be 10.75%. He also emphasized that, unlike previous issues, a measured demand approach will be taken this time which is an approach that State Treasurer Patterson has urged them to take in the past in that it involves a predetermination of the amount the industry can absorb. Mr. Mayfield also indicated that if market conditions hold the Authority expects to make the issue late in August of this year.

Following this discussion, upon a motion by Senator Dennis, seconded by Mr. Patterson, the Board authorized the State Housing Authority to proceed with its mortgage loan program in the issuance of bonds in an amount which will produce the sum determined to reflect the demand for mortgage loans for single family homes for members of the beneficiary class, estimated to be not less than $30,000,000 and not more than $60,000,000, and authorized the State Treasurer to conditionally approve the interest rate on such bonds subject to final approval by the Board with the expectation that the rate will not exceed 10.25%.

Information relating to this matter has been retained in these files and is identified as Exhibit 11.

TOWN OF PAGELAND - SUBORDINATION OF SEWER LOAN - The Board was advised that the Town of Pageland presently plans to issue its $225,000 Water and Sewer System Revenue Bond to defray the cost of constructing extensions and improvements to its water and sewer system and that the bond is to be sold to the Farmers Home Administration. Under the terms of an agreement between the Farmers Home Administration and the Town, the bond must be a fourth lien on the water and sewer system of the Town which makes it necessary that the pledge of revenues made to secure the sewer loan agreement entered into by the Town and the Board

be subordinated to the pledge of revenues which will be made to secure the payment of the bond. The Board was advised by Mr. Putnam that provision is made in the documents for the state to withhold state-shared revenues to repay the sewer loan if necessary.

Following a brief discussion, upon a motion by Mr. Patterson, seconded by Representative Mangum, the Board adopted a resolution authorizing the execution of and entering into Revision #5 of the Assistance Agreement entered into by and between the Board and the Town of Pageland relating to a sewer loan in the original sum of $276,346.63.

Information relating to this matter has been retained in these files and is identified as Exhibit 12.

EXECUTIVE DIRECTOR'S OFFICE - POLICY ON PERSONAL USE OF STATE-OWNED VEHICLES AND STATE-OWNED HOUSING - Executive Director Putnam reminded the Board that the 1983-84 Appropriations Act includes a provision which directs the Board to immediately institute a charge for the personal use of state-owned vehicles after recognizing the need to meet certain reporting requirements relating to information returns for submission to the Internal Revenue Service. He noted that the Internal Revenue Service has been very patient with the state in this regard but that it has recently indicated that compliance is necessary and the Budget and Control Board had assumed responsibilities for coordinating the effort to comply. Mr. Putnam then indicated that the policy proposal being presented this morning is submitted for information only because of the absence of Comptroller General Morris whose office will play a very important role in these matters.

Mr. Putnam then briefly reviewed the proposed policy which, in summary, prohibits the personal use of state-owned vehicles except that employees may be authorized to commute in them and use them in unusual or emergency situations provided the employee reimburses the state for such use at the rate of 20¢ per mile. Mr. Putnam then noted that the proposal includes certain exemptions from the commuting charge including full-time law enforcement officers, employees who have no office but who work out of their residences, and pool vehicles used in official travel. The proposed policy further provides for other exemptions by the Board in special and unusual instances. Mr. Putnam noted that two responsibilities are present in this situation, namely, responding to the requirements of the Appropriations Act and to the reporting requirements of the Internal Revenue Service.

Senator Dennis observed that the Budget and Control Board is not prohibiting personal use of state vehicles but rather that the General Assembly had directed the Board to institute a charge for the personal use of state-owned vehicles.

Mr. Patterson expressed the view that the shopper's list approach in the proposed policy is bothersome and asked rhetorically if we are not creating a monster in this. Mr. Putnam agreed that something of a nightmare is being created but that a great many details have to be worked out. Mr. Patterson urged that the shopper's list appearance be eliminated if possible.

Mr. Putnam noted that the group working on this initially thought a payroll deduction approach would be the way to handle the reimbursements involved but, after considering the matter, has now come to the feeling that direct remittance to the agency is the less complicated way. Representative Mangum suggested

that the payments be made twice monthly on the same schedule as the payrolls are.

Mr. Putnam noted that each agency head would have direct responsibility for compliance with the policy adopted by the Board as it is proposed.

Mr. Patterson asked if it is possible to get an estimate of the man-hours and expense involved in administering these procedures.

Mr. Putnam advised the Board that a questionnaire is now out on state-owned housing which will help provide the information needed in that area.

Following this discussion, the Board agreed with the broad concepts of the policy proposed on the personal use of state-owned vehicles, developed in response to Section 164 of the 1983-84 Appropriations Act, which provides that the personal use of state-owned vehicles is prohibited except that employees may be authorized to commute in such vehicles and use them in unusual or emergency situations provided they reimburse their agencies for such use at the rate of 20¢ per mile twice monthly and further providing for certain exemptions and specifying various administrative procedures, with the understanding that a refined version of the proposed policy would be presented to the Board for adoption at a future meeting.

Information relating to this matter has been retained in these files and is identified as Exhibit 13.

EXECUTIVE DIRECTOR'S OFFICE - MANUAL FOR PLANNING AND EXECUTION OF STATE PERMANENT IMPROVEMENTS - Executive Director Putnam advised the Board that staff from the Division of General Services and his office have prepared a draft manual for the planning and execution of state permanent improvements and have

conducted training sessions in the use of this manual for agencies involved in these matters. He emphasized that this manual and the forms it presents and describes are considered to be draft materials which are subject to change throughout the entire year of 1983-84.

Mr. Putnam pointed out that permanent improvements are defined in this manual as any acquisition of land, any acquisition of buildings or other structures, construction of additional facilities and the renovation, repair, alteration, or demolition of existing facilities in those instances in which the total project cost is $10,000 or more and architectural and engineering planning and design work intended to result in a permanent improvement. He noted that the $10,000 cost cutoff level formerly was in law but that the law now provides that the level be set by the Board and the Joint Bond Review Committee. He advised the Board that since no action to change this figure has ever been taken the staff has continued to use the $10,000 cost cutoff level and he asked that the Board acknowledge that level and consider the possibility of revising that figure.

Mr. Putnam also reminded the Board that it had previously delegated authority to the State Engineer to approve the establishment and source of funds for permanent improvement projects costing less than $250,000 but that under the procedures outlined in the manual that portion of the procedure is assigned to the Executive Director's Office while the State Engineer's Office is responsible for the execution of approved projects with particular attention to the requirements of the Consolidated Procurement Code. He then noted that the Board is asked to consider assigning approval authority to the Executive Director's Office for permanent improvement projects costing less than $250,000.

Governor Riley expressed concern about increasing the cost cutoff level in the definition of permanent improvements to the level of $75,000 as had been suggested as a possibility by staff.

Mr. Putnam noted that definitions of categories of projects had been attempted but so far without success. State Engineer John McPherson expressed the view that the level should be $25,000.

Following this discussion, upon a motion by Representative Mangum, seconded by Senator Dennis, the Board reaffirmed the $10,000 cost cutoff level in the construction of additional facilities and the renovation, repair, alteration or demolition of existing facilities as a part of the official definition of a permanent improvement and shifted the authority delegated to staff for approving permanent improvement projects involving amounts up to $250,000 in cost from the State Engineer to the Executive Director's Office.

The Board agreed to consider further the cost cutoff level question in collaboration with the Joint Bond Review Committee.

Information relating to this matter has been retained in these files and is identified as Exhibit 14.

FINANCE DIVISION - TRANSFER REQUESTS - State Auditor Edgar A. Vaughn, Jr., indicated to the Board that the several transfer requests included in the agenda materials are cleanup type transfers and he asked permission to add an item (g) for the Division of Local Government involving a transfer of $1,200 from personal service to operations and equipment.

Following a brief discussion, upon a motion by Representative Mangum, seconded by Mr. Patterson, the Board approved the following transfer requests: (a) Department of Agriculture, $6,338 from personal service to other operating expenses; (b) Department of Agriculture, $4,600 from classified positions to equipment; (c) Barber Examiners Board, $2,834.89 from personal service to other operating expenses (with the understanding that the $1,500 allocation approved at the previous meeting from the Civil Contingent Fund would not be paid as a result of the approval of this transfer); (d) Physical Therapy Board, $80 from employer contributions to contractual services; (e) Board of Financial Institutions, Bank Examining Division, $800 from personal service to travel; (f) Lieutenant Governor's Office, $1,044.06 from personal service to travel; and (g) Division of Local Government, $1,200 from personal service to other operating expenses on the condition that this transfer be reviewed and determined to be appropriate by the State Auditor.

Information relating to this matter has been retained in these files and is identified as Exhibit 15.

GENERAL SERVICES - RENTAL RATE INCREASE - Executive Director Putnam advised the Board that the Division of General Services is proposing that the rental rate for state-owned real property be increased by 80¢ per square foot effective July 1, 1984 to finance the operating budgets of those entities which derive their support from rents. Mr. Putnam noted that action on this item is needed now in order to get this increase into the budget process. He advised the Board that no further increase in the rental rate is expected for a two-year period and that the proposed rate is favorably comparable to private rates.

Mr. Putnam also pointed out that the proposed increase would produce additional revenue of about $1,000,000 annually.

Upon a motion by Mr. Patterson, seconded by Representative Mangum, the Board approved an increase of 80¢ per square foot in the rental rate for state-owned real property to be charged by the Division of General Services effective July 1, 1984.

Information relating to this matter has been retained in these files and is identified as Exhibit 16.

CHILDREN'S BUREAU - FEE SCHEDULE - Executive Director Francis Lewis of the Children's Bureau, by letter, forwarded a fee schedule as it was approved by the Children's Bureau Board of Directors at its meeting on July 5. He advised that this schedule was being submitted for Board approval in accordance with the requirements of the Appropriations Act.

Upon a motion by Representative Mangum, seconded by Senator Dennis, the Board approved the fee schedule approved by the Children's Bureau Board of Directors in accordance with the 1983-84 Appropriations Act proviso as presented in Executive Director Lewis' July 6 letter to Governor Riley.

Information relating to this matter has been retained in these files and is identified as Exhibit 17.

GENERAL SERVICES - PROCUREMENT CERTIFICATION, DEPARTMENT OF MENTAL HEALTH - With Division of General Services Director Tony Ellis present, upon a motion by Mr. Patterson, seconded by Representative Mangum, the Board granted procurement certification for the Department of Mental Health in accordance with Consolidated Procurement Code Section 11-35-1210 as follows: (a) annual term

contracts only for drugs and related pharmaceuticals defined in the State Procurement Commodity Code Manual under #370 - Drugs, Pharmaceuticals and Biologicals; $1,600,000 maximum of all such contracts combined; (b) annual term contracts only for medical supplies under commodity code classes #435 - Germicides; #470 - Hospital Equipment - Invalid; #475 - Hospital Sundries; and #465 - Hospital Equipment; $400,000 maximum of all such contracts combined; and (c) all other goods and services procurements excluding printing equipment which must be approved by the Materials Management Office and food commodities that are currently combined with other agencies' needs and procured on scheduled buys by the Materials Management Office; $15,000 per purchase commitment.

Information relating to this matter has been retained in these files and is identified as Exhibit 18.

EXECUTIVE DIRECTOR'S OFFICE - CIVIL CONTINGENT FUND ALLOCATIONS - Upon a motion by Mr. Patterson, seconded by Representative Mangum, the Board approved the following Civil Contingent Fund allocations and encumbrances: (a) an allocation of $25,000 for a one-time payment for membership in the Southeast Interstate Low-Level Radioactive Waste Management Compact; (b) the encumbrance of $858 for the State Fire Marshal's Office for an L.P. Gas training program to be conducted at Wampee on July 19, 20, and 21; and (c) the encumbrance of $1,500 for the Executive Director's Office for microfilming Budget and Control Board minutes and exhibits during 1983-84.

Mr. Putnam noted that, in connection with the encumbrances for the Fire Marshal's Office and the Executive Director's Office, these costs would be paid from appropriated funds if possible and that a determination would be

made about the necessity for using Civil Contingent Fund monies late in the fiscal year.

Information relating to this matter has been retained in these files and is identified as Exhibit 19.

PERSONNEL DIVISION - TECHNICAL AND COMPREHENSIVE EDUCATION COMPENSATION PLAN FOR UNCLASSIFIED POSITIONS - State Personnel Division Director Jack S. Mullins presented this item which was carried over from the June 28, 1983 meeting. Dr. Mullins indicated that this plan had been reviewed by the Board's Personnel Subcommittee and that it had recommended approval. He briefly summarized the changes in the plan and called particular attention to the provision of supplemental compensation for administrative faculty who serve as deans of instructional divisions which is not built into the base salary. Dr. Mullins recommended Board approval of the plans.

Following a brief discussion, upon a motion by Mr. Patterson, seconded by Representative Mangum, the Board approved the State Board for Technical and Comprehensive Education compensation plans for unclassified institutional officers and unclassified faculty personnel in the technical colleges for implementation in 1983-84 academic year.

Information relating to this matter has been retained in these files and is identified as Exhibit 20.

PERSONNEL DIVISION - SPECIAL TRAINING REQUEST, FORESTRY COMMISSION - State Personnel Division Director Mullins advised the Board that the 1983 General Assembly authorized the Forestry Commission to purchase land to operate a second generation tree orchard and he noted that the key individual in the establishment and operation of such an orchard will be the Tree Improvement Supervisor who

must be well-trained in forest genetics. He advised the Board that the Forestry Commission has had severe difficulty in past years in retaining geneticists and that the responsibilities in the second generation seed orchard project require an experienced geneticist. He indicated that the Forestry Commission is asking for authorization under Section 10.08 of the Personnel Rules to send an employee to study at North Carolina State University which is the nearest institution with a program of the sort needed for two semesters under an agreement that the individual will work for the state for at least four years following completion of the training. The Commission advised that the total cost to it will not exceed $2,600 for tuition, fees, and books and that the employee would receive full salary during the period in school but would pay for his own living expenses. The Board also was advised that a grant to reimburse the Commission for the $2,600 for tuition, fees, and books had been received. Dr. Mullins expressed the view that this is a sound management approach to the problem facing the Commission and he recommended approval of the request.

Mr. Patterson indicated that he is not too much in favor of this approach and expressed the view that it is less expensive to hire a person than it is to send them to school for the specialized training.

Forestry Commission staff member Claude Barden indicated that these staff are grabbed off by industry or other states frequently.

Governor Riley noted that the Commission proposes to send a career employee and emphasized the four-year commitment to be made by the individual. He also wondered why Clemson University does not offer the courses required.

Mr. Patterson again indicated his opposition to the approach.

Following a brief further discussion, upon a motion by Senator Dennis, seconded by Representative Mangum, the Board approved the request by the Forestry Commission to send an employee to study at North Carolina State University for two semesters for training in forest genetics under an agreement that the individual will work for the state for at least four years following completion of his training. Governor Riley, Senator Dennis and Representative Mangum voted for the motion and Mr. Patterson voted against it.

Information relating to this matter has been retained in these files and is identified as Exhibit 21.

FUTURE MEETING - The Board cancelled the regular meeting scheduled for July 26, 1983 and agreed to hold a regular business meeting at 10:00 A. M. on August 9, 1983 in the Governor's conference room.

COMPTROLLER GENERAL'S OFFICE - FUNDS CARRY FORWARD - The Board agreed to add to the agenda a request by the Comptroller General's Office for permission to carry forward $27,000 received from several state agencies who have agreed to help pay for elements in a basic agency reporting system (BARS). Mr. Putnam indicated that nine agencies have transferred $3,000 each as their portion of the cost of the basic agency reporting system and that the Comptroller General's Office now needs authorization to carry those funds forward to 1983-84.

Senator Dennis observed that approval of this request would represent an exception to the general legislative policy against carrying forward funds from one fiscal year without legislative authorization. He also noted that it would impact on the lapsed funds situation and questioned whether it wouldn't be allowing indirectly what the General Assembly doesn't allow directly.

In the ensuing discussion, Governor Riley observed that the Comptroller General's Office apparently is in the middle of a contract which cannot be completed within the current fiscal year.

Senator Dennis expressed concern about authorizing the carry forward but after Comptroller General's Office staff member Clyde Nichols indicated that he and Comptroller General Morris feel the basic agency reporting system is important, Senator Dennis withdrew his objection to the request.

Following this discussion, upon a motion by Senator Dennis, the Board authorized the Comptroller General's Office to carry forward into 1983-84 not to exceed $27,000 collected from various agencies in payment for the development of the basic agency reporting system.

EXECUTIVE SESSION - Executive Director Putnam advised the Board that one appeal of the Fire Marshal's decision, two legal matters, four contractual matters, and four personnel matters had been proposed for consideration in executive session. Governor Riley asked that the press be advised that it would be at least 12:30 p.m. before the Board would complete the executive session agenda and return to open session to discuss the prison system facilities capacities question.

The Board agreed to consider the matters outlined by Mr. Putnam in executive sesssion whereupon Governor Riley declared the meeting to be in executive session.

RATIFICATION OF EXECUTIVE SESSION ACTIONS - Following the Board's consideration of executive session items, the meeting was opened and, upon a motion by Mr. Patterson, seconded by Senator Dennis, the Board ratified the following actions taken in executive session:

(1) Affirmed the findings and recommendations of the Fire Marshal Appeal Panel in an appeal involving Pelzer Primary School and incorporated those recommendations as the Board's decision;

(2) After the Insurance Reserve Fund Officer advised the Board that the state would fare as well or better by continuing to pay the premium due on fire and difference in conditions coverage on an annual basis rather than making quarterly payments, approved the procedure followed in making the annual premium payment for the referenced coverage;

(3) Authorized the payment of an additional $50,000 from the Ordinary Sinking Fund for legal fees related to the reactivation of the Savannah River Plant L-reactor;

(4) Reappointed the following Board staff members to the Employee Suggestion Awards Committee for a one-year term: J. Samuel Griswold, Executive Director's Office; Larry L. Hamilton, Division of Information Resource Management; and Phyllis Gardner, Retirement System; and appointed the following Board staff members to the Committee for a one-year term: Martha Watts, Motor Vehicle Management; Nancy White, Local Government; Harvey Scurry, Fire Marshal; and Norman K. Olson, Research and Statistical Services; and received as information an activity report on the Pilot Employee Suggestion Program for the October, 1982 - June, 1983 period;

(5) Authorized the State Personnel Division to proceed with the development of a sole-source procurement contract with Hay Associates to perform a salary and fringe benefit survey for state agency heads and other executive managers, totalling approximately 350 positions, for not to exceed $145,000, on the

condition that the State Personnel Division is reasonably assured that funds will be available from the personal service reconciliation and other sources to finance this work;

(6) Approved special salary adjustments for 17 unclassified positions at Lander College;

(7) Approved salary schedule and authorized requested increases for residents at the Medical University of South Carolina;

(8) Approved the continuation in service of State Law Enforcement Division part-time employee Woodrow Carter for a one-year period;

(9) Authorized the State Personnel Division to hire outside counsel to assist in the rebidding of major insurance and management contracts during the current fiscal year provided the State Personnel Division not pay such outside counsel for more than 200 hours of work at $50 per hour without securing further Board approval;

(10) Authorized the State Personnel Division to retain an attorney at a fee of $50 per hour to perform those functions normally handled for the State Employee Grievance Committee in grievance situations by the Office of the Attorney General;

(11) Ratified actions taken during executive session; and

(12) Approved the figure of 7,630 as the safe and reasonable inmate capacity of the facilities operated by the Department of Corrections, as certified to the Budget and Control Board by the State Board of Corrections.

EXECUTIVE DIRECTOR'S OFFICE - PRISON SYSTEM FACILITIES CAPACITIES - Executive Director Putnam advised that the Department of Corrections officials presented a thorough study of the capacities of the several prison facilities operated by that Department which indicated that the safe and reasonable capacity of those facilities as of July 1, 1983 was 7,630. The Board of Corrections certified that figure and forwarded the information to the Budget and Control Board.

Mr. Putnam further advised that the Board asked the staff committee to review the information submitted by the Department of Corrections and to report its findings to the Board. The Board was advised that the staff committee had recommended on the basis of its analysis of the capacity data provided by the Board of Corrections that the Budget and Control Board approve the total proposed operating capacity of Department of Corrections facilities of 7,630. The committee noted that the only expansion of that total capacity during the current fiscal year will be the Moncrief Building at the State Park Center with a projected capacity of 250.

A handout was distributed to Board members and to the press which provided the staff committee's recommendation and included a brief background statement. The four-member committee included Tim Rodgers of the Governor's Office, Don Zelenka of the Attorney General's Office, Ed Sampson of the State Engineer's Office, and Jerry Branham of the Executive Director's Office.

Governor Riley expressed his appreciation to the staff for their efforts in this regard.

Following this discussion, upon a motion by Senator Dennis, seconded by Mr. Patterson, the Board approved the figure of 7,630 as the safe and reasonable inmate capacity of the facilities operated by the Department of Corrections, as certified to the Budget and Control Board by the State Board of Corrections in accord with the requirements of the Prison Overcrowding Act.

Information relating to this matter has been retained in these files and is identified as Exhibit 22.

The meeting was adjourned at approximately 1:40 p.m.

[Secretary's Note: In compliance with Section 9 of Act 593 of 1978 (the Freedom of Information Act), public notice of and the agenda for this meeting were posted on bulletin boards in the office of the Governor's press secretary in the State House and near the Board Secretary's office in the Wade Hampton Office Building at 8:45 a.m. on Monday, July 11, 1983.]

EXHIBIT

STATE BUDGET AND CONTROL BOARD
MEETING OF July 12, 1983

JUL 12 1983

NO. 1

BLUE AGENDA
ITEM NUMBER 1

Agency: Forestry Commission

STATE BUDGET & CONTROL BOARD

Subject: Report on Forest Renewal Fund

State Forester Leonard Kilian indicates that collections of the assessments on primary wood-using industries in 1983-84 will be at least $328,042.66 before the assessment will be suspended. That amount plus $71,957.34 from 1982-83 plus the $100,000 appropriated by the Legislature will make a total of $500,000 available for the forest renewal fund for 1983-84.

Board Action Requested:

Receive as information.

Staff Comment:

Attachments:

Kilian June 29 letter to Putnam

000032

South Carolina Forestry Commission

LEONARD A. KILIAN JR. STATE FORESTER P.O. BOX 21707 COLUMBIA, S.C. 29221 (803) 758-6900

EXHIBIT

JUL 12 1983 NO. 1

June 29, 1983

RECEIVED

JUL 5 1983

BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

STATE BUDGET & CONTROL BOARD

Mr. William T. Putnam
Executive Director
Budget & Control Board
618 Wade Hampton Office Building
P. O. Box 12444
Columbia, South Carolina 29211

Dear Mr. Putnam:

The Forest Renewal Act which became law in May 1981 was funded by the legislature for fiscal year 1984 in the amount of $100,000. The law requires the State Forester to notify the Budget and Control Board and the General Assembly of the need to collect the established assessment from the primary wood-using industries for the period covered by the budget.

The S. C. Tax Commission records indicate that $471,957.34 were collected from the industries during the first two quarters of fiscal year 1983. Collection's for the 1984 fiscal year will have to be at least $328,042.66 before the assessment will be suspended.

The collections plus $71,957.34 over collections in 1983 and the $100,000 appropriated by the legislature will total $500,000 for the Forest Renewal Fund for 1984.

Sincerely,

Leonard A. Kilian Jr.

Leonard A. Kilian, Jr.
State Forester

LAKjr/clr

cc: Mr. Edgar A. Vaughn, Jr.
Mr. Allan Kincaid
Forestry Commissioners

McInnis for Board files -

EXHIBIT

STATE BUDGET AND CONTROL BOARD
MEETING OF July 12, 1983 JUL 12 1983 NO. 2 BLUE AGENDA ITEM NUMBER 2

Agency: Division of Local Government STATE BUDGET & CONTROL BOARD

Subject: Expenditure of Rural Improvement Funds for June, 1983

The referenced report includes 19 projects involving a total expenditure of $137,850 in state grant funds. It shows an ending balance of $63. It also indicates that 289 applications involving $4,605,020 were approved during 1982-83.

Board Action Requested:

Receive as information.

Staff Comment:

Attachments:

Agenda item worksheet plus attachments

000034

EXHIBIT

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

JUL 12 1983 NO. 2

STATE BUDGET & CONTROL BOARD

For meeting scheduled for:

July 12, 1983

___Blue Agenda
___Regular Session Agenda
___Executive Session Agenda

1. **Submitted By:** Division of Local Government

2. **Subject:** Expenditure of Rural Improvement Funds for June 1 through June 30

3. **Summary Background Information:**

The referenced report includes 19 projects involving a total expenditure of $137,850 in state grant funds. This report shows an ending balance of $63. During FY 82-83, 289 applications involving $4,605,020 were approved.

4. What is Board Asked To Do?

Receive as information

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
Attached report	

000035

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENTS

RURAL IMPROVEMENT FUNDS

REPORT OF JULY 1983

APPLICATIONS	NO.	AMOUNT
Balance Available For Grants		63
Approved To Date	289	$4,605,020
Disapproved To Date		
Pending		

(Above Figures Include Items In This Report)

Expenditures for the period June 1 through June 30

Name & Address of Applicant	Date Of Application	State Funds Requested	Other Funds		Date Approved Or Disapproved	Amount Approved	Brief Description of Project
			Federal	Local			
1. Town of Harleyville P.O. Box 35 Harleyville, S.C. 29448	5/30/83	11,000	----	----	6/1/83	11,000	Completion of sewer system.
2. Sumter County Courthouse Sumter, S.C. 29150	2/16/83	5,000	----	5,000	6/1/83	5,000	Purchase of materials to construct a fire station in the Cherryvale Community.
3. Town of Summerville 104 Civic Center Summerville, S.C. 29483	5/26/83	15,000	----	160,000	6/1/83	15,000	Renovation of a building for use as a community center.
4. Lancaster County P.O. Box 730 Lancaster, S.C. 29720	5/23/83	5,000	----	----	6/1/83	5,000	Purchase of equipment for the rescue squad.
5. Town of Chesterfield P.O. Box 350 Chesterfield, S.C. 29709	5/23/83	10,000	----	----	6/1/83	10,000	Purchase of supplies and equipment for the county library.
6. Greenwood County Courthouse Greenwood, S.C. 29646	5/30/83	14,500	----	----	6/1/83	14,500	Rehabilitation of an existing recreation center.

EXHIBIT
NO. 2

JUL 12 1983

STATE BUDGET & CONTROL BOARD

000036

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENTS

RURAL IMPROVEMENT FUNDS

REPORT OF July 1983 Cont'd

APPLICATIONS	NO.	AMOUNT
Balance Available For Grants		
Approved To Date		
Disapproved To Date		
Pending		

(Above Figures Include Items In This Report)

Name & Address of Applicant	Date Of Application	State Funds Requested	Other Funds		Date Approved Or Disapproved	Amount Approved	Brief Description of Project
			Federal	Local			
7. Lee County Courthouse Bishopville, S.C. 29046	6/8/83	6,000	----	----	6/8/83	6,000	Construction of a produce shed.
8. Town of Paxville P.O. Box 11 Paxville, S.C. 29102	6/7/83	1,000	----	----	6/8/83	1,000	Installation of doors at the fire station.
9. Town of Chesterfield P.O. Box 350 Chesterfield, S.C. 29709	6/1/83	5,000	----	----	6/8/83	5,000	Renovations to community center.
10. Spartanburg County P.O. Box 5666 Spartanburg, S.C. 29375	6/8/83	2,000	----	----	6/8/83	2,000	Purchase of equipment for the Reidville Fire Department.
11. Cherokee County 210 N. Limestone Street Gaffney, S.C. 29340	5/31/83	14,000	----	----	6/8/83	14,000	Construction of a security fence at the county detention center.
12. McCormick County P.O. Box 426 McCormick, S.C. 29835	2/14/83	20,000	----	30,000	6/8/83	20,000	Installation of runway lights at the airport.

000037

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENTS

RURAL IMPROVEMENT FUNDS

REPORT OF July 1983 Cont'd

APPLICATIONS	NO.	AMOUNT
Balance Available For Grants		
Approved To Date		
Disapproved To Date		
Pending		

(Above Figures Include Items In This Report)

Name & Address of Applicant	Date Of Application	State Funds Requested	Other Funds		Date Approved Or Disapproved	Amount Approved	Brief Description of Project
			Federal	Local			
13. Anderson County P.O. Box 1797 Anderson, S.C. 29621	6/16/83	14,250	----	----	6/17/83	14,250	Farm demonstration project to assist small farmers.
14. Town of Kingstree 112 Mill Street Kingstree, S.C. 29556	6/8/83	9,053	----	----	6/27/83	4,500	Purchase of fire fighting equipment.
15. Town of Latta 111 N. Railroad Avenue Latta, S.C. 29565	2/24/83	10,000	----	40,000	6/27/83	5,000	Expansion of rescue squad building and improvements to Academy Street Park.
16. Charleston County 2 Courthouse Square Charleston, S.C. 29401	6/24/83	400	----	----	6/27/83	400	Addition and repairs to sprinkler system at Wando High School.
17. Town of Duncan Fire Department P.O. Drawer 188 Duncan, S.C. 29334	6/24/83	1,500	----	2,017	6/30/83	1,500	Conversion of an old army truck to a fire truck.
18. Greenwood County Courthouse Greenwood, S.C. 29648	6/28/83	1,200	----	----	6/30/83	1,200	Replace roof on the community center at Shoals Juncton.

830000

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENTS

RURAL IMPROVEMENT FUNDS

REPORT OF July 1983 Cont'd

APPLICATIONS	NO.	AMOUNT
Balance Available For Grants		
Approved To Date		
Disapproved To Date		
Pending		

(Above Figures Include Items In This Report)

4

Name & Address of Applicant	Date Of Application	State Funds Requested	Other Funds		Date Approved Or Disapproved	Amount Approved	Brief Description of Project
			Federal	Local			
19. Town of Moncks Corner P.O. Box 276 Moncks Corner, S.C. 29461	6/28/83	2,500	----	----	6/30/83	2,500	Extension of water and sewer lines to a minority neighborhood on Jenkins Street.

EXHIBIT
JUL 12 1983 NO. 2
STATE BUDGET & CONTROL BOARD

000039

STATE BUDGET AND CONTROL BOARD EXHIBIT BLUE AGENDA

MEETING OF July 12, 1983 JUL 12 1983 NO. 3 ITEM NUMBER 3

Agency: Finance Division

STATE BUDGET & CONTROL BOARD

Subject: 1982-83 Full-Time Equivalent Position Operational Report for May and June

Please refer to attached report for details. A reduction of 31 positions total occurred during this period leaving a total authorized full-time equivalent position base at June 30 of 58,160.46 of which 52,662.91 were filled.

Board Action Requested:

Receive as information.

Staff Comment:

Attachments:

Agenda item worksheet plus attachments

000040

EXHIBIT

JUL 12 1983 NO. 3

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

OFFICE OF THE STATE AUDITOR
P.O. BOX 11333
COLUMBIA
29211

EDGAR A. VAUGHN, JR., CPA
STATE AUDITOR
(803) 758-3106

JESSE A. COLES, JR., PhD
DEPUTY STATE AUDITOR
(803) 758-3106

July 5, 1983

The Honorable James M. Waddell, Jr.
Joint Legislative Committee on Personal
Service Financing and Budgeting
Post Office Box 142
Columbia, South Carolina 29202

Dear Senator Waddell:

Attached, please find a copy of the 1983 Operating Report for May and June. I have approved and adjusted the Authorized FTE Position Base accordingly.

If you should have any questions please feel free to call.

Sincerely,

Jesse A. Coles, Jr., Ph.D.
Deputy State Auditor

JAC/dd

Attachment

000041

EXHIBIT

JUL 12 1983 NO. 3

STATE BUDGET & CONTROL BOARD

STATE AUDITOR'S OFFICE
1982-83 FTE POSITION OPERATIONAL REPORT
FOR THE MONTHS OF MAY & JUNE, 1983

SECTION NO.	AGENCY	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
	Total Authorized FTE Position Base 4/30/83	58,191.46	33,970.53	8,725.92	15,495.01
	May Authorized Changes				
38	Dept of Health & Environmental Control	(31.00)	(31.00)		
63	Wildlife & Marine Resources	0	0	(2.74)	2.74
	Total New Changes - May	(31.00)	(31.00)	(2.74)	2.74
	June Authorized Changes				
	None	0	0	0	0
	Total Authorized FTE Position Changes May & June	(31.00)	(31.00)	(2.74)	2.74
	Total Authorized FTE Position Base 6/30/83	58,160.46	33,939.53	8,723.18	15,497.75
	Total Filled FTE Positions 6/30/83	52,662.91	30,952.30	7,441.56	14,269.05
	Total Vacant FTE Positions 6/30/83	5,497.55	2,987.23	1,281.62	1,228.70

Prepared By:
The Office of Budget Development
July 5, 1983

000042

STATE BUDGET AND CONTROL BOARD

MEETING OF July 12, 1983 EXHIBIT

BLUE AGENDA ITEM NUMBER 4

Agency: General Services

JUL 12 1983 NO. 4

STATE BUDGET & CONTROL BOARD

Subject: Easement

A right-of-way easement is recommended to the South Carolina Electric and Gas Company for the purpose of constructing, operating and maintaining a 23 KV aerial transmission line across Clouter Creek approximately 2,000 feet upstream from the Cooper River near the U.S. Naval Reservation in Berkeley County.

Board Action Requested:

Approve.

Staff Comment:

Attachments:

Agenda item worksheet and referenced easement

000043

EXHIBIT

JUL 12 1983 NO. 4

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

Tuesday, July 12, 1983

___ Blue Agenda
_X_ Regular Session Agenda
___ Executive Session Agenda

1. Submitted By: Division of General Services

2. Subject: Right-of-Way Easement

3. Summary Background Information:

A Right-of-Way Easement to South Carolina Electric and Gas Company for the purpose of constructing, operating, and maintaining a 23 KV aerial transmission line across Clouter Creek approximately 2,000 feet upstream from the Cooper River near the U.S. Naval Reservation in Berkeley County, South Carolina.

4. What is Board Asked To Do?

Review the Easement and execute same on Page 4, Probate on Page 5

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
Original Right-of-Way Easement	

000044

Jay R. Flet 7-5-83

STATE OF SOUTH CAROLINA)
) EXHIBIT EASEMENT
COUNTY OF BERKELEY)

JUL 12 1983 NO. 4

STATE BUDGET & CONTROL BOARD

THIS EASEMENT, made and entered into this 1st day of July, 1983, by and between the State of South Carolina, Budget and Control Board, as Grantor (hereinafter "STATE"), and South Carolina Electric and Gas Company, as Grantee (hereinafter "SCE&G").

WITNESSETH

WHEREAS, SCE&G is a South Carolina corporation organized for the purpose of providing electric power within the State of South Carolina, and whose principal place of business is 328 Main Street, Columbia, South Carolina; and

WHEREAS, SCE&G proposes to construct, operate, and maintain a 23 KV aerial transmission line across Clouter Creek approximately 2000 feet upstream from the Cooper River near the U.S. Naval Reservation in Berkeley County, South Carolina. Said 23 KV aerial transmission line is more particularly shown and delineated on a plat for Naval Shipyard Dredging Operation, Proposed 23 KV Line Crossing Clouter Creek, and Location Map 23 KV Line Crossing Clouter Creek, both marked No. A-23,434, and attached hereto and incorporated herein as Exhibits A and B; and

WHEREAS, the STATE is the prima facie owner, in trust, of the beds of navigable streams in the State as well as marshlands lying below the mean high water line; and

WHEREAS, pursuant to §1-11-80 of the Code of Laws of South Carolina, 1976, as amended, the STATE is empowered to grant certain right-of-ways or easements through and over riverbeds and marshlands for construction,

operation and maintenance of said 23 KV aerial transmission line over, on, or under such land or marshland as are owned by the STATE; and

WHEREAS, SCE&G is desirous of obtaining the hereinafter described easement through and over riverbeds and marshlands in Berkeley County, and the STATE considers the granting of such an easement to be in the public interest.

NOW, THEREFORE, the STATE as Grantor, in consideration of the sum of Two Hundred Sixty-Five and 30/100 ($265.30) Dollars and other valuable consideration, receipt of which is hereby acknowledged, does hereby grant, remise and release unto SCE&G, its successors and assigns, a Right-of-Way Easement in, to, upon and over the below described portion of riverbed and marshland; such riverbed and marshland situate in Berkeley County and lying below the mean high water line.

This Easement of right-of-way shall be used solely for the purposes incidental with the construction, operation, and maintenance of said 23 KV aerial transmission line which is approximately 2000 feet upstream from the Cooper River near the U.S. Naval Reservation, more particularly described as follows:

Commencing at Pole #2 (Pole #152382) on the northeast side of Clouter Creek and extending for a distance of 1926 feet on a bearing of S 55° 00' W to Pole #7. Said Easement is 30 feet wide.

Each of the two (2) structures crossing Clouter Creek will consist of four (4) piles as support for the one (1) pole structure which will maintain 85 feet minimum clearance at middle span from mean high water to the 23 KV line. Three (3) guy pilings will be installed for added support. Also, three (3) poles will be installed in the marsh from Thomas Island to the crossing. One Pole will be installed on the spoil area side of the crossing to serve the Navy Yard's 23 KV line feeding a 1200 HP dredge lift pump and other miscellaneous pumps necessary for dredging operations in the Cooper River.

This 23 KV aerial transmission line is more particularly shown and delineated on a plat for Naval Shipyard Dredging Operations, Proposed 23 KV Line Crossing Clouter Creek, and Location Map 23 KV Line Crossing Clouter Creek, both marked No. A-23,434, and attached hereto and incorporated herein as Exhibits A and B.

000046

This Easement of right-of-way is subject to all easements and right-of-ways of record or which may be revealed by inspection of the property.

The vertical clearance of all electrical wire, conductors, cables and live parts of equipment across all navigable waters and/or waters of the State of South Carolina shall be constructed in accordance with the National Electric Code (ANSI - C2 - 1977) part 2, section 30, pt. 232, as amended.

SCE&G hereby agrees and covenants with the STATE that SCE&G, its successors and assigns, shall not block or obstruct navigable waters or cause unreasonable adverse impact on fish, wildlife, or water quality in its use of the easement area. SCE&G shall use the easement area solely for the purpose incidental with the construction, operation, and maintenance of said 23 KV aerial transmission line and shall maintain such easement area and transmission line in good condition.

SCE&G further agrees and covenants that SCE&G shall indemnify and hold harmless the STATE from and against any and all liabilities, claims, causes of action and expenses including, but not limited to, reasonable costs and attorney fees resulting in personal injury or death to any person or persons or damage to any property at any time that arises from or is incident to the construction, operation, maintenance, or use of the Easement granted herein.

In the event of major maintenance, after construction, effecting the bed of the waterway, the South Carolina Coastal Council and the South Carolina Water Resources Commission shall be notified in writing prior thereto.

This Easement may be terminated by the STATE, in its discretion and such interests as the STATE may have shall revert to the STATE, if

000047

SCE&G, its successors and assigns: (1) quits and abandons all use of such 23 KV aerial transmission line, in which case this Easement of right-of-way shall terminate thirty (30) days after the date of such abandonment; or (2) continues an uncorrected violation or breach, provided further, it is understood and agreed that this Easement is not to be construed as an Easement granted to the exclusion of the STATE, or to others later granted a similar right.

IN WITNESS WHEREOF, this instrument is being executed in accordance with the action of the South Carolina Budget and Control Board at its meeting held on the 12 day of July, 1983.

WITNESSES:

Katherine M Clarke

William A. McInnis

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD

BY: Richard W. Riley
Governor Richard W. Riley

SOUTH CAROLINA ELECTRIC AND GAS COMPANY

Patricia R. Fragin

William A. Heaty

BY: Grover C. Croft, Jr. 6/30/83
Grover C. Croft, Jr.
Vice President

ATTORNEY GENERAL'S OFFICE

APPROVED: Kenneth O. Woodington

EXHIBIT

JUL 12 1983 NO. 4

STATE BUDGET & CONTROL BOARD

000048

STATE OF SOUTH CAROLINA)
)
COUNTY OF RICHLAND)

PERSONALLY appeared before me William A. McInnis and made oath that he/~~she~~ saw the within-named State of South Carolina, Budget and Control Board, by Governor Richard W. Riley, sign, seal, and as its act and deed deliver the within-written Easement and that he/she, along with Katherine M. Clarke, witnessed the execution thereof.

William A. McInnis

SWORN to before me this 13th
day of July, 1983.
Donna K. Williams (L.S.)
Notary Public for South Carolina
My Commission Expires: May 3, 1989

STATE OF SOUTH CAROLINA)
)
COUNTY OF Richland)

PERSONALLY appeared before me William A. Hentz and made oath that he/she saw the within-named South Carolina Electric and Gas Company, by its Vice President, Grover C. Croft, Jr., sign, seal, and as its act and deed deliver the within-written Easement and that he/she, along with Patricia N Feagin, witnessed the execution thereof.

William A. Hentz

SWORN to before me this 1st
day of JULY, 1983.
Robert J. Sharpe (L.S.)
Notary Public for South Carolina
My Commission Expires: 2-12-91

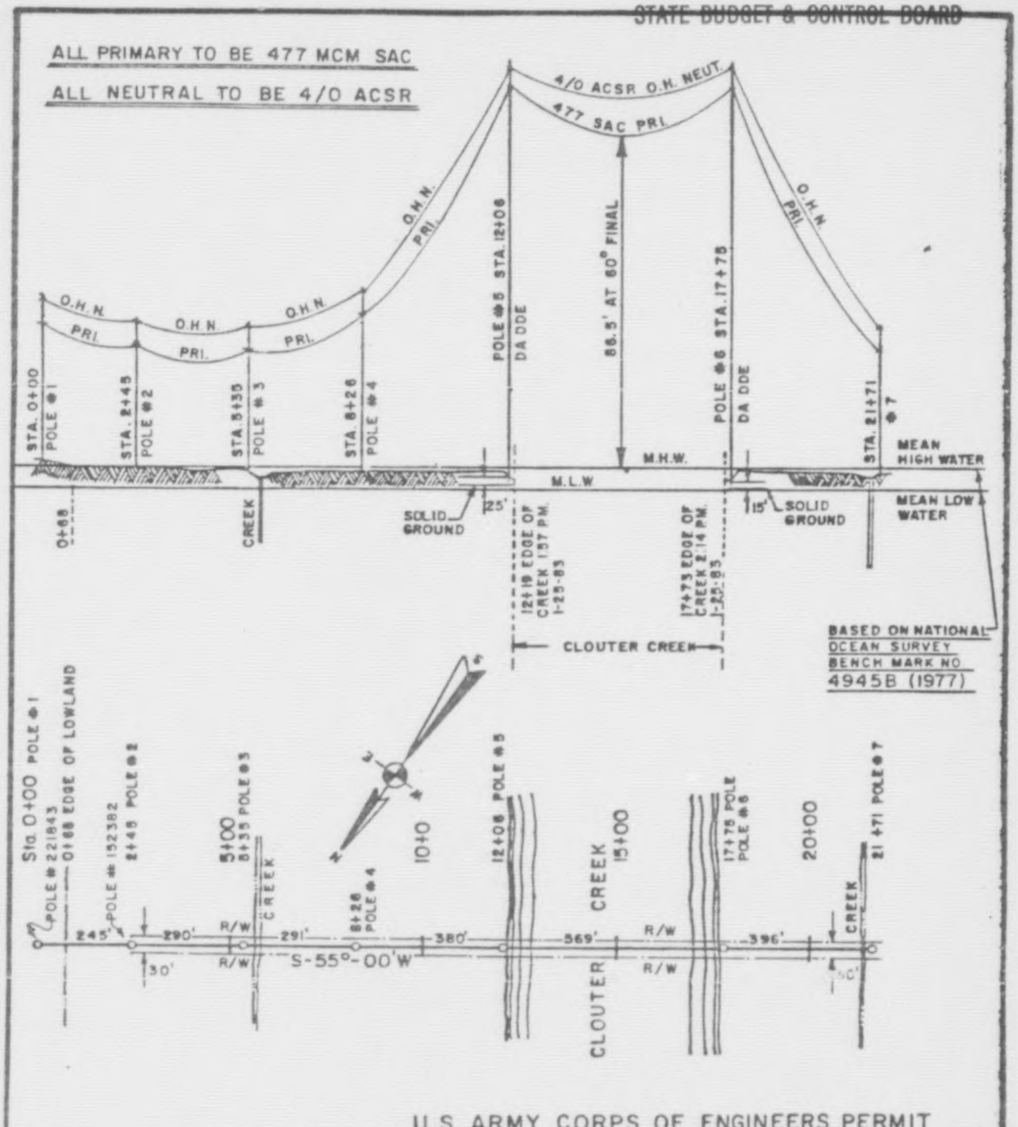
EXHIBIT
JUL 12 1983 NO. 4
STATE BUDGET & CONTROL BOARD

000049

EXHIBIT

JUL 12 1983 NO. 4

STATE BUDGET & CONTROL BOARD



U.S. ARMY CORPS OF ENGINEERS PERMIT

NO.	DATE	REVISION

SOUTH CAROLINA ELECTRIC & GAS CO.

FOR: NAVAL SHIPYARD DREDGING OPERATION

DETAIL: PROPOSED 23KV LINE X-ING CLOUTER CREEK
MT. PLEASANT 23KV SUB. CKT. 90232

SCALE 1" = 400' HORIZ.
1" = 40' VERT.
DR./TR CFB 2-83 APPROVED

SHT. 1 OF 2

A-23,434

REV.

Exhibit A

000050

EXHIBIT

JUL 12 1983 NO. 4

STATE BUDGET & CONTROL BOARD

NO.	DATE	REVISION

SOUTH CAROLINA ELECTRIC & GAS CO.

FOR NAVAL SHIPYARD DREDGING OPERATIONS

DETAIL LOCATION MAP 23KV LINE X-ING CLOUTER CRK.
MT. PLEASANT 23 KV SUB. CKT. 90232

SCALE AS SHOWN SHT. 2 OF 2

DR./TR. C.F.B. 2-83 APPROVED

A-23,434

REV.

Exhibit B

000051

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 5 BLUE AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 5

STATE BUDGET & CONTROL BOARD

Agency: General Services, State Engineer

Subject: Permanent Improvement Project Actions by Staff

The State Engineer advises that the following permanent improvement projects have been reviewed favorably by the Joint Bond Review Committee and released by staff:

(a) On Summary 48-83: Clemson University, items 3-6; Francis Marion College, item 7; DHEC, item 8; Mental Retardation, items 9-10; PRT, items 11-13; Technical and Comprehensive Education, items 14-16; USC-Columbia, item 17; Budget and Control Board, General Services, item 18.

(b) On Summary 51-83: Adjutant General's Office, items 1-2; Budget and Control Board, General Services, item 3; Clemson University, item 4; Mental Retardation, items 5-20; Vocational Rehabilitation, item 21; Wildlife and Marine Resources, item 22; Youth Services, items 23-24.

(c) On Summary 42-83: Adjutant General's Office, only item.

(d) On Summary 46-83: The Citadel, only item.

(e) On Summary 49-83: Department of Agriculture, only item.

(f) On Summary 50-83: USC-Columbia, only item.

In addition, the State Engineer advises that the following permanent improvement project actions have been taken after favorable review by the Joint Bond Review Committee and released by staff:

(a) Adjutant General's Office, project E24-8015, decrease by $3,000 and transfer of that amount to building renovations on fourth floor of Dennis Building;

(b) School for the Deaf and the Blind, establish project H75-8175, dormitory renovation, $15,000.

Further, the State Engineer advises that the following Wildlife and Marine Resources Department projects have been released by staff and that no Bond Committee action is required:

(a) Durham Creek Boat Ramp, project P24-8176, $5,125;

(b) Odell Venters Boat Landing, project P24-8177, $8,650;

(c) Black Creek Boat Ramp, project P24-8178, $48,769;

(d) Beaty's Bridge, project P24-8000, increase budget by $3,000.

Please refer to attachments for details.

Board Action Requested:

Approve.

000052

Attachments:

Agenda item worksheets and referenced summaries

ok BAMC 7/5/83

EXHIBIT

JUL 12 1983 NO. 5

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

July 12, 1983

x Blue Agenda
___Regular Session Agenda
___Executive Session Agenda

1. Submitted By: General Services - State Engineer

2. Subject: Project Action by Staff

3. Summary Background Information:
The State Engineer advises the following have been reviewed by the Joint Bond Review Committee and released by Staff.

(a) Summary 48-83 - Clemson, Items 3, 4, 5, 6; Francis Marion College, Item 7; Health & Environmental Control, Item 8; Mental Retardation, Items 9, 10; Parks Recreation & Tourism, Items 11, 12, 13; Technical & Comprehensive Education, Items 14, 15, 16; USC-Columbia, Item 17; B&CB-General Services, Item 18.

(b) Summary 51-83 - Adjutant General's Office, Items 1, 2; B&CB-General Services Item 3; Clemson University, Item 4; Mental Retardation, Items 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20; Vocational Rehabilitation, Item 21; Wildlife & Marine Resources, Item 22; Youth Services, Items 23, 24.

4. What is Board Asked To Do?
Approval

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
See Attached Summary	

000053

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 48-83

SOURCE OF FUNDS

Code
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv. Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] Reserved for Later Use
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/7/83.
Page 2 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
3. Clemson University Supporting document page 3 -3A	Increase budget to 2,421,452.94	Add $25,000 [0] Capital Improvement Bond Funds	H12-0732	Additions, Expansion, and Improvement of Utility Systems, Etc.: To reflect latest spending intentions by adding $25,000 of capital improvement bond funds to project budget. The priority schedule is affected by this request to the extent that $25,000 should be shifted from the funds for Handicap Access on page 12 of the schedule to Utility System. Both adjustments are to be made to the amounts in priority group 6	APPROVED 6/23/83	Staff app 6-27-83
4. Clemson University Supporting document page 4	Increase budget to 13,032,556.91	Add $6,379.91 [4] Excess Student and Faculty Housing Debt Service/Reserve Funds	H12-7286	New Student Housing Facilities: To provide additional funds needed to cover the final contract cost on this project.	APPROVED 6/23/83	Staff app 6-27-83
5. Clemson University Supporting document pages 5-6	Establish project and souce of funds	$320,000 [9] Land and Timber Settlement Account	P20-8159	Edisto Station - Office and Laboratory Building: To provide a 12,000 square foot office and laboratory building including 14 labs, 17 offices, 1 multi-purpose room, 1 computer room, 2 storage rooms, and other facilities.	APPROVED 6/23/83	Staff app 6-28-83
6. Clemson University Supporting document pages 7-8	Establish project and source of funds	$30,000 [9] Campers Fee Account	P20-8160	Camp Bob Cooper - Phase I Building: To provide a 900 square foot, one-story reception, office, first aid, storage facility.	APPROVED 6/23/83	Staff app 6-27-83
Francis Marion College Supporting document pages 9-10	Reduce budget to $29,940	Deduct $60,00 [6] State Appropriated	H18-8073	McNair Science Building Roof Repairs: To close project and to transfer balance to operating funds.	APPROVED 6/23/83	Staff app 6-27-83

000054

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER
48-83

SOURCE OF FUNDS

Code:
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] (Reserved for later use)
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/2/83.
Page 3 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
8. Health and Environmental Control Supporting document pages 11-14	Establish project and source of funds	$3,500 [6] State appropriated	J04-8161	Appalachia I Renovation: To create office spaces in existing large open area at the district office in Anderson to make possible better utilization of space.	APPROVED 6/23/83	Staff app 6-27-83
9. Mental Retardation Supporting document pages 15-21	Increase budget to $1,309,200	Add $469,200 [4] Excess Paying Patient Fee Debt Service Funds	J16-8150	Whitten Center - Renovation of Med B and Bldg. 9: To provide the additional funds required to award a contract for the renovation of Building 9 and to provide for the purchase of equipment for Med B and Building 9. Bids were received on May 26 and are valid for 60 days.	APPROVED 6/23/83	Staff app. B.C. 6-27-83
10. Mental Retardation Supporting document pages 22-27	Increase budget to $1,906,000	Add $800,000 [4] Excess Paying Patient Fee Debt Service Funds	J16-7747	Pee Dee - Support Facility and Activity Program Facility: To provide funds required to finance the renovation of the gymnasium and physical therapy building portion of this overall project.	APPROVED 6/23/83	Staff app. B.C. 6-27-83
11. Parks, Recreation and Tourism Supporting document pages 28-33	Establish project and source of funds	$953,471.60: $111,071.04 [7] Federal, I-77; $403,164.56 [9] Land Trade Sale, I-77; $439,236 [7] LWCF	P28-8162	Lake Wateree Park Development: To provide funds for the construction of a new state park including roads, utilities, one residence, one shop, a 60-site campground, 2 comfort stations, double boat ramp and parking, one restroom, tackle shop and docks. Project is estimated to add $8,000 per year to the operating costs of the agency.	APPROVED 6/23/83	Staff app. B.C. 6-27-83
12. Parks, Recreation and Tourism Supporting document pages 34-38	Establish project and source of funds	$200,000 [0] Capital Improvement Bond Funds (In Priority Group 2)	P28-8168	Drayton Hall Development: To provide funds for the construction of roads and parking on the Drayton Hall historic site owned by the National Trust for Historic Preservation. This project is to be planned and contracted by the National Trust.	APPROVED 6/23/83	Staff app 6-27-83

SS0000

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 48-83

SOURCE OF FUNDS

Code
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] (Reserved for later use)
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/2/83.
Page 4 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
13. Parks, Recreation and Tourism Supporting document pages 39-43	Establish project and source of funds	$11,900 [0] Capital Improvement Bond Funds (released in Priority Groups 1, 2 and 3)	P28-8163	Hemingway Park: To provide matching funds for the purchase of an 11.9 acre park site.	APPROVED 6/23/83	Staff app 6.27.83
14. Technical and Comprehensive Education Supporting document pages 44-47	Establish project and source of funds	$85,000 [9] Local	H59-8164	Greenville - Renovation of Building C of Industrial Careers Complex: To provide for the construction of interior walls, for an airconditioning unit, ceiling tile, floor tile and electrical equipment to be used for computer labs and classrooms.	APPROVED 6/23/83	Staff app 6.27.83
15. Technical and Comprehensive Education Supporting document pages 48-49	Establish project and source of funds	$95,000 [9] Local	H59-8165	Greenville - Paving for Student Parking Lot: To provide a permanent parking area for 610 automobiles.	APPROVED 6/23/83	Staff app 6.27.83
16. Technical and Comprehensive Education Supporting document pages 50-51	Establish project and source of funds	$230,000 [9] Local	H59-8167	Roof Repair - Lexington Hall (Airport) and Library Building (Beltline): To provide for the repair or replacement of existing roofs on the referenced buildings."	APPROVED 6/23/83	Staff app 6.27.83
17. USC - Columbia Supporting document pages 52-54	Increase budget to $210,000	Add $60,000 [9] Renovation Reserve	H27-7937	Commissary Renovation (501-503 South Main Street): To provide additional funds for the renovation of space in the referenced facility for the music department. Additional work will be done on mechanical systems and on the facility's insulation which is expected to have significant impact on the University's energy conservation efforts. Early approval of this project is requested so that this work can be completed prior to the beginning of the fall semester.	APPROVED 6/23/83	Staff app 6.27.83

000056

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER
48-83

SOURCE OF FUNDS

Code
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] (Reserved for later use)
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/28/83.
Page 5 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
18. Budget and Control Board, General Services Supporting document pages 55-56	Increase budget to $104,500	Add $26,900 [9] Depreciation Reserve	F12-8123	Middleton Annex #2 - Reroofing: To provide additional funds needed to provide additional steel to overcome an unforeseen fire hazard as a part of this project. Implementation of the project is urgent thus prompt consideration by the Committe is requested.	APPROVED 6/23/83	6/28/83

RECEIVED
JUL 12 1983
STATE BUDGET & CONTROL BOARD
OFFICE

EXHIBIT NO. 5

000057

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 51-83

SOURCE OF FUNDS

Code		Code	
[0]	Capital Improvement Bond Proceeds	[5]	(Reserved for later use)
[1]	Dept. Capital Improv Bond Proceeds	[6]	Appropriated - State
[2]	Institution (Tuition) Bond Proceeds	[7]	Federal
[3]	Revenue Bond Proceeds	[8]	Athletic
[4]	Excess Debt Service/Reserve	[9]	Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/20/83.
Page 1 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
1. Adjutant General's Office Supporting document page 1	Increase budget to $147,444.40	Add $7,444.40 [9] Funds reimbursed from Celetex Corp. for shingle failure)	E24-8014	Roof Renovations & Waterproofing of Armories: Increase budget of project.	APPROVED 6/23/83	staff app 6-27-83
2. Adjutant General's Office Supporting document pages 2 & 3	Increase budget to $74,280.55	Add $15,280.55 [0] Capital Improvement Bond Funds (these monies were refunded to State by the Federal Government for projects now completed or which are adequately funded.)	E24-8078	Clinton Armory: To increase fees budget by $15,280.55.	APPROVED 6/23/83	staff app 6-27-83
3. Budget and Control Board, General Services Supporting document pages 4-6	Establish project and source of funds	$35,000.00 [9] Depreciation Reserve Fund	F12-8179	Installation of Stand-by Chiller for Data Processing: To purchase and install 100 ton cooling tower, chill water pump and condenser pump and recondition and rewind surplus chiller.	APPROVED 6/23/83	staff app 6-27-83
4. Clemson University Supporting document page 7	Reduce budget to $32,297.30	Deduct $9,702.70 [6] State Appropriated Funds	H12-7916	Jervey-Reroof: To reflect final cost of project and transfer balance to Physical Plant operating budget for on-going maintenance projects.	APPROVED 6/23/83	staff app 6-27-83
5. Mental Retardation Supporting document page 8-14	Establish project and source of funds	$20,837.70 [4] Excess paying patient fee Debt Service Funds All of these funds are to be transferred from other projects as indicated below.	J16-8180	Coastal Center-Master Antenna TV System: To purchase and hook-up head-in equipment and antenna for Phase II of the MATV System.	APPROVED 6/23/83	staff app 6-27-83

850000

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 51-83

SOURCE OF FUNDS

Code		Code	
[0]	Capital Improvement Bond Proceeds	[5]	(Reserved for later use)
[1]	Dept. Capital Improv Bond Proceeds	[6]	Appropriated - State
[2]	Institution (Tuition) Bond Proceeds	[7]	Federal
[3]	Revenue Bond Proceeds	[8]	Athletic
[4]	Excess Debt Service/Reserve	[9]	Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/20/83.
Page 2 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
6. Mental Retardation Supporting document page 15-18	Reduce Budget to $2,381,164.27	Deduct $64.52 [4] Excess paying patient fee Debt Service Funds	J16-7413	Pee Dee Center-Phase II: Close project and transfer balance to Project J16-8180, above.	APPROVED 6/23/83	Staff appr 6.27.83
7. Mental Retardation Supporting document pages 19-22	Reduce Budget to $1,449,401.47	Deduct $4,463.38 [4] Excess paying patient fee Debt Service Funds	J16-7092	Whitten Center-Renovation of Buildings 15,16,17 & 18 To close out project and transfer balance to Project J16-8180, above.	APPROVED 6/23/83	Staff appr 6.27.83
8. Mental Retardation Supporting document pages 23-27	Reduct Budget to $1,087,246.03	Deduct $6,565.56 [4] Excess paying patient fee Debt Service Funds	J16-7095	Pee Dee - Addition to Saleeby Center: To close project and transfer balance of funds to Project J16-8180, above.	APPROVED 6/23/83	Staff appr 6.27.83
9. Mental Retardation Supporting document pages 28-31	Reduct Budget to $1,757,065.09	Deduct $284.67 [4] Excess paying patient fee Debt Service Funds	J16-7415	Pee Dee - Center Development Phase I: To close project and transfer fund balance to Project J16-8180, above.	APPROVED 6/23/83	Staff appr 6.27.83
10. Mental Retardation Supporting document pages 32-35	Reduce Budget to $361,045.47	Deduct $1,037.12 [4] Excess paying patient fee Debt Service Funds	J16-7106	Piedmont-Two Eight Bed Residences, Gaffney: To close project and transfer fund balance to Project J16-8180, above.	APPROVED 6/23/83	Staff appr 6.27.83
11. Mental Retardation Supporting document pages 36-39	Reduce Budget to $330,987.80	Deduct $1,029.20 [4] Excess paying patient fee Debt Service Funds	J16-7768	Piedmont-Two Eight Residences, Spartanburg: To close project and transfer fund balance to Project J16-8180, above.	APPROVED 6/23/83	Staff appr 6.27.83

000059

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 51-83

SOURCE OF FUNDS

Code		Code	
[0]	Capital Improvement Bond Proceeds	[5]	(Reserved for later use)
[1]	Dept. Capital Improv Bond Proceeds	[6]	Appropriated - State
[2]	Institution (Tuition) Bond Proceeds	[7]	Federal
[3]	Revenue Bond Proceeds	[8]	Athletic
[4]	Excess Debt Service/Reserve	[9]	Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/20/83.
Page 3 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
12. Mental Retardation Supporting document pages 40-42	Reduce Budget to $66,667.00	Deduct $33.00 [4] Excess paying patient fee Debt Service Funds	J16-7999	Midlands-Community Residence, Timberland Drive, Columbia: To close project and transfer fund balance to Project J16-8180, above.	APPROVED 6/23/83	Staff app 6-27-83
13. Mental Retardation Supporting document pages 43-45	Reduce Budget to $27,648.28	Deduct $1,618,72 [4] Excess paying patient fee Debt Service Funds	J16-7994	Whitten Center - Relocation of Data Processing and Print Shop To close project and transfer fund balance to Project J16-8180, above.	APPROVED 6/23/83	Staff app 6-27-83
14. Mental Retardation Supporting document pages 46-48	Reduce Budget to $104,576.44	Deduct $2,606.56 [4] Excess paying patient fee Debt Service Funds	J16-7962	Coastal-Purchase/Renovation of Residence on St. Margaret Street, Charleston: To close project and transfer fund balance to Project J16-8180, above.	APPROVED 6/23/83	Staff app 6-27-83
15. Mental Retardation Supporting document pages 49-53	Reduce Budget to $1,107,517.84	Deduct $6,827.72 [4] Excess paying patient Fee Debt Service Funds	J16-7101	Coastal-Administrative Building/Classroom Building/Auditorium/Staff Dining: To close project and transfer $3,134.97 to Project J16-8180, above.; transfer $24.40 to Project J16-7105, below; and transfer $3,668.35 to Project J16-7737, below.	APPROVED 6/23/83	Staff app 6-27-83
16. Mental Retardation Supporting document pages 54-56	Increase Budget to $236,456.04	Add $24.40 [4] Excess paying patient Fee Debt Service Funds (Transfer from Project J16-7101)	J16-7109	Coastal-Eight Bed Residence, Walterboro: To close out project.	APPROVED 6/23/83	Staff app 6-27-83
17. Mental Retardation Supporting document pages 57-59	Increase Budget to $58,668.35	Add $3,668.35 [4] Excess paying patient Fee Debt Service Funds (Transfer from Project J16-7101)	J16-7737	Whitten Center-Hot Water Storage Tank for Laundry: To close out project.	APPROVED 6/23/83	Staff app 6-27-83

030000

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 51-83

SOURCE OF FUNDS

Code
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] (Reserved for later use)
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/20/83.
Page 4 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
18. Mental Retardation Supporting document pages 60-81	Establish Project and Source of Funds	$135,000.00 [4] Excess paying patient fee Debt Service Funds	J16-8182	Coastal-Community Residence at Moncks Corner: To provide funding for the purchase and renovation of a one-story brick veneer residence with an attached two-story rough wood siding apartment on a lot of less than ½ acre. The facilities would be used as an intermediate care facility to house eight residents in a community setting. Note that $200 is proposed in the project budget for the acquisition of art work.	APPROVED 6/23/83	Staff app 6.27.83
19. Mental Retardation Supporting document pages 82-87	Establish Project and Source of Funds	$60,000.00 [4] Excess paying patient Fee Debt Service Funds	J16-8181	Whitten Center-Master Antenna Television System: To provide for the purchase and hook-up of head-in and equipment and antenna, Phase II of the MATV System.	APPROVED 6/23/83	Staff app 6.27.83
20. Mental Retardation Supporting document pages 88-92	Increase Budget to $45,000.00	Add $28,000.00 [4] Excess paying patient fee Debt Service Funds	J16-7968	Midlands Region-Restroom Facilities for Greenhouses: To provide the funding needed to enable the agency to proceed with the project to provide restroom facilities accessible to the handicapped for the horticulture program at the Midlands Center.	APPROVED 6/23/83	Staff app 6.27.83
21. Vocational Rehabilitation Supporting document page 93	Increase Budget to $1,336,576.00	Add $336,576.00 [9] (Other Funds received from counties and local Workshop Boards for participating in Rehabilitation program for deposit in Permanent Improvement Account.)	H73-8011	Vocational Rehabilitation State Office Building: Reflect additional funds needed in order for this project to be funded adequately.	APPROVED 6/23/83	Staff app 6.28.83

000061

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 51-83

SOURCE OF FUNDS

Code		Code	
[0]	Capital Improvement Bond Proceeds	[5]	(Reserved for later use)
[1]	Dept. Capital Improv Bond Proceeds	[6]	Appropriated - State
[2]	Institution (Tuition) Bond Proceeds	[7]	Federal
[3]	Revenue Bond Proceeds	[8]	Athletic
[4]	Excess Debt Service/Reserve	[9]	Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/20/83.
Page 5 of 5.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
22. Wildlife and Marine Resources Supporting document page 94	Decrease Budget to $118,099.66	Deduct $3,429.69 [0] Capital Improvement Bond Funds (Return to uncommitted funds status)	P24-7145	Greenwood Office Complex: Revision necessary because of an error in E-11 formdated January 13, 1983, which was approved April 4, 1983.	APPROVED 6/23/83	Staff app 6-27-83
23. Youth Services Supporting document page 95-96	Establish Project and Source of Funds	$53,581.00 [9] Other (Education Finance Act funds carried forward)	N12-8183	Birchwood Site Improvements: To provide needed grading, topsoil placement, rock removal, fertilizing, grassing to control erosion and to beautify campus.	APPROVED 6/23/83	Staff app 6-27-83
24. Youth Services Supporting document page 97-98	Establish Project and Source of Funds	$24,000 [9] Other (Farm revenue)	N12-8184	Birchwood Irrigation: To provide pipe, controllers and sprinkler heads to irrigate approximately 220,000 square feet of area.	APPROVED 6/23/83	Staff app 6-27-83

EXHIBIT NO. 5
JUL 12 1983
STATE BUDGET & CONTROL BOARD

000062

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:
July 12
~~June 28~~, 1983

X Blue Agenda
___ Regular Session Agenda
___ Executive Session Agenda

1. Submitted By: State Engineer's Office

EXHIBIT

2. Subject: Project Action by Staff

JUL 12 1983 NO. 5

STATE BUDGET & CONTROL BOARD

3. Summary Background Information:

The State Engineer advises the following have been reviewed by the Joint Bond Review Committee and released by Staff:

1. Adjutant General - 8015-E24-028 - decrease project by $3,000.00 (transferred to building renovations 4th floor Dennis Building).
2. School for Deaf & Blind - 8175-H75-009 - E-1 - establish project for $15,000.00.

(c) Summary 42-83 - Adjutant General's Office - only item

(d) Summary 46-83 - The Citadel - only item

(e) Summary 49-83 - Department of Agriculture - only item

(f) Summary 50-83 - USC - Columbia - only item

In addition, the State Engineer advises that the following Wildlife & Marine Resources projects have been released by staff and that no JBRC action is required:

1. Durham Creek Boat Ramp - 8176-P24-0107 - E-1 - establish project for $5,125.00
2. Odell Venters Boat Landing - 8177-P24-0108 - E-1 - establish project for $8,650.00

(continued on attached sheet)

4. What is Board Asked To Do?

Approve

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
Referenced Form E-1's, E-11's and Summaries	

Wildlife (continued)

3. Black Creek Boat Ramp - 8178-P24-0109 - E-1 - establish project for $48,769.00

4. Beaty's Bridge (8-53) - 8000-P24-086 - increase project by $3,000.00 (additional funds budgeted as revenue in Boating Budget 83-84).

EXHIBIT
JUL 12 1983 NO. 5
STATE BUDGET & CONTROL BOARD

(Rev 7-72)
Submit in Duplicate

REVISION OF PROJECT COST ESTIMATE

Date 8 June 19 83

Institution or Agency The Adjutant General

Name of Project Armory Renovations, Roof Replacement, Heating System, Fencing, Equipment Storage No. 08015-E24-028

To: State Budget and Control Board
Columbia, South Carolina

EXHIBIT

Your approval of the following revised cost estimate on the above project is ~~requested~~. JUL 19 1983 NO. 5

A statement is attached indicating the necessity of these revisions. *

STATE BUDGET & CONTROL BOARD

Item	Last Estimate	Revised Estimate	Change
~~Site~~ Project A	$ 3,785.00	$ 3,785.00	$ -0-
Project B	25,547.40	25,547.40	-0-
Grading			
Construction			
Fees			
Renovation	170,836.55	167,836.55	-3,000.00
Basic Equipment and Supplies	45,431.05	45,431.05	-0-
~~Contracting~~ Labor	3,850.00	3,850.00	-0-
Builder's Risk Insurance			
Other			
Bond Sales	550.00	550.00	-0-
Contingencies	25,000.00	25,000.00	-0-
Total Estimated Cost	$ 275,000.00	$ 272,000.00	$ - 3,000.00

Transfered to building renovations
4th floor Rembert Dennis Bldg.

(Signed) Jasper B. Varn

JASPER B. VARN, JR. / BG, SCARNG

Title Deputy Adjutant General

* If the total estimated cost of the project has been increased, the source of the additional funds required should be indicated also.

APPROVED: John D. McPherson
State Auditor

DATE: 6-14-83

000065

PROJ. NO. 8175 - H75 - 009

TRES. I.D. NO.

CODED BY:

Form E-1
(Revised 7-1-81)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE March 11, 19 83

Institution or Agency S. C. School for the Deaf and Blind

Name of Project Dormitory Renovation

Total Estimated Cost - - -

III. ESTIMATED COST

Site	$
Grading	
Construction	
Fees	
Renovation	15,000
Basic Equipment and Supplies	
Landscaping	
Builder's Risk Insurance	
Other (Specify)	
Contingencies	
TOTAL ESTIMATED COST	$ 15,000

It is further estimated that this project will add $_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand - - - - - - - - - - - - - - - - $_____

Source: _____

B. Proposed Bond Issue - - - - - - - - - - - - - - - - _____

(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).

C. Other (describe) Balance from $30,000 in current School for Deaf and Blind Capital Improvement Bond (No. 14, P. 12 of Deficiency Acts etc.) Listed in appropriation book as "1. Renovation of 2 Dormitories A & E Planning." already approved. _____

TOTAL - $ 15,000

Has your governing board taken formal action authorizing the submission of this application? Yes

(Signed) C. Paul Manley

Title Director of Administrative Services

BOARD'S ACTION

APPROVED: John D. M'Closkey State Auditor DATE: JUN 9 1983

000067

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 42-83

SOURCE OF FUNDS

Code
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] (Reserved for later use)
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 5/9/83.
Page 1 of 1.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
1. Adjutant General's Office Supporting document page 1	Establish project and source of funds	$3,000 [0] Capital Improvement Bond Funds authorized in Act 179 of 1981	E24-8149	Dennis Building Renovations: The agency proposes to use $3,000 of capital improvement bond funds authorized for "armory renovations, roof replacement, heating system replacement, parking lot paving, security fencing, and equipment storage buildings" in Act 179 of 1981 to finance renovations to the administrative space used by the Office of Adjutant General in the Dennis Building. The renovations involve adding and relocating walls and installing doors on the fourth floor of the Dennis Building in space occupied by the Chief of Staff Section. The question before the Committee is whether or not it can approve this proposed change in the purpose for which the bond funds involved were authorized by the General Assembly.	APPROVED 5/24/83	Staff approved 6-1-83

RECEIVED
MAY 31 1983
STATE ENGINEER'S OFFICE

EXHIBIT
JUL 12 1983
NO. 5
STATE BUDGET & CONTROL BOARD

890000

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 46-83

SOURCE OF FUNDS

Code:
[0] Capital Improvement Bond Proceeds
[1] Dept. Capital Improv Bond Proceeds
[2] Institution (Tuition) Bond Proceeds
[3] Revenue Bond Proceeds
[4] Excess Debt Service/Reserve
[5] (Reserved for later use)
[6] Appropriated - State
[7] Federal
[8] Athletic
[9] Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 5/19/83.
Page 1 of 1.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
1. The Citadel Supporting document pages 1-2	Increase budget to $1,199,000	Add $115,000 [4] Excess Institution (Tuition) Bond Debt Service Funds	H09-7729	Cadet Services Building: Proposal is to add $115,000 of excess institution (tuition) bond debt service funds to the budget of this project to provide funds for equipment and contingencies. Construction bids on the project were received on May 4 and the low bid was $1,005,000 which is within the $1,084,000 presently approved and available for expenditure on this project. Pending the passage of S.400, which amends Act 179 of 1981 by eliminating the general proviso restricting the use of excess debt service funds to the projects for which capital improvement bond funds are authorized, it is my opinion that the Committee and the Board can not approve this request. Similar requests have been received from The Citadel previously and the Board and the Committee previously have agreed that so long as the original proviso in Act 179 of 1981 is law, authorization to use excess debt service funds for purposes other than the projects for which capital improvement bond funds are authorized in that Act can not be approved. It is my understanding that S.400 was referred to the House Ways and Means Committee on April 14, 1983. When S.400 becomes law, the use of the $115,000 of excess institution (tuition) bond debt service funds could be approved for use on this project if determined to be available by the State Treasurer.	*APPROVED 6/13/83*	staff 6-13-83

EXHIBIT
JUL 12 1983
NO. 5
STATE BUDGET & CONTROL BOARD

000069

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 49-83

SOURCE OF FUNDS

Code		Code	
(0)	Capital Improvement Bond Proceeds	(5)	(Reserved for later use)
(1)	Dept. Capital Improv Bond Proceeds	(6)	Appropriated - State
(2)	Institution (Tuition) Bond Proceeds	(7)	Federal
(3)	Revenue Bond Proceeds	(8)	Athletic
(4)	Excess Debt Service/Reserve	(9)	Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/2/83.
Page 1 of 1.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
1. Department of Agriculture Supporting Document pages 1-3	Increase budget to $48,850	Add $11,600 [6] State Appropriated	P16-8231	Pee Dee Regional Farmers Market: To finance the provision of restroom facilities.	APPROVED 6/9/83	staff app 6-9-83

RECEIVED JUN 13 1983 STATE BUDGET & CONTROL BOARD

EXHIBIT JUL 12 1983 NO. 5 STATE BUDGET & CONTROL BOARD

040000

SUMMARY OF PERMANENT IMPROVEMENT PROJECT ACTIONS PROPOSED BY STATE AGENCIES AND INSTITUTIONS

NUMBER 50-83

SOURCE OF FUNDS

Code		Code	
[0]	Capital Improvement Bond Proceeds	[5]	(Reserved for later use)
[1]	Dept. Capital Improv Bond Proceeds	[6]	Appropriated - State
[2]	Institution (Tuition) Bond Proceeds	[7]	Federal
[3]	Revenue Bond Proceeds	[8]	Athletic
[4]	Excess Debt Service/Reserve	[9]	Other

Assembled by staff of Budget and Control Board.
Forwarded to Bond Review Committee 6/6/83.
Page 1 of 1.

NAME OF AGENCY OR INSTITUTION	ACTION PROPOSED	FUNDING CHANGE PROPOSED Amount/Source	PROJECT NUMBER	PROJECT NAME/PURPOSE OF REVISION/IMPACT ON SCOPE	COMMITTEE REVIEW	BOARD APPROVAL
I. USC - Columbia Supporting document pages 1-4	Establish project and source of funds	$50,000 [6] State-appropriated	H27-8174	Brown's Ferry - Tank Liner Replacement: To finance the replacement of a fiberglass liner with a thin-wall steel liner in the Brown's Ferry vessel preservation tank. The existing liner, because of settling and thermal expansion over the past two years, has cracked which allows water and the preservative material to seep out. Efforts to patch and replace portions of the liner have not proven successful.	*APPROVED 6/8/83*	staff app 6-9-83

STATE BUDGET & CONTROL BOARD
JUL 12 1983
EXHIBIT NO. 5

JUN 10 1983
STATE ENGINEER'S OFFICE

000074

PROJ. NO. 8176 - P24 - 0107

TRES. I.D. NO.

CODED BY: LV C

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE June 14 19 83

Institution or Agency Wildlife and Marine Resources

Name of Project Durham Creek Boat Ramp

Total Estimated Cost - $ 5,125.00

JUL 12 1983 STARS No. 8176

To:—State Budget and Control Board

Columbia, South Carolina

STATE ENGINEER'S OFFICE

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.): Boat Ramp extension

EXHIBIT

JUL 12 1983 NO. 5

STATE BUDGET & CONTROL BOARD

B. Intended Use: Public

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
   (b) Outline specifications.
   (c) Small scale locality map.
   (d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.)

N/A

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

000072

III. ESTIMATED COST

Site	$
Grading	
Construction	5,125.00
Fees	
Renovation	
Basic Equipment and Supplies	
Landscaping	
Builder's Risk Insurance	
Other (Specify)	
Contingencies	
TOTAL ESTIMATED COST	$ 5,125.00

It is further estimated that this project will add $ -0- per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	$ 5,125.00
Source: Berkeley County Water Recreation Resource Fund	
B. Proposed Bond Issue	
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).	
C. Other (describe)	
TOTAL	$ 5,125.00

Has your governing board taken formal action authorizing the submission of this application?

(Signed) John B. Sease

Title Director of Administrative Services

BOARD'S ACTION

APPROVED: John D. McDowell
State Auditor

DATE: 6-16-83

000073

P.W. P.O. 8177-P24-0108

TRES. I.D. NO. 2V

CODED BY: C

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE June 14, 19 83

Institution or Agency Wildlife and Marine Resources

Name of Project Odell Venters Boat Landing

Total Estimated Cost - $ 8,650.00

STARS No. 8177

To:—State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.): Repairs to Boat Ramp

EXHIBIT

JUL 12 1983 NO. 5

STATE BUDGET & CONTROL BOARD

B. Intended Use: Public

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
   (b) Outline specifications.
   (c) Small scale locality map.
   (d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.)

N/A

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

000074

III. ESTIMATED COST

Site	$
Grading	
Construction	7,650.00
Fees	
Renovation	
Basic Equipment and Supplies	
Landscaping	
Builder's Risk Insurance	
Other (Specify)	
Contingencies	
TOTAL ESTIMATED COST	$ 1,000.00

It is further estimated that this project will add $ -0- per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand $ 8,650.00

Source: Florence County Water Recreation Resource Fund

B. Proposed Bond Issue

(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).

C. Other (describe)

TOTAL $ 8,650.00

Has your governing board taken formal action authorizing the submission of this application?

(Signed) John B Evans

Title Director of Administrative Services

BOARD'S ACTION

APPROVED: John D McKnight Jr. DATE: 6-16-83

~~State Auditor~~

000075

PROJ. NO. 8178 - P24 - 0109

TRES. I.D. NO.

CODED BY: JV

Form E-1
(Revised 7-1-81)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE June 14, 19 83

Institution or Agency: Wildlife and Marine Resources

Name of Project: Black Creek Boat Ramp

Total Estimated Cost - $ 48,769.00

To: State Budget and Control Board
Columbia, South Carolina

RECEIVED

STARS No. 8178

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

Boat Ramp

B. Intended Use: Public

EXHIBIT

JUL 12 1983 NO. 5

STATE BUDGET & CONTROL BOARD

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
   (b) Outline specifications.
   (c) Small scale locality map.
   (d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.)

N/A

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

000076

III. ESTIMATED COST

Site	$
Grading	
Construction	46,769.00
Fees	
Renovation	
Basic Equipment and Supplies	
Landscaping	
Builder's Risk Insurance	
Other (Specify)	
Contingencies	2,000.00
TOTAL ESTIMATED COST	$ 48,769.00

It is further estimated that this project will add $ -0- per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	$ 48,769.00
Source: Florence County Water Recreation Resource Fund	
B. Proposed Bond Issue	
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).	
C. Other (describe)	
TOTAL	$ 48,769.00

Has your governing board taken formal action authorizing the submission of this application?

(Signed) John D. Lewis

Title Director of Administrative Services

BOARD'S ACTION

APPROVED: John D. Phelps State Auditor STATE ENGINEER DATE: 6-16-83

000077

Submit in Duplicate

REVISION OF PROJECT COST ESTIMATE

Date June 15 19 83

Institution or Agency Wildlife and Marine Resources

Name of Project Beaty's Bridge (8-53) No. (8000) *P24-086

To: State Budget and Control Board
Columbia, South Carolina

EXHIBIT

JUL 12 1983 NO. 5

STATE BUDGET & CONTROL BOARD

Your approval of the following revised cost estimate on the above project is requested.

A statement is attached indicating the necessity of these revisions. *

Item	Last Estimate	Revised Estimate	Change
Site	$	$	$
Grading			
Construction	9,500.00	12,500.00	+3,000.00
Fees			
Renovation			
Basic Equipment and Supplies			
Landscaping			
Builder's Risk Insurance			
Other			
Contingencies	1,000.00	1,000.00	-0-
Total Estimated Cost	$ 10,500.00	$	$ +3,000.00*

*Additional funds budgeted as revenue in Boating Budget 83-84.

(Signed) J. Pw. Kinard

Title Director of Administrative Services

* If the total estimated cost of the project has been increased, the source of the additional funds required should be indicated also.

APPROVED: John D. McKnight, Jr. DATE: 6-16-83

State Budget & Control Board

000078

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 6 BLUE AGENDA
MEETING OF July 12, 1983 ITEM NUMBER 6

STATE BUDGET & CONTROL BOARD

Agency: Office of Executive Director

Subject: Interviewee Travel Payments

Payments of interviewee travel expenses are made pursuant to authority granted by the Board. Board policy requires that any exercise of that authority be reported within 30 days.

Attached are reports on the payments of interviewee travel expenses as follows: Clemson University, 7; College of Charleston, 17; University of South Carolina, 42; Technical Education, 1; and Mental Health, 1.

Board Action Requested:

Receive as information.

Staff Comment:

Attachments:

Various forms

000079

CLEMSON
UNIVERSITY

BUSINESS & FINANCE
Office of Financial Management

RECEIVED
JUN 30 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

June 27, 1983

EXHIBIT
JUL 12 1983 NO. 6
STATE BUDGET & CONTROL BOARD

Mr. William T. Putnam
Executive Director
State Budget and Control Board
618 Wade Hampton Office Building
1200 Senate Street
Columbia, S. C. 29201

Dear Mr. Putnam:

Enclosed are statements of Employee Recruitment - Travel Reimbursement for the following individuals:

Neal M. Bengston
Patricia Anne Connor
W. Brooks Drew
Glen Fowler
Dr. Thomas Hughes
Robert Emil Kelley
Dr. Jen-Men W. Lo

If you have any questions concerning these statements, please feel free to contact me at 656-2470.

Sincerely,

William A. Thompson

William A. Thompson
Controller

WAT/ag

Enclosures

000080

CLEMSON
UNIVERSITY

PRESIDENT

DATE May 19, 1983

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Neal M. Bengston

CITY OF ADDRESS: W. Lafayette, Indiana

POSITION TO BE FILLED: 0920-U002 Industrial Engineering

AMOUNT PAID: $134.46

I certify that in recruitment for the above position the following determinatior were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: _____
Department Head

_____
Dean or Director

_____
Vice President

Approved by: _____
Bill L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000081

CLEMSON
UNIVERSITY

PRESIDENT

DATE May 9, 1983

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Patricia Anne Connor

CITY OF ADDRESS: 1329 Northwood Street, Columbia, SC 29201

POSITION TO BE FILLED: 1511 U013

AMOUNT PAID: $70.85

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: Eugene H Falkenstein
Department Head

Robert a. Waller
Dean or Director

W Donald Mcdowell
Vice President

Approved by: Bill Atchley
Bill L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000082

CLEMSON
UNIVERSITY

PRESIDENT

DATE June 16, 1983

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: W. Brooks Drew

CITY OF ADDRESS: Greensboro, North Carolina

POSITION TO BE FILLED: MET Tenure-track position - Coordinator

AMOUNT PAID: $119.80

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: Richard M. Roberts
Department Head

Dean or Director

W. David Farrell
Vice President

Approved by: Bill Atchley
Bill L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000083

CLEMSON
UNIVERSITY

RECEIVED
MAY 1 1 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

PRESIDENT

DATE May 6, 1983

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Glen Fowler

CITY OF ADDRESS: Blacksburg, Virginia

POSITION TO BE FILLED: Both position Asst. Prof. of Electrical & Computer Engineering 0915-U005 or 0915-U035

AMOUNT PAID: $170.50

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: C. M. Burdoff
Department Head

J. Charles Jennett
Dean or Director

W. David Harrell
Vice President

Approved by: Bill Atchley
BILL L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000084

CLEMSON
UNIVERSITY

PRESIDENT

DATE May 19, 1983

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. Thomas Hughes

CITY OF ADDRESS: Birmingham, AL

POSITION TO BE FILLED: Asst. Professor/Microbiology

AMOUNT PAID: $170.00

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: M. J. B. Paynter (9)
Department Head

H. W. Vogel
Dean or Director

W. David Hagwell
Vice President

Approved by: Bill Atchley
Bill L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000085

CLEMSON
UNIVERSITY

PRESIDENT

DATE 6/15/83

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Robert Emil Kelley

CITY OF ADDRESS: Clewiston (Florida)

POSITION TO BE FILLED: Entomologist III (Pesticide Regulatory Specialist)

AMOUNT PAID: $342.02

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: H. B. Jackson
Department Head

P H Sloan Jr
Dean or Director

W D Maxwell JMR
Vice President

Approved by: Bill Atchley
Bill L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000086

CLEMSON
UNIVERSITY

PRESIDENT

DATE May 24, 1983

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. Jen-Men W. Lo

CITY OF ADDRESS: San Jose, California 95123

POSITION TO BE FILLED: Assistant Professor of Civil Engineering

AMOUNT PAID: $50.00

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: Russell K. Brown
Department Head

J. Charles Jennett
Dean or Director

W. Donald Wotwell
Vice President

Approved by: Bill L. Atchley
Bill L. Atchley, President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

000087

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

RECEIVED
JUL 7 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: David V. Taylor

City Address: Minneapolis MN.

Position to be Filled: Dean of Undergraduate Studies

Travel Dates: From 6-20-83 To 6-23-83

Total Travel Reimbursement: 436.50

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: [signature]
Edward M. Collins, Jr.
President

Original: Account Payable
~~Copy:~~ Budget and Control Board

EXHIBIT

JUL 12 1983 NO. 6

STATE BUDGET & CONTROL BOARD

FOUNDED 1770

000088

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Jack L. Armstrong

City Address: Arlington, VA

Position to be Filled: Dean of Undergraduate Studies

Travel Dates: From 4-27-83 To 4-28-83
5-14-83 5-17-83

Total Travel Reimbursement: $463.25

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

283.88 expenses May 14-17, 1983
179.37 expenses April 27-28-1983

Approved By: Edward M. Collins Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000089

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Rebecca Carpenter

City Address: Atlantic Beach N.C.

Position to be Filled: Faculty - Education

Travel Dates: From 6-9 To 6-11-93

Total Travel Reimbursement: 75.76

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Rebecca Carpenter

City Address: Atlantic Beach, N.C.

Position to be Filled: Faculty - Education

Travel Dates: From 6-9 To 6-11-83

Total Travel Reimbursement: $700

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000091

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: GLEN EARL FRANCE

City Address: Manhatten, Kansas

Position to be Filled: Faculty - Education

Travel Dates: From 6-12 To 6-14-83

Total Travel Reimbursement: 700

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000092

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Glenn Frang

City Address: Manhattan, Kansas

Position to be Filled: Faculty - Education

Travel Dates: From June 12 To June 14 1983

Total Travel Reimbursement: 799.80

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

J. Mc K 72.80
5/2 53 dinner 7.00

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000093

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Douglas Friedman

City Address: Staten Island N.Y

Position to be Filled: Faculty - Political Sci

Travel Dates: From 6-9 To 6-11-83

Total Travel Reimbursement: 387.80

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

F.A.R. - 72.50

Travel exp. 315.00

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000094

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Douglas Friedmann

City Address: New York, N.Y

Position to be Filled: Faculty - Political Science

Travel Dates: From 6-9 To 6-11-83

Total Travel Reimbursement: 2700

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

6-10-83 - 1000 (D. Mann)
6-9-83 700 (William Moore)
6-8-83 700 (F. Petrusek)
6-11-83 300 - W. Moore

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000095

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: John Golden

City Address: Ithaca, NY

Position to be Filled: faculty - English Dept

Travel Dates: From 6-5- To 6-6-83

Total Travel Reimbursement: 477 54

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000096

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Gregory Lathrop

City Address: Sycamore, IL

Position to be Filled: Faculty - Political Sci

Travel Dates: From 10-10 To 6-11-83

Total Travel Reimbursement: 360 40

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

FMA 360.40

Travel 39.00

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000097

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Greg Lathrop

City Address: DeKalb, Ill.

Position to be Filled: Faculty - Pol. Sci

Travel Dates: From 6-10-83 To 6-11-83

Total Travel Reimbursement: 8.00

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000098

FOUNDED 1770

THIS CASE MAY HAVE SOME OR ALL OF THE FOLLOWING DEFECTS WHICH MAY BE QUESTIONABLE WHEN READING. IN SPECIAL PROBLEM AREAS, THIS ROLL NOTE MAY BE REFILMED BEFORE THE DOCUMENT OR DOCUMENTS IN QUESTION.

1. PHOTOCOPY NOT CENTERED PROPERLY CUTTING OFF SOME OF THE INFORMATION.

2. DOCUMENTS ARE OF POOR QUALITY AND MAY NOT PHOTOGRAPH WELL.

3. DOCUMENTS DAMAGED OR TORN BEFORE ARRIVING FOR FILMING.

4. DOCUMENTS CONTAIN A DOUBLE-COPY IMAGE, THE UNDERLYING IMAGE IS IRRELEVENT TO THE READABLE INFORMATION.

5. DOCUMENTS WITH GLUED INSERTS WHICH WERE OR COULD NOT BE REMOVED, INFORMATION MAY OR MAY NOT BE UNDER THE INSERT.

6. OVERSIZED DOCUMENTS THAT COMPRISE TWO OR MORE FRAMES.

7. EXTREMELY DARK COLORED DOCUMENTS THAT LACK CONTRAST BETWEEN WRITING AND BACKGROUND.

8. SOME OF THE NUMERED PAGES APPEAR TO BE MISSING.

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Andrew Lewis

City Address: Winter Haven, Fl

Position to be Filled: Faculty - Physical Ed

Travel Dates: From May 30 To June 1 1982

Total Travel Reimbursement: 280.00

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

5
280.00 - Reimbursement
1300 - Total

Approved By: Edward M. Collins Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000099

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Barbara Ludwig

City Address: St. Charles, Mo.

Position to be Filled: Director of Evening Studies

Travel Dates: From 6-20 To 6-21-83

Total Travel Reimbursement: 396.2

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000100

Mc 1

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

RECEIVED
JUN 28 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Kathleen J. Marshall

City Address: Charlottesville, VA 22903

Position to be Filled: Faculty Education

Travel Dates: From 6-6-83 To 6-8-83

Total Travel Reimbursement: 402.06

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

TM Rate $206
Travel Expense 320 00

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000101

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: TERENCE DOLAN

City Address: Austin, Texas

Position to be Filled: Faculty - English Dept

Travel Dates: From 6-9- To 6-10-83

Total Travel Reimbursement: 462.00

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

F.M. Hotel 5 36.40

Meal exp 42.60

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000102

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Donna Schoeny

City Address: Charlottesville, VA

Position to be Filled: Director of Evening Studies

Travel Dates: From 6-27 To 6-28-83

Total Travel Reimbursement: 36 38

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: [signature]
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

000103

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: AARON Shatzman

City Address: St. Louis Missouri

Position to be Filled: DEAN of Undergraduate Studies

Travel Dates: From 4-28 To 4-29-83

Total Travel Reimbursement: 479

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: [signature]
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000104

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Helen Sheehan

City Address: Philadelphia, Pa

Position to be Filled: Faculty-Sociology

Travel Dates: From 6-9 To 6-12-83

Total Travel Reimbursement: $283.00

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

$276.00 Plane - Supper
7.50 - Dinner 6-10-83

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

RECEIVED
JUN 30 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Richard VOORNEVELD

City Address: Gainesville, Fla

Position to be Filled: Faculty - Education

Travel Dates: From June 8 To 6-10-83

Total Travel Reimbursement: 426 74

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

J. M Hotel - 30.74
rels w/faculty 21.00
wif expens 325.00

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000106

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Rolland James Watson

City Address: Birmingham, Al

Position to be Filled: Director of Evening Studies

Travel Dates: From 6-23 To 6-24-83

Total Travel Reimbursement: 40 56

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: _____
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000107

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Jaytek Zukt

City Address: Buffalo, N.Y

Position to be Filled: Faculty- Political Sci

Travel Dates: From 6-7 To 6-9-83

Total Travel Reimbursement: 73 80

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Edward M. Collins Jr
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000108

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Voytek Zubek

City Address: Buffalo, N.Y.

Position to be Filled: Faculty - Pol Sci

Travel Dates: From 6-7 To 6-9-83

Total Travel Reimbursement: 700

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: Edward M. Collins, Jr.
Edward M. Collins, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

000109

UNIVERSITY OF SOUTH CAROLINA
COLUMBIA, S. C. 29208

PRESIDENT

RECEIVED
JUN 30 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

June 23, 1983

EXHIBIT
JUL 12 1983 NO. 6
STATE BUDGET & CONTROL BOARD

Mr. William T. Putnam
Executive Director
S.C. Budget and Control Board
212 Wade Hampton Office Bldg.
Columbia, SC 29201

Subject: Interview Travel Certificates - May 1983

Dear ~~Mr. Putnam~~ Bill:

Attached are the University of South Carolina interview travel expenditures for May 1983. I certify that the expenses paid were for positions that warranted such costs, that such costs did not exceed the expense of conducting the interview at the interviewers home area or elsewhere, and that known qualified candidates residing in South Carolina were considered before candidates from other states.

Sincerely,

James B. Holderman

JBH/kb
Attachment

cc: Dr. Francis T. Borkowski
Dr. R. W. Denton
Chancellor Edward M. Singleton
Dean Darwin Bashaw
Dr. John J. Duffy
Dean Jack Anderson

The University of South Carolina: USC Aiken, USC Salkehatchie, Allendale; USC Beaufort; USC Columbia; Coastal Carolina College, Conway; USC Lancaster; USC Spartanburg; USC Sumter; USC Union; and the Military Campus.

INTERVIEW TRAVEL MAY 1983

DATE	NAME	DEPARTMENT	POSITION	* DESTINATION	AMOUNT
March 8-9, 1983	John Dennis	10000 A000 52023	Chairmanship Theatre & Speech	Baton Rouge, LA	346.59
February 6-8, 1983	Elias Mandala	10000 A000 52023	African History Professor	Minneapolis,Minn.	481.00
February 28-March 1, 1983	Bernd Ulrich	10000 A000 52023	Professor- Math and Statistics	West Lafayette, IN	372.00
February 6-8, 1983	Gary Jacobson	10000 A000 52023	Assistant Professor Biology	Boston, MA	416.00
February 14-16, 1983	Timothy Donahue	10000 A000 52023	Assistant Professor Biology	Urbana, IL	427.60
February 16-19, 1983	William Bruislow	10000 A000 52023	Assistant Professor Biology	Stanford, CA	641.90
February 21-23, 1983	James Turpen	10000 A000 52023	Assistant Professor Biology	University Park, PA	361.00
February 23-25, 1983	Richard Showman	10000 A000 52023	Assistant Professor Biology	Bloomington, IND	420.30
January 18, 1983	Martin McWilliams	10000 A000 52023	Professor - Law School	North Carolina	36.40
April 4-5, 1983	George Guirquis	10000 A000 52023	Professor - Math and Statistics	Pittsburg, PA	293.09
February 27, March 1, 1983	Thomas Markus	10000 A000 52023	Chairmanship Theatre & Speech	Richmond, VA	262.30
March 24-26, 1983	David Arnold	10000 A000 52023	Professor - College of Engineering	Tuscaloosa, AL	307.38
April 17-18, 1983	Howard Stavitz	10000 A000 52023	Professor-Law School	New York, N.Y.	72.80
April 12-13, 1983	Helene Freeman	10000 A000 52023	Professor-Law School	New York, N.Y.	241.40
May 3-5, 1983	Ruth Brewer	10000 A000 52023	Professor-Medical Surgical Nursing	Vida, TX	574.34
April 13-15, 1983	William Joyner	10000 A000 52023	Assistant Professor Math and Statistics	Baltimore, MD	266.34
April 26-27, 1983	Che Fang	10000 A000 52023	Assistant Professor Math and Statistics	Pittsburg, PA	320.14

000111

DATE	NAME	DEPARTMENT	POSITION	* DESTINATION	AMOUNT
April 25-27, 1983	Mary Kay Falconer	10000 A000 52023	Professor-Sociology	Tallahassee, FL	329.14
April 27-29, 1983	David A. Smith	10000 A000 52023	Professor-Sociology	Chapel Hill, N. C.	200.65
May 4-6, 1983	Lawrence L. Santi	10000 A000 52023	Assistant Professor Sociology	Tuscon, AZ	509.20
February 17-18, 1983	Michael Czinkota	10000 A000 52023	Professor - College of Business Administration	Washington, D. C.	301.00
April 13-19, 1983	Robert Hahn	10000 A000 52023	Assistant Professor Health Education	Seattle, WA	448.00
April 21-22, 1983	Marcia Jo Martin	10000 A000 52023	Assistant Reference Librarian - Thomas Cooper Library	Bloomington, IND	350.40
May 16-17, 1983	Susan Collins	10000 A000 52023	Assistant Reference Librarian - Thomas Cooper Library	Pittsburg, PA	299.90
January 23-26, 1983	Olga Church	11000 A000 52023	Professor - College of Nursing	Chicago, IL	386.20
February 6-8, 1983	Dixie Koldjeski	11000 A000 52023	Professor - College of Nursing	Kinston, N. C.	385.72
May 18-20, 1983	Martin Cohen	11040 A012 52023	Director of Medical Student Education - Neuropsychiatry and Behavorial Science - School of Medicine	Washington, D. C.	351.71
February 20-23, 1983	Robert Aiken	13000 E150 52023	Chairmanship - Computer Sciences	South, FL	968.73
March 2-4, 1983	James B. Nardi	13000 E150 52023	Professor - Biology	Urbana, IL	348.00
April 23-24.1983	Floyd Windal	15000 A000 52023	Program Director for Accounting, College of Business Administration	Athens, GA	143.92
April 11-12, 1983	Marcus Boggs	19040 E100 52023	USC Press Position	New York, N. Y.	300.80
April 28, 1983	Paul Stanton	17220 A000 52023	Position of Dean USC Beaufert	Conway, S. C. - Beaufort, S. C.	56.00

000112

DATE	NAME	DEPARTMENT	POSITION	* DESTINATION	AMOUNT
April 28-29, 1983	Ron Tuttle	17220 A000 52023	Position of Dean USC Beaufort	Blowing Rock, N. C. - Beaufort, S.C.	156.04
April 29, 1983	John Carpenter	17220 A000 52023	Position of Dean USC Beaufort	Columbia, S. C. - Beaufort, S. C.	52.00
April 29, 1983	John M. Dean	17220 A000 52023	Position of Dean USC Beaufort	Columbia, S. C. - Beaufort, S. C.	52.00
April 29, 1983	Donald Turner	17220 A000 52023	Position of Dean USC Beaufort	Columbia, S. C. - Beaufort, S. C.	52.00
April 28, 1983	Kenneth Davis	17220 A000 52023	Position of Dean USC Beaufort	Columbia, S. C. - Beaufort, S. C.	52.00
April 28, 1983	Edward Sarno	17220 A000 52023	Position of Dean USC Beaufort	Lancaster, Ohio- Beaufort, S. C.	321.49
April 29, 1983	Robert Alexander	17220 A000 52023	Position of Dean USC Beaufort	Columbia, S. C. - Beaufort, S. C.	27.04
April 25-26, 1983	David E. Majawski	17310 A040 52023	Instructor Theatre & Speech - Coastal Carolina	Detroit, MI- Myrtle Beach, S. C.	368.08
April 4-5, 1983	Marion Gilliland	17440 A000 52023	Professor of English USC - Sumter	Columbus, OH-Sumter, SC	162.00
April 21-22, 1983	John McDavid	17440 A000 52023	Chairman Business Adm. -Computer Division USC Sumter	Charleston, W. VA Sumter, S. C.	233.26

Round Trip Columbia Unless Otherwise Indicated

000113

RECEIVED
JUN 30 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

STATE BOARD FOR TECHNICAL
AND
COMPREHENSIVE EDUCATION

G. WILLIAM DUDLEY, JR.
EXECUTIVE DIRECTOR

111 EXECUTIVE CENTER DRIVE
COLUMBIA, S. C. 29210

EXHIBIT
JUL 12 1983 NO. 6
STATE BUDGET & CONTROL BOARD

June 28, 1983

Mr. Charles W. Gould
Vice President for Business Affairs
Beaufort Technical College
P. O. Box 1288
Beaufort, South Carolina 29902

Dear Charlie:

In reference to your letter of June 22, 1983, requesting authorization for payment of interviewee travel expenses for the position of Dean of the Business and Public Service Division, it has been determined that the necessary criteria have been met.

If we may be of further assistance, please do not hesitate to call on us.

With kind personal regards,

Sincerely,

Wyman D. Shealy
Associate Executive Director

WDS:bhc

CC: William T. Putnam w/encl.
Donald R. Peterson w/encl.

JUN 27 1983

BEAUFORT TECHNICAL COLLEGE
Ribaut Road, P.O. Box 1288 Beaufort, South Carolina 29902
George W. Goldsmith, Jr., President
803-524-3380

June 22, 1983

Mr. Wyman Shealy
State Board for Technical
and Comprehensive Education
111 Executive Center Drive
Columbia SC 29210

Dear Wyman:

This letter is in reference to the requirements set forth in the November 4, 1982 memorandum to Agency Heads from W. T. Putnam concerning the revisions of the Budget and Control Board's Interviewee Travel Expense Payment Policy. At George Goldsmith's instruction, since he is on military leave, I am requesting approval to reimburse an interviewee's travel expense under the revision. The formal determinations in the case, as required by the policy, are as follows:

(1) The position we are seeking to fill is that of the Dean of the Business and Public Service Division. Since the college is divided into three instructional divisions, each administered by a Division Dean, the position is of vital importance to the College, The Division Dean is responsible for all divisional instructional activity, supervision of the division's full-time faculty, and part-time faculty.

(2) We are requesting permission to reimburse travel expense for the interviewee from St. Petersburg, Florida for the airline ticket, motel accomodations and applicable state per diem reimbursements within state guidelines. Since this interview is being conducted by a search committee, the cost of reimbursing the interviewee is less than the travel costs which would be incurred by the committee as a whole.

(3) The college has advertised for this position in February, 1983 and again in April, 1983. Four candidates were interviewed in March, 1983 and again in April 1983 and three of

William S. Hall Psychiatric Institute
The Teaching and Research Facility of the South Carolina Department of Mental Health

P. O. Box 202 / Columbia, South Carolina / 29202

Alexander G. Donald, M.D.
Director

RECEIVED
JUN 24 1983
State Commissioner of Mental Health
S. C. Dept. of Mental Health

M E M O R A N D U M

TO: William S. Hall, M.D.
State Commissioner of Mental Health

FROM: Alexander G. Donald, M.D.
Director, William S. Hall Psychiatric Institute

DATE: June 21, 1983

SUBJECT: Request for Exemption from the Freeze on Travel Monies

I would like to request an exemption from the freeze on travel monies. Specifically, I would like to expend travel monies to reimburse an applicant for the position of Chief of the Adolescent Inpatient Unit here at the William S. Hall Psychiatric Institute.

Dr. Haresh Mehta is a fully trained child psychiatrist. As you can see from his attached resume he did his adult psychiatry residency training at Eastern Virginia Graduate School of Medicine and his child psychiatry training at the University of Michigan in Ann Arbor, Michigan. He is Board Certified in General Psychiatry. In addition, for the past three years he has been Assistant Inpatient Director for a 20-bed child and adolescent unit at Mt. Carmel Mercy Hospital in Detroit, Michigan.

We have advertised this position in the South Carolina Department of Mental Health Bulletin. We have only one qualified candidate to interview from South Carolina as a result of the advertising.

This is a significant position to be filled in light of the teaching mission of the Institute and the cost is warranted. The cost of this interview will be significantly less than to pay the cost of five staff members to travel to Michigan for the interview. In addition, it is imperative that the applicant see the Institute in order to make an informed decision. The approximate cost of this travel will be $498.00.

AGD/llb

CC: William McInnis

S. C. STATE DEPARTMENT OF MENTAL HEALTH
APPROVED
JUN 24 1983
COMMISSIONER

EXHIBIT
JUL 12 1983 NO. 6
STATE BUDGET & CONTROL BOARD

000117

CORRECTION

JUN 27 1983

BEAUFORT TECHNICAL COLLEGE
Ribaut Road, P.O. Box 1288 Beaufort, South Carolina 29902
George W. Goldsmith, Jr., President
803-524-3380

June 22, 1983

Mr. Wyman Shealy
State Board for Technical
and Comprehensive Education
111 Executive Center Drive
Columbia SC 29210

Dear Wyman:

This letter is in reference to the requirements set forth in the November 4, 1982 memorandum to Agency Heads from W. T. Putnam concerning the revisions of the Budget and Control Board's Interviewee Travel Expense Payment Policy. At George Goldsmith's instruction, since he is on military leave, I am requesting approval to reimburse an interviewee's travel expense under the revision. The formal determinations in the case, as required by the policy, are as follows:

(1) The position we are seeking to fill is that of the Dean of the Business and Public Service Division. Since the college is divided into three instructional divisions, each administered by a Division Dean, the position is of vital importance to the College, The Division Dean is responsible for all divisional instructional activity, supervision of the division's full-time faculty, and part-time faculty.

(2) We are requesting permission to reimburse travel expense for the interviewee from St. Petersburg, Florida for the airline ticket, motel accomodations and applicable state per diem reimbursements within state guidelines. Since this interview is being conducted by a search committee, the cost of reimbursing the interviewee is less than the travel costs which would be incurred by the committee as a whole.

(3) The college has advertised for this position in February, 1983 and again in April, 1983. Four candidates were interviewed in March, 1983 and again in April 1983 and three of

the candidates were South Carolina residents. None of the candidates were found to be acceptable for the position and the search was reopened. Fifteen South Carolina residents and twenty four out of state residents applied for the position. All of the fifteen South Carolina residents were eliminated because they either failed to meet the minimum advertised requirements (Masters Degree in one of the Division's disciplines and a minimum of three years teaching in a post secondary institution) or they failed to respond to a request for a completed state application form. Of the twenty four out of state applicants, three were selected for telephone interviews and the interviewee from St. Petersburg, Florida was judged to be the best prospect.

If there is any other information required, please advise.

Sincerely,

Charles

CHARLES W. GOULD
FOR GEORGE W. GOLDSMITH, JR.
President

CWG/amh

CC: President
Dean of Instruction

William S. Hall Psychiatric Institute
The Teaching and Research Facility of the South Carolina Department of Mental Health

P. O. Box 202 / Columbia, South Carolina / 29202

Alexander G. Donald, M.D.
Director

RECEIVED
JUN 24 1983
State Commissioner of Mental Health
S. C. Dept. of Mental Health

M E M O R A N D U M

TO: William S. Hall, M.D.
State Commissioner of Mental Health

FROM: Alexander G. Donald, M.D.
Director, William S. Hall Psychiatric Institute

DATE: June 21, 1983

SUBJECT: Request for Exemption from the Freeze on Travel Monies

I would like to request an exemption from the freeze on travel monies. Specifically, I would like to expend travel monies to reimburse an applicant for the position of Chief of the Adolescent Inpatient Unit here at the William S. Hall Psychiatric Institute.

Dr. Haresh Mehta is a fully trained child psychiatrist. As you can see from his attached resume he did his adult psychiatry residency training at Eastern Virginia Graduate School of Medicine and his child psychiatry training at the University of Michigan in Ann Arbor, Michigan. He is Board Certified in General Psychiatry. In addition, for the past three years he has been Assistant Inpatient Director for a 20-bed child and adolescent unit at Mt. Carmel Mercy Hospital in Detroit, Michigan.

We have advertised this position in the South Carolina Department of Mental Health Bulletin. We have only one qualified candidate to interview from South Carolina as a result of the advertising.

This is a significant position to be filled in light of the teaching mission of the Institute and the cost is warranted. The cost of this interview will be significantly less than to pay the cost of five staff members to travel to Michigan for the interview. In addition, it is imperative that the applicant see the Institute in order to make an informed decision. The approximate cost of this travel will be $498.00.

AGD/llb

CC: William McInnis

S.C. STATE DEPARTMENT OF MENTAL HEALTH
APPROVED
JUN 24 1983
COMMISSIONER

EXHIBIT
JUL 12 1983 NO. 6
STATE BUDGET & CONTROL BOARD

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 7 BLUE AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 7

STATE BUDGET & CONTROL BOARD

Agency: Department of Corrections

Subject: Permanent Improvement Program Report for June, 1983

Please refer to the attachment for details on the status of the Department of Corrections permanent improvement program for June 1983.

Board Action Requested:

Receive as information.

Staff Comment:

Attachments:

Referenced report

000118

RECEIVED
JUL 01 1983

DIVISION OF CONSTRUCTION, ENGINEERING & MAINTENANCE

NELSON W. MEEK, P. E., DIRECTOR

JUNE, 1983

I. CONSTRUCTION MISSION: To provide necessary coordination and supervision required for the implementation and execution of the Comprehensive Ten-Year Growth and Capital Improvement Plan.

EXHIBIT

JUL 12 1983 NO. 7

STATE BUDGET & CONTROL BOARD

II. PROJECTS:

A. Perry Correctional Institution (N04-002)

General Contractor - Robert E. Pinnix Associates

(1) Continuing working with the Attorney General's Office regarding the problems with Pinnix to come to an agreement.

(2) Shamrock is working on the security system through the Demand Correction Work.

(3) There is a pending problem with the roof, awaiting final report from Law Engineering Testing Company on roof study.

B. Cross Anchor Industries Building - Inmate Labor Project (N04-027)

(1) Masonry work one hundred percent (100%) complete.

(2) Installation of wall lockers "P" Building sixty percent (60%) complete.

(3) Pouring concrete at bottom of perimeter fence ninety percent (90%) complete.

(4) Removed recreation field lighting one hundred percent (100%) complete.

(5) Removed recreation field fence one hundred percent (100%) complete.

(6) Installation of Industries Building lighting ninety-five percent (95%) complete.

(7) Installation of sprinkler system complete.

(8) Initiated layout of air conditioning and ventilation equipment.

STATE ENGINEER'S OFFICE

(9) Industries Building wiring sixty percent (60%) complete.

(10) Constructed steam cleaning room.

(11) Initiated equipment setting under the direction of Supervisor from the John R. Wald Company.

C. Perry Infirmary (N04-033)

(1) Project on hold pending release of funds.

D. Coastal Medium Security Facility (Francis Lieber) (N04-056)

(1) MGJ&K are finalizing sitework, well and sewage plans; will submit to State Engineer's Office for approval week of July 4, 1983.

E. Industries and Food Service Warehouses (N04-059 and N04-062)

(1) Project on hold pending release of funds.

F. Women's Correctional Center (N04-052) (96-Bed Medium Security Addition)

(1) Project on hold pending release of funds.

G. Wateree Sewer Upgrade and MacDougall Sewer Upgrade (EPA Project)

(1) Continuing operation and maintenance testing procedures. Report to be submitted to DHEC in the near future.

H. Perry Multipurpose Building (N04-003)

(1) Project on hold pending release of funds.

I. Dutchman Multipurpose Building (N04-006)

(1) Project on hold pending release of funds.

JUL 0 1 1983
STATE ENGINEER'S
OFFICE

J. Wateree Water Treatment Plant (Inmate Labor Project) (N04-011 and surplus funds out of N04-009 and N04-031)

(1) Chemical equipment ninety-eight percent (98%) complete. Metering pump being installed week of June 27, 1983.

K. KCI Psychiatric Facility (N04-073)

(1) Slab at grade for Treatment Building is placed.

(2) Initiated masonry for exterior walls.

(3) Completed first lift of column pours for the dormitory unit.

(4) Initiated underslab plumbing rough-in at the south end of the dormitory unit.

(5) Completed the perimeter footings, inner and outer at the north end of the dormitory unit.

(6) Laying face brick of the covered walkway leading from the Infirmary to the new building site eighty percent (80%) complete.

L. Fire/Life Safety (N04-071)

(1) Palmer Work Release Center - Work in progress.

(2) Aiken Youth Correction Center - No work this period due to other priorities.

(3) Watkins Pre-Release Center - Fire exit installation will be completed in August, 1983.

(4) Blue Ridge Pre-Release/Work Release Center - Purchasing materials for fire exit.

(5) Central Correctional Institution - Fire exit for CB3 complete. CB2 work in progress.

(6) Continuing work on bid package for State Engineer's approval.

000121

RECEIVED
JUL 0 1 1983
STATE ENGINEER'S OFFICE

M. Kirkland Correctional Institution Armory (N04-067)

(1) Materials on order.

N. Catawba Sewer and Building Renovations (N04-070)

(1) Right-of-way lease has been obtained.

(2) Office and Dorm renovations seventy percent (70%) complete.

(2) Treatment Plant drain line started and 400 ft. installed; rock has been blasted and being removed.

O. Materee Dairy (N04-021)

(1) Excavation and fill of Milking Parlor and Processing Plant ninety-five percent (95%) complete.

(2) Excavation of lagoon on Dairy site twenty percent (20%) complete.

(3) Material requisitions typed and ready to be submitted to State Purchasing.

P. State Park Renovations

(1) Plans are being prepared for renovations.

Q. Watkins Renovations (Special Learning Unit)

(1) Plans are being prepared for renovations.

Nelson W. Meek, P.E.
Director, Division of Construction,
Engineering and Maintenance

NWMtmjh

EXHIBIT
JUL 12 1983 NO. 7
000122
STATE BUDGET & CONTROL BOARD

ENGINEERING AND MAINTENANCE BRANCH

HARRY W. OBERLIES, CHIEF

JUNE, 1983

JUL 01 1983

I. MISSION: The mission of the Engineering and Maintenance Branch is to properly maintain and operate physical plant facilities of the South Carolina Department of Corrections, to perform assigned renovation projects at existing facilities; and to provide technical assistance to institutional maintenance personnel, state, county and city officials. This mission is accomplished using a small supervisory staff and a large number of inmate tradesworkers.

II. MAINTENANCE - ROUTINE AND EMERGENCIES

During the month of June, 1983, 184 work orders were received from 3000 institutions and facilities. 151 of these work orders were declared emergencies by institutional personnel calling them in. A total of 215 work orders were completed during the month. 220 purchase orders were processed during this time.

III. RENOVATIONS:

A. Central Correctional Institution Renovations (R04-060)

(1) CB-2 CCTV installation seventy-five percent (75%) complete; no work this period due to other priorities.

(2) CB-2 Radio/TV listening systems ten percent (10%) complete; no work this period due to other priorities.

IV. SPECIAL PROJECTS:

A. Abattoir Blood Drying System

(1) Blood drying system fabrication continuing.

Harry W. Oberlies, Chief
Engineering & Maintenance Branch

HWO:nph

EXHIBIT

JUL 12 1983 NO. 7 000123

STATE BUDGET & CONTROL BOARD

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 8 BLUE AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 8

STATE BUDGET & CONTROL BOARD

Agency: Executive Director's Office

Subject: Data on Foster Care Study

Please refer to attachment for details giving further data on the foster care study and the methodologies employed in it.

Board Action Requested:

Receive as information.

Staff Comment:

Attachments:

Brophy May 17 memo to Putnam plus attachments

000124

ANNUAL COST OF RAISING A CHILD (1)

FROM BIRTH TO AGE 18

LOW COST LEVEL

JUNE 1982 PRICE LEVELS

REGION : SOUTH

URBANIZATION : URBAN

AGE OF CHILD (YEARS)	TOTAL	FOOD AT HOME (2)	FOOD AWAY FROM HOME	CLOTHING	HOUSING (3)	MEDICAL CARE	EDUCATION	TRANSPORTATION	ALL OTHER (4)
UNDER 1	2,938	471	0	114	1,255	189	0	575	334
1	3,137	571	0	114	1,255	189	0	575	334
2 - 3	2,721	545	0	163	1,168	162	0	496	287
4 - 5	2,847	619	52	163	1,168	162	0	496	287
6	2,829	619	52	229	935	162	52	471	310
7 - 9	2,928	718	52	229	935	162	52	471	310
10 - 11	3,152	842	52	229	935	162	52	471	310
12	3,261	842	77	317	961	162	52	523	334
13 - 15	3,360	941	77	311	961	162	52	523	334
16 - 17	3,610	1,765	77	359	988	162	52	549	358
TOTAL	55,389	13,621	878	4,212	18,212	2,979	624	9,144	5,728

(1) CHILD IN A FAMILY OF HUSBAND AND WIFE AND NO MORE THAN 5 CHILDREN
(2) INCLUDES HOME-PRODUCED FOOD AND SCHOOL LUNCHES
(3) INCLUDES SHELTER, FUEL, UTILITIES, HOUSEHOLD OPERATIONS, FURNISHINGS, AND EQUIPMENT
(4) INCLUDES PERSONAL CARE, RECREATION, READING, AND OTHER MISCELLANEOUS EXPENDITURES

SOURCE: COSTS WERE UPDATED FROM ESTIMATES IN TABLE 2 OF CFE (ADM.)-218, 1971, USDA, AGRICULTURAL RESEARCH SERVICE, COST OF RAISING A CHILD--DERIVED FROM 1967-68 SURVEY OF CONSUMER EXPENDITURES, DETAIL TABLES. ESTIMATES ROUNDED TO NEAREST $1

000125

EXHIBIT

JUL 19 1983 NO. 8

STATE BUDGET & CONTROL BOARD

THIS CASE MAY HAVE SOME OR ALL OF THE FOLLOWING DEFECTS WHICH MAY BE QUESTIONABLE WHEN READING. IN SPECIAL PROBLEM AREAS, THIS ROLL NOTE MAY BE REFILMED BEFORE THE DOCUMENT OR DOCUMENTS IN QUESTION.

1. PHOTOCOPY NOT CENTERED PROPERLY CUTTING OFF SOME OF THE INFORMATION.

2. DOCUMENTS ARE OF POOR QUALITY AND MAY NOT PHOTOGRAPH WELL.

3. DOCUMENTS DAMAGED OR TORN BEFORE ARRIVING FOR FILMING.

4. DOCUMENTS CONTAIN A DOUBLE-COPY IMAGE, THE UNDERLYING IMAGE IS IRRELEVENT TO THE READABLE INFORMATION.

5. DOCUMENTS WITH GLUED INSERTS WHICH WERE OR COULD NOT BE REMOVED, INFORMATION MAY OR MAY NOT BE UNDER THE INSERT.

6. OVERSIZED DOCUMENTS THAT COMPRISE TWO OR MORE FRAMES.

7. EXTREMELY DARK COLORED DOCUMENTS THAT LACK CONTRAST BETWEEN WRITING AND BACKGROUND.

8. SOME OF THE NUMERED PAGES APPEAR TO BE MISSING.

FOSTER CARE REIMBURSEMENT OPTIONS* FOR BASIC SUPPORT ONLY
FOR FOSTER CHILDREN BASED ON AN ADJUSTED FOR SOUTH CAROLINA
COST CENTERS (REFERENCE: USDA STUDY "COST OF RAISING A CHILD FROM BIRTH TO 18")

	CURRENT DSS CLIENTS	CURRENT DSS	TOTAL BASIC DSS EXPEND. PER MONTH	"A"	"B"	"C"	"D"	"E"
0-5	802X	$120/Mo. =	$ 96,240	$195/Mo. = $ 156,390	$186/Mo. = $ 149,172	$174/Mo. = $ 139,548	$138/Mo. = $ 110,676	$117/Mo. = $ 93,834
6-12	976X	$135/Mo. =	$ 131,760	$212/Mo. = $ 206,912	$203/Mo. = $ 198,128	$194/Mo. = $ 189,344	$158/Mo. = $ 154,208	$141/Mo. = $ 137,616
13+	890X	$156/Mo. =	$ 138,840	$244/Mo. = $ 217,160	$236/Mo. = $ 210,040	$226/Mo. = $ 201,140	$208/Mo. = $ 185,120	$171/Mo. = $ 152,190
		Monthly Total	$ 366,840	$ 580,462	$ 557,336	$ 530,032	$ 450,004	$ 383,740
		Annualized	$4,402,080	$6,965,544	$6,688,032	$6,360,384	$5,400,048	$4,604,880
1982-83 Total F.C. Appropriation			$5,212,472					
				Variance to FY 82-83 total appropriation: ($1,753,472)	($1,476,760)	($1,148,112)	($ 187,976)	$ 607,192

"A" = 100% of adjusted for S.C. southern mean cost centers.

"B" = Same as "A" except housing and transportation cost centers at 75%.

"C" = Same as "A" except housing at 75% and transportation at 50%.

"D" = Same as "A" except housing and transportation at 50%.

"E" = Same as "A" except housing at 25% and transportation at 50%.

*Due to the fact that DSS has 97.3% of the foster children and 97.7% of the foster care funds, this agency was used as a model of the methodology.

20%

40%

APPENDIX B

000126

State of South Carolina

Office of the Governor

RICHARD W. RILEY
GOVERNOR

OFFICE OF EXECUTIVE
POLICY AND PROGRAMS

MEMORANDUM

SUBJECT: Southeastern Foster Care Rates by State

DATE: March 21, 1983

The following rates reflect the individual state Social Service Agency reimbursements for FY 1983 to foster parents for each foster child in their care. These rates, except where noted, do not include clothing allowances, incidentals and special fees.

STATE	AGE	REGULAR ROOM & BOARD		
GEORGIA	0-18	$271.20 ($8.92/day)		
ALABAMA	0-2	$153.00 ($5.03/day)		
	3-5	$162.00 ($5.33/day)		
	6-12	$171.00 ($5.62/day)		
	13-21	$180.00 ($5.92/day)		
NORTH CAROLINA	0-21	$150.00 ($4.93/day)		
MISSISSIPPI	0-21	$123.00 ($4.04/day) (Fluctuating clothing rates of $60-$240)		
TENNESSEE	0-3	$110.00 ($3.62/day)		
	3-6	$120.00 ($3.95/day)		
	6-13	$150.00 ($4.93/day)		
	13-21	$180.00 ($5.92/day)		
FLORIDA			(Moderate)	(Intensive)
	0-11	$198.00 ($6.51)	$210 ($6.90)	$221 ($7.27)
	12+	$250.00 ($8.22)	$262 ($8.61)	$274 ($9.01)
KENTUCKY	0-6	$180.00 ($5.92/day)		
	6-12	$196.00 ($6.44/day)		
	13+	$210.00 ($6.90/day)		
SOUTH CAROLINA	0-5	$120.00 ($3.95/day)		
	6-12	$135.00 ($4.44/day)		
	13-18 (or 21)	$156.00 ($5.13/day)		

000127

APPENDIX C2

EXHIBIT

JUL 12 1983 NO. 8

STATE BUDGET & CONTROL BOARD

State of South Carolina

Office of the Governor

RICHARD W. RILEY
GOVERNOR

OFFICE OF EXECUTIVE
POLICY AND PROGRAMS

MEMORANDUM

SUBJECT: South Carolina State Agencies' Foster Care Reimbursements for FY 1983

DATE: March 21, 1983

Please find listed below foster care board payments for children in South Carolina.

		Payment	
		Per Day	Per Month
Department of Social Services	Birth through 5 years	$4.00	$120
	6 years through 12 years	4.50	135
	13 years through 18 years	5.20	156
Department of Youth Services	Foster Family Homes		$160 per month
	*Agency Contracted Group Homes		$8.15 to $34.71 per
	Agency Operated Group Homes		$39.56 per day
	**Temporary Foster Placement		$30.00 per day (Titl XX Ma

*Average length of stay - 3 to 4 months

**2 day maximum stay - Federally Funded Jail Removal Program, primarily for status offenders and runaway

Department of Mental Retardation — Will pay up to $500 per month, depending on handicapping conditions of the child. All other payment sources (SSI, trust funds, DSS payments, social security, etc.) are explored and subtracted from the $500 for net payment by DMR.

Children's Bureau	Infants	$4.25 per day
	Very Handicapped	6.35 per day
	Payment for other children range between $4.25 and $6.35.	

APPENDIX D

000128

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM C. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

Box 12444
Columbia
29211

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

EXHIBIT

JUL 12 1983 NO. 8

STATE BUDGET & CONTROL BOARD

May 17, 1983

MEMORANDUM TO: W. T. Putnam

FROM: Ed Brophy

SUBJECT: Report of Meeting on Foster Care Study

I gave an explanation of the methodology used and went through it with the agencies. The attendees were asked if their agencies could support the methodology. The responses follow:

Ira Barbell, Department of Social Services - will support

Mike LeFever, Department of Youth Services - will support

Annette Boette, Children's Bureau - will support

Ms. Boette stated that the Children's Bureau would prefer a grand-parenting clause.

It was pointed out that special needs will have to be dealt with separately; DSS is already doing this.

EPB/nk

Attachment

000129

EXHIBIT

STATE BUDGET AND CONTROL BOARD
MEETING OF July 12, 1983 JUL 12 1983 NO. 9

REGULAR SESSION AGENDA
ITEM NUMBER 2

STATE BUDGET & CONTROL BOARD

Agency: Winthrop College

Subject: Institution Bond Tuition Reduction/Reallocation

The action by the Winthrop College Board of Trustees taken at its April 23 meeting which reduced tuition fees for in-state students from $150 to $50 and reduced tuition fees for out-of-state students from $400 to $100 was carried over at the June 28 Board meeting.

President Thomas had advised the Board that the proposed tuition fee structure for 1983-84 is expected to generate $238,000 which the State Treasurer's Office has found to be adequate to cover future debt payments on the institution bond debt currently outstanding (the principal and interest due on these bonds in 1983-84 is $115,125).

President Thomas, in his June 15 letter, had indicated that Winthrop had not "reallocated" tuition fees but had, in fact, reduced those fees. It now turns out that Winthrop did effectively reallocate tuition fees in that total fees charged by the College in 1983-84 were increased by $360 and $470 for in-state and out-of-state students, respectively. Thus, while tuition fees which are committed to debt service purposes were reduced from $150 to $50 for in-state students and from $400 to $100 for out-of-state students, other fees were increased by more than these reductions.

Contrary to what was indicated at the last meeting, what is being proposed by Winthrop is not different from what has happened and what has been approved for The Citadel and Clemson University in that what has been tuition fee revenue is proposed for reallocation to other purposes. President Thomas and his staff have indicated that their intention is to retain the equivalent of the revenue being reallocated for use on maintenance, repair and renovation projects as has been approved for The Citadel and Clemson.

Details which support these statements by officials of the College are to be presented at the meeting.

Board Action Requested:

Winthrop College asks for Board approval of the 1983-84 tuition fee structure for institution bond debt purposes which would call for the payment of a $50 tuition fee by in-state students and a $100 tuition fee by out-of-state students with the understanding that the funds generated by what the tuition fee had been would be reallocated for use on maintenance, repair, and renovation projects.

Staff Comment:

Attachments:

Thomas June 3 letter to McInnis plus attachments

JUN 6 1983

Winthrop College

Rock Hill, South Carolina 29733

OFFICE OF THE PRESIDENT

TELEPHONE
803·323·2225

June 3, 1983

Mr. William A. McInnis
Deputy Executive Director
State Budget and Control Board
Post Office Box 12444
Columbia, S.C. 29211

RE: Tuition Fees

Dear Bill:

In response to your request of June 1, enclosed please find schedules of tuition and other fees adopted by the Winthrop College Board of Trustees in its April 23 meeting. As you are no doubt aware, the indicated adjustment in tuition fees for the 1983-1984 academic term more than satisfies our institutional debt service obligations.

Accordingly, I would request that the enclosed 1983-1984 tuition fees be formally submitted to the Budget and Control Board for approval, and that other fee material submitted be conveyed to the Board as information.

I very much appreciate your assistance and cooperation in this matter. Should you have any questions or comments regarding enclosed material please do not hesitate to contact me.

Sincerely,

Glenn G. Thomas

Glenn G. Thomas
Interim President

GGT:vcd

Enclosures

000131

WINTHROP COLLEGE

Student Fees for 1983-84

Fees Approved by the Winthrop College Board of Trustees April 23, 1983.

Undergraduate, full-time fee structure for 1982-83 and 1983-84.

	IN-STATE		OUT OF STATE	
Fee Area	1982-83	1983-84	1982-83	1983-84
Tuition Fees	$ 150	$ 50	$ 400	$ 100
General Fees	852	1,152	1,390	2,000
Residence Fees	740	900	740	900
Food Service Fees	656	656	656	656
TOTALS	$2,398	$ 2,758	$ 3,186	$ 3,656
TOTAL DOLLAR INCREASES		$ 360		$ 470
TOTAL % INCREASES		15%		15%

Part time fees are projected using the figures shown above, and a detailed analysis of fees for part-time and graduate students is shown in Exhibit A, attached.

WINTHROP COLLEGE

Proposed General Fees

For Fiscal Year 1983-84

EXHIBIT Exhibit A

JUL 12 1983 NO. 9

STATE BUDGET & CONTROL BOARD

	IN - STATE 1982-83	IN - STATE 1983-84	OUT - OF - STATE 1982-83	OUT - OF - STATE 1983-84
Registration Fees	$ 5.00	$ 5.00	$ 5.00	$ 5.00
General Fees				
	Undergraduates (12 hours or more) Per Year			
Tuition	$ 150.00	$ 50.00	$ 400.00	$ 100.00
Student Activities	150.00	150.00	150.00	150.00
Coliseum Debt Service	48.00	48.00	48.00	48.00
Health Services	42.00	42.00	42.00	42.00
Educational	612.00	912.00	1,150.00	1,760.00
Total	$1,002.00	$1,202.00	$1,790.00	$2,100.00
	Graduates (12 hours or more) Per Year			
Tuition	$ 150.00	$ 50.00	$ 246.00	$ 100.00
Student Activities	150.00	150.00	150.00	150.00
Coliseum Debt Service	48.00	48.00	48.00	48.00
Health Services	42.00	42.00	42.00	42.00
Educational	612.00	912.00	684.00	1,046.00
Total	$1,002.00	$1,202.00	$4,170.00	$1,386.00
	Undergraduates (11 hours or fewer) Per Credit Hour			
Tuition	$ 6.25	$ 2.25	$ 16.75	$ 4.50
Student Activities	6.25	6.25	6.25	6.25
Coliseum Debt Service	2.00	2.00	2.00	2.00
Health Services (optional)	--	--	--	--
Educational	25.50	38.50	49.00	76.25
Total	$ 40.00	$ 49.00	$ 74.00	$ 89.00
	Graduates (11 hours or fewer) Per Credit Hour			
Tuition	$ 6.25	$ 2.25	$ 10.25	$ 4.50
Student Activities	6.25	6.25	6.25	6.25
Coliseum Debt Service	2.00	2.00	2.00	2.00
Health Services (Optional)	--	--	--	--
Educational	25.50	38.50	28.50	43.25
Total	$ 40.00	$ 49.00	$ 47.00	$ 56.00

Budget Office
4/12/83

000133

WINTHROP COLLEGE

NEW GENERAL FUND AND AUXILIARY FUND MAINTENANCE INCREASES FOR 1983-1984.

Fund	Description	Amount
A	Renovation	$ 135,000
B	Renovation	120,000
A	Salary increases (maintenance)	65,000
A	Building Equipment	100,000
TOTAL		$ 420,000

7-6-83

WINTHROP COLLEGE
Institution Bond Debt Retirement Account

Projection

Average Annual Contribution	$ 238,000
Average Annual Interest	350,000

Assets

Current Balance at 6-30-83	$3,700,000
Contribution 5 yrs. @ $238,000/yr	1,190,000
Interest 5 yrs. @ $350,000/yr	1,750,000
Total Assets	$6,640,000

Liabilities

Renovations over 5 years *	$3,000,000
Balance at July 1, 1988	$3,640,000

* Planned renovations will include complete renovation of McLaurin, Margaret Nance, Bancroft and Withers buildings and renewal of and improvements for the central air conditioning system.

In addition to the renovations mentioned above, $2,000,000 in Capital Improvement Bond funds will be used for the repair of roofs on all buildings on which repairs are necessary, addition of storm windows and insulation, addition of exterior doors and reapir of damage.

7-6-83

000135

WINTHROP COLLEGE

Tuition Fees

	In-State	Out-of-State
1982-83 Tuition Fee	$150.00	$400.00
1983-84 Tuition Fee Approved by Board of Trustees on April 23, 1983	$ 50.00	$100.00

Budget Office
6/3/83

000136

WINTHROP COLLEGE

Student Fees 1983-84

	In-State	Out-of-State
General Educational Fees	$1,152.00	$2,000.00
Residence Hall Fees	900.00	900.00
Food Service Fees	656.00	656.00

Budget Office
6/3/83

DDD136-A

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

June 1, 1983

EXHIBIT

JUL 12 1983 NO. 9

STATE BUDGET & CONTROL BOARD

Acting President Glenn G. Thomas
Winthrop College
Rock Hill, SC 29733

Dear President Thomas:

Because some misunderstandings apparently exist with regard to the setting of tuition fees and the approval process involved, the Budget and Control Board, at its meeting on May 24, 1983, directed staff to make a special effort to ensure that the Board's role under the law is understood clearly.

Code Section 59-107-20 provides that the respective Boards of Trustees of the several institutions involved are to prescribe the amount of tuition fees with the approval of the Budget and Control Board. That is interpreted to mean that the specific amount of tuition fee charged each student is what the trustees will prescribe with the approval of the Budget and Control Board. Once that fee has been prescribed and approved, the revenues derived from it are to be remitted to the State Treasurer in accord with Code Section 59-107-30. These are the funds which would go into the institution bond debt service account in the State Treasurer's custody and the aggregate amounts generated must be sufficient to provide the funds needed to meet the debt service and debt service reserve requirements on outstanding institution bonds.

The net effect of these requirements is that any change in the tuition fee must be approved by the Budget and Control Board. In addition, the Board expressed particular concern that requests for Board approval of specific tuition fees be submitted formally in a timely fashion which would permit the Board to act on such requests in advance of the collection of such fees.

Sincerely,

William A. McInnis

William A. McInnis
Deputy Executive Director

WAM:dw

cc: Dallas R. Fox

000137

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

June 6, 1983

Dr. Glenn G. Thomas
Interim President
Winthrop College
Rock Hill, SC 29733

Dear Dr. Thomas:

With further reference to the tuition fee question raised in my June 1 letter and your June 3 response, there are some additional pieces of information needed to enable us to present the entire picture to the Budget and Control Board for its consideration.

In particular, the aggregate tuition fee revenues projected to be produced in 1983-84 by the $50 in-state and the $100 out-of-state fees should be presented. We also will need verifying information from the State Treasurer's Office on the status of your institution bond debt service account along with their comment on the adequacy of the fees you propose to charge in 1983-84.

In addition, the Budget and Control Board, in other cases involving tuition fee adjustments, has been advised of the aggregate amount of what had been tuition fees which are proposed for reallocation to other purposes. In your case, this would require that you give us aggregate estimated revenues to be reallocated as a result of the $100 reduction in the in-state tuition fee and the $300 reduction in the out-of-state tuition fee. The Board also should be advised of the uses you propose to make of the reallocated fees. In previous actions in this area, the Board has required that the reallocated tuition fee revenues be used for capital-type projects including maintenance, repair, and renovation of facilities.

Because you could not get this additional information to me by our Wednesday, June 8 deadline for agenda materials for the Budget and Control Board meeting scheduled for June 14, I will plan to include this matter on the agenda of the Board meeting scheduled for June 28.

Sincerely,

William A. McInnis
Deputy Executive Director

000138

WAM:dw
cc: Deputy State Treasurer James H. Windham

JUN 17 1983

Winthrop College

Rock Hill, South Carolina 29733

OFFICE OF THE PRESIDENT

TELEPHONE
803-323-2225

June 15, 1983

Mr. William A. McInnis
Deputy Executive Director
State Budget and Control Board
Box 12444
Columbia, SC 29211

Dear Bill:

In response to your request of June 6, the $50 in-state and $100 out-of-state tuition fee approved by the Winthrop College Board of Trustees in April of this year will generate $238,000 in tuition revenue for 1983-84. State Institution Bonds outstanding on June 30, 1983 total $425,000, with an annual debt service requirement of $100,000 principal and $20,000 interest. Accordingly, the reduced level of tuition appears more than adequate to service the existing debt.

In approving the reduced level of tuition, the Board acknowledged to students that the higher tuition fees were no longer justifiable in view of existing debt and the absence of plans for incurring additional debt in the next several years.

In summary, Winthrop trustees have not "reallocated" tuition fees but have, in fact, reduced those fees to reflect real institutional conditions. Should this action be reversed by the Budget and Control Board, the reinstituted fees would be escrowed with the State Treasurer, with the resulting cost passed on to the student.

I am confident that the Board will agree with our trustees that the citizens of South Carolina deserve quality higher education for the least possible cost, and will support this tuition reduction. Should you have any further questions regarding this matter, please do not hesitate to contact me.

Sincerely yours,

Glenn G. Thomas

Glenn G. Thomas
Interim President

000139

STATE OF SOUTH CAROLINA

OFFICE OF STATE TREASURER

GRADY L. PATTERSON, JR.
TREASURER

P. O. DRAWER 11778

COLUMBIA
29211

June 22, 1983

Honorable William A. McInnis
Deputy Executive Director
Budget and Control Board
Wade Hampton Office Building
Columbia, South Carolina 29211

Dear Mr. McInnis:

At your request we have compared revenue projections submitted by Winthrop College in a letter to you dated June 15, 1983 with our projection of debt payments for Institution Bonds. We find that the revenue projected will be adequate to cover future debt payments on Institution Bond Debt currently outstanding.

Very truly yours,

Henry Larrymore

Henry Larrymore
Senior Accountant

HL:clo

Enclosure

000140

Winthrop College - Series D
Institution Bonds

6/20/84

Fiscal Year Ended	Remaining Principal and Interest *
1984	1151250
1985	1111250
1986	1071250
1987	12756250
Total	46093750

* Excluding Defeased Bonds

Winthrop would require an annual enrollment of approximately 2,000 in-state students (2,000 x $50.00) and 275 out-of-state students (275 x $100.00) or some combination there of totaling $127,500.00.

STATUS AND LIMITATIONS ON USE OF REQUIRED DEBT SERVICE FUND

REPORT AS OF 6/18/83

INSTITUTION: WINTHROP COLLEGE

1. This report is on the status of the fund established to ensure the timely payment of principal and interest costs of the following bond issue(s):

   (a) Type of Bonds State Institution Bonds Series D - General Obligations

   (b)

Issue Amount(s)	$ 925,000.00	$	$
Date(s)	9/1/77		

   (c) Issued Pursuant to:

   (1) Act(s) Title 59 ch 107 of 1976 as amended

   (2) Resolution(s) Dated 7/27/77

   (d) Source(s) of Fund Revenues Reported Tuition Fees

2. STATUS AND LIMITATIONS ON USE OF DEBT SERVICE FUND

   (a) Sub Fund # 4648 Balance $ 2,742,895.52

   (b) LESS amount required for debt service in current fiscal year:

   (1) Due June 1, and Dec. 1,

   (2) For Interest $ —

   (3) For Principal $ —

   (4) For Paying Agent's Fee $ —

   (5) Total Current Fiscal Year Debt Service Requirement (-0-)

   (c) LESS maximum annual debt service requirement of any ensuing fiscal year (fiscal year 1986-87) (127,562.50)

   (d) Less funds previously authorized but not drawn:

McLaurin + Nance Buildings Retrofit 3,000,000

( )

3. SURPLUS FUNDS AVAILABLE (PER DEFINITION IN SECTION 59-107-200) $ 2,615,283.02

* * * * * * * * * * * *

4. PRINCIPAL BALANCE OF BONDS OUTSTANDING (EXCLUDING DEFEASED BONDS) $ 425,000.00

EXHIBIT

JUL 12 1983 NO. 9

STATE BUDGET & CONTROL BOARD

000142

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 10 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 3

STATE BUDGET & CONTROL BOARD

Agency: State Housing Authority

Subject: Multi-Family Development Revenue Bonds, Series 1983

The Authority seeks preliminary approval by the Board of the further development of the Insured Direct Loan Program and the issuance and sale of the Authority's Multi-Family Development Revenue Bonds, Series 1983 (FHA Insured Mortgage Loan) in a principal amount sufficient to provide the Authority with the monies required to fully fund not exceeding $15,000,000 of construction and permanent loans under the program, to establish necessary reserves and to pay the costs and expenses of the Authority in further implementing the program and issuing the bonds.

Please refer to the attachments which include a petition to the Board, a proposed resolution of approval by the Board, a certification of a resolution adopted by the Housing Authority, and a schedule showing the annual debt service requirements on all outstanding bonds and notes of the Authority.

Board Action Requested:

Adopt a resolution granting preliminary approval to the issuance by the State Housing Authority of its Multi-Family Development Revenue Bonds in an amount sufficient for the Authority to fund not exceeding $15,000,000 of construction and permanent mortgage loans under the Insured Direct Loan Program and for other purposes, subject to the approval by the State Treasurer of the form and substance of the supplemental resolution, of the form and substance of the offering document, of the terms and conditions under which the bonds are to be sold, and his determination that the funds estimated to be available for the repayment of the Authority's bonds and notes will be sufficient and on the further condition that the net interest cost of the bonds to the Authority shall not exceed 10.25% per annum and shall be approved by the State Treasurer as being reasonably reflective of the then existing market conditions.

Attachments:
Jeffcoat July 6 letter to McInnis plus attachments

MCNAIR GLENN KONDUROS CORLEY SINGLETARY PORTER & DIBBLE, P.A.
ATTORNEYS AND COUNSELORS AT LAW
EIGHTEENTH FLOOR
BANKERS TRUST TOWER
POST OFFICE BOX 11390
COLUMBIA, SOUTH CAROLINA 29211
803-799-9800

ROBERT E. McNAIR
TERRELL L. GLENN
JAMES S. KONDUROS
O. WAYNE CORLEY
E. McLEOD SINGLETARY
CHARLES PORTER
ROBERT W. DIBBLE, JR.
RICHARD S. WOODS
RICHARD L.C. SULLIVAN
M. JOHN BOWEN, JR.
JOHN W. CURRIE
RANDALL T. BELL
DANIEL R. McLEOD, JR.
SCOTT Y. BARNES
THEODORE J. HOPKINS, JR.
BRENTON D. JEFFCOAT
M. CRAIG GARNER, JR.
PETER L. MURPHY
C. ALAN RUNYAN
EMORY M. SNEEDEN
DENNIS C. THELEN
WILLIAM S. ROSE, JR.
M. ELIZABETH CRUM
ROBERT T. BOCKMAN
ELIZABETH VAN DOREN GRAY
ROBERT E. STEPP
APRIL C. LUCAS
WILMOT B. IRVIN
BARBARA GEORGE BARTON
KATHLEEN E. CRUM
J. SIMON FRASER
E. RUSSELL JETER, JR.
WILLIAM E. CRAVER, III
BENJAMIN J. GRIFFIN
PAUL B. NIX
JOSEPH D. WALKER
CELESTE TILLER JONES
JANE W. TINKLEY
J. LYLES GLENN, IV

WASHINGTON OFFICE
SUITE 500
MADISON OFFICE BUILDING
1155 15TH STREET N.W.
WASHINGTON, D.C. 20005
202-659-3900

HILTON HEAD ISLAND OFFICE
BANKERS TRUST BUILDING
FIFTY-NINE POPE AVENUE
POST OFFICE BOX 5914
HILTON HEAD ISLAND, S.C. 29938
803-785-5169

GREENVILLE OFFICE
SUITE 406
BANKERS TRUST PLAZA
7 NORTH LAURENS STREET
GREENVILLE, S.C. 29601
803-271-4940

JUL 6 1983

July 6, 1983

HAND DELIVERED

EXHIBIT

JUL 12 1983 NO. 10

STATE BUDGET & CONTROL BOARD

Mr. William A. McInnis
Budget and Control Board
Wade Hampton Office Building
6th Floor
Columbia, South Carolina 29202

Re: South Carolina State Housing Authority Multifamily Development Revenue Bonds, Series 1983 (Insured Mortgage Loans)

Dear Mr. McInnis:

Enclosed please find 16 copies of the following documents in connection with the above-captioned transaction:

1. Petition to the Budget and Control Board;

2. Certification of Resolution adopted by the State Housing Authority regarding the Petition;

3. A schedule showing the annual debt service requirements on all outstanding bonds and notes of the Authority; and

4. Resolution of approval by the Budget and Control Board.

Pleae have this transaction considered at the July 12 meeting of the Budget and Control Board. Should you require further information, please feel free to contact our office. Thank you for your cooperation in this matter.

Very truly yours,

Brenton D. Jeffcoat/pa

Brenton D. Jeffcoat

BDJ/pa
Enclosures

000144

EXHIBIT

JUL 12 1983 NO. 10

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD

RESOLUTION

PRELIMINARY APPROVAL

RELATING TO

SOUTH CAROLINA STATE HOUSING AUTHORITY

MULTIFAMILY DEVELOPMENT REVENUE BONDS

SERIES 1983

(INSURED MORTGAGE LOANS)

Adopted July 12, 1983

000145

A RESOLUTION

GRANTING PRELIMINARY APPROVAL TO THE ISSUANCE BY THE SOUTH CAROLINA STATE HOUSING AUTHORITY OF SOUTH CAROLINA STATE HOUSING AUTHORITY MULTIFAMILY DEVELOPMENT REVENUE BONDS, SERIES 1983 (FHA INSURED MORTGAGE LOANS), SUBJECT TO THE APPROVAL BY THE STATE TREASURER AS TO CERTAIN MATTERS RELATING TO SUCH BONDS.

WHEREAS, it is provided by the South Carolina State Housing Authority Act of 1977, Act No. 76 of the Acts and Joint Resolutions of the General Assembly of 1977, as amended (the "Act"), that, upon the approval of the State Budget and Control Board (the "Board"), the South Carolina State Housing Authority (the "Authority") may issue from time to time bonds for the purpose of obtaining funds with which to make (1) construction loans secured by mortgages of housing sponsors; and (2) permanent mortgage loans to housing sponsors who agree to and shall be required to provide construction of residential housing for rental by persons or families of either beneficiary class as defined in the Act, provided, there is in effect a federal program providing assistance in the repayment of such loans made by the Authority; and, provided, further, in addition to or in lieu of such federal assistance programs the loans may be secured by federally insured mortgages or by a mortgage insured by a private mortgage insurer authorized to do business within the State of South Carolina; and

WHEREAS, the Authority now proposes to make provision for raising sufficient moneys to fund not exceeding $15,000,000 of qualifying construction and permanent mortgage loans to be made under the Insured Direct Loan Program, to establish necessary reserves and to pay the costs and expenses of the Authority in further implementing the Insured Direct Loan Program and issuing its bonds for such purposes by issuing its South Carolina State Housing Authority Multifamily Development Revenue Bonds, Series 1983 (FHA Insured Mortgage Loans) (the "Bonds") which Bonds shall be issued pursuant to a Resolution establishing the general terms of the Bonds and other bonds to be issued on a parity therewith (the "Resolution") and a resolution supplemental thereto to establish the details thereof (the "Supplemental Resolution"); and

WHEREAS, the Bonds are proposed to be issued at one time or from time to time in a principal amount sufficient to provide the Authority with moneys required to fund not exceeding $15,000,000 of qualifying construction and permanent mortgage loans, to establish necessary reserves

000146

and to pay the costs and expenses of the Authority in further implementing the Insured Direct Loan Program and issuing the Bonds; and

WHEREAS, the Bonds shall be dated, shall mature, shall bear interest at such rates, payable at such times and shall otherwise contain such terms and conditions as shall be authorized by the proceedings of the Authority authorizing the issuance of the Bonds and shall be sold to, or privately placed by, Morgan Guaranty Trust Company of New York, or a group of underwriters managed by Morgan Guaranty Trust Company of New York, at a price to be approved by the State Treasurer on behalf of the Board; and

WHEREAS, the Authority has presented to the Board its Petition dated June 29, 1983, (the "Petition") which, together with the exhibits thereto attached, sets forth certain information with respect to the Insured Direct Loan Program and the Bonds.

NOW THEREFORE, BE IT RESOLVED BY THE STATE BUDGET AND CONTROL BOARD IN MEETING DULY ASSEMBLED:

Section 1. It is found and determined that the facts set forth in the preambles to this Resolution are in all respects true and correct.

Section 2. Approval is granted to the undertaking of the Authority to develop the Insured Direct Loan Program, as outlined in the Petition, including the exhibits attached thereto, and to make not exceeding $15,000,000 of construction and permanent mortgage loans pursuant to the initial phase of the Insured Direct Loan Program to be funded with the proceeds of the Bonds and which construction and permanent mortgage loans are to be made under the terms and conditions described in the Petition.

Section 3. Subject to the conditions set forth in Section 4, preliminary approval is hereby granted by the Board to the issuance and sale by the Authority of its South Carolina State Housing Authority Multifamily Development Revenue Bonds, Series 1983 (FHA Insured Mortgage Loans), in a principal amount sufficient to provide the Authority with the moneys required to fund not exceeding $15,000,000 of construction and permanent mortgage loans under the Insured Direct Loan Program, to establish necessary reserves and to pay the costs and expenses of the Authority in implementing the Insured Direct Loan Program and issuing the Bonds.

The Bonds shall be issued under and secured by the Resolution and Supplemental Resolution pursuant to which the Bonds are to be issued and shall be subject to the terms and

000147

conditions set forth in the Resolution and the Supplemental Resolution.

Section 4. The Board has been informed by the Authority that the Bonds will probably be offered for sale prior to the next regularly scheduled meeting of the Board. The Board hereby finds it necessary and in the best interests of the Authority and the Board to delegate the following matters to the State Treasurer on behalf of the Board. Therefore, the preliminary approval herewith granted is subject to:

(a) The net interest cost of the Bonds to the Authority shall not exceed ten and twenty-five hundredths percent (10.25%) per annum and shall be approved by the State Treasurer as being reasonably reflective of then existing market conditions.

(b) The approval of the State Treasurer of the form and substance of the Supplemental Resolution.

(c) The approval of the State Treasurer of the form and substance of the offering document pursuant to which the Bonds are to be sold.

(d) The approval of the State Treasurer of the terms and conditions under which the Bonds are to be sold.

(e) The State Treasurer shall find and determine that the funds estimated to be available for the repayment of the Authority's bonds and notes, including the Bonds, will be sufficient to provide for the payment of the principal and interest thereon.

Section 5. This Resolution shall take effect immediately upon its adoption.

000148

CERTIFICATE OF SECRETARY
OF THE
STATE BUDGET AND CONTROL BOARD

I, the undersigned William A. McInnis, the duly qualified and acting Secretary of the State Budget and Control Board of the State of South Carolina (the "Board"), do hereby certify, that attached hereto is a copy of a resolution adopted by the Board at meeting duly called and held on July 12, 1983, at which meeting a quorum was present and acting throughout, which resolution has been compared by me with the original thereof as recorded in the Minute Book of the Board and that said copy is a true, complete and correct copy thereof and that said resolution has been duly adopted and has not been modified, amended or repealed and is in full force and effect on and as of the date hereof in the form attached hereto.

In witness whereof, I have hereunto set my hand this 12th day of July, 1983.

William A. McInnis

William A. McInnis, Secretary

EXHIBIT

JUL 12 1983 NO. 10

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA)
)
COUNTY OF RICHLAND)

TO: THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA

PETITION

This Petition of the South Carolina State Housing Authority (the "Authority") is submitted to the State Budget and Control Board of South Carolina (the "Board") pursuant to the South Carolina State Housing Authority Act of 1977, Act No. 76 of the Acts and Joint Resolutions of the General Assembly of 1977, as amended (the "Act") and respectfully shows:

1. The Act, among other things, provides that whenever the Authority shall have determined by resolution that sufficient persons or families of either beneficiary class (as defined in the Act) are unable to pay the amounts at which private enterprise is providing decent, safe and sanitary housing and that through the exercise of one or more of the programs authorized by the Act, decent, safe and sanitary housing will become available to members of the class in need therefor then, upon obtaining the approval of the Board pursuant to the Act and in order to provide funds for its corporate purposes, the Authority is authorized to issue from time to time its notes and bonds for the purpose of obtaining funds with which to make (a) construction loans secured by mortgages of housing sponsors (as defined in the Act) or of persons or families of either beneficiary class; and (b) permanent mortgage loans to housing sponsors who agree to and are required to provide construction of residential housing (as defined in the Act) for rental by persons or families of either beneficiary class; provided, there shall be in effect a federal program providing assistance in the payment of such loans made by the Authority; provided, further, in addition to or in lieu of such federal assistance programs the loans may be secured by federally insured mortgages or by a mortgage insured by a private mortgage insurer authorized to do business in the State of South Carolina. The program established by this provision of the Act is known as the "Insured Direct Loan Program."

2. The Authority has required that all loans made pursuant to the Insured Direct Loan Program must be secured by mortgages insured by the Federal Housing Administration (FHA) of the Department of Housing and Urban Development (HUD) ("FHA Insured Direct Loans").

000150

3. The housing developments to be financed by the construction or permanent loans funded under the FHA Insured Direct Loan Program will be privately owned by profit-motivated housing sponsors. All housing developments will be built by private contractors and will be subject to full ad valorem taxes. The housing developments will be located throughout the State and must be approved by the appropriate federal housing agencies and units of local government before receiving construction and permanent financing by the Authority. The housing developments included in the Authority's projections for funding in 1983 would provide an estimated 350 rental units for the Beneficiary Classes. It is expected that substantial employment, wage, and State and local tax benefits will result from construction of these housing developments.

4. In order to provide money to fund the FHA Insured Direct Loans to be made in 1983 under the Insured Direct Loan Program, the Authority proposes to issue its Multifamily Development Revenue Bonds, Series 1983 (FHA Insured Mortgage Loans) (the "Bonds") pursuant to a Resolution, the form of which has been drafted for review, and a Supplemental Resolution (collectively referred to herein as the "Resolution"), which Bonds shall be in a principal amount sufficient to provide the Authority with the moneys required to fund not exceeding $15,000,000 of FHA Insured Direct Loans, to establish necessary reserves, and to pay the costs and expenses of the Authority in further implementing the Insured Direct Loan Program and issuing the Bonds.

5. By resolution duly adopted by the Authority on June 29, 1983 (a certified copy of which is attached hereto as Appendix A), the Authority has found and determined that:

(a) Sufficient persons or families within the Beneficiary Class are unable to pay the amounts at which private enterprise is providing decent, safe and sanitary housing and that through the exercise of powers conferred upon the Authority under the Insured Direct Loan Program, decent, safe and sanitary housing will become available to members of the beneficiary class in need therefor.

(b) In order to provide the moneys necessary to implement the Insured Direct Loan Program, a series of bonds must be sold as provided in the aforesaid resolution.

6. In addition to the resolution described in paragraph 5, the Authority will adopt a final resolution authorizing the issuance and sale of a specific aggregate

000151

principal amount of Bonds and establishing the definitive terms thereof, including those revenues and assets to be pledged to the payment of the Bonds. The Authority will take all steps necessary to comply with the requirements of the Internal Revenue Code of 1954, as amended, relating to the issuance of the Bonds.

7. The net interest rate to be borne by the Bonds has not yet been determined, but it is expected that the rate shall not exceed ten and twenty-five hundredths percent (10.25%) per annum.

8. The size of the issue, the date or dates of issuance, the date or dates of maturity, the interest rate and payment dates and the redemption provisions with respect to the Bonds shall be finally determined at the time the Bonds are sold. As soon as these matters are finally determined, a precise schedule thereof shall be presented to the Board for its approval as provided by the Act.

9. The Bonds shall be special obligations of the Authority secured by and payable solely from the moneys, income and receipts of the Authority pledged under the Resolution.

10. Attached hereto as Appendix B and by reference incorporated herein in its entirety is a schedule showing the annual debt service requirements on all outstanding bonds and notes of the Authority and the amount and source of revenues available for the payment of such debt service requirements.

11. In light of the success enjoyed by the Authority in connection with its sale of previous issues of single family mortgage revenue bonds, construction loan notes and previous issues of bonds for the Insured Direct Loan Program, the Authority proposes to utilize the services of Morgan Guaranty Trust Company of New York as the lead bank either alone or in managing a syndicate of underwriters to underwrite the Bonds or to arrange for the private placement of the Bonds.

12. The Authority has determined to appoint the State Treasurer as trustee for the Bonds and that the Authority will be the servicing agent on the FHA Insured Direct Loans resulting from the issuance of the Bonds.

The Authority shall present additional information required by subsections (1), (2), (3) and (6) of Section 6 of the Act when the terms of the Bonds and the terms of the sale of the Bonds have been finalized for the approval of the Board as required by the Act.

000152

The Authority will produce any further information with respect to the Bonds or the Insured Direct Loan Program required by the Board.

WHEREFORE, on the basis of the foregoing the Authority prays preliminary approval by the Board of the further development of the Insured Direct Loan Program as outlined herein and the issuance and sale of the Authority's Multifamily Development Revenue Bonds, Series 1983 (FHA Insured Mortgage Loans), at one time or from time to time in a principal amount sufficient to provide the Authority with the moneys required to fully fund not exceeding $15,000,000 of construction and permanent loans under such program, to establish necessary reserves, and to pay the costs and expenses of the Authority in further implementing the Insured Direct Loan Program and issuing such Bonds for the purposes and upon the terms and conditions herein described.

Respectfully submitted,

THE SOUTH CAROLINA STATE
HOUSING AUTHORITY

By: L. Steve Mayfield
L. Steve Mayfield
Executive Director

June 29, 1983.

000153

EXHIBIT

JUL 12 1983 NO. 10

STATE BUDGET & CONTROL BOARD

CERTIFIED COPY OF RESOLUTION
MAKING PROVISION FOR THE ISSUANCE OF BONDS

I, the undersigned, L. Steve Mayfield, the duly qualified and acting Secretary of the South Carolina State Housing Authority (the "Authority"), do hereby certify that attached hereto is a copy of a resolution entitled: "RESOLUTION NO. 51 AUTHORIZING THE EXECUTION OF A PETITION TO THE STATE BUDGET AND CONTROL BOARD RELATING TO THE ISSUANCE OF MULTIFAMILY DEVELOPMENT REVENUE BONDS, SERIES 1983 (INSURED MORTGAGE LOANS) OF THE SOUTH CAROLINA STATE HOUSING AUTHORITY FOR THE PURPOSE OF MAKING NOT EXCEEDING $15,000,000 CONSTRUCTION AND PERMANENT MORTGAGE LOANS AND OTHER MATTERS RELATED THERETO." which resolution was adopted by the Board of Commissioners of the Authority at a meeting duly called and held on June 29, 1983, at which meeting a quorum was present and acting throughout, which resolution has been compared by me with the original thereof as recorded in the minute book of the Board of Commissioners of the Authority, and that said copy is a true, complete and correct copy thereof and that said resolution has been duly adopted and has not been modified, amended or repealed and is in full force and effect on and as of the date hereof in the form attached hereto.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Authority this 5th day of July, 1983.

L. Steve Mayfield
Secretary, South Carolina State Housing Authority

(SEAL)

000154

EXHIBIT

JUL 12 1983 NO. 10

STATE BUDGET & CONTROL BOARD

RESOLUTION NO. 51

AUTHORIZING THE EXECUTION OF A PETITION TO THE STATE BUDGET AND CONTROL BOARD RELATING TO THE ISSUANCE OF MULTIFAMILY DEVELOPMENT REVENUE BONDS, SERIES 1983 (INSURED MORTGAGE LOANS) OF THE SOUTH CAROLINA STATE HOUSING AUTHORITY FOR THE PURPOSE OF MAKING NOT EXCEEDING $15,000,000 CONSTRUCTION AND PERMANENT MORTGAGE LOANS AND OTHER MATTERS RELATED THERETO.

WHEREAS, pursuant to the South Carolina State Housing Authority Act of 1977, Act No. 76 of the Acts and Joint Resolutions of the General Assembly of 1977, as amended (the "Act"), the South Carolina State Housing Authority (the "Authority") has determined that sufficient persons or families of either beneficiary class (as defined in the Act) are unable to pay the amounts at which private enterprise is providing decent, safe and sanitary housing; and

WHEREAS, the Authority is authorized under the Insured Direct Loan Program (the "Insured Direct Loan Program") established by the Act to issue from time to time its bonds and notes, the proceeds of which shall be used to make construction and permanent loans to housing sponsors (as defined in the Act); and

WHEREAS, the Authority has determined that a series of bonds or notes must be sold in order to alleviate the lack of decent, safe and sanitary housing available to individuals of the Beneficiary Class;

NOW, THEREFORE, BE IT RESOLVED BY THE SOUTH CAROLINA STATE HOUSING AUTHORITY IN MEETING DULY ASSEMBLED:

Section 1. Findings and Determinations. The Authority hereby finds and determines:

(a) Substantial numbers of persons and families within the Beneficiary Class are unable to pay the amounts at which private enterprise is providing decent, safe and sanitary housing. Through the exercise of the Insured Direct Loan Program, decent, safe and sanitary housing will become available to members of the Beneficiary Classes who are in need thereof;

(b) A series of bonds must be sold to permit the Authority to implement the Insured Direct Loan Program; and

(c) The revenues or other moneys estimated to be available to the Authority for the repayment of the

000155

outstanding notes and bonds of the Authority and the South Carolina State Housing Authority, Series 1983 (Insured Mortgage Loans) (the "Bonds") proposed to be issued to provide the funds for not exceeding $15,000,000 of construction and permanent loans will provide sufficient moneys required for the payment of the principal and interest on the outstanding notes and bonds and the proposed bonds to be issued.

Section 2. The Authority hereby directs the Executive Director to execute the attached petition to the State Budget and Control Board (the "Board") seeking the approval of the Bonds in accordance with Section 6 of the Act.

DONE IN MEETING DULY ASSEMBLED this 29th day of June, 1983.

_____
Chairman, South Carolina State Housing Authority

(SEAL)

Attest:

_____
Secretary, South Carolina State Housing Authority

000156

SOUTH CAROLINA STATE HOUSING AUTHORITY
Single Family Mortgage Purchase Bonds

1979 Series A

Cash Flow Certificate

REVISED BOND DEBT SERVICE

AVERAGE COUPON 0.000
AVERAGE LIFE 14.769 YEARS
YIELD TO MATURITY 6.378 %

	ENDING DATE	BONDS OUTSTANDING	REVISED SCHEDULED PRINCIPAL DEBT SERVICE	BONDS CALLED	INTEREST DEBT SERVICE	TOTAL DEBT SERVICE
1.	830101	79,675,000	0	0	2,458,379	2,458,379
2.	830701	77,190,000	2,485,000	0	2,458,379	4,944,379
3.	840101	77,190,000	0	0	2,393,522	2,393,522
4.	840701	74,625,000	2,565,000	0	2,393,522	4,958,522
5.	850101	74,625,000	0	0	2,324,975	2,324,975
6.	850701	71,905,000	2,720,000	0	2,324,975	5,044,975
7.	860101	71,905,000	0	0	2,251,690	2,251,690
8.	860701	69,010,000	2,895,000	0	2,251,690	5,146,690
9.	870101	69,010,000	0	0	2,173,050	2,173,050
10.	870701	66,115,000	2,895,000	0	2,173,050	5,068,050
11.	880101	66,115,000	0	0	2,093,736	2,093,736
12.	880701	63,175,000	2,940,000	0	2,093,736	5,033,736
13.	890101	63,175,000	0	0	2,012,511	2,012,511
14.	890701	60,260,000	2,915,000	0	2,012,511	4,927,511
15.	900101	60,260,000	0	0	1,930,616	1,930,616
16.	900701	57,625,000	2,635,000	0	1,930,616	4,565,616
17.	910101	57,625,000	0	0	1,855,519	1,855,519
18.	910701	54,975,000	2,650,000	0	1,855,519	4,505,519
19.	920101	54,975,000	0	0	1,778,669	1,778,669
20.	920701	52,315,000	2,660,000	0	1,778,669	4,438,669
21.	930101	52,315,000	0	0	1,700,864	1,700,864
22.	930701	49,605,000	2,710,000	0	1,700,864	4,410,864
23.	940101	49,605,000	0	0	1,620,919	1,620,919
24.	940701	46,825,000	2,780,000	0	1,620,919	4,400,919
25.	950101	46,825,000	0	0	1,537,519	1,537,519
26.	950701	43,985,000	2,840,000	0	1,537,519	4,377,519
27.	960101	43,985,000	0	0	1,450,899	1,450,899
28.	960701	41,050,000	2,935,000	0	1,450,899	4,385,899
29.	970101	41,050,000	0	0	1,360,647	1,360,647
30.	970701	38,020,000	3,030,000	0	1,360,647	4,390,647
31.	980101	38,020,000	0	0	1,266,717	1,266,717
32.	980701	34,930,000	3,090,000	0	1,266,717	4,356,717
33.	990101	34,930,000	0	0	1,170,155	1,170,155
34.	990701	31,860,000	3,070,000	0	1,170,155	4,240,155
35.	1000101	31,860,000	0	0	1,067,310	1,067,310
36.	1000701	28,830,000	3,030,000	0	1,067,310	4,097,310
37.	1010101	28,830,000	0	0	965,805	965,805
38.	1010701	25,870,000	2,960,000	0	965,805	3,925,805
39.	1020101	25,870,000	0	0	866,645	866,645
40.	1020701	22,995,000	2,875,000	0	866,645	3,741,645
41.	1030101	22,995,000	0	0	770,333	770,333
42.	1030701	20,235,000	2,760,000	0	770,333	3,530,333
43.	1040101	20,235,000	0	0	677,872	677,872
44.	1040701	17,650,000	2,585,000	0	677,872	3,262,872
45.	1050101	17,650,000	0	0	591,275	591,275
46.	1050701	15,225,000	2,425,000	0	591,275	3,016,275
47.	1060101	15,225,000	0	0	510,037	510,037
48.	1060701	12,975,000	2,250,000	0	510,037	2,760,037
49.	1070101	12,975,000	0	0	434,662	434,662
50.	1070701	10,925,000	2,050,000	0	434,662	2,484,662
51.	1080101	10,925,000	0	0	365,987	365,987
52.	1080701	6,515,000	4,410,000	0	365,987	4,775,987
53.	1090101	6,515,000	0	0	218,255	218,255
54.	1090701	2,385,000	4,130,000	0	218,255	4,348,255
55.	1100101	2,385,000	0	0	79,897	79,897
56.	1100701	0	2,385,000	0	79,897	2,464,897
57.	1110101	0	0	0	0	0
58.	1110701	0	0	0	0	0
59.	1120101	0	0	0	0	0
60.	1120701	0	0	0	0	0
61.	1130101	0	0	0	0	0
62.	1130701	0	0	0	0	0
63.	1140101	0	0	0	0	0
64.	1140701	0	0	0	0	0
65.	1150101	0	0	0	0	0
66.	1150701	0	0	0	0	0
67.	1160101	0	0	0	0	0
68.	1160701	0	0	0	0	0
69.	1170101	0	0	0	0	0
70.	1170701	0	0	0	0	0
TOTAL	0	0	79,675,000	0	75,858,930	155,533,930

000157

SFA - 4

SOUTH CAROLINA STATE HOUSING AUTHORITY
Single Family Mortgage Purchase Bonds

1979 Series A

Cash Flow Certificate

PROJECTED CASH AVAILABILITY

25 % FHA EXPERIENCE

100 % ORIGINATION

	ENDING DATE	NET RECEIVED FROM MORTGAGE PORTFOLIO	INTEREST EARNINGS NET OF REBATE	SCHEDULED RESERVE WITHDRAWALS	EARLY REDEMPTION LOSS ON SCHEDULED WITHDRAWALS	TOTAL CASH AVAILABLE	SCHEDULED DEBT SERVICE	TOTAL PROGRAM EXPENSES	NET CASH AVAILABLE
1.	830101	3,310,530	625,300	4,237,404	0	8,173,234	2,459,379	105,728	5,608,128
2.	830701	3,298,363	687,236	5,614,874	0	9,600,473	4,944,379	108,597	4,549,497
3.	840101	3,294,140	535,229	4,556,453	0	8,385,822	2,389,522	105,603	5,888,696
4.	840701	3,264,862	571,539	5,893,818	0	9,750,219	4,958,522	106,501	4,685,195
5.	850101	3,296,925	536,893	4,692,707	0	8,526,525	2,324,975	105,475	6,096,075
6.	850701	3,259,681	575,200	6,103,793	0	9,968,675	5,044,975	106,427	4,817,272
7.	860101	3,318,582	540,177	4,825,575	0	8,684,334	2,251,690	105,339	6,327,305
8.	860701	3,297,667	581,141	6,335,706	0	10,214,514	5,146,690	106,352	4,961,471
9.	870101	3,273,852	368,735	4,969,945	0	8,612,532	2,173,050	105,194	6,334,287
10.	870701	3,258,273	368,399	6,342,919	0	9,969,591	5,068,050	106,208	4,795,333
11.	880101	3,258,443	368,056	4,804,291	0	8,430,790	2,093,736	105,050	6,232,004
12.	880701	3,239,989	367,701	6,241,110	0	9,848,799	5,033,736	106,079	4,708,984
13.	890101	3,232,062	359,701	4,718,350	0	8,310,113	2,012,511	104,903	6,192,699
14.	890701	3,208,537	359,443	6,202,177	0	9,770,158	4,927,511	105,923	4,736,724
15.	900101	3,180,186	358,182	4,746,269	0	8,285,637	1,930,616	104,757	6,250,264
16.	900701	3,159,179	358,920	6,259,953	0	9,778,052	4,965,616	105,679	5,106,756
17.	910101	3,140,406	358,653	5,116,618	0	8,615,677	1,865,519	104,625	6,645,533
18.	910701	3,119,606	358,382	6,665,553	0	10,143,541	4,565,519	105,553	5,532,470
19.	920101	3,102,378	358,107	5,542,689	0	9,003,174	1,778,669	104,493	7,120,012
20.	920701	3,081,494	357,826	7,130,402	0	10,569,722	4,438,669	105,424	6,025,630
21.	930101	3,065,076	357,540	6,036,242	0	9,458,858	1,700,864	104,360	7,653,635
22.	930701	3,045,133	357,248	7,664,442	0	11,066,823	4,410,864	105,398	6,550,561
23.	940101	3,034,846	356,951	6,561,757	0	9,953,555	1,620,919	104,224	8,228,412
24.	940701	3,014,600	356,645	8,239,730	0	11,610,975	4,400,919	105,197	7,104,858
25.	950101	3,007,091	356,334	7,116,525	0	10,479,951	1,537,519	104,085	8,838,347
26.	950701	2,986,950	356,013	8,850,244	0	12,193,207	4,377,519	105,079	7,710,609
27.	960101	2,985,828	355,686	7,722,941	0	11,064,465	1,450,899	103,943	9,509,623
28.	960701	2,984,163	355,347	9,522,195	0	12,841,645	4,385,899	104,970	8,350,776
29.	970101	2,964,990	355,001	8,363,829	0	11,683,821	1,360,647	103,796	10,219,377
30.	970701	2,941,207	354,642	10,232,676	0	13,528,525	4,399,647	104,857	9,033,020
31.	980101	2,944,453	354,277	9,046,852	0	12,345,612	1,266,717	103,645	10,975,249
32.	980701	2,918,588	353,896	10,989,315	0	14,259,799	4,355,717	104,726	9,798,355
33.	990101	2,918,060	353,510	9,812,943	0	13,082,513	1,170,155	103,490	11,808,867
34.	990701	2,890,823	353,108	11,823,643	0	15,067,573	4,240,155	104,565	10,722,853
35.	1000101	2,862,930	352,707	10,728,062	0	13,943,634	1,067,310	103,337	12,772,987
36.	1000701	2,824,620	352,285	12,788,312	0	15,965,218	4,097,310	104,397	11,763,510
37.	1010101	2,803,265	351,864	11,779,194	0	14,934,323	965,805	103,185	13,865,332
38.	1010701	2,751,666	351,433	13,881,180	0	16,984,279	3,925,805	104,221	12,954,253
39.	1020101	2,736,437	350,997	12,980,438	0	16,067,871	866,645	103,037	15,098,189
40.	1020701	2,691,328	350,552	15,114,522	0	18,156,402	3,741,645	104,044	14,310,713
41.	1030101	2,661,765	350,103	14,227,358	0	17,239,226	770,332	102,894	16,465,999
42.	1030701	2,612,933	349,645	16,482,771	0	19,445,349	3,530,332	103,860	15,811,157
43.	1040101	2,578,567	349,184	15,828,209	0	18,755,959	677,872	102,756	17,975,331
44.	1040701	2,525,812	348,715	17,992,485	0	20,867,012	3,262,872	103,660	17,500,479
45.	1050101	2,486,158	221,038	17,517,873	0	20,225,069	591,275	102,626	19,531,167
46.	1050701	2,429,296	220,560	19,548,635	0	22,198,490	3,016,275	103,475	19,078,740
47.	1060101	2,383,859	220,079	19,096,401	0	21,700,340	510,037	102,506	21,087,797
48.	1060701	2,322,734	219,594	21,105,498	0	23,647,826	2,760,037	103,293	20,784,495
49.	1070101	2,271,021	219,107	20,802,325	0	23,292,463	434,662	102,393	22,755,408
50.	1070701	2,205,515	218,616	22,773,256	0	25,197,381	2,484,662	103,110	22,609,608
51.	1080101	2,147,047	218,126	22,437,525	0	24,992,697	365,987	102,290	24,524,420
52.	1080701	2,087,836	217,633	24,542,404	0	26,847,872	4,775,987	103,834	21,968,051
53.	1090101	2,054,681	217,138	21,988,276	0	24,258,195	218,253	102,070	23,937,873
54.	1090701	1,946,740	216,634	23,955,787	0	26,119,161	4,348,253	103,515	21,667,393
55.	1100101	1,841,897	216,142	21,684,914	0	23,742,953	79,897	101,863	23,561,192
56.	1100701	1,444,059	215,660	31,389,192	0	33,048,911	2,484,897	102,698	30,481,316
57.	1110101	0	0	0	0	0	0	0	0
58.	1110701	0	0	0	0	0	0	0	0
59.	1120101	0	0	0	0	0	0	0	0
60.	1120701	0	0	0	0	0	0	0	0
61.	1130101	0	0	0	0	0	0	0	0
62.	1130701	0	0	0	0	0	0	0	0
63.	1140101	0	0	0	0	0	0	0	0
64.	1140701	0	0	0	0	0	0	0	0
65.	1150101	0	0	0	0	0	0	0	0
66.	1150701	0	0	0	0	0	0	0	0
67.	1160101	0	0	0	0	0	0	0	0
68.	1160701	0	0	0	0	0	0	0	0
69.	1170101	0	0	0	0	0	0	0	0
70.	1170701	0	0	0	0	0	0	0	0
TOTAL		0	158,993,106	20,099,828	641,916,599	0	821,009,534	155,533,930	5,843,225	659,632,378

000158

SFA - 5

SOUTH CAROLINA STATE HOUSING AUTHORITY
Single Family Mortgage Purchase Bonds

1979 Series B

Cash Flow Certificate

REVISED BOND DEBT SERVICE

AVERAGE COUPON 0.000
AVERAGE LIFE 15.141 YEARS
YIELD TO MATURITY 6.705 %

	ENDING DATE	BONDS OUTSTANDING	REVISED SCHEDULED PRINCIPAL DEBT SERVICE	BONDS CALLED	INTEREST DEBT SERVICE	TOTAL DEBT SERVICE
1.	830101	162,925,000	0	0	5,234,057	5,234,057
2.	830701	157,880,000	5,045,000	0	5,334,057	10,379,057
3.	840101	157,880,000	0	0	5,181,907	5,181,907
4.	840701	152,785,000	5,095,000	0	5,181,907	10,276,907
5.	850101	152,785,000	0	0	5,028,257	5,028,257
6.	850701	147,420,000	5,365,000	0	5,028,257	10,393,257
7.	860101	147,420,000	0	0	4,866,507	4,866,507
8.	860701	141,570,000	5,850,000	0	4,866,507	10,716,507
9.	870101	141,570,000	0	0	4,690,207	4,690,207
10.	870701	135,690,000	5,880,000	0	4,690,207	10,570,207
11.	880101	135,690,000	0	0	4,513,007	4,513,007
12.	880701	129,725,000	5,965,000	0	4,513,007	10,478,007
13.	890101	129,725,000	0	0	4,333,257	4,333,257
14.	890701	123,675,000	6,050,000	0	4,333,257	10,383,257
15.	900101	123,675,000	0	0	4,150,957	4,150,957
16.	900701	117,755,000	5,920,000	0	4,150,957	10,070,957
17.	910101	117,755,000	0	0	3,971,177	3,971,177
18.	910701	112,320,000	5,435,000	0	3,971,177	9,406,177
19.	920101	112,320,000	0	0	3,805,410	3,805,410
20.	920701	106,920,000	5,400,000	0	3,805,410	9,205,410
21.	930101	106,920,000	0	0	3,628,010	3,628,010
22.	930701	101,545,000	5,375,000	0	3,638,010	9,013,010
23.	940101	101,545,000	0	0	3,470,041	3,470,041
24.	940701	96,130,000	5,415,000	0	3,470,041	8,885,041
25.	950101	96,130,000	0	0	3,299,469	3,299,469
26.	950701	90,650,000	5,480,000	0	3,299,469	8,779,469
27.	960101	90,650,000	0	0	3,125,479	3,125,479
28.	960701	85,050,000	5,600,000	0	3,125,479	8,725,479
29.	970101	85,050,000	0	0	2,946,279	2,946,279
30.	970701	79,315,000	5,735,000	0	2,946,279	8,681,279
31.	980101	79,315,000	0	0	2,761,325	2,761,325
32.	980701	73,425,000	5,890,000	0	2,761,325	8,641,325
33.	990101	73,425,000	0	0	2,570,225	2,570,225
34.	990701	67,460,000	5,975,000	0	2,570,225	8,545,225
35.	1000101	67,460,000	0	0	2,361,100	2,361,100
36.	1000701	61,545,000	5,915,000	0	2,361,100	8,276,100
37.	1010101	61,545,000	0	0	2,154,075	2,154,075
38.	1010701	55,780,000	5,765,000	0	2,154,075	7,919,075
39.	1020101	55,780,000	0	0	1,951,600	1,951,600
40.	1020701	50,145,000	5,635,000	0	1,951,600	7,586,600
41.	1030101	50,145,000	0	0	1,755,075	1,755,075
42.	1030701	44,750,000	5,395,000	0	1,755,075	7,150,075
43.	1040101	44,750,000	0	0	1,568,250	1,568,250
44.	1040701	39,605,000	5,145,000	0	1,568,250	6,713,250
45.	1050101	39,605,000	0	0	1,386,175	1,386,175
46.	1050701	34,770,000	4,835,000	0	1,386,175	6,221,175
47.	1060101	34,770,000	0	0	1,218,950	1,218,950
48.	1060701	30,275,000	4,495,000	0	1,218,950	5,713,950
49.	1070101	30,275,000	0	0	1,059,625	1,059,625
50.	1070701	26,155,000	4,120,000	0	1,059,625	5,179,625
51.	1080101	26,155,000	0	0	915,425	915,425
52.	1080701	22,440,000	3,715,000	0	915,425	4,630,425
53.	1090101	22,440,000	0	0	785,400	785,400
54.	1090701	13,595,000	8,845,000	0	785,400	9,630,400
55.	1100101	13,595,000	0	0	475,825	475,825
56.	1100701	5,500,000	8,095,000	0	475,825	8,570,825
57.	1110101	5,500,000	0	0	192,500	192,500
58.	1110701	0	5,500,000	0	192,500	5,692,500
59.	1120101	0	0	0	0	0
60.	1120701	0	0	0	0	0
61.	1130101	0	0	0	0	0
62.	1130701	0	0	0	0	0
63.	1140101	0	0	0	0	0
64.	1140701	0	0	0	0	0
65.	1150101	0	0	0	0	0
66.	1150701	0	0	0	0	0
67.	1160101	0	0	0	0	0
68.	1160701	0	0	0	0	0
69.	1170101	0	0	0	0	0
70.	1170701	0	0	0	0	0
TOTAL		0	162,925,000	0	167,011,150	329,936,150

000159

S.F. - B - 2

SOUTH CAROLINA STATE HOUSING AUTHORITY
Single Family Mortgage Purchase Bonds

1979 Series B

Cash Flow Certificate

PROJECTED CASH AVAILABILITY

10 X FHA EXPERIENCE

100 X ORIGINATION

	ENDING DATE	NET RECEIVED FROM MORTGAGE PORTFOLIO	INTEREST EARNINGS NET OF REBATE	SCHEDULED RESERVE WITHDRAWALS	EARLY REDEMPTION LOSS ON SCHEDULED WITHDRAWALS	TOTAL CASH AVAILABLE	SCHEDULED DEBT SERVICE	TOTAL PROGRAM EXPENSES	NET CASH AVAILABLE
1.	830101	6,507,349	1,347,727	8,277,849	0	16,132,925	5,334,057	209,890	10,588,977
2.	830701	6,488,244	1,449,449	10,598,397	0	18,536,089	10,379,057	211,656	7,945,375
3.	840101	6,473,735	1,142,617	7,955,011	0	15,571,364	5,181,907	209,638	10,179,819
4.	840701	6,470,068	1,203,479	10,189,791	0	17,863,338	10,276,907	211,421	7,375,009
5.	850101	6,462,654	1,125,763	7,385,293	0	14,973,709	5,699,257	209,283	9,736,068
6.	850701	6,469,909	1,190,145	9,746,822	0	17,406,876	10,383,257	211,261	6,802,358
7.	860101	6,466,934	1,108,948	6,813,500	0	14,389,382	4,686,507	209,115	9,313,759
8.	860701	6,485,560	1,177,492	9,326,520	0	16,989,572	10,716,507	211,162	6,060,902
9.	870101	6,486,631	837,006	6,073,131	0	13,396,768	4,659,207	209,822	8,497,738
10.	870701	6,469,318	836,436	8,510,265	0	15,816,019	10,570,207	210,880	5,034,931
11.	880101	6,453,481	835,851	5,047,782	0	12,337,114	4,512,007	208,528	7,615,578
12.	880701	6,452,622	835,252	7,628,918	0	14,916,791	10,478,007	210,616	4,228,167
13.	890101	6,443,952	834,629	4,241,936	0	11,520,518	4,323,257	208,230	6,979,030
14.	890701	6,437,463	833,987	6,993,266	0	14,264,716	10,383,257	210,348	3,671,111
15.	900101	6,425,699	808,298	3,685,779	0	10,919,777	4,150,957	207,928	6,560,892
16.	900701	6,404,892	807,895	6,575,917	0	13,788,704	10,070,957	210,000	3,507,747
17.	910101	6,386,568	807,457	3,257,168	0	10,717,248	3,971,177	207,622	6,538,429
18.	910701	6,372,503	807,058	6,304,212	0	13,733,878	9,406,177	209,534	4,118,384
19.	920101	6,357,284	806,621	4,134,499	0	11,298,405	3,895,410	207,360	7,285,635
20.	920701	6,344,252	806,172	7,382,471	0	14,452,895	9,265,410	209,256	5,038,229
21.	930101	6,329,371	805,709	5,055,572	0	12,190,652	3,638,010	207,090	8,345,552
22.	930701	6,316,887	805,232	8,363,434	0	15,485,554	9,013,010	208,971	6,263,573
23.	940101	6,302,042	804,740	6,281,996	0	13,388,779	3,470,041	206,821	9,711,917
24.	940701	6,293,976	804,234	9,730,972	0	16,829,181	8,885,041	208,716	7,735,423
25.	950101	6,280,676	803,710	7,755,082	0	14,839,467	3,299,469	206,550	11,333,448
26.	950701	6,274,617	803,169	11,253,806	0	18,431,592	8,779,469	208,468	9,443,655
27.	960101	6,261,508	802,609	9,464,689	0	16,528,786	3,125,479	206,276	13,197,031
28.	960701	6,260,168	802,031	13,218,844	0	20,281,044	8,725,479	208,226	11,347,339
29.	970101	6,248,048	801,432	11,369,645	0	18,419,344	2,946,279	205,998	15,267,067
30.	970701	6,248,191	800,813	15,290,478	0	22,339,481	8,681,279	208,004	13,450,199
31.	980101	6,225,192	800,168	13,474,384	0	20,509,744	2,761,325	205,710	17,542,709
32.	980701	6,237,145	799,503	17,567,850	0	24,604,499	8,641,325	207,769	15,755,405
33.	990101	6,222,921	798,817	15,781,376	0	22,803,108	2,570,255	205,416	20,027,459
34.	990701	6,221,924	798,097	20,054,434	0	27,074,455	8,545,255	207,507	18,321,723
35.	1000101	6,204,025	797,356	18,349,553	0	25,350,934	2,361,100	205,117	22,784,717
36.	1000701	6,189,263	796,590	22,813,474	0	29,799,328	8,270,100	207,183	21,316,041
37.	1010101	6,163,406	795,800	21,345,650	0	28,304,855	2,154,075	204,821	25,945,959
38.	1010701	6,145,636	794,985	25,976,434	0	32,917,055	7,939,075	206,846	24,771,134
39.	1020101	6,116,505	794,145	24,802,699	0	31,713,409	1,951,600	204,532	29,557,277
40.	1020701	6,095,319	793,279	29,589,744	0	36,478,342	7,566,600	206,497	28,705,245
41.	1030101	6,052,636	792,386	28,738,639	0	35,583,661	1,728,075	204,251	33,651,335
42.	1030701	6,037,383	791,468	33,568,784	0	40,497,595	7,150,075	206,139	33,141,381
43.	1040101	6,000,612	790,521	33,176,772	0	39,967,906	1,526,250	202,981	38,157,674
44.	1040701	5,970,707	789,548	38,234,131	0	44,994,381	6,711,250	205,787	38,077,344
45.	1050101	5,939,386	501,668	38,114,799	0	44,545,853	1,286,175	203,724	42,855,953
46.	1050701	5,894,150	500,638	42,994,495	0	49,389,283	6,221,175	205,416	42,962,691
47.	1060101	5,847,712	499,578	43,002,255	0	49,349,545	1,216,950	203,482	47,929,113
48.	1060701	5,806,381	498,490	47,869,789	0	54,174,659	5,711,950	205,056	48,257,654
49.	1070101	5,764,211	497,372	48,398,376	0	54,659,958	1,066,675	203,288	53,388,077
50.	1070701	5,705,903	496,224	53,430,924	0	59,633,051	5,179,425	204,700	54,248,748
51.	1080101	5,647,287	495,046	54,292,660	0	60,435,057	915,425	203,050	59,316,580
52.	1080701	5,593,143	493,838	59,261,423	0	65,448,409	4,630,425	204,252	60,611,532
53.	1090101	5,535,441	492,600	60,452,853	0	66,480,893	785,400	202,636	65,492,727
54.	1090701	5,501,594	491,331	65,725,389	0	71,708,315	4,630,400	205,962	62,991,953
55.	1100101	5,416,386	490,021	61,940,600	0	67,847,008	475,825	202,424	67,168,758
56.	1100701	5,307,423	488,683	67,218,181	0	73,014,287	8,570,825	205,257	64,238,205
57.	1110101	5,180,372	487,324	64,288,253	0	69,955,949	192,500	202,019	69,561,430
58.	1110701	1,697,132	485,947	87,215,430	0	89,398,510	5,692,500	203,944	83,502,066
59.	1120101	0	0	0	0	0	0	0	0
60.	1120701	0	0	0	0	0	0	0	0
61.	1130101	0	0	0	0	0	0	0	0
62.	1130701	0	0	0	0	0	0	0	0
63.	1140101	0	0	0	0	0	0	0	0
64.	1140701	0	0	0	0	0	0	0	0
65.	1150101	0	0	0	0	0	0	0	0
66.	1150701	0	0	0	0	0	0	0	0
67.	1160101	0	0	0	0	0	0	0	0
68.	1160701	0	0	0	0	0	0	0	0
69.	1170101	0	0	0	0	0	0	0	0
70.	1170701	0	0	0	0	0	0	0	0
TOTAL		0	352,381,948	45,687,402	###,###,###	0	###,###,###	329,936,150	12,004,855	###,###,###

000160

SFB 5

SOUTH CAROLINA S.H.A. MULTI-FAMILY HOUSING FINANCE PROGRAM

THE MORGAN BANK - PUBLIC FINANCE DEPARTMENT
FINANCIAL DEVELOPMENT UNIT

DEBT SERVICE SCHEDULE

MF Series A

	BEGIN DATE	ENDING DATE	PRINCIPAL DEBT SERVICE	INTEREST DEBT SERVICE	TOTAL DEBT SERVICE	CAV TOTAL DEBT SERVICE	ANNUAL TOTAL DEBT SERVICE
1.	830702	830101	0	1,287,722	1,287,722	1,287,722	0
2.	830102	830701	0	1,287,722	1,287,722	1,287,722	2,575,445
3.	830702	840101	0	1,287,722	1,287,722	1,287,722	0
4.	840102	840701	0	1,287,722	1,287,722	1,287,722	2,575,445
5.	840702	850101	0	1,287,722	1,287,722	1,287,722	0
6.	850102	850701	95,000	1,287,722	1,382,722	1,287,722	2,670,445
7.	850702	860101	0	1,283,447	1,283,447	1,283,447	0
8.	860102	860701	105,000	1,283,447	1,388,447	1,283,447	2,671,895
9.	860702	870101	0	1,278,460	1,278,460	1,278,460	0
10.	870102	870701	110,000	1,278,460	1,388,460	1,278,460	2,666,920
11.	870702	880101	0	1,272,960	1,272,960	1,272,960	0
12.	880102	880701	115,000	1,272,960	1,387,960	1,272,960	2,660,920
13.	880702	890101	0	1,266,922	1,266,922	1,266,922	0
14.	890102	890701	120,000	1,266,922	1,386,922	1,266,922	2,653,845
15.	890702	900101	0	1,260,722	1,260,722	1,260,722	0
16.	900102	900701	125,000	1,260,722	1,385,722	1,260,722	2,645,445
17.	900702	910101	0	1,253,135	1,253,135	1,253,135	0
18.	910102	910701	140,000	1,253,135	1,393,135	1,253,135	2,646,270
19.	910702	920101	0	1,244,910	1,244,910	1,244,910	0
20.	920102	920701	160,000	1,244,910	1,404,910	1,244,910	2,649,820
21.	920702	930101	0	1,235,310	1,235,310	1,235,310	0
22.	930102	930701	175,000	1,235,310	1,410,310	1,235,310	2,645,620
23.	930702	940101	0	1,224,635	1,224,635	1,224,635	0
24.	940102	940701	200,000	1,224,635	1,424,635	1,224,635	2,649,270
25.	940702	950101	0	1,213,235	1,213,235	1,213,235	0
26.	950102	950701	229,000	1,213,235	1,432,235	1,213,235	2,645,470
27.	950702	960101	0	1,198,375	1,198,375	1,198,375	0
28.	960102	960701	250,000	1,198,375	1,448,375	1,198,375	2,646,750
29.	960702	970101	0	1,181,969	1,181,969	1,181,969	0
30.	970102	970701	285,000	1,181,969	1,466,969	1,181,969	2,648,927
31.	970702	980101	0	1,163,266	1,163,266	1,163,266	0
32.	980102	980701	320,000	1,163,266	1,483,266	1,163,266	2,646,531
33.	980702	990101	0	1,142,266	1,142,266	1,142,266	0
34.	990102	990701	365,000	1,142,266	1,507,266	1,142,266	2,649,531
35.	990702	1000101	0	1,118,375	1,118,375	1,118,375	0
36.	1000102	1000701	410,000	1,118,375	1,528,375	1,118,375	2,646,750
37.	1000702	1010101	0	1,103,962	1,103,962	1,103,962	0
38.	1010102	1010701	440,000	1,103,962	1,543,962	1,103,962	2,647,925
39.	1010702	1020101	0	1,088,562	1,088,562	1,088,562	0
40.	1020102	1020701	470,000	1,088,562	1,558,562	1,088,562	2,647,125
41.	1020702	1030101	0	1,072,222	1,072,222	1,072,222	0
42.	1030102	1030701	480,000	1,072,222	1,552,222	1,072,222	2,624,445
43.	1030702	1040101	0	1,055,344	1,055,344	1,055,344	0
44.	1040102	1040701	519,000	1,055,344	1,574,344	1,055,344	2,629,688
45.	1040702	1050101	0	1,037,462	1,037,462	1,037,462	0
46.	1050102	1050701	550,000	1,037,462	1,587,462	1,037,462	2,624,925
47.	1050702	1060101	0	1,018,375	1,018,375	1,018,375	0
48.	1060102	1060701	585,000	1,018,375	1,603,375	1,018,375	2,621,750
49.	1060702	1070101	0	997,727	997,727	997,727	0
50.	1070102	1070701	540,000	997,727	1,537,727	997,727	2,535,455
51.	1070702	1080101	0	978,837	978,837	978,837	0
52.	1080102	1080701	525,000	978,837	1,503,837	978,837	2,482,675
53.	1080702	1090101	0	960,462	960,462	960,462	0
54.	1090102	1090701	560,000	960,462	1,520,462	960,462	2,480,925
55.	1090702	1100101	0	940,862	940,862	940,862	0
56.	1100102	1100701	600,000	940,862	1,540,862	940,862	2,481,725
57.	1100702	1110101	0	919,862	919,862	919,862	0
58.	1110102	1110701	640,000	919,862	1,559,862	919,862	2,479,725
59.	1110702	1120101	0	897,462	897,462	897,462	0
60.	1120102	1120701	690,000	897,462	1,587,462	897,462	2,484,925
61.	1120702	1130101	0	873,212	873,212	873,212	0
62.	1130102	1130701	725,000	873,212	1,598,212	873,212	2,471,425
63.	1130702	1140101	0	847,587	847,587	847,587	0
64.	1140102	1140701	775,000	847,587	1,622,587	847,587	2,470,175
65.	1140702	1150101	0	820,112	820,112	820,112	0
66.	1150102	1150701	840,000	820,112	1,660,112	820,112	2,480,225
67.	1150702	1160101	0	790,712	790,712	790,712	0
68.	1160102	1160701	900,000	790,712	1,690,712	790,712	2,481,425
69.	1160702	1170101	0	758,212	758,212	758,212	0
70.	1170102	1170701	965,000	758,212	1,723,212	758,212	2,482,425
71.	1170702	1180101	0	723,437	723,437	723,437	0
72.	1180102	1180701	1,030,000	723,437	1,753,437	723,437	2,480,875
73.	1180702	1190101	0	687,200	687,200	687,200	0
74.	1190102	1190701	1,170,000	687,200	1,857,200	687,200	2,544,400
75.	1190702	1200101	0	638,687	638,687	638,687	0
76.	1200102	1200701	1,320,000	638,687	1,958,687	638,687	2,479,375
77.	1200702	1210101	0	583,237	583,237	583,237	0
78.	1210102	1210701	1,500,000	583,237	2,083,237	583,237	2,666,475
79.	1210702	1220101	0	520,862	520,862	520,862	0
80.	1220102	1220701	1,675,000	520,862	2,195,862	520,862	2,716,725
81.	1220702	1230101	0	450,562	450,562	450,562	0
82.	1230102	1230701	1,920,000	450,562	2,370,562	450,562	2,821,125
83.	1230702	1240101	0	372,249	372,249	372,249	0
84.	1240102	1240701	1,265,000	372,249	1,637,249	372,249	2,009,498
85.	1240702	1250101	0	49,562	49,562	49,562	0
86.	1250102	1250701	1,050,000	49,562	1,119,562	49,562	1,169,125
87.	0	0	0	0	0	0	0
88.	0	0	0	0	0	0	0
89.	0	0	0	0	0	0	0
90.	0	0	0	0	0	0	0
TOTAL	0	0	24,960,000	83,424,810	108,384,810	83,424,810	108,384,810

000161

MFA4

000162

MFA7

PARADISE DEVELOPMENT DIST

DEBT SERVICE SCHEDULE

MF Series B

	BEGIN DATE	ENDING DATE	PRINCIPAL DEBT SERVICE	CAV PRINCIPAL DEBT SERVICE	INTEREST DEBT SERVICE	TOTAL DEBT SERVICE	CAV TOTAL DEBT SERVICE	ANNUAL TOTAL DEBT SERVICE
1.	8/20/02	8/30/01	0	0	195,950	195,950	195,950	0
2.	8/30/02	8/30/01	0	0	295,925	295,925	295,925	491,875
3.	8/30/02	8/40/01	0	0	295,925	295,925	295,925	0
4.	8/40/02	8/40/01	0	0	293,850	293,850	293,850	589,850
5.	8/40/02	8/50/01	0	0	293,850	293,850	293,850	0
6.	8/50/02	8/50/01	10,000	10,000	297,925	307,925	307,925	601,850
7.	8/50/02	8/60/01	0	0	299,344	299,344	299,344	0
8.	8/60/02	8/60/01	10,000	10,000	299,344	309,344	309,344	608,687
9.	8/60/02	8/70/01	0	0	298,762	298,762	298,762	0
10.	8/70/02	8/70/01	10,000	10,000	298,762	308,762	308,762	607,525
11.	8/70/02	8/80/01	0	0	298,181	298,181	298,181	0
12.	8/80/02	8/80/01	10,000	10,000	298,181	308,181	308,181	606,362
13.	8/80/02	8/90/01	0	0	297,600	297,600	297,600	0
14.	8/90/02	8/90/01	15,000	15,000	297,600	312,600	312,600	610,200
15.	8/90/02	9/00/01	0	0	298,728	298,728	298,728	0
16.	9/00/02	9/00/01	15,000	15,000	298,728	311,728	311,728	608,456
17.	9/00/02	9/10/01	0	0	297,856	297,856	297,856	0
18.	9/10/02	9/10/01	15,000	15,000	297,856	312,856	312,856	606,712
19.	9/10/02	9/20/01	0	0	294,984	294,984	294,984	0
20.	9/20/02	9/20/01	20,000	20,000	294,984	314,984	314,984	609,969
21.	9/20/02	9/30/01	0	0	292,822	292,822	292,822	0
22.	9/30/02	9/30/01	20,000	20,000	292,822	312,822	312,822	607,644
23.	9/30/02	9/40/01	0	0	292,659	292,659	292,659	0
24.	9/40/02	9/40/01	25,000	25,000	292,659	317,659	317,659	610,319
25.	9/40/02	9/50/01	0	0	291,206	291,206	291,206	0
26.	9/50/02	9/50/01	25,000	25,000	291,206	316,206	316,206	607,412
27.	9/50/02	9/60/01	0	0	289,723	289,723	289,723	0
28.	9/60/02	9/60/01	30,000	30,000	289,723	319,723	319,723	609,506
29.	9/60/02	9/70/01	0	0	288,009	288,009	288,009	0
30.	9/70/02	9/70/01	35,000	35,000	288,009	323,009	323,009	611,019
31.	9/70/02	9/80/01	0	0	287,975	287,975	287,975	0
32.	9/80/02	9/80/01	40,000	40,000	287,975	327,975	327,975	611,950
33.	9/80/02	9/90/01	0	0	283,650	283,650	283,650	0
34.	9/90/02	9/90/01	40,000	40,000	283,650	323,650	323,650	607,300
35.	9/90/02	10/00/01	0	0	281,325	281,325	281,325	0
36.	10/00/02	10/00/01	50,000	50,000	281,325	331,325	331,325	612,650
37.	10/00/02	10/10/01	0	0	278,419	278,419	278,419	0
38.	10/10/02	10/10/01	55,000	55,000	278,419	333,419	333,419	611,837
39.	10/10/02	10/20/01	0	0	275,222	275,222	275,222	0
40.	10/20/02	10/20/01	60,000	60,000	275,222	335,222	335,222	610,444
41.	10/20/02	10/30/01	0	0	271,734	271,734	271,734	0
42.	10/30/02	10/30/01	70,000	70,000	271,734	341,734	341,734	613,469
43.	10/30/02	10/40/01	0	0	267,866	267,866	267,866	0
44.	10/40/02	10/40/01	75,000	75,000	267,866	342,866	342,866	610,731
45.	10/40/02	10/50/01	0	0	263,396	263,396	263,396	0
46.	10/50/02	10/50/01	85,000	85,000	263,396	348,396	348,396	611,812
47.	10/50/02	10/60/01	0	0	258,366	258,366	258,366	0
48.	10/60/02	10/60/01	95,000	95,000	258,366	353,366	353,366	611,731
49.	10/60/02	10/70/01	0	0	252,844	252,844	252,844	0
50.	10/70/02	10/70/01	110,000	110,000	252,844	362,844	362,844	615,687
51.	10/70/02	10/80/01	0	0	246,450	246,450	246,450	0
52.	10/80/02	10/80/01	85,000	85,000	246,450	331,450	331,450	577,900
53.	10/80/02	10/90/01	0	0	241,509	241,509	241,509	0
54.	10/90/02	10/90/01	95,000	95,000	241,509	336,509	336,509	578,019
55.	10/90/02	11/00/01	0	0	235,987	235,987	235,987	0
56.	11/00/02	11/00/01	115,000	115,000	235,987	350,987	350,987	586,975
57.	11/00/02	11/10/01	0	0	229,303	229,303	229,303	0
58.	11/10/02	11/10/01	120,000	120,000	229,303	349,303	349,303	578,606
59.	11/10/02	11/20/01	0	0	222,338	222,338	222,338	0
60.	11/20/02	11/20/01	140,000	140,000	222,338	362,338	362,338	584,675
61.	11/20/02	11/30/01	0	0	214,191	214,191	214,191	0
62.	11/30/02	11/30/01	150,000	150,000	214,191	364,191	364,191	578,381
63.	11/30/02	11/40/01	0	0	205,472	205,472	205,472	0
64.	11/40/02	11/40/01	175,000	175,000	205,472	380,472	380,472	585,944
65.	11/40/02	11/50/01	0	0	195,300	195,300	195,300	0
66.	11/50/02	11/50/01	190,000	190,000	195,300	385,300	385,300	580,600
67.	11/50/02	11/60/01	0	0	184,256	184,256	184,256	0
68.	11/60/02	11/60/01	215,000	215,000	184,256	399,256	399,256	583,512
69.	11/60/02	11/70/01	0	0	171,759	171,759	171,759	0
70.	11/70/02	11/70/01	245,000	245,000	171,759	416,759	416,759	588,519
71.	11/70/02	11/80/01	0	0	157,519	157,519	157,519	0
72.	11/80/02	11/80/01	270,000	270,000	157,519	427,519	427,519	585,037
73.	11/80/02	11/90/01	0	0	141,438	141,438	141,438	0
74.	11/90/02	11/90/01	300,000	300,000	141,438	441,438	441,438	583,650
75.	11/90/02	12/00/01	0	0	124,387	124,387	124,387	0
76.	12/00/02	12/00/01	335,000	335,000	124,387	459,387	459,387	583,775
77.	12/00/02	12/10/01	0	0	104,916	104,916	104,916	0
78.	12/10/02	12/10/01	380,000	380,000	104,916	484,916	484,916	589,831
79.	12/10/02	12/20/01	0	0	82,828	82,828	82,828	0
80.	12/20/02	12/20/01	425,000	425,000	82,828	507,828	507,828	590,656
81.	12/20/02	12/30/01	0	0	58,125	58,125	58,125	0
82.	12/30/02	12/30/01	455,000	455,000	58,125	513,125	513,125	571,250
83.	12/30/02	12/40/01	0	0	31,678	31,678	31,678	0
84.	12/40/02	12/40/01	320,000	320,000	31,678	351,678	351,678	383,356
85.	12/40/02	12/50/01	0	0	13,078	13,078	13,078	0
86.	12/50/02	12/50/01	225,000	225,000	13,078	238,078	238,078	251,156
87.	0	0	0	0	0	0	0	0
88.	0	0	0	0	0	0	0	0
89.	0	0	0	0	0	0	0	0
90.	0	0	0	0	0	0	0	0
TOTAL	0	0	5,180,000	5,180,000	19,832,225	25,012,225	25,012,225	25,012,225

000163

MF Ser B

CORRECTION

SOUTH CAROLINA S.H.A. MULTI-FAMILY HOUSING FINANCE PROGRAM

THE MORGAN BANK - PUBLIC FINANCE DEPARTMENT
FINANCIAL DEVELOPMENT UNIT

PROJECTED CASH FLOW

BONDS DATE	NET RECEIVED FROM MORTGAGE POSITION	NET NON-MORTGAGE INVESTMENT EARNINGS	SCHEDULED RESERVE WITHDRAWALS	INTEREST ON GENERAL RESERVE FUND	TOTAL PROGRAM EXPENSES	TOTAL CASH AVAILABLE	SCHEDULED DEBT SERVICE	LOSS ON EARLY REDEMPTION	NET CASH AVAILABLE	UNSCHEDULED RESERVE WITHDRAWALS	USED TO CALL BONDS	ADDITIONAL SURPLUS FUND DEPOSIT	PROJECTED CASH FLOW
030101	1x042x059	1x041x016	(0)	4x844	674x914	1x415x005	1x287x733	0	127x263	0	0	127x263	0
030701	92x360	888x775	0	4x844	3x260	1x488x699	1x387x733	0	390x967	0	0	390x967	0
040101	1x123x585	741x445	176x269	4x844	3x260	1x449x934	1x343x733	0	369x211	0	0	369x211	0
040701	1x121x171	167x118	433x215	4x844	246x841	1x288x355	1x387x455	0	0	0	0	0	0
050101	1x161x171	166x771	719	4x844	41x293	1x387x733	1x343x733	0	0	0	0	0	0
050701	1x161x171	160x715	233	4x844	3x260	1x345x283	1x387x733	0	(37x040)	200x000	0	162x960	0
060101	1x161x171	179x490	247	4x844	27x424	1x210x488	1x253x443	0	37x045	0	0	37x040	0
060701	1x161x171	160x301	243	4x844	3x260	1x345x891	1x388x447	0	(42x349)	200x000	0	157x951	0
070101	1x161x171	178x519	279	4x844	26x573	1x321x509	1x278x460	0	42x349	0	0	42x349	0
070701	1x161x171	160x587	791	4x844	3x260	1x345x717	1x388x410	0	(42x743)	200x000	0	157x307	0
080101	1x161x171	178x563	314	4x844	27x999	1x322x763	1x280x910	0	42x743	0	0	42x743	0
080701	1x161x171	160x470	337	4x844	3x260	1x345x778	1x387x955	0	(42x777)	200x000	0	157x778	0
090101	1x161x171	179x550	354	4x844	28x494	1x309x145	1x266x920	0	42x225	0	0	42x225	0
090701	1x161x171	160x651	376	4x844	3x260	1x345x741	1x388x933	0	(43x183)	200x000	0	156x839	0
100101	1x161x171	178x548	399	4x844	45x409	1x301x484	1x260x220	0	43x183	0	0	43x183	0
100701	1x161x171	160x630	423	4x844	3x260	1x345x781	1x388x933	0	(39x892)	200x000	0	160x465	0
110101	1x161x171	178x531	449	4x844	55x295	1x292x670	1x249x135	0	39x535	0	0	39x535	0
110701	1x161x171	180x606	477	4x844	3x260	1x345x817	1x388x135	0	(43x218)	200x000	0	162x487	0
120101	1x161x171	178x791	506	4x844	55x845	1x298x708	1x244x910	0	47x218	0	0	47x218	0
120701	1x161x171	180x579	537	4x844	3x260	1x345x851	1x404x910	0	(59x059)	200x000	0	140x941	0
010101	1x161x171	178x940	570	4x844	50x125	1x294x319	1x222x210	0	55x058	0	0	58x058	0
010701	1x161x171	180x545	606	4x844	3x260	1x345x889	1x410x210	0	(64x421)	200x000	0	135x479	0
020101	1x161x171	178x760	643	4x844	58x731	1x298x656	1x234x220	0	64x421	0	0	64x421	0
020701	1x161x171	180x514	682	4x844	3x260	1x345x931	1x424x220	0	(75x704)	200x000	0	121x796	0
030101	1x161x171	179x231	724	4x844	54x101	1x296x939	1x215x330	0	79x704	0	0	79x704	0
030701	1x161x171	180x475	769	4x844	3x260	1x345x879	1x402x330	0	(84x256)	200x000	0	113x244	0
040101	1x161x171	178x982	816	4x844	62x365	1x294x231	1x199x275	0	89x256	0	0	89x256	0
040701	1x161x171	180x432	866	4x844	3x260	1x345x833	1x448x275	0	(103x342)	200x000	0	93x258	0
050101	1x161x171	177x593	920	4x844	62x187	1x294x211	1x181x940	0	103x342	0	0	103x342	0
050701	1x161x171	180x385	976	4x844	3x260	1x345x894	1x461x969	0	(135x875)	200x000	0	79x196	0
060101	1x161x171	177x033	1x036	4x844	61x923	1x294x143	1x163x266	0	136x875	0	0	136x875	0
060701	1x161x171	180x257	1x100	4x844	3x260	1x345x142	1x483x266	0	(133x194)	200x000	0	62x896	0
070101	1x161x171	177x528	1x168	4x844	63x110	1x279x249	1x154x266	0	137x194	0	0	137x194	0
070701	1x161x171	180x377	1x240	4x844	3x260	1x345x278	1x503x266	0	(141x636)	200x000	0	38x974	0
080101	1x161x171	179x960	1x316	4x844	65x744	1x294x539	1x127x266	0	141x636	0	0	141x636	0
080701	1x161x171	180x194	1x397	4x844	3x260	1x345x921	1x523x266	0	(181x822)	200x000	0	18x814	0
090101	1x161x171	179x151	1x483	4x844	28x237	1x321x985	1x103x940	0	203x230	0	0	203x230	0
090701	1x161x171	180x948	31x907	4x844	3x260	1x277x691	1x543x963	0	(166x371)	200x000	0	233x459	0
100101	1x161x171	178x497	1x671	4x844	3x260	1x241x903	1x566x543	0	583x340	0	0	583x340	0
100701	1x161x264	182x418	85x742	4x844	3x260	1x424x636	1x558x543	0	(124x624)	200x000	0	383x476	0
110101	1x150x016	176x425	1x883	4x844	3x260	1x231x538	1x457x333	0	268x835	0	0	268x835	0
110701	1x150x077	181x519	127x206	4x844	3x260	1x434x506	1x585x333	0	(133x103)	200x000	0	102x397	0
120101	1x150x142	177x610	2x009	4x844	3x260	1x233x487	1x222x333	0	278x174	0	0	278x174	0
120701	1x150x210	180x347	261x888	4x844	3x260	1x541x550	1x333x333	0	(52x462)	200x000	0	174x227	0
010101	1x150x283	178x960	2x981	4x844	3x260	1x335x248	1x457x442	0	367x866	0	0	367x866	0
010701	1x150x343	183x184	276x889	4x844	3x260	1x241x998	1x587x442	0	30x497	0	0	30x497	0
020101	1x150x443	180x330	33x191	4x844	3x260	1x335x577	1x458x559	0	249x245	0	0	249x245	0
020701	1x150x535	180x721	255x254	4x844	3x260	1x241x584	1x458x559	0	83x881	0	0	83x881	0
030101	1x150x623	181x876	46x317	4x844	3x260	1x431x326	1x467x559	0	433x565	0	0	433x565	0
030701	1x150x721	180x408	421x614	4x844	3x260	1x691x552	1x437x559	0	352x630	0	0	352x630	(0)
040101	1x150x835	92x537	157x240	4x844	3x260	1x404x306	1x476x559	0	434x459	0	0	435x459	(0)
040701	1x150x934	160x506	426x100	4x844	3x260	1x403x254	1x503x559	0	179x813	0	0	179x813	(0)
050101	1x151x053	93x150	183x671	4x844	3x260	1x431x499	1x465x442	0	471x627	0	0	471x627	0
050701	1x151x178	101x522	475x110	4x844	3x260	1x730x965	1x530x442	0	516x695	0	0	516x695	0
060101	1x151x279	93x779	714x845	4x844	3x260	1x431x549	1x465x442	0	593x681	0	0	593x681	0
060701	1x151x451	102x241	655x094	4x844	3x260	1x784x200	1x540x221	0	242x745	0	0	242x745	0
070101	1x151x600	94x450	548x439	4x844	3x260	1x495x304	1x515x221	0	571x441	0	0	578x441	0
070701	1x151x789	103x723	593x331	4x844	3x260	1x784x520	1x515x221	0	583x685	0	0	582x685	0
080101	1x151x927	95x749	286x185	4x844	3x260	1x691x870	1x597x221	0	343x503	0	0	641x503	(0)
080701	1x152x105	104x915	647x261	4x844	3x260	1x906x046	1x587x442	0	290x582	0	0	290x582	0
090101	1x152x265	95x692	324x797	4x844	3x260	1x879x697	1x772x333	0	705x374	0	0	705x374	(0)
090701	1x152x484	106x294	711x970	4x844	3x260	1x874x474	1x696x333	0	334x142	0	0	334x142	0
100101	1x152x709	94x857	332x264	4x844	3x260	1x631x374	1x547x333	0	338x366	0	0	338x366	0
100701	1x152x934	106x044	784x219	4x844	3x260	1x860x813	1x437x287	0	416x290	0	0	416x290	0
110101	1x153x176	97x927	423x335	4x844	3x260	1x680x833	826x335	0	960x720	0	0	960x720	0
110701	1x153x422	106x822	869x095	4x844	3x260	1x334x903	1x440x335	0	475x910	0	0	475x910	(0)
120101	1x153x703	99x072	494x201	4x844	3x260	1x747x189	760x335	0	950x477	0	0	950x477	0
120701	1x153x990	111x881	959x514	4x844	3x260	1x529x400	1x496x335	0	526x688	0	0	526x688	(0)
010101	1x154x296	100x301	545x706	4x844	3x260	1x804x917	1x787x335	0	1x047x704	0	0	1x047x704	0
010701	1x154x620	114x507	1x025x339	4x844	3x260	1x804x800	1x724x335	0	826x287	0	0	826x287	(0)
020101	1x154x924	101x692	617x476	4x844	3x260	1x877x852	1x787x335	0	1x157x418	0	0	1x157x418	0
020701	1x155x239	114x332	1x164x402	4x844	3x260	1x439x656	1x780x435	0	884x220	0	0	884x220	(0)
030101	1x155x717	103x111	691x941	4x844	3x260	1x969x283	657x200	0	1x302x352	0	0	1x302x352	(0)
030701	1x156x129	119x760	1x215x686	4x844	3x260	1x806x189	1x437x200	0	747x896	0	0	747x896	0
040101	1x156x566	104x303	790x733	4x844	3x260	1x947x200	536x200	0	1x417x519	0	0	1x417x519	0
040701	1x157x030	105x649	1x452x734	4x844	3x260	1x934x872	1x337x200	0	827x242	0	0	827x242	0
050101	1x157x532	106x631	852x392	4x844	3x260	1x931x161	484x200	0	1x258x923	0	0	1x258x923	0
050701	1x158x045	107x954	1x171x065	4x844	3x260	1x942x891	1x881x207	0	972x454	0	0	972x454	0
060101	1x158x590	108x649	991x254	4x844	3x260	1x923x511	340x207	0	1x359x453	0	0	1x359x453	(0)
060701	1x159x169	112x630	1x068x772	4x844	3x260	1x934x576	1x555x207	0	1x076x713	0	0	1x076x713	0
070101	1x159x814	111x720	1x117x221	4x844	3x260	1x923x569	880x207	0	1x111x196	0	0	1x111x196	(0)
070701	1x160x534	113x754	1x126x543	4x844	3x260	1x934x417	1x555x207	0	1x154x845	0	0	1x154x845	0
080101	443x262	0	1x268x554	4x844	3x260	1x923x416	1x555x207	0	1x167x048	0	0	1x167x048	0
080701	0	108x934	1x268x543	4x844	3x260	1x930x584	1x440x207	0	967x924	0	0	967x924	0
090101	0	74x622	790x722	4x844	3x260	385x891	65x215	0	1x064x329	0	0	1x064x329	0
090701	0	74x272	2x920x499	4x844	3x260	3x026x287	1x119x421	0	1x908x824	0	0	0	1x908x824
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	94x885x551	14x010x971	33x122x186	590x204	3x050x185	140x268x837	106x284x510	0	22x184x017	4x000x000	0	34x275x192	1x908x824

000162

MFA7

DEBT SERVICE SCHEDULE

MF Series B

	BEGIN DATE	ENDING DATE	PRINCIPAL DEBT SERVICE	CAV PRINCIPAL DEBT SERVICE	INTEREST DEBT SERVICE	TOTAL DEBT SERVICE	CAV TOTAL DEBT SERVICE	ANNUAL TOTAL DEBT SERVICE
1.	820702	830101	0	0	199,950	199,950	199,950	0
2.	830102	830701	0	0	295,525	295,525	295,525	459,875
3.	830702	840101	0	0	295,525	295,525	295,525	0
4.	840102	840701	0	0	295,825	295,825	295,825	589,350
5.	840702	850101	0	0	295,825	295,825	295,825	0
6.	850102	850701	10,000	10,000	299,525	309,525	309,525	609,850
7.	850702	860101	0	0	299,344	299,344	299,344	0
8.	860102	860701	10,000	10,000	299,344	309,344	309,344	608,687
9.	860702	870101	0	0	299,762	299,762	299,762	0
10.	870102	870701	10,000	10,000	296,762	306,762	306,762	607,525
11.	870702	880101	0	0	296,181	296,181	296,181	0
12.	880102	880701	10,000	10,000	296,181	306,181	306,181	606,362
13.	880702	890101	0	0	297,600	297,600	297,600	0
14.	890102	890701	15,000	15,000	297,600	312,600	312,600	610,200
15.	890702	900101	0	0	298,728	298,728	298,728	0
16.	900102	900701	15,000	15,000	298,728	313,728	313,728	608,456
17.	900702	910101	0	0	295,856	295,856	295,856	0
18.	910102	910701	15,000	15,000	295,856	310,856	310,856	606,712
19.	910702	920101	0	0	294,984	294,984	294,984	0
20.	920102	920701	20,000	20,000	294,984	314,984	314,984	609,969
21.	920702	930101	0	0	293,822	293,822	293,822	0
22.	930102	930701	20,000	20,000	293,822	313,822	313,822	607,644
23.	930702	940101	0	0	292,659	292,659	292,659	0
24.	940102	940701	25,000	25,000	292,659	317,659	317,659	610,319
25.	940702	950101	0	0	291,296	291,296	291,296	0
26.	950102	950701	25,000	25,000	291,296	316,296	316,296	607,612
27.	950702	960101	0	0	289,723	289,723	289,723	0
28.	960102	960701	30,000	30,000	289,723	319,723	319,723	609,506
29.	960702	970101	0	0	288,009	288,009	288,009	0
30.	970102	970701	35,000	35,000	288,009	323,009	323,009	611,019
31.	970702	980101	0	0	285,975	285,975	285,975	0
32.	980102	980701	40,000	40,000	285,975	325,975	325,975	611,950
33.	980702	990101	0	0	283,650	283,650	283,650	0
34.	990102	990701	40,000	40,000	283,650	323,650	323,650	607,300
35.	990702	1000101	0	0	281,334	281,334	281,334	0
36.	1000102	1000701	50,000	50,000	281,334	331,334	331,334	612,650
37.	1000702	1010101	0	0	278,419	278,419	278,419	0
38.	1010102	1010701	55,000	55,000	278,419	333,419	333,419	611,837
39.	1010702	1020101	0	0	275,222	275,222	275,222	0
40.	1020102	1020701	60,000	60,000	275,222	335,222	335,222	610,444
41.	1020702	1030101	0	0	271,734	271,734	271,734	0
42.	1030102	1030701	70,000	70,000	271,734	341,734	341,734	613,469
43.	1030702	1040101	0	0	267,666	267,666	267,666	0
44.	1040102	1040701	75,000	75,000	267,666	342,666	342,666	610,331
45.	1040702	1050101	0	0	263,396	263,396	263,396	0
46.	1050102	1050701	85,000	85,000	263,396	348,396	348,396	621,822
47.	1050702	1060101	0	0	258,386	258,386	258,386	0
48.	1060102	1060701	95,000	95,000	258,386	353,386	353,386	611,731
49.	1060702	1070101	0	0	252,644	252,644	252,644	0
50.	1070102	1070701	110,000	110,000	252,644	362,644	362,644	615,287
51.	1070702	1080101	0	0	246,450	246,450	246,450	0
52.	1080102	1080701	85,000	85,000	246,450	331,450	331,450	577,900
53.	1080702	1090101	0	0	241,509	241,509	241,509	0
54.	1090102	1090701	95,000	95,000	241,509	336,509	336,509	578,019
55.	1090702	1100101	0	0	235,987	235,987	235,987	0
56.	1100102	1100701	115,000	115,000	235,987	350,987	350,987	586,975
57.	1100702	1110101	0	0	229,303	229,303	229,303	0
58.	1110102	1110701	120,000	120,000	229,303	349,303	349,303	578,606
59.	1110702	1120101	0	0	222,328	222,328	222,328	0
60.	1120102	1120701	140,000	140,000	222,328	362,328	362,328	584,656
61.	1120702	1130101	0	0	214,191	214,191	214,191	0
62.	1130102	1130701	150,000	150,000	214,191	364,191	364,191	578,381
63.	1130702	1140101	0	0	205,472	205,472	205,472	0
64.	1140102	1140701	175,000	175,000	205,472	380,472	380,472	585,944
65.	1140702	1150101	0	0	195,300	195,300	195,300	0
66.	1150102	1150701	190,000	190,000	195,300	385,300	385,300	580,600
67.	1150702	1160101	0	0	184,256	184,256	184,256	0
68.	1160102	1160701	215,000	215,000	184,256	399,256	399,256	583,512
69.	1160702	1170101	0	0	171,759	171,759	171,759	0
70.	1170102	1170701	245,000	245,000	171,759	416,759	416,759	588,519
71.	1170702	1180101	0	0	157,519	157,519	157,519	0
72.	1180102	1180701	270,000	270,000	157,519	427,519	427,519	585,037
73.	1180702	1190101	0	0	141,375	141,375	141,375	0
74.	1190102	1190701	300,000	300,000	141,375	441,375	441,375	583,650
75.	1190702	1200101	0	0	124,287	124,287	124,287	0
76.	1200102	1200701	335,000	335,000	124,287	459,287	459,287	583,775
77.	1200702	1210101	0	0	104,916	104,916	104,916	0
78.	1210102	1210701	380,000	380,000	104,916	484,916	484,916	589,831
79.	1210702	1220101	0	0	82,828	82,828	82,828	0
80.	1220102	1220701	425,000	425,000	82,828	507,828	507,828	590,656
81.	1220702	1230101	0	0	58,125	58,125	58,125	0
82.	1230102	1230701	455,000	455,000	58,125	513,125	513,125	571,250
83.	1230702	1240101	0	0	31,678	31,678	31,678	0
84.	1240102	1240701	320,000	320,000	31,678	351,678	351,678	383,356
85.	1240702	1250101	0	0	13,078	13,078	13,078	0
86.	1250102	1250701	225,000	225,000	13,078	238,078	238,078	251,156
87.	0	0	0	0	0	0	0	0
88.	0	0	0	0	0	0	0	0
89.	0	0	0	0	0	0	0	0
90.	0	0	0	0	0	0	0	0
TOTAL	0	0	5,160,000	5,160,000	19,832,225	25,692,225	25,692,225	25,692,225

000163

MF Ser B

1982 - SERIES I

THE NORDIC BANK - PUBLIC FINANCE DEPARTMENT
FINANCIAL MODEL/FEASIBILITY

PROJECTED CASH FLOW

ENDING DATE	NET RECEIPTS FROM NOTEHOLDERS FOR PRINCIPAL	NET NON-NOTEHOLDER INVESTMENT EARNINGS	SCHEDULED RESERVE WITHDRAWALS	INTEREST ON GENERAL RESERVE FUND	TOTAL PROGRAM EXPENSES	TOTAL CASH AVAILABLE	SCHEDULED DEBT SERVICE	LOSS ON EARLY REDEMPTION	NET CASH AVAILABLE	UNSCHEDULED RESERVE WITHDRAWALS	USED TO CALL BONDS	ADDITIONAL SURPLUS FUND DEPOSIT	PROJECTED CASH FLOW
030101	211,143	144,294	(0)	947	166,175	166,209	195,750	0	(19,441)	82,241	0	62,800	0
030701	244,636	124,438	0	1,419	1,200	369,294	279,970	0	41,229	0	0	41,229	0
040101	246,966	61,602	4,139	1,419	1,200	313,126	255,725	0	41,201	0	0	61,201	0
040701	253,753	59,680	71,250	1,419	1,200	406,344	295,425	0	159,219	0	0	0	159,219
050101	253,960	43,727	54	1,419	1,200	224,360	259,155	0	17,625	0	0	0	17,625
050701	253,562	43,726	52	1,419	1,200	224,163	259,125	0	17,638	0	0	0	17,638
060101	253,963	43,724	61	1,419	1,200	224,567	259,344	0	17,623	0	0	0	17,623
060701	253,765	43,722	65	1,419	1,200	224,371	259,344	0	17,627	0	0	0	17,627
070101	253,567	43,720	69	1,419	1,200	224,176	259,713	0	18,213	0	0	0	18,213
070701	253,572	43,718	73	1,419	1,200	224,986	260,762	0	18,218	0	0	0	18,218
080101	253,773	43,716	78	1,419	1,200	224,987	271,181	0	28,864	0	0	0	28,864
080701	253,974	43,714	82	1,419	1,200	224,991	259,181	0	18,829	0	0	0	18,829
090101	254,177	43,712	87	1,419	1,200	224,936	259,800	0	19,376	0	0	0	19,376
090701	253,980	43,710	93	1,419	1,200	224,903	259,980	0	19,422	0	0	0	19,422
100101	253,983	43,707	99	1,419	1,200	224,908	258,728	0	19,280	0	0	0	19,280
100701	253,986	43,705	105	1,419	1,200	224,914	260,198	0	19,284	0	0	0	19,284
110101	254,189	43,703	111	1,419	1,200	224,922	260,246	0	19,324	0	0	0	19,324
110701	253,992	43,699	118	1,419	1,200	224,929	259,454	0	18,477	0	0	0	18,477
120101	253,977	43,696	124	1,419	1,200	224,916	259,439	0	18,523	0	0	0	18,523
120701	253,961	43,693	131	1,419	1,200	224,905	259,461	0	18,556	0	0	0	18,556
130101	253,945	43,689	141	1,419	1,200	224,854	259,288	0	18,434	0	0	0	18,434
130701	253,610	43,685	150	1,419	1,200	224,662	259,402	0	19,240	0	0	0	19,240
140101	253,614	43,681	159	1,419	1,200	224,673	259,459	0	34,414	0	0	0	34,414
140701	253,619	43,677	169	1,419	1,200	224,684	259,459	0	9,425	0	0	0	9,425
150101	253,625	43,672	179	1,419	1,200	224,695	260,256	0	25,859	0	0	0	25,859
150701	253,631	43,668	190	1,419	1,200	224,707	259,426	0	16,901	0	0	0	16,901
160101	253,637	43,663	202	1,419	1,200	224,720	259,723	0	17,147	0	0	0	17,147
160701	253,643	43,657	214	1,419	1,200	224,734	259,478	0	7,478	0	0	0	7,478
170101	253,650	43,652	227	1,419	1,200	224,748	259,539	0	19,139	0	0	0	19,139
170701	253,657	43,646	241	1,419	1,200	224,763	259,619	0	4,154	0	0	0	4,154
180101	253,665	43,639	256	1,419	1,200	224,779	259,973	0	41,264	0	0	0	41,264
180701	253,673	43,632	272	1,419	1,200	224,796	259,980	0	3,184	0	0	0	3,184
190101	253,682	43,625	289	1,419	1,200	224,815	260,055	0	42,240	0	0	0	42,240
190701	253,691	43,617	307	1,419	1,200	224,834	259,658	0	24,824	0	0	0	24,824
200101	253,701	43,609	326	1,419	1,200	224,855	260,991	0	45,136	0	0	0	45,136
200701	253,711	43,600	345	1,419	1,200	224,876	261,178	0	(4,647)	166,000	0	75,475	0
210101	253,722	43,480	367	1,419	1,200	224,908	261,419	0	48,769	0	0	4,649	44,721
210701	253,734	43,561	389	1,419	1,200	225,224	261,419	0	(6,695)	166,000	0	53,825	0
220101	253,747	43,403	413	1,419	1,200	225,182	261,419	0	51,766	0	0	6,055	45,866
220701	253,760	43,560	439	1,419	1,200	225,178	261,419	0	(7,844)	166,000	0	52,156	0
230101	253,774	43,233	466	1,419	1,200	225,171	261,724	0	229	0	0	3,644	47,633
230701	253,789	43,536	494	1,419	1,200	225,438	261,734	0	(34,296)	166,000	0	52,704	0
240101	253,226	43,130	525	1,419	1,200	225,678	267,444	0	58,452	0	0	14,276	45,176
240701	253,569	43,509	555	1,419	1,200	225,296	265,686	0	(32,129)	166,000	0	54,841	0
250101	253,913	43,477	587	1,419	1,200	225,115	265,656	0	84,629	0	0	38,139	46,490
250701	254,258	43,478	620	1,419	1,200	225,080	265,386	0	(34,722)	166,000	0	73,277	0
260101	254,278	43,872	668	1,419	1,200	225,436	265,386	0	68,895	0	0	21,223	47,672
260701	254,298	43,444	721	1,419	1,200	225,196	265,386	0	(40,636)	166,000	0	74,364	0
270101	254,318	43,718	773	1,419	1,200	225,610	265,844	0	74,187	0	0	25,616	48,571
270701	254,338	43,425	797	1,419	1,200	225,747	265,844	0	(32,677)	166,000	0	64,322	0
280101	254,359	44,743	846	1,419	1,200	226,183	266,450	0	63,733	0	0	23,292	40,441
280701	254,379	44,829	898	1,419	1,200	226,225	266,450	0	(34,245)	166,000	0	59,775	0
290101	254,400	44,108	953	1,419	1,200	226,081	266,588	0	65,199	0	0	24,258	40,941
290701	254,458	44,639	1,011	1,419	1,200	226,728	266,504	0	(35,101)	166,000	0	74,829	0
300101	254,493	44,970	1,074	1,419	1,200	226,704	266,503	0	74,716	0	0	29,181	45,535
300701	254,564	45,184	1,140	1,419	1,200	226,465	266,503	0	(37,959)	166,000	0	64,483	0
310101	254,467	45,210	1,210	1,419	1,200	226,427	266,503	0	81,154	0	0	35,551	45,603
310701	254,651	45,421	1,284	1,419	1,200	226,676	266,503	0	(37,827)	166,000	0	62,352	0
320101	254,846	45,422	1,364	1,419	1,200	226,852	266,503	0	84,347	0	0	37,477	46,869
320701	254,456	45,445	1,448	1,419	1,200	226,968	266,503	0	(37,536)	166,000	0	49,474	0
330101	254,721	45,371	1,537	1,419	1,200	227,210	266,371	0	86,839	0	0	39,726	47,113
330701	254,833	45,552	1,632	1,419	1,200	227,436	266,371	0	(52,389)	166,000	0	47,611	0
340101	254,948	45,581	1,732	1,419	1,200	227,676	266,371	0	105,304	0	0	52,289	52,016
340701	254,630	45,581	1,838	1,419	1,200	227,468	266,371	0	(48,903)	166,000	0	51,720	0
350101	254,922	45,372	1,950	1,419	1,200	227,863	266,371	0	111,172	0	0	66,245	44,926
350701	254,930	45,180	2,070	1,419	1,200	227,799	266,371	0	(72,819)	166,000	0	27,181	0
360101	254,937	45,183	2,196	1,419	1,200	227,836	266,371	0	124,266	0	0	102,371	22,564
360701	254,947	45,538	2,330	1,419	1,200	228,434	266,371	0	(54,067)	166,000	0	45,333	0
370101	254,322	45,563	2,477	1,419	1,200	227,981	266,371	0	137,668	0	0	123,290	14,379
370701	254,301	45,825	2,633	1,419	1,200	228,579	266,371	0	(33,161)	166,000	0	66,829	0
380101	254,305	45,634	2,791	1,419	1,200	228,350	266,371	0	154,633	0	0	131,575	23,058
380701	254,479	45,501	10,378	1,419	1,200	234,972	266,371	0	(22,176)	166,000	0	57,624	0
390101	254,570	45,329	3,145	1,419	1,200	233,264	266,371	0	171,458	0	0	156,934	14,524
390701	254,731	45,587	147,227	1,419	1,200	441,063	441,063	0	19,422	0	0	0	19,422
400101	254,778	45,543	3,344	1,419	1,200	314,283	314,283	0	189,494	0	0	189,494	0
400701	254,831	45,564	15,458	1,419	1,200	320,161	320,161	0	45,845	0	0	0	45,845
410101	254,883	45,343	3,554	1,419	1,200	314,979	314,979	0	209,456	0	0	209,456	0
410701	254,936	45,564	21,576	1,419	1,200	324,161	324,161	0	45,345	0	0	0	45,345
420101	254,989	45,178	4,580	1,419	1,200	314,922	314,922	0	222,295	0	0	222,295	(0)
420701	255,042	45,176	23,673	1,419	1,200	326,511	326,511	0	46,279	0	0	0	46,279
430101	255,096	44,961	5,971	1,419	1,200	312,744	312,744	0	257,619	0	0	257,619	0
430701	255,150	45,232	24,824	1,419	1,200	327,825	327,825	0	48,418	0	0	0	48,418
440101	255,204	45,027	7,374	1,419	1,200	311,224	311,224	0	134,791	0	0	134,791	(0)
440701	255,259	45,298	13,781	1,419	1,200	327,857	327,857	0	(194,649)	194,649	0	0	0
450101	255,314	45,271	(1,412)	1,419	1,200	303,678	303,678	0	0	0	0	0	0
450701	255,370	45,310	471,562	1,419	1,200	486,452	238,978	0	248,474	0	0	0	248,474
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	23,237,268	3,213,239	2,009,333	121,562	268,175	28,403,162	25,092,228	0	3,310,933	2,176,291	0	3,433,413	2,853,703

000164

11F67

South Carolina State Housing Authority
Homeownership Mortgage Purchase Bonds
1992 Series A

THE MORGAN BANK - PUBLIC FINANCE DEPARTMENT
FINANCIAL DEVELOPMENT UNIT

DEBT SERVICE SCHEDULE

AVERAGE COUPON	11.559074 %
AVERAGE LIFE	22.970 YEARS
NET INTEREST COST (NIC)	11.672864 %
TRUE INTEREST COST (TIC)	11.849609 %
REAL INTEREST COST (RIC)	11.874468 %
BOND YIELD AT 100% FHA	11.487969 %
REOFFERING YIELD	11.518654 %

	BEGIN DATE	ENDING DATE	PRINCIPAL DEBT SERVICE	CAN PRINCIPAL DEBT SERVICE	INTEREST DEBT SERVICE	TOTAL DEBT SERVICE	CAN TOTAL DEBT SERVICE	ANNUAL TOTAL DEBT SERVICE
1.	920702	930101	0	0	2,341,489	2,341,489	2,341,489	0
2.	930102	930701	0	0	4,682,976	4,682,976	4,682,976	7,024,464
3.	930702	940101	0	0	4,682,976	4,682,976	4,682,976	0
4.	940102	940701	490,000	490,000	4,682,976	5,172,976	5,172,976	9,855,952
5.	940702	950101	0	0	4,666,439	4,666,439	4,666,439	0
6.	950102	950701	520,000	520,000	4,666,439	5,186,439	5,186,439	9,852,877
7.	950702	960101	0	0	4,647,599	4,647,599	4,647,599	0
8.	960102	960701	560,000	560,000	4,647,599	5,207,599	5,207,599	9,855,177
9.	960702	970101	0	0	4,625,899	4,625,899	4,625,899	0
10.	970102	970701	605,000	605,000	4,625,899	5,230,899	5,230,899	9,856,777
11.	970702	980101	0	0	4,600,933	4,600,933	4,600,933	0
12.	980102	980701	660,000	660,000	4,600,933	5,260,933	5,260,933	9,861,865
13.	980702	990101	0	0	4,572,495	4,572,495	4,572,495	0
14.	990102	990701	710,000	710,000	4,572,495	5,282,495	5,282,495	9,854,990
15.	990702	000101	0	0	4,538,038	4,538,038	4,538,038	0
16.	000102	000701	780,000	780,000	4,538,038	5,318,038	5,318,038	9,859,676
17.	000702	010101	0	0	4,503,175	4,503,175	4,503,175	0
18.	010102	010701	845,000	845,000	4,503,175	5,348,175	5,348,175	9,851,350
19.	010702	020101	0	0	4,462,615	4,462,615	4,462,615	0
20.	020102	020701	930,000	930,000	4,462,615	5,392,615	5,392,615	9,855,230
21.	020702	030101	0	0	4,417,045	4,417,045	4,417,045	0
22.	030102	030701	1,020,000	1,020,000	4,417,045	5,437,045	5,437,045	9,854,090
23.	030702	040101	0	0	4,366,045	4,366,045	4,366,045	0
24.	040102	040701	1,120,000	1,120,000	4,366,045	5,486,045	5,486,045	9,852,090
25.	040702	050101	0	0	4,300,984	4,300,984	4,300,984	0
26.	050102	050701	1,250,000	1,250,000	4,300,984	5,550,984	5,550,984	9,851,967
27.	050702	060101	0	0	4,228,371	4,228,371	4,228,371	0
28.	060102	060701	1,395,000	1,395,000	4,228,371	5,623,371	5,623,371	9,851,741
29.	060702	070101	0	0	4,147,334	4,147,334	4,147,334	0
30.	070102	070701	1,555,000	1,555,000	4,147,334	5,702,334	5,702,334	9,849,669
31.	070702	080101	0	0	4,057,004	4,057,004	4,057,004	0
32.	080102	080701	1,730,000	1,730,000	4,057,004	5,787,004	5,787,004	9,844,007
33.	080702	090101	0	0	3,956,507	3,956,507	3,956,507	0
34.	090102	090701	1,935,000	1,935,000	3,956,507	5,891,507	5,891,507	9,848,014
35.	090702	100101	0	0	3,844,102	3,844,102	3,844,102	0
36.	100102	100701	2,160,000	2,160,000	3,844,102	6,004,102	6,004,102	9,848,204
37.	100702	101101	0	0	3,718,426	3,718,426	3,718,426	0
38.	101102	101701	2,410,000	2,410,000	3,718,426	6,128,426	6,128,426	9,847,852
39.	101702	102101	0	0	3,578,426	3,578,426	3,578,426	0
40.	102102	102701	2,690,000	2,690,000	3,578,426	6,268,426	6,268,426	9,847,256
41.	102702	103101	0	0	3,422,364	3,422,364	3,422,364	0
42.	103102	103701	3,000,000	3,000,000	3,422,364	6,422,364	6,422,364	9,844,729
43.	103702	104101	0	0	3,248,092	3,248,092	3,248,092	0
44.	104102	104701	3,355,000	3,355,000	3,248,092	6,603,092	6,603,092	9,851,185
45.	104702	105101	0	0	3,053,199	3,053,199	3,053,199	0
46.	105102	105701	3,745,000	3,745,000	3,053,199	6,798,199	6,798,199	9,851,397
47.	105702	106101	0	0	2,835,649	2,835,649	2,835,649	0
48.	106102	106701	4,180,000	4,180,000	2,835,649	7,015,649	7,015,649	9,851,299
49.	106702	107101	0	0	2,592,831	2,592,831	2,592,831	0
50.	107102	107701	4,665,000	4,665,000	2,592,831	7,257,831	7,257,831	9,850,661
51.	107702	108101	0	0	2,321,838	2,321,838	2,321,838	0
52.	108102	108701	5,205,000	5,205,000	2,321,838	7,526,838	7,526,838	9,848,676
53.	108702	109101	0	0	2,019,476	2,019,476	2,019,476	0
54.	109102	109701	5,805,000	5,805,000	2,019,476	7,824,476	7,824,476	9,843,952
55.	109702	110101	0	0	1,682,240	1,682,240	1,682,240	0
56.	110102	110701	6,485,000	6,485,000	1,682,240	8,167,240	8,167,240	9,849,520
57.	110702	111101	0	0	1,305,542	1,305,542	1,305,542	0
58.	111102	111701	7,235,000	7,235,000	1,305,542	8,540,542	8,540,542	9,846,085
59.	111702	112101	0	0	905,357	905,357	905,357	0
60.	112102	112701	8,040,000	8,040,000	905,357	8,945,357	8,945,357	9,850,514
61.	112702	113101	0	0	415,884	415,884	415,884	0
62.	113102	113701	7,155,000	7,155,000	415,884	7,570,884	7,570,884	7,986,769
63.	113702	114101	0	0	0	0	0	0
64.	114102	114701	0	0	0	0	0	0
65.	114702	115101	0	0	0	0	0	0
66.	115102	115701	0	0	0	0	0	0
67.	115702	116101	0	0	0	0	0	0
68.	116102	116701	0	0	0	0	0	0
69.	116702	117101	0	0	0	0	0	0
70.	117102	117701	0	0	0	0	0	0
TOTAL	1270702	1290101	82,265,000	82,265,000	218,422,433	300,687,433	300,687,433	300,687,433

South Carolina State Housing Authority
Homeownership Mortgage Purchase Bonds
1982 Series A

THE MORGAN BANK - PUBLIC FINANCE DEPARTMENT
FINANCIAL DEVELOPMENT UNIT

PROJECTED CASH AVAILABILITY

0 % FHA EXPERIENCE

100 % ORIGINATION

EXHIBIT

JUL 12 1983 NO. 10

STATE BUDGET & CONTROL BOARD

	ENDING DATE	NET RECEIVED FROM MORTGAGE PORTFOLIO	INTEREST EARNINGS NET OF REBATE	SCHEDULED RESERVE WITHDRAWALS	EARLY REDEMPTION LOSS ON SCHEDULED WITHDRAWALS	TOTAL CASH AVAILABLE	SCHEDULED DEBT SERVICE	TOTAL PROGRAM EXPENSES	NET CASH AVAILABLE
1.	830101	40,615	1,393,497	1,004,066	0	2,438,179	2,341,490	57,585	39,105
2.	830701	2,607,548	2,030,375	39,105	0	4,677,028	4,692,976	57,585	(63,533)
3.	840101	4,809,079	277,573	0	0	5,086,651	4,692,976	57,585	346,090
4.	840701	4,874,292	333,723	282,556	0	5,390,572	5,172,976	57,585	160,010
5.	850101	4,874,536	225,956	10	0	5,100,502	4,657,159	57,130	386,213
6.	850701	4,874,795	234,390	306,213	0	5,415,397	5,172,519	57,074	185,794
7.	860101	4,875,069	225,984	794	0	5,101,846	4,622,939	56,501	422,327
8.	860701	4,875,360	234,554	312,307	0	5,422,241	5,178,550	56,506	189,179
9.	870101	4,875,669	226,094	4,178	0	5,105,931	4,594,130	55,982	465,820
10.	870701	4,875,997	234,657	315,820	0	5,426,474	5,180,420	55,877	190,168
11.	880101	4,876,345	225,981	168	0	5,102,494	4,539,420	55,321	507,753
12.	880701	4,876,715	234,718	317,720	0	5,429,153	5,178,433	55,188	195,532
13.	890101	4,877,104	226,000	522	0	5,103,626	4,498,698	54,596	550,357
14.	890701	4,877,502	234,937	325,357	0	5,437,816	5,185,885	54,432	198,220
15.	900101	4,877,914	226,086	3,290	0	5,107,290	4,431,695	53,798	622,494
16.	900701	4,878,432	235,291	337,496	0	5,451,219	5,194,885	53,696	203,058
17.	910101	4,878,930	226,090	3,056	0	5,108,075	4,366,295	52,913	688,867
18.	910701	4,879,457	235,193	333,867	0	5,448,518	5,190,705	52,664	205,149
19.	920101	4,880,017	226,022	148	0	5,106,188	4,293,285	51,990	761,004
20.	920701	4,880,612	235,540	346,004	0	5,462,156	5,199,195	51,630	211,331
21.	930101	4,881,242	226,069	1,331	0	5,108,642	4,211,435	50,841	846,366
22.	930701	4,881,913	235,702	351,366	0	5,468,980	5,202,726	50,494	215,761
23.	940101	4,882,622	226,068	761	0	5,109,453	4,118,285	49,630	940,547
24.	940701	4,883,376	235,835	355,567	0	5,474,777	5,195,335	49,230	230,202
25.	950101	4,884,176	226,072	220	0	5,110,490	4,007,584	48,282	1,054,693
26.	950701	4,885,025	236,104	364,193	0	5,485,823	5,197,484	47,799	240,539
27.	960101	4,885,926	226,100	539	0	5,112,564	3,982,111	46,776	1,183,685
28.	960701	4,886,882	236,098	363,685	0	5,486,665	5,184,551	46,196	255,917
29.	970101	4,887,897	226,133	917	0	5,114,948	3,741,334	45,073	1,328,540
30.	970701	4,888,974	235,843	353,540	0	5,478,357	5,159,798	44,790	274,192
31.	980101	4,890,117	226,248	4,193	0	5,120,558	3,583,434	43,148	1,493,945
32.	980701	4,891,330	235,054	323,945	0	5,450,331	5,106,574	42,350	302,407
33.	990101	4,892,617	226,228	2,407	0	5,121,252	3,405,797	41,027	1,674,428
34.	990701	4,893,994	233,724	274,428	0	5,402,146	5,024,597	40,047	337,492
35.	1000101	4,895,433	226,262	2,492	0	5,124,188	3,206,382	38,622	1,579,174
36.	1000701	4,896,972	231,414	189,174	0	5,317,559	4,986,372	37,439	391,748
37.	1010101	4,898,605	226,278	1,748	0	5,126,631	2,982,316	35,920	2,108,394
38.	1010701	4,900,338	227,591	48,394	0	5,176,323	4,682,936	34,478	458,998
39.	1020101	4,902,177	226,291	3,998	0	5,132,466	2,730,648	32,884	2,368,901
40.	1020701	4,904,120	226,403	4,001	0	5,134,525	4,473,246	31,256	630,024
41.	1030101	4,906,200	226,318	56	0	5,132,574	2,447,383	29,473	2,655,748
42.	1030701	4,908,388	226,362	748	0	5,135,500	4,233,631	27,615	874,662
43.	1040101	4,910,731	226,502	4,042	0	5,142,094	2,142,730	25,648	2,993,746
44.	1040701	4,913,207	226,444	1,746	0	5,141,398	3,911,197	23,550	1,206,642
45.	1050101	4,915,834	226,471	1,642	0	5,143,948	1,772,522	21,346	3,350,080
46.	1050701	4,918,623	226,460	80	0	5,145,162	3,462,803	19,001	1,663,358
47.	1060101	4,921,593	226,584	3,358	0	5,151,534	1,371,750	16,530	3,763,254
48.	1060701	4,924,722	226,617	3,254	0	5,154,593	2,813,200	13,888	2,327,505
49.	1070101	4,928,055	226,634	2,885	0	5,157,194	921,572	11,098	4,224,524
50.	1070701	4,931,592	226,730	4,526	0	5,162,846	1,971,284	8,144	3,183,417
51.	1080101	4,935,346	138,513	3,417	0	5,077,276	616,135	5,612	4,456,099
52.	1080701	4,939,329	139,495	1,099	0	5,079,913	475,603	1,753	4,601,556
53.	1090101	4,943,557	205,391	2,431,556	0	7,580,493	291	3	7,580,199
54.	1090701	4,948,043	347,020	7,580,199	0	12,875,263	5,291	3	12,869,969
55.	1100101	4,952,805	492,540	12,869,968	0	18,315,313	0	0	18,315,313
56.	1100701	4,957,858	642,347	18,315,315	0	23,915,520	0	0	23,915,520
57.	1110101	4,963,220	796,414	23,915,520	0	29,675,154	0	0	29,675,154
58.	1110701	4,968,911	954,869	29,675,154	0	35,598,934	0	0	35,598,934
59.	1120101	4,974,951	1,117,941	35,598,934	0	41,691,724	0	0	41,691,724
60.	1120701	4,981,361	1,285,466	41,691,724	0	47,958,553	0	0	47,958,553
61.	1130101	4,946,532	1,457,881	47,958,553	0	54,362,967	0	0	54,362,967
62.	1130701	2,321,441	1,509,744	54,362,967	0	58,274,152	0	0	58,274,152
63.	1140101	66,593	1,494,070	61,238,897	0	62,989,560	0	0	62,989,560
64.	1140701	0	0	0	0	0	0	0	0
65.	1150101	0	0	0	0	0	0	0	0
66.	1150701	0	0	0	0	0	0	0	0
67.	1160101	0	0	0	0	0	0	0	0
68.	1160701	0	0	0	0	0	0	0	0
69.	1170101	0	0	0	0	0	0	0	0
70.	1170701	0	0	0	0	0	0	0	0
TOTAL	1290101	294,258,687	25,333,936	342,246,215	0	661,838,838	206,157,366	2,164,508	453,516,964

000166

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 11 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 4

STATE BUDGET & CONTROL BOARD

Agency: State Housing Authority

Subject: Authorization to Proceed with Single-Family Bond Issue

The Housing Authority on July 29, 1983, did determine to proceed with the preparations to provide funds for mortgage loans by the sale of an issue of mortgage bonds. The Authority expects this issue would be between $30,000,000 and $60,000,000 with the final amount to be determined on the basis of apparent demand for the mortgage loans. The Authority proposes to have the bonds offered through a syndicate headed by Morgan Guaranty Trust Company of New York and the appointment of that company has been approved by the State Treasurer.

The Authority suggests that the Board delegate to the State Treasurer the right to conditionally approve the interest to be borne by the bonds subject to final approval by the Board and it is expected that the rate will not exceed 10.25%.

Board Action Requested:

Authorize State Housing Authority to proceed with its mortgage loan program and the issuance of bonds in an amount which will produce the sum determined to reflect the demand for mortgage loans for single family homes for members of the beneficiary classes and authorize the State Treasurer to conditionally approve the interest rate on such bonds subject to final approval by the Board with the expectation that that rate will not exceed 10.25% and that the issue amount will be not less than $30,000,000 and not more than $60,000,000.

Attachments:

Statement by Deputy Director Lusk of the State Housing Authority

TO THE HONORABLE, THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA

Pursuant to Section 31-13-220, South Carolina Code of Laws of 1976, (the Act), the South Carolina State Housing Authority (the Authority) herewith advises that by action taken on June 29, 1983, it did determine to proceed with preparations to provide money for mortgage loans to the beneficiary classes (as defined in the Act) by the sale of an issue of mortgage bonds. It is anticipated that such issue will be between 30-60 million dollars, with the final amount to be determined on the basis of apparent demand for the mortgage loans to be made with the proceeds of such bonds. The Authority proposes to have the bonds offered through a syndicate headed by Morgan Guaranty Trust Company of New York, which institution has successfully marketed all previous bonds issued by the Authority. The appointment of Morgan Guaranty Trust Company has been approved by the State Treasurer. Prior to such offering, the Authority will present all pertinent financial information with respect to the proposed bond issue to a such rating agency as may be deemed appropriate. On the basis of current information available to the Authority, the Authority believes that such agency will rate the proposed bonds as having an appropriate rating.

The bonds will consist of term bonds and special term bonds in such principal amounts as may be paid through the repayment of mortgage loans to be made by the Authority. The bonds shall be secured by the General Resolution dated September 21, 1982, previously approved by this honorable body on September 29, 1982.

It is suggested that there be delegated to the State Treasury, the right to conditionally approve the interest to be born by the bonds subject to final approval of your honorable body.

EXHIBIT

JUL 12 1983 NO. 11 000168

STATE BUDGET & CONTROL BOARD

On the basis of present indications, the Authority believes that the average interest rate to be paid on the bonds will not exceed 10.25% which will result in an interest rate to be paid of 10.75%.

On the basis of present indications it appears that there will be a demand for not less than thirty million dollars and not more than sixty million dollars for the mortgage loans to persons in the beneficiary classes.

Whatever sum is determined to reflect the demand for mortgage loans will determine the sizing of the bond issue. Portions of the principal proceeds of the bond issue may be needed for reserves; thus the final size of the bond issue cannot be determined at this time.

The State Treasurer will act as Trustee for the bonds.

Other precise details with respect to the issue will be presented to this Board at the appropriate time.

Wherefore, on the basis of the foregoing, the Authority prays approval of your honorable body to proceed with its mortgage loan program and the issuance of bonds in an amount which will produce the sum determined to reflect the demand for mortgage loans for single family homes for members of the beneficiary classes.

Respectfully Submitted,
THE SOUTH CAROLINA STATE
HOUSING AUTHORITY

By: George M Lusk
Deputy Director

7/6, 1983

EXHIBIT
JUL 12 1983 NO. 11
STATE BUDGET & CONTROL BOARD

000169

EXHIBIT

STATE BUDGET AND CONTROL BOARD
MEETING OF July 12, 1983 JUL 12 1983 NO. 12

REGULAR SESSION AGENDA
ITEM NUMBER 5

Agency: Town of Pageland STATE BUDGET & CONTROL BOARD

Subject: Subordination of Sewer Loan

The Town of Pageland presently plans to issue its $225,000 Water and Sewer System Revenue Bond to defray the cost of constructing extensions and improvements to its water and sewer system. The bond is to be sold to the Farmers Home Administration under an agreement between the Farmers Home Administration and the Town of Pageland which provides that the bond must be a fourth lien on the water and sewer system of the Town. In order for the bond to constitute a fourth lien, it is necessary that the pledge of revenues made to secure the sewer loan be subordinated to the pledge of revenues which will be made to secure the payment of the bond.

Board Action Requested:

Adopt a resolution authorizing the execution of and entering into Revision #5 of the Assistance Agreement entered into by and between the Board and the Town of Pageland relating to a loan in the original sum of $276,346.63.

Staff Comment:

Attachments:

Referenced resolution

000170

AUG 10 1983

SINKLER GIBBS & SIMONS

PROFESSIONAL ASSOCIATION

160 EAST BAY STREET
CHARLESTON, SOUTH CAROLINA
TELEPHONE AND TELECOPIER
(803) 722-3366

MAILING ADDRESS
CHARLESTON OFFICE
POST OFFICE BOX 340
CHARLESTON, S. C. 29402

COLUMBIA OFFICE
SUITE 1160
FIRST NATIONAL BANK BUILDING
POST OFFICE BOX 11456
COLUMBIA, S. C. 29211
TELEPHONE AND TELECOPIER
(803) 765-1885

August 8, 1983

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

William A. McInnis, Esq.
Deputy Executive Director
State Budget and Control Board
P. O. Box 12444
Columbia, SC 29211

Dear Bill:

Re: $225,000 Water and Sewer System Revenue Bond (Fourth Lien) of the Town of Pageland, South Carolina

We are now enclosing herewith two copies of Revision of Assistance Agreement (Revision No. 5) which has been signed by the Mayor, the Clerk and the Acting Town Administrator of the Town of Pageland under date of August 5, 1983. These two copies are being sent to you as provided in paragraph 3 of our letter of July 1, 1983.

We appreciate very much your efforts and prompt attention to all matters concerning the authorization and execution of this Revision No. 5.

Sincerely yours,

Sinkler Gibbs & Simons

ASJr/taf
Enclosures
cc: Hon. Grady L. Patterson, Jr.
R. Powell Black, Esq.
Paul M. Burch, Esq.
R. E. Pittman, Esq.
William Foxworth, Esq.

000171

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

REVISION OF ASSISTANCE AGREEMENT
(Revision No. 5)

1. Heretofore under date of June 29, 1983, the Town of Pageland, South Carolina (the Local Unit) entered into a Loan Resolution with the Farmers Home Administration, United States Department of Agriculture ("FmHA"), which Loan Resolution was supplemented by a Letter of Conditions from the FmHA to the Local Unit under date of June 21, 1983, which Loan Resolution and Letter of Conditions and all subsequent amendments thereto are collectively referred to as the "Loan Agreement", under which FmHA agreed to purchase from the Local Unit a $225,000 Water and Sewer System Revenue Bond (Fourth Lien), of the Town of Pageland, South Carolina, to be dated as of the date of delivery (the "Bond") and pursuant to said Loan Agreement said Bond was to be secured by a pledge of the revenues of the Water and Sewer System of the Town of Pageland (the "System") which pledge of revenues is to be junior and subordinate to the pledges of revenues of the System securing the following four issues of revenue bonds of the Local Unit:

(a) the now outstanding $49,000 of an original issue of $139,000 Water and Sewer System Revenue Bonds, Series of 1963, dated February 1, 1963;

(b) the now outstanding installments of an original issue of $47,700 Water and Sewer System Revenue Bonds, Series of 1970, dated November 18, 1970;

(c) the now outstanding installments of an original issue of $880,000 Water and Sewer System Revenue Bond of 1975, dated March 26, 1975; and

(d) the outstanding installments to be of an issue of $1,000,000 Water and Sewer System Revenue Bond (Third Lien) to be dated as of the date of delivery, which will be outstanding from that date.

and which pledge securing the Bond is not to be junior and subordinate to any other obligations of the Local Unit secured by a pledge of the revenues of the System. The Local Unit has requested the South Carolina State Budget and Control Board (the "State Board") to revise the Assistance Agreement entered into between the State of South Carolina acting by and through the State Board and the Local Unit (the "Assistance Agreement") which is more fully described hereinafter, by subordinating the pledge of revenues of the System made by the Local Unit to secure the payment to the State Board of the annual installments of the $276,346.63 Grant (the "Grant") to the pledges of revenues of the System made by the Local Unit to secure the payment of the Bond provided that the Local Unit authorize the State Board in the event of default to repay the annual installments due on the Grant from any and all other State aid to which the Local Unit may thereafter become entitled.

2. The Assistance Agreement by and between the State of South Carolina acting by and through the State Board and the Local Unit, dated March 8, 1974, as revised by Revision No. 1 dated November 1, 1974, Revision No. 2 dated November 21, 1975, Revision No. 3 dated November 24, 1976 and Revision No. 4 dated March 22, 1983 relating to a certain sewage collection and/or treatment Project declared eligible

000173

under the terms of Act No. 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as Project No. C450238 is hereby revised so as to amend the source of revenue to be designated for and applied by the Local Unit to the repayment of the Grant in the following respects:

(A.) The State Board agrees that its claim to any revenues of the Water and Sewer System of the Town of Pageland pursuant to the Assistance Agreement above referred to shall be junior and subordinate in all respects to the pledge of revenues to be made to secure the payment of the $225,000 Water and Sewer System Bond (Fourth Lien) of the Town of Pageland to be sold to the FmHA under the Loan Agreement.

(B.) The Local Unit agrees that the ultimate source of payment of the $276,346.63 Grant made by the State Board shall be any and all other State aid to which the Local Unit may hereafter become entitled, and said funds shall be used by the State Treasurer to pay the annual installments of the Grant as the same mature.

3. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is not changed by this revision. The total sum granted is $276,346.63.

4. The Grant Repayment Schedule, attached as Exhibit A to the Assistance Agreement Revision No. 3 dated November 24, 1976 is not changed by this revision.

000171

5. It is further agreed by the Local Unit that it shall furnish to the State Board a certificate signed by the Mayor, the Clerk, the Treasurer and the Town Administrator of the Local Unit that said officials fully understand the contents of this Revision No. 5 of the Assistance Agreement and that under Paragraph 2 (B) above the State Board shall, in the event of default, cause the State Treasurer to use any and all other State aid to which the Local Unit may be entitled to pay the annual installments of the Grant as the same mature. Such certificate shall further establish that this Revision No. 5 of the Assistance Agreement has been duly read to all members of the governing body of the Local Unit at a public meeting of said governing body and that said Revision of Assistance Agreement has been incorporated into the codification of Ordinances of the Local Unit as prescribed by law.

6. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officers, and the Local Unit, by its duly authorized officers, have

000175

set their hands and seals this 5TH day of AUGUST, 1983.

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND
CONTROL BOARD (SEAL)

IN THE PRESENCE OF:

Katherine M Clarke

Kathleen M. Wade

As To The State Board

By Richard W. Riley
Its Chairman

By William A. McInnis
Its Secretary

TOWN OF PAGELAND, SOUTH CAROLINA

IN THE PRESENCE OF:

Marsha M. Clark

Paul M. Burch

As To The Local Unit

By James L. Kirk
Its Mayor

By Louise A. Smith
Its Clerk

By Pamela Stark
Its Town Administrator
(ACTING)

000176

A RESOLUTION

AUTHORIZING THE EXECUTION OF AND ENTERING INTO THE REVISION NO. 5 OF AN ASSISTANCE AGREEMENT ENTERED INTO BY AND BETWEEN THE SOUTH CAROLINA STATE BUDGET AND CONTROL BOARD AND THE TOWN OF PAGELAND, SOUTH CAROLINA.

BE IT RESOLVED BY THE SOUTH CAROLINA STATE BUDGET AND CONTROL BOARD IN MEETING DULY ASSEMBLED:

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

ARTICLE I

FINDINGS OF FACT

Section 1.01

On March 8, 1974, the South Carolina State Budget and Control Board entered into an Assistance Agreement with the Town of Pageland, South Carolina, which was amended by Revision of Assistance Agreement dated November 1, 1974 (Revision No. 1); Revision of Assistance Agreement dated November 21, 1975 (Revision No. 2); Revision of Assistance Agreement dated November 24, 1976 (Revision No. 3); and Revision of Assistance Agreement dated March 22, 1983 (Revision No.4) (hereinafter collectively referred to as the "Assistance Agreement" and attached hereto as Exhibits A, B, C, D and E, respectively) pursuant to the terms of the Assistance Agreement the South Carolina State Budget and Control Board on behalf of the General Assembly of the State of South Carolina granted to the Town of Pageland the total sum of $276,346.63 in order to assist the said Town in the financing of certain improvements to its Water and Sewer System. The terms of the Assistance Agreement further set forth that the grant is payable in 25 annual installments of principal as shown on the Grant Payment Schedule attached as

Exhibit A to the Revision of the Assistance Agreement dated November 24, 1976 (Revision No. 3) commencing on November 1, 1976, and ending on November 1, 2000, together with interest on the unpaid balance of the principal at the rate of five percent (5%) per annum.

Section 1.02

The Town of Pageland presently plans to issue its $225,000 Water and Sewer System Revenue Bond (Fourth Lien), to be dated as of the date of delivery (the Bond), in order to defray the cost of constructing extensions and improvements to its Water and Sewer System (the System), which Bond is to be sold to the Farmers Home Administration, United States Department of Agriculture ("FmHA") pursuant to an agreement between the FmHA and the Town which provides that the Bond must be fourth lien upon the Water and Sewer System of the Town of Pageland. In order for this Bond to constitute a fourth lien upon the Water and Sewer System of the Town of Pageland, it is necessary for the pledge of revenues made to secure the debt evidenced by the Assistance Agreement be subordinated to the pledge of revenues which will be made to secure the payment of the Bond.

Section 1.03

The South Carolina State Budget and Control Board has reviewed the contents of the Revision No. 5 of the Assistance Agreement which is attached hereto as Exhibit F, and has determined to enter into and execute the said Revision No. 5.

000178

ARTICLE II

AUTHORIZATION TO EXECUTE AND ENTER INTO
THE REVISION NO. 5 OF THE ASSISTANCE AGREEMENT

Section 2.01

The South Carolina State Budget and Control Board has reviewed the findings of fact set forth in Article I hereof and finds the same to be true and correct. Pursuant thereto, the South Carolina State Budget and Control Board does hereby authorize the Chairman of the said Board and the Secretary on its behalf to execute and enter into the Revision No. 5.

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

000179

JUL 6 1983

SINKLER GIBBS & SIMONS
PROFESSIONAL ASSOCIATION
160 EAST BAY STREET
CHARLESTON, SOUTH CAROLINA
TELEPHONE AND TELECOPIER
(803) 722-3366

MAILING ADDRESS
CHARLESTON OFFICE
POST OFFICE BOX 340
CHARLESTON, S. C. 29402

COLUMBIA OFFICE
SUITE 1160
FIRST NATIONAL BANK BUILDING
POST OFFICE BOX 11456
COLUMBIA, S. C. 29211
TELEPHONE AND TELECOPIER
(803) 765-1885

July 1, 1983

EXHIBIT
JUL 12 1983 NO. 12
STATE BUDGET & CONTROL BOARD

William A. McInnis, Esq.
Deputy Executive Director
State Budget and Control Board
P. O. Box 12444
Columbia, SC 29211

Dear Bill:

Re: $225,000 Water and Sewer System Revenue Bond (Fourth Lien) of the Town of Pageland, South Carolina

Pursuant to our recent conversations with you and the State Treasurer we are enclosing herein the following documents in connection with the above:

1. Two copies of the Ordinance of the Town of Pageland authorizing the revision of the Assistance Agreement which is being given first reading by the Town Council of Pageland on Tuesday, July 5, 1983, and will be given second reading at a special meeting subsequent thereto.

2. Eight copies of a Resolution for consideration and adoption by the State Budget and Control Board at its meeting to be held on Tuesday, July 12, 1983, authorizing the execution and entering into of the Revision of Assistance Agreement between the State Budget and Control Board and the Town of Pageland. Attached to each copy of this Resolution is a copy of Revision No. 1 of the Assistance Agreement which is attached as Exhibit A thereto, Revision No. 2 of the Assistance Agreement which is attached as Exhibit B thereto, Revision No. 3 of the Assistance Agreement which is attached as Exhibit C thereto, Revision No. 4 of the Assistance Agreement which is attached as Exhibit D thereto and Revision No. 5 of the Assistance Agreement which is attached as Exhibit E thereto. Also attached to this Resolution is a Certificate as to the adoption of this Resolution for completion and execution by you. After the adoption of this Resolution, please keep as many copies as you need and return the remaining certified copies to us for the Transcript of Proceedings in connection with the above issue of bonds.

000180

3. Eight copies of the Revision of Assistance Agreement (Revision No. 5) which has not yet been signed on behalf of the Town. After the adoption of the Resolution by the State Budget and Control Board, could you please have these signed on behalf of the State Budget and Control Board and hold them until you are furnished with certified copies of the Ordinance of the Town of Pageland referred to in Item 1 above. After they have been returned to us we will secure the execution by the Officials of the Town of Pageland and return two fully executed copies to you. In the event that you need additional copies, please let us know.

4. Seven copies of a Certificate for execution by you relating to the borrowing from the State by the Town of Pageland which constitute the Assistance Agreement, namely, the original Assistance Agreement dated March 8, 1974, the revisions dated November 1, 1974, November 21, 1975, November 24, 1976 and March 22, 1983, as well as Revision No. 5 referred to in Paragraph 3 above. We would appreciate your executing six copies of this and returning them to us for the Transcripts.

5. Two copies of a Certificate which will be signed by the Mayor, the Town Clerk, the Town Treasurer and the Town Administrator of the Town of Pageland after the second meeting of Town Council adopting the Ordinance referred to in Paragraph 1 above. This Certificate is to evidence that the Town Officials fully understand the contents of the Revision of the Assistance Agreement with respect to the use of State aid to which Town of Pageland may be entitled to pay annual installments of the Grant as they mature and further certifying that the Revision was read to all members of Town Council at either the first or the second meeting of Town Council. After this Certificate has been signed by the Town Officials we will forward two signed copies of the same to you.

Thanking you for your attention to this matter,

Sincerely yours,

Sinkler Gibbs & Simons

ASJr/taf
Enclosures
cc: Hon. Grady L. Patterson, Jr.
Joseph C. Newton, Esq.
Paul M. Burch, Esq.
R. E. Pittman, Esq.
William Foxworth, Esq.

AUG 5 1983

SINKLER GIBBS & SIMONS
PROFESSIONAL ASSOCIATION
160 EAST BAY STREET
CHARLESTON, SOUTH CAROLINA
TELEPHONE AND TELECOPIER
(803) 722-3366

MAILING ADDRESS
CHARLESTON OFFICE
POST OFFICE BOX 340
CHARLESTON, S. C. 29402

COLUMBIA OFFICE
SUITE 1160
FIRST NATIONAL BANK BUILDING
POST OFFICE BOX 11458
COLUMBIA, S. C. 29211
TELEPHONE AND TELECOPIER
(803) 765-1885

August 4, 1983

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

William A. McInnis, Esq.
Deputy Executive Director
State Budget and Control Board
P. O. Box 12444
Columbia, SC 29211

Dear Bill:

Re: $225,000 Water and Sewer System Revenue Bond (Fourth Lien) of the Town of Pageland, South Carolina

We are enclosing herewith for your files in connection with the above two executed copies of the Ordinance authorizing the Revision of the Assistance Agreement which was adopted by the Town Council of the Town of Pageland on July 12, 1983.

Sincerely yours,

Sinkler Gibbs & Simons

ASJr/taf
Enclosures
cc: Hon. Grady L. Patterson, Jr.
Joseph C. Newton, Esq.
Paul M. Burch, Esq.
R. E. Pittman, Esq.
William Foxworth, Esq.

000182

SINKLER GIBBS & SIMONS
PROFESSIONAL ASSOCIATION
160 EAST BAY STREET
CHARLESTON, SOUTH CAROLINA
TELEPHONE AND TELECOPIER
(803) 722-3366

MAILING ADDRESS
CHARLESTON OFFICE
POST OFFICE BOX 340
CHARLESTON, S. C. 29402

COLUMBIA OFFICE
SUITE 1160
FIRST NATIONAL BANK BUILDING
POST OFFICE BOX 11458
COLUMBIA, S. C. 29211
TELEPHONE AND TELECOPIER
(803) 765-1665

August 4, 1983

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

Joseph C. Newton, Esq.
Town Administrator
Town Hall
126 N. Pearl Street
Pageland, SC 29728

Dear Joe:

Re: $225,000 Water and Sewer System Revenue Bond (Fourth Lien) of the Town of Pageland, South Carolina

We are enclosing herewith eight copies of the Revision of the Assistance Agreement (Revision No. 5) all of which are to be signed on page 5 by the Mayor, the Clerk and Treasurer and you as Town Administrator in the presence of two witnesses who shall also sign and date it. Please have the seal of the Town impressed on all copies to the right of your signature. After this has been done, please return all copies to us and we will forward two signed copies to Bill McInnis. These constitute Item No. 1 in our letter to Bill McInnis dated July 1 and also Item No. 1 in our letter to you dated July 1.

Thanking you for your prompt attention to this matter,

Sincerely yours,

Sinkler Gibbs & Simons

ASJr/taf
Enclosures
cc: Hon. William A. McInnis ✓
Hon. Grady L. Patterson, Jr.
Paul M. Burch, Esq.
R. E. Pittman, Esq.
William Foxworth, Esq.

000183

REVISED

ASSISTANCE AGREEMENT: EXHIBIT B

RE: SOURCES OF FUNDS FOR GRANT REPAYMENT

Local Unit Town of Pageland Authority Project # C450238

Amount of Grant: $ 271,064.63

The Local Unit proposes to repay the grant from -

(1) Current Operating Revenues Rates to be changed [X]
See attached data for projections.

(2) Special Charges Imposed for that Purpose [ ]

(3) A Combination of Current Revenues and Special Charges [ ]

The following data on these sources is submitted:

I. CURRENT OPERATIONS

(Last Two Complete Fiscal Years)

	Year Ending Dec. 1973	Year Ending Dec. 1972
Beginning Unencumbered Balance	$ 82,036.40	64,616.80
Revenue	$ 103,375.00	96,063.38
Expenditures	$ 94,013.00	77,988.83
Sub-Total	$ 9,362.00	18,076.55
Ending Unencumbered Balance	$ 92,526.00	82,036.40

II. SPECIAL CHARGES

Description:

Estimated Annual Revenue $

Highest Annual Principal and Interest Payment on Grant (From Exhibit A) $

000184

PAGELAND, SOUTH CAROLINA

WATER & SEWER RATES

Existing Rates

Water

0-3000 gal.	3.00 Minimum
3-20,000	0.40 / 1,000
over 20,000	0.35 / 1,000

Sewer

First two units	1.50 Minimum
Each additional	0.50 Each

P Proposed Rates

Water

0-3,000 gal.	4.00 Minimum
over 3,000 gal.	0.50 / 1,000

Sewer

0-3,000 gal.	2.00 Minimum
over 3,000 gal.	0.40 / 1,000

Water District

Wholesale Rate	0.40 /1,000
Reading and Billing	0.75 / Mo. / Customer

PAGELAND, SOUTH CAROLINA PROPOSED RATES

PROJECTED REVENUE

	WATER			SEWER		
Use	Customers	Cost	Revenue	Customers	Cost	Revenu
0-3	290	4.00	1,160.00	250	2.00	500.(
3-4	100	4.50	450.00	90	2.40	216.(
4-5	90	5.00	450.00	80	2.80	224.(
5-6	70	5.50	315.00	70	3.20	224.(
6-7	60	6.00	360.00	60	3.60	216.(
7-8	50	6.50	325.00	50	4.00	200.(
8-9	40	7.00	280.00	40	4.40	176.(
9-10	30	7.50	225.00	30	4.80	144.(
10-11	25	8.00	200.00	25	5.20	130.(
11-12	20	8.50	170.00	20	5.60	112.(
12-13	20	9.00	180.00	20	6.00	120.(
13-14	10	9.50	95.00	10	6.40	64.(
14-15	10	10.00	100.00	10	6.80	68.(
15-16	5	10.50	52.50	5	7.20	36.(
16-17	4	11.00	44.00	4	7.60	30.4
17-18	4	11.50	46.00	4	8.00	32.(
18-19	1	12.00	12.00	1	8.40	8.4
19-20	1	12.50	12.50	1	8.80	8.8
20-21	1	13.00	13.00	1	9.20	9.2
21-22	1	13.50	13.50	1	9.60	9.6
22-23	1	14.00	14.00	1	10.00	10.(
23-24	1	14.50	14.50	1	10.40	10.4
24-25	1	15.00	15.00	1	10.80	10.8
25-75	3	27.50	82.50	3	20.80	62.4
75-150	5	62.50	312.50	4	48.80	195.2
150-1,000	1	115.00	115.00	1	90.80	90.8
over 1,000	1	1,952.50	1,952.50	1	1,560.80	1,560.8
	845		7,009.50	784		4,468.8
Total Year			84,114.00			53,625.6

PROPOSED BUDGET

PAGELAND, SOUTH CAROLINA

Revenue		
Water Charges	84,100	
Sewer Charges	53,600	
Water Cut ins	4,000	
Sewer Cut ins	4,000	
Miscellaneous	400	
Rural Water District	25,500	
Total Income		171,600
Expense		
Personal Services	46,000	
Repairs & Maintenance	3,500	
Power	10,000	
Office Expense	4,500	
Chemicals	14,000	
Materials & Supplies	8,000	
Total Expense		86,000
Net Income		$ 85,600
Bond Amortization		
Existing Bonds	12,600	
State Loan	19,400	
FHA Loan	51,500	
Total Bond Amortization		83,500
Reserve		$ 2,100

000187

EXHIBIT C

STATE OF SOUTH CAROLINA
OFFICE OF THE STATE AUDITOR
P. O. BOX 11333
COLUMBIA
29211

P. C. SMITH
STATE AUDITOR

TELEPHONE
(803) 758-3100

November 21, 1975

The Honorable Joseph W. Terry, Mayor
Town of Pageland
Pageland, South Carolina 29728

RE: State Loan for Project C450238; Request for Revision of Repayment Schedule

Dear Mayor Terry:

Approval has been given to your October 21, 1975 request to revise the referenced repayment schedule by shifting the payment due dates forward by one year. This approval is based on the fact that no draws against the loan had been made prior to November 1, 1975 which was the original due date for your first payment.

A copy of the revised repayment schedule is enclosed and we also are sending a copy to your consulting engineers. The revised schedule shows your first payment as being due on November 1, 1976 and all other payments are due at one year anniversaries from that date. The amounts due annually are unchanged from the schedule approved originally.

Sincerely,

William A. McInnis

William A. McInnis
Assistant to the State Auditor

WAI:jpw

cc: Mr. Hugh Miley
Harwoode Beebe Company

Enclosure

000188

TOWN OF PAGELAND

THE WATERMELON CAPITAL OF THE WORLD

HEALTHY CLIMATE ... PROGRESSIVE SECTION

PAGELAND, SOUTH CAROLINA 29728

COUNCILMEN:
KENNETH A. ATKINSON
ALVIN A. LEAIRD
THOMAS W. MUNGO
H. B. SOWELL, JR.

JOSEPH W. TERRY
MAYOR

LOUISE A. SMITH
CLERK AND TREASURER

October 21, 1975

Mr. P. C Smith
Secretary
State Budget and Control Board
P. O. Box 11333
Columbia, South Carolina 29211

Re: Pageland, South Carolina
Project EPA No. C450238
State Loan

Dear Mr. Smith:

It has been called to our attention that the first payment of the state loan is due November 1, 1975, but as of today the Town has not been paid any of the loan, therefore we are requesting that the dates on our payment schedule be shifted forward by one year. If this meets your approval then our first payment would be due November 1, 1976.

Your assistance in this matter will be most appreciated and if further information is needed, please feel free to contact us.

Sincerely yours,

J. W. Terry
Mayor

JWT/

cc: Harwood Beebe Company

000189

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pageland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74; 11-21-75

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-76	$ 5,064.63	$ 13,553.23	$ 18,617.86
2	11-1-77	5,000.00	13,300.00	18,300.00
3	11-1-78	6,000.00	13,050.00	19,050.00
4	11-1-79	6,000.00	12,750.00	18,750.00
5	11-1-80	7,000.00	12,450.00	19,450.00
6	11-1-81	7,000.00	12,100.00	19,100.00
7	11-1-82	8,000.00	11,750.00	19,750.00
8	11-1-83	8,000.00	11,350.00	19,350.00
9	11-1-84	9,000.00	10,950.00	19,950.00
10	11-1-85	9,000.00	10,500.00	19,500.00
11	11-1-86	9,000.00	10,050.00	19,050.00
12	11-1-87	10,000.00	9,600.00	19,600.00
13	11-1-88	10,000.00	9,100.00	19,100.00
14	11-1-89	11,000.00	8,600.00	19,600.00
15	11-1-90	11,000.00	8,050.00	19,050.00
16	11-1-91	12,000.00	7,500.00	19,500.00
17	11-1-92	13,000.00	6,900.00	19,900.00
18	11-1-93	13,000.00	6,250.00	19,250.00
19	11-1-94	14,000.00	5,600.00	19,600.00
20	11-1-95	15,000.00	4,900.00	19,900.00
21	11-1-96	15,000.00	4,150.00	19,150.00
22	11-1-97	16,000.00	3,400.00	19,400.00
23	11-1-98	17,000.00	2,600.00	19,600.00
24	11-1-99	18,000.00	1,750.00	19,750.00
25	11-1-2000	17,000.00	850.00	17,850.00
		$ 271,064.63	$211,053.23	$ 482,117.86

000190

REVISED

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pearland Authority Project # C450238

Total Grant Amount: $271,054.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74; ~~10-29-75~~ 11-2-75

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-76	$ 5,054.63	$ 13,563.23	$ 18,617.86
2	11-1-77	5,000.00	13,300.00	18,300.00
3	11-1-78	6,000.00	13,050.00	19,050.00
4	11-1-79	6,000.00	12,750.00	18,750.00
5	11-1-80	7,000.00	12,450.00	19,450.00
6	11-1-81	7,000.00	12,100.00	19,100.00
7	11-1-82	8,000.00	11,750.00	19,750.00
8	11-1-83	8,000.00	11,350.00	19,350.00
9	11-1-84	9,000.00	10,950.00	19,950.00
10	11-1-85	9,000.00	10,500.00	19,500.00
11	11-1-86	9,000.00	10,050.00	19,050.00
12	11-1-87	10,000.00	9,600.00	19,600.00
13	11-1-88	10,000.00	9,100.00	19,100.00
14	11-1-89	11,000.00	8,600.00	19,600.00
15	11-1-90	11,000.00	8,050.00	19,050.00
16	11-1-91	12,000.00	7,500.00	19,500.00
17	11-1-92	13,000.00	6,900.00	19,900.00
18	11-1-93	13,000.00	6,250.00	19,250.00
19	11-1-94	14,000.00	5,600.00	19,600.00
20	11-1-95	15,000.00	4,900.00	19,900.00
21	11-1-96	15,000.00	4,150.00	19,150.00
22	11-1-97	16,000.00	3,400.00	19,400.00
23	11-1-98	17,000.00	2,600.00	19,600.00
24	11-1-99	18,000.00	1,750.00	19,750.00
25	11-1-2000	17,000.00	850.00	17,850.00
		$ 271,054.63	$ 211,053.23	$ 482,117.86

000191

EXHIBIT D

REVISION OF ASSISTANCE AGREEMENT

1. The Assistance Agreement by and between the State of South Carolina acting by and through the State Budget and Control Board (the State Board) and the Town of Pageland (the Local Unit), dated November 21, 19 75, relating to a certain sewage collection and/or treatment PROJECT declared eligible under the terms of Act 835 of the Acts and Joint Resolutions of 1973, as amended, and designated by the Department of Health and Environmental Control as PROJECT No. C450238 is hereby revised so as to (1) increase the total amount granted to the Local Unit by the State Board and (2) to reflect this increase appropriately in the Grant Repayment Schedule (Exhibit A) of the referenced Assistance Agreement.

2. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is hereby increased from $ 271,064.63 to $ 276,346.63.

3. Attached hereto and hereby incorporated into the referenced Assistance Agreement is a revised Grant Repayment Schedule, Exhibit A, which includes the increased grant amount indicated in Paragraph 2, above, and which requires that the amount of the increase provided pursuant to this revision of the referenced Assistance Agreement shall be repaid by the Local Unit on the same business day such an amount is received from the State of South Carolina.

4. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officer, and the Local Unit, by its duly authorized officer, have set their hands and seals this 24 day of November, 1976.

IN THE PRESENCE OF:

William A. McInnis

As To The State Board

As To The Local Unit

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

BY:

Its: Secretary

LOCAL UNIT: Town of Pageland

BY:

Its: Mayor

000192

Copy to Dire 11/24/76

EXHIBIT D

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pageland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74; 11-21-75; 11/24/76

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-76	$ 5,064.63	$ 13,553.23	$ 18,617.86
2	11-1-77	5,000.00	13,300.00	18,300.00
3	11-1-78	6,000.00	13,050.00	19,050.00
4	11-1-79	6,000.00	12,750.00	18,750.00
5	11-1-80	7,000.00	12,450.00	19,450.00
6	11-1-81	7,000.00	12,100.00	19,100.00
7	11-1-82	8,000.00	11,750.00	19,750.00
8	11-1-83	8,000.00	11,350.00	19,350.00
9	11-1-84	9,000.00	10,950.00	19,950.00
10	11-1-85	9,000.00	10,500.00	19,500.00
11	11-1-86	9,000.00	10,050.00	19,050.00
12	11-1-87	10,000.00	9,600.00	19,600.00
13	11-1-88	10,000.00	9,100.00	19,100.00
14	11-1-89	11,000.00	8,600.00	19,600.00
15	11-1-90	11,000.00	8,050.00	19,050.00
16	11-1-91	12,000.00	7,500.00	19,500.00
17	11-1-92	13,000.00	6,900.00	19,900.00
18	11-1-93	13,000.00	6,250.00	19,250.00
19	11-1-94	14,000.00	5,600.00	19,600.00
20	11-1-95	15,000.00	4,900.00	19,900.00
21	11-1-96	15,000.00	4,150.00	19,150.00
22	11-1-97	16,000.00	3,400.00	19,400.00
23	11-1-98	17,000.00	2,600.00	19,600.00
24	11-1-99	18,000.00	1,750.00	19,750.00
25	11-1-2000	17,000.00	850.00	17,850.00
		$ 271,064.63	$211,053.23	$ 482,117.86

Assistance Agreement Revision Dated November 24, 1976:

Provided, that the $5,282.00 increase approved in the Revision of Assistance Agreement dated November 24, 1976 (which increased the total grant amount from $271,064.63 to $276,346.63) shall be repaid by the Local Unit on the same business day such an amount is received from the State of South Carolina.

000193

EXHIBIT E

REVISION OF ASSISTANCE AGREEMENT
(Revision No. 4)

1. Heretofore under date of September 1, 1981, the Town of Pageland, South Carolina (the Local Unit) entered into a Loan Resolution with the Farmers Home Administration, United States Department of Agriculture ("FmHA"), which Loan Resolution was supplemented by a Letter of Conditions from the FmHA to the Local Unit under date of August 28, 1981, which Loan Resolution and Letter of Conditions and all subsequent amendments thereto are collectively referred to as the "Loan Agreement", under which FmHA agreed to purchase from the Local Unit a $1,000,000 Water and Sewer System Revenue Bond (Third Lien), of the Town of Pageland, South Carolina, to be dated as of the date of delivery (the "Bond") and pursuant to said Loan Agreement said Bond was to be secured by a pledge of the revenues of the Water and Sewer System of the Town of Pageland (the "System") which pledge of revenues is to be junior and subordinate to the pledges of revenues of the System securing the following three issues of revenue bonds of the Local Unit:

(a) the now outstanding $49,000 of an original issue of $139,000 Water and Sewer System Revenue Bonds, Series of 1963, dated February 1, 1963;

(b) the now outstanding installments of an original issue of $47,700 Water and Sewer System Revenue Bonds, Series of 1970, dated November 18, 1970; and

(c) the now outstanding installments of an original issue of $880,000 Water and Sewer System Revenue Bond of 1975, dated March 26, 1975.

and which pledge securing the Bond is not to be junior and subordinate to any other obligations of the Local Unit secured by a pledge of the revenues of the System. The Local Unit has requested the South Carolina State Budget and Control Board (the "State Board") to revise the Assistance Agreement entered into between the State of South Carolina acting by and through the State Board and the Local Unit (the "Assistance Agreement") which is more fully described hereinafter, by subordinating the pledge of revenues of the System made by the Local Unit to secure the payment to the State Board of the annual installments of the $276,346.63 Grant (the "Grant") to the pledges of revenues of the System made by the Local Unit to secure the payment of the Bond provided that the Local Unit authorize the State Board in the event of default to repay the annual installments due on the Grant from any and all other State aid to which the Local Unit may thereafter become entitled.

2. The Assistance Agreement by and between the State of South Carolina acting by and through the State Board and the Local Unit, dated March 8, 1974, as revised by Revision No. 1 dated November 1, 1974, Revision No. 2 dated November 21, 1975 and Revision No. 3 dated November 24, 1976, relating to a certain sewage collection and/or treatment Project declared eligible under the terms of Act No. 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as Project No. C450238 is hereby revised so as to amend the source of

000195

revenue to be designated for and applied by the Local Unit to the repayment of the Grant in the following respects:

(A.) The State Board agrees that its claim to any revenues of the Water and Sewer System of the Town of Pageland pursuant to the Assistance Agreement above referred to shall be junior and subordinate in all respects to the pledge of revenues to be made to secure the payment of the $1,000,000 Water and Sewer System Bond (Third Lien) of the Town of Pageland to be sold to the FmHA under the Loan Agreement.

(B.) The Local Unit agrees that the ultimate source of payment of the $276,346.63 Grant made by the State Board shall be any and all other State aid to which the Local Unit may hereafter become entitled, and said funds shall be used by the State Treasurer to pay the annual installments of the Grant as the same mature.

3. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is not changed by this revision. The total sum granted is $276,346.63.

4. The Grant Repayment Schedule, attached as Exhibit A to the Assistance Agreement Revision No. 3 dated November 24, 1976 is not changed by this revision.

5. It is further agreed by the Local Unit that it shall furnish to the State Board a certificate signed by the Mayor, the Clerk, the Treasurer and the Town Administrator of the Local Unit that said officials fully understand the

000196

contents of this Revision No. 4 of the Assistance Agreement and that under Paragraph 2 (B) above the State Board shall, in the event of default, cause the State Treasurer to use any and all other State aid to which the Local Unit may be entitled to pay the annual installments of the Grant as the same mature. Such certificate shall further establish that this Revision No. 4 of the Assistance Agreement has been duly read to all members of the governing body of the Local Unit at a public meeting of said governing body and that said Revision of Assistance Agreement has been incorporated into the codification of Ordinances of the Local Unit as prescribed by law.

6. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officers, and the Local Unit, by its duly authorized officers, have

000197

set their hands and seals this 22 day of March, 1983.

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

IN THE PRESENCE OF:

Katherine M Clark

Donna K. Williams
As To The State Board

By Richard W. Riley
Its Chairman

By William A McInnis
Its Secretary

TOWN OF PAGELAND, SOUTH CAROLINA

IN THE PRESENCE OF:

Marsha H. Clark

Jane F. Coulter
As To The Local Unit

By James L Kirk
Its Mayor

By Louise A Smith
Its Clerk

By Joseph M
Its Town Administrator

000138

EXHIBIT F

REVISION OF ASSISTANCE AGREEMENT
(Revision No. 5)

1. Heretofore under date of June 29, 1983, the Town of Pageland, South Carolina (the Local Unit) entered into a Loan Resolution with the Farmers Home Administration, United States Department of Agriculture ("FmHA"), which Loan Resolution was supplemented by a Letter of Conditions from the FmHA to the Local Unit under date of June 21, 1983, which Loan Resolution and Letter of Conditions and all subsequent amendments thereto are collectively referred to as the "Loan Agreement", under which FmHA agreed to purchase from the Local Unit a $225,000 Water and Sewer System Revenue Bond (Fourth Lien), of the Town of Pageland, South Carolina, to be dated as of the date of delivery (the "Bond") and pursuant to said Loan Agreement said Bond was to be secured by a pledge of the revenues of the Water and Sewer System of the Town of Pageland (the "System") which pledge of revenues is to be junior and subordinate to the pledges of revenues of the System securing the following four issues of revenue bonds of the Local Unit:

(a) the now outstanding $49,000 of an original issue of $139,000 Water and Sewer System Revenue Bonds, Series of 1963, dated February 1, 1963;

(b) the now outstanding installments of an original issue of $47,700 Water and Sewer System Revenue Bonds, Series of 1970, dated November 18, 1970;

(c) the now outstanding installments of an original issue of $880,000 Water and Sewer System Revenue Bond of 1975, dated March 26, 1975; and

(d) the outstanding installments to be of an issue of $1,000,000 Water and Sewer System Revenue Bond (Third Lien) to be dated as of the date of delivery, which will be outstanding from that date.

and which pledge securing the Bond is not to be junior and subordinate to any other obligations of the Local Unit secured by a pledge of the revenues of the System. The Local Unit has requested the South Carolina State Budget and Control Board (the "State Board") to revise the Assistance Agreement entered into between the State of South Carolina acting by and through the State Board and the Local Unit (the "Assistance Agreement") which is more fully described hereinafter, by subordinating the pledge of revenues of the System made by the Local Unit to secure the payment to the State Board of the annual installments of the $276,346.63 Grant (the "Grant") to the pledges of revenues of the System made by the Local Unit to secure the payment of the Bond provided that the Local Unit authorize the State Board in the event of default to repay the annual installments due on the Grant from any and all other State aid to which the Local Unit may thereafter become entitled.

2. The Assistance Agreement by and between the State of South Carolina acting by and through the State Board and the Local Unit, dated March 8, 1974, as revised by Revision No. 1 dated November 1, 1974, Revision No. 2 dated November 21, 1975, Revision No. 3 dated November 24, 1976 and Revision No. 4 dated March 22, 1983 relating to a certain sewage collection and/or treatment Project declared eligible

000200

under the terms of Act No. 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as Project No. C450238 is hereby revised so as to amend the source of revenue to be designated for and applied by the Local Unit to the repayment of the Grant in the following respects:

(A.) The State Board agrees that its claim to any revenues of the Water and Sewer System of the Town of Pageland pursuant to the Assistance Agreement above referred to shall be junior and subordinate in all respects to the pledge of revenues to be made to secure the payment of the $225,000 Water and Sewer System Bond (Fourth Lien) of the Town of Pageland to be sold to the FmHA under the Loan Agreement.

(B.) The Local Unit agrees that the ultimate source of payment of the $276,346.63 Grant made by the State Board shall be any and all other State aid to which the Local Unit may hereafter become entitled, and said funds shall be used by the State Treasurer to pay the annual installments of the Grant as the same mature.

3. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is not changed by this revision. The total sum granted is $276,346.63.

4. The Grant Repayment Schedule, attached as Exhibit A to the Assistance Agreement Revision No. 3 dated November 24, 1976 is not changed by this revision.

000201

5. It is further agreed by the Local Unit that it shall furnish to the State Board a certificate signed by the Mayor, the Clerk, the Treasurer and the Town Administrator of the Local Unit that said officials fully understand the contents of this Revision No. 5 of the Assistance Agreement and that under Paragraph 2 (B) above the State Board shall, in the event of default, cause the State Treasurer to use any and all other State aid to which the Local Unit may be entitled to pay the annual installments of the Grant as the same mature. Such certificate shall further establish that this Revision No. 5 of the Assistance Agreement has been duly read to all members of the governing body of the Local Unit at a public meeting of said governing body and that said Revision of Assistance Agreement has been incorporated into the codification of Ordinances of the Local Unit as prescribed by law.

6. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officers, and the Local Unit, by its duly authorized officers, have

set their hands and seals this _____ day of _____, 1983.

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

IN THE PRESENCE OF:

By_____
Its Chairman

_____

_____
As To The State Board

By_____
Its Secretary

TOWN OF PAGELAND, SOUTH CAROLINA

IN THE PRESENCE OF:

By_____
Its Mayor

_____

By_____
Its Clerk

_____
As To The Local Unit

By_____
Its Town Administrator

000203

STATE OF SOUTH CAROLINA

COUNTY OF CHESTERFIELD

I, the undersigned, Clerk of the Town of Pageland, South Carolina, DO HEREBY CERTIFY:

That the foregoing is a true, correct and verbatim copy of an Ordinance adopted by the Town Council of the Town of Pageland on July 12, 1983, at which a majority/all members were present. It was first introduced in writing and in form required for its final adoption at the regular meeting of Town Council held on the 5th day of July, 1983. At that meeting, it was given a first reading by the majority/unanimous vote of Town Council. Afterwards, at a special meeting of Town Council held on the 12th day of July, 1983, it was given its second and final reading by the majority/unanimous vote of Town Council. At each of said meetings, a quorum of Town Council was present at all times during the proceedings pursuant to which the aforesaid Ordinance was adopted, the original of which Ordinance is duly entered in the record of minutes of the aforesaid meetings of said Town Council in my custody as said Town Clerk.

I DO FURTHER CERTIFY that in accordance with the directive therein contained, the said Ordinance has been duly codified as prescribed by law, and has been indexed in the Town Code of municipal ordinances.

IN WITNESS WHEREOF, I have hereunto set my Hand and the Seal of the Town of Pageland, South Carolina, this 13 day of July, A. D. 1983.

(SEAL)

Louise A. Smith
Town Clerk

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

000205

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

AN ORDINANCE

AUTHORIZING THE REVISION OF AN ASSISTANCE AGREEMENT WITH THE SOUTH CAROLINA STATE BUDGET AND CONTROL BOARD FOR SEWER IMPROVEMENT IN THE TOWN OF PAGELAND, AND THE EXECUTION THEREOF.

BE IT ORDAINED BY THE MAYOR AND THE TOWN COUNCIL OF THE TOWN OF PAGELAND, SOUTH CAROLINA, IN COUNCIL ASSEMBLED:

ARTICLE I

FINDINGS OF FACT

Section 1.01

On March 8, 1974, the South Carolina State Budget and Control Board entered into an Assistance Agreement with the Town of Pageland, South Carolina, which was amended by Revision of Assistance Agreement dated November 1, 1974 (Revision No. 1); Revision of Assistance Agreement dated November 21, 1975 (Revision No. 2); Revision of Assistance Agreement dated November 24, 1976 (Revision No. 3); and Revision of Assistance Agreement dated March 22, 1983 (Revision No. 4) (hereinafter collectively referred to as the "Assistance Agreement" and attached hereto as Exhibits A, B, C, D and E, respectively) pursuant to the terms of the Assistance Agreement the South Carolina State Budget and Control Board on behalf of the General Assembly of the State of South Carolina granted to the Town of Pageland the total sum of $276,346.63 in order to assist the said Town in the financing of certain improvements to its Water and Sewer System. The terms of the Assistance Agreement further set forth that the grant is payable in 25 annual installments of principal as shown on the Grant Payment Schedule attached as

Exhibit A to the Revision of the Assistance Agreement dated November 24, 1976 (Revision No. 3) commencing on November 1, 1976, and ending on November 1, 2000, together with interest on the unpaid balance of the principal at the rate of five percent (5%) per annum.

Section 1.02

The Town of Pageland presently plans to issue its $225,000 Water and Sewer System Revenue Bond (Fourth Lien), to be dated as of the date of delivery (the "Bond"), in order to defray the cost of constructing extensions and improvements to its Water and Sewer System (the System), which Bond is to be sold to the Farmers Home Administration, United States Department of Agriculture ("FmHA") pursuant to an agreement between the FmHA and the Town.

Pursuant to the Loan Resolution dated as of June 29, 1983, as supplemented by the Letter of Conditions from the FmHA dated June 21, 1983, said Bond is to be secured by a pledge of the revenues of the System of the Town of Pageland which pledge of revenues is to be junior and subordinate to the pledges of revenues of the System securing the following four issues of revenue bonds of the said Town:

(a) the now outstanding $49,000 of an original issue of $139,000 Water and Sewer System Revenue Bonds, Series of 1963, dated February 1, 1963;

(b) the now outstanding installments of an original issue of $47,700 Water and Sewer System Revenue Bonds, Series of 1970, dated November 18, 1970;

(c) the now outstanding installments of an original issue of $880,000 Water and Sewer

000207

System Revenue Bond of 1975, dated March 26, 1975; and

(d) the outstanding installments to be of an issue of $1,000,000 Water and Sewer System Revenue Bond (Third Lien) to be dated as of the date of delivery, which will be outstanding as of that date.

and which pledge securing the Bond is not to be junior and subordinate to any other obligations of the Town secured by a pledge of the revenues of the System. The Town has requested the South Carolina State Budget and Control Board (the "State Board") to revise the Assistance Agreement by subordinating the pledge of revenues of the System made by the Town to secure the payment to the State Board of the annual installments of the $276,346.63 Grant (the "Grant") to the pledges of revenues of the System made by the Town to secure the payment of the Bond on the condition that the Town authorize the State Board to repay the annual installments due on the Grant from any and all other State aid to which the Town may thereafter become entitled. The State Board has agreed to this request by the Town and it is now in order to implement this agreement and to authorize the execution of the Revision of Assistance Agreement referred to as Revision No. 5, a copy of which is attached hereto as Exhibit F.

000208

ARTICLE II

AUTHORIZATION TO ENTER INTO AND EXECUTE ASSISTANCE AGREEMENT (REVISION NO. 5)

Section 2.01

The Assistance Agreement by and between the State of South Carolina acting by and through the State Board and the Town, dated March 8, 1974, as revised by Revision of Assistance Agreement No. 1 dated November 1, 1974, Revision of Assistance Agreement No. 2 dated November 21, 1975, Revision of Assistance Agreement No. 3 dated November 24, 1976 and Revision of Assistance Agreement No. 4 dated March 22, 1983 (the Assistance Agreement) relating to a certain sewage collection and/or treatment Project declared eligible under the terms of Act No. 835 of the Acts and Joint Resolutions of 1973 and designated by the South Carolina Department of Health and Environmental Control as Project No. C450238 is hereby authorized to be revised so as to change the source of revenue to be designated for and applied by the Town to the repayment of the Grant which Revision No. 5 contains the following provisions:

A. The State Board agrees that its claim to any revenues of the Water and Sewer System of the Town of Pageland pursuant to the Assistance Agreement above referred to shall be junior and subordinate in all respects to the pledge of revenues to be made to secure the payment of the $225,000 Water and Sewer System Revenue Bond (Fourth Lien) of the Town of Pageland to be sold to the Farmers Home Administration, United States Department of Agriculture, pursuant to the Loan Resolution and Letter of Conditions.

B. The Town agrees that the ultimate source of payment of the $276,346.63 Grant made by the

000209

State Board shall be any and all other State aid to which the Town may hereafter become entitled, and said funds shall be used by the State Treasurer to pay the annual installments of the Grant as the same mature.

2. That the Mayor, Town Clerk and the Town Administrator of the Town of Pageland are hereby authorized and directed to execute in the name of and on behalf of said Town the Revision of Assistance Agreement (Revision No. 5) as attached hereto as Exhibit F.

Section 2.02

The members of Town Council by signing this Ordinance hereby certify that said Revision of Assistance Agreement (Revision No. 5) has been read to them at a Public Meeting of said Town Council on July 5, 1983.

James L Kirk
Mayor

Horace D. Clark

Otis O. McCluney

Barry J Lynn

Attest:

Town Clerk

First Reading: July 5, 1983
Second Reading: July 12, 1983

000210

EXHIBIT A

STATE OF SOUTH CAROLINA)
)
COUNTY OF CHESTERFIELD)

ASSISTANCE AGREEMENT

This Assistance Agreement made and concluded at Pageland, South Carolina, this 8th day of March, 1974, pursuant to the provisions of Act No. 835 of the Acts and Joint Resolutions of 1973 (the Act), as and if amended, by and between the State of South Carolina acting by and through the State Budget and Control Board (the State Board) and the Town of Pageland (Local Unit):

WITNESSETH:

WHEREAS, the Government of the United States has recognized the necessity of treating sewage and other effluent matter for the purpose of preventing such matter from reaching rivers, streams and other bodies of water in these United States in untreated form thereby polluting any of those bodies of water and has made available federal grants and other forms of assistance to the various states and local governmental units for the purpose of eliminating or reducing pollution as a hazard to the health of its citizens; and

WHEREAS, the General Assembly of South Carolina has authorized the State Board to provide financial assistance to Local Units which undertake projects which are approved and declared eligible under the terms of the Act by the State Department of Health and Environmental Control or its successors (the Authority) for the collection, treatment and disposal of sewage and other effluent; and

WHEREAS, the Local Unit has made application to the State Board for a grant to defray a portion of the costs incidental to the construction of a project approved and declared to be an eligible project by the Authority; and

WHEREAS, included in the application by the Local Unit are: (A) an executed copy of a document whereby the Authority has approved the project and found it to be eligible for a grant under the terms of the Act; (B) a certification by the Authority of the total cost of the project; and (C) a certification by the Authority of the

source(s) of funds from which the Local Unit intends to pay for the project, including all sources of local, federal, state and other funds, and such other documents and/or data as are necessary to show all particulars of project financing to the satisfaction of the State Board; and

WHEREAS, the State Board desires to assist the Local Unit in its obtaining the maximum amount of federal grants available for the project and, therefore, desires to assist the Local Unit by making a grant available;

NOW, THEREFORE, in consideration of $5.00 to each party in hand paid by the other, the receipt whereof is acknowledged, and the mutual covenants and agreements contained herein, the State Board and the Local Unit, for themselves, their successors and assigns, mutually agree as follows:

1. The definitions of terms and words contained in the Act shall be controlling in the construction of this Assistance Agreement.

2. The State Board acknowledges receipt of and approves the application of the Local Unit referred to in the above preamble, and agrees to grant to the Local Unit the total sum of $120,000.00 to assist in the financing of the project heretofore approved, declared eligible and designated by the Authority as No. C450 238 and referred to hereafter as the PROJECT.

3. The grant shall be payable from time to time as may be required to meet expenses incurred by the Local Unit in the construction of the PROJECT. To the extent practicable, the Local Unit shall expend funds from all sources proportionately. The Local Unit agrees to furnish such PROJECT financial reports as the State Board may request from time to time.

4. Notwithstanding anything herein to the contrary, the State Board shall have the unqualified right to retain five per cent (5%) of this grant until it has received an executed copy of the document by which the Authority certifies that the PROJECT has been completed and that the facilities provided are operating properly. Any funds so retained shall be paid to the Local Unit upon receipt of said certificate by the State Board.

000212

5. This grant shall be repaid in 25 annual installments as shown on Exhibit A attached hereto and, by reference, incorporated herein. Interest on the unpaid principal shall also be paid annually at the annual rate of five per cent (5%) and such payments of interest shall continue until all principal and interest are paid fully. It is further agreed that the Local Unit may at any time prepay, without penalty, any future principal payments scheduled in Exhibit A.

6. The source(s) of revenue to be designated for and applied by the Local Unit to the repayment of the grant is(are) described in Exhibit B attached hereto and made a part of this agreement.

7. If at any time the Local Unit should fail to pay to the State Board with reasonable promptness the amounts due annually as shown on Exhibit A, the State Board may, without further action, require the State Treasurer and the Comptroller General to pay to the State Board such amount of other state aid to which the Local Unit may thereafter become entitled until all delinquent payments, together with interest thereon at the rate of six per cent (6%) per annum, have been paid. The Local Unit agrees that such payments may be made by the State Treasurer and the Comptroller General solely upon the request of the State Board. If the Local Unit is a special purpose district and receives no other state aid, it agrees that, upon its failure to make the requisite payments to the State Board in accordance with the repayment schedule shown on Exhibit A, the Comptroller General may levy a special tax calculated to produce revenues sufficient to meet the repayment schedule plus any interest due on any delinquent payments, and the Comptroller General may require the applicable county treasurer to collect and remit the revenues derived therefrom to the State Board.

8. It is explicitly understood and agreed that all funds made available to the Local Unit under the terms of this Assistance Agreement shall be expended exclusively to meet expenses incurred in connection with the PROJECT.

IN WITNESS WHEREOF, the State of South Carolina by and through the State

000213

Board, by its duly authorized officer, and the Local Unit, by its duly authorized officer, have set their hands and seals the day and year first above written.

IN THE PRESENCE OF:

William A. McInnis

Terry L. McCall

As to the State Board

Irma A. Smith

Town Clerk

As to the Local Unit

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

By: _____

Its: Secretary
STATE BOARD

By: _____

Its: Mayor
LOCAL UNIT

000214

ASSISTANCE AGREEMENT: EXHIBIT B

RE: SOURCES OF FUNDS FOR GRANT REPAYMENT

Local Unit Pageland, South Carolina Authority Project # 238

Amount of Grant: $120,000.00

The Local Unit proposes to repay the grant from -

(1) Current Operating Revenues [X]

(2) Special Charges Imposed for that Purpose [ ]

(3) A Combination of Current Revenues and Special Charges [ ]

The following data on these sources is submitted:

I. CURRENT OPERATIONS

(Last Two Complete Fiscal Years)

	Year Ending Dec. 1972	Year Ending Dec. 1971
Beginning Unencumbered Balance	$ 64,616.80	48,185.63
Revenue	$ 96,063.38	83,246.46
Expenditures	$ 77,986.83	72,859.26
Sub-Total	$ 18,076.55	10,387.20
Ending Unencumbered Balance	$ 82,036.40	64,618.80

II. SPECIAL CHARGES

Description:

Estimated Annual Revenue $

Highest Annual Principal and Interest Payment on Grant (From Exhibit A) $

000215

REVISION OF ASSISTANCE AGREEMENT EXHIBIT F

1. The Assistance Agreement by and between the State of South Carolina acting by and through the State Budget and Control Board (the State Board) and the Town of Pageland (the Local Unit), dated March 8, 1974, relating to a certain sewage collection and/or treatment PROJECT declared eligible under the terms of Act 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as PROJECT No. C450238 is hereby revised so as to (1) increase the total amount granted to the Local Unit by the State Board and (2) to reflect this increase appropriately in the Grant Repayment Schedule (Exhibit A) of the referenced Assistance Agreement.

2. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is hereby increased from $ 120,000.00 to $ 271,064.63.

3. Attached hereto and hereby incorporated into the referenced Assistance Agreement are a revised Grant Repayment Schedule, Exhibit A, which includes the increased grant amount indicated in Paragraph 2, above, and the related increased interest charges; and a revised Exhibit B, Sources of Funds for Grant Repayment.

4. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officer, and the Local Unit, by its duly authorized officer, have set their hands and seals this 1st day of November, 1974.

IN THE PRESENCE OF:

William B. McInnis

As To The State Board

As To The Local Unit

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

BY: _____

Its: Secretary

LOCAL UNIT: TOWN OF PAGELAND

BY: _____

Its: MAYOR

000216

REVISED

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pageland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-75	$ 5,064.63	$ 13,553.23	$ 18,617.86 ~~18,618.86~~
2	11-1-76	5,000.00	13,300.00	18,300.00
3	11-1-77	6,000.00	13,050.00	19,050.00
4	11-1-78	6,000.00	12,750.00	18,750.00
5	11-1-79	7,000.00	12,450.00	19,450.00
6	11-1-80	7,000.00	12,100.00	19,100.00
7	11-1-81	8,000.00	11,750.00	19,750.00
8	11-1-82	8,000.00	11,350.00	19,350.00
9	11-1-83	9,000.00	10,950.00	19,950.00
10	11-1-84	9,000.00	10,500.00	19,500.00
11	11-1-85	9,000.00	10,050.00	19,050.00
12	11-1-86	10,000.00	9,600.00	19,600.00
13	11-1-87	10,000.00	9,100.00	19,100.00
14	11-1-88	11,000.00	8,600.00	19,600.00
15	11-1-89	11,000.00	8,050.00	19,050.00
16	11-1-90	12,000.00	7,500.00	19,500.00
17	11-1-91	13,000.00	6,900.00	19,900.00
18	11-1-92	13,000.00	6,250.00	19,250.00
19	11-1-93	14,000.00	5,600.00	19,600.00
20	11-1-94	15,000.00	4,900.00	19,900.00
21	11-1-95	15,000.00	4,150.00	19,150.00
22	11-1-96	16,000.00	3,400.00	19,400.00
23	11-1-97	17,000.00	2,600.00	19,600.00
24	11-1-98	18,000.00	1,750.00	19,750.00
25	11-1-99	17,000.00	850.00	17,850.00

000217

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Pageland, South Carolina Authority Project # C450 238

Total Grant Amount: $120,000 Date of Assistance Agreement 3-8-74

June 1, 1974

Payment Number	Due Date	Principal	Interest	Total Payment
1	6-1-75	2,500	6,000	8,500
2	6-1-76	2,500	5,875	8,375
3	6-1-77	2,500	5,750	8,250
4	6-1-78	2,500	5,625	8,125
5	6-1-79	3,000	5,500	8,500
6	6-1-80	3,000	5,350	8,350
7	6-1-81	3,000	5,200	8,200
8	6-1-82	3,500	5,050	8,550
9	6-1-83	3,500	4,875	8,375
10	6-1-84	4,000	4,700	8,700
11	6-1-85	4,000	4,500	8,500
12	6-1-86	4,000	4,300	8,300
13	6-1-87	4,500	4,100	8,600
14	6-1-88	4,500	3,875	8,375
15	6-1-89	5,000	3,650	8,650
16	6-1-90	5,000	3,400	8,400
17	6-1-91	5,500	3,150	8,650
18	6-1-92	6,000	2,875	8,875
19	6-1-93	6,000	2,575	8,575
20	6-1-94	6,500	2,275	8,775
21	6-1-95	7,000	1,950	8,950
22	6-1-96	7,500	1,600	9,100
23	6-1-97	8,000	1,225	9,225
24	6-1-98	8,000	825	8,800
25	6-1-99	8,500	425	8,925

000218

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

COUNTY OF RICHLAND

I, the undersigned, WILLIAM A. McINNIS, Deputy Executive Director of the State Budget and Control Board, DO HEREBY CERTIFY:

That the only borrowing by the Town of Pageland from the State of South Carolina acting by and through the South Carolina State Budget and Control Board pursuant to Act No. 835 of the Acts and Joint Resolutions of 1973 consists of a grant in the original principal amount of $276,346.63 in connection with Project C450238 which grant is evidenced by the Assistance Agreement referred to below.

That the documents, a copy of each of which is attached hereto, which constitute the Assistance Agreement between the Town of Pageland, and the State of South Carolina, acting by and through the South Carolina State Budget and Control Board, and evidencing said grant consist of the following:

1. Assistance Agreement dated March 8, 1974;

2. Revision No. 1 to Assistance Agreement dated November 1, 1974;

3. Revision No. 2 to Assistance Agreement dated November 21, 1975;

4. Revision No. 3 to Assistance Agreement dated November 24, 1976;

5. Revision No. 4 to Assistance Agreement dated March 22, 1983; and

6. Revision No. 5 to Assistance Agreement dated _____, 1983.

IN WITNESS WHEREOF, I have hereunto set my Hand and Seal this 13 day of July, 1983.

William A. McInnis
Deputy Executive Director of
the State Budget and Control
Board

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

COUNTY OF CHESTERFIELD

We the undersigned, Mayor, the Clerk, the Treasurer and the Town Administrator respectively of the Town of Pageland, South Carolina (the "Local Unit") DO HEREBY CERTIFY that we fully understand the contents of the Revision No. 5 of Assistance Agreement between the Local Unit and the South Carolina State Budget and Control Board (the "State Board") and that under Paragarph 2(B) of the Revision No. 5 of the Assistance Agreement, the State Board may cause the State Treasurer to use any and all other State aid to which the Local Unit may be entitled to pay the annual installments of the Grant which is more fully described in the Assistance Agreement. We further certify that the Revision No. 5 of the Assistance Agreement has been duly read to all members of the Town Council of the Local Unit at a public meeting of said governing body held on _____ ___, 1983, and that the Revision No. 5 of the Assistance Agreement has been incorporated into the codification of Ordinances of the Local Unit as prescribed by law.

IN WITNESS WHEREOF, we have set our hands and the Seal of the Town of Pageland, this ____ day of _____, 1983.

(SEAL)

_____
Mayor of the Town of Pageland, South Carolina

_____
Clerk of the Town of Pageland, South Carolina

_____
Treasurer of the Town of Pageland, South Carolina

_____
Town Administrator of the Town of Pageland, South Carolina

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

A RESOLUTION

AUTHORIZING THE EXECUTION OF AND ENTERING INTO THE REVISION NO. 5 OF AN ASSISTANCE AGREEMENT ENTERED INTO BY AND BETWEEN THE SOUTH CAROLINA STATE BUDGET AND CONTROL BOARD AND THE TOWN OF PAGELAND, SOUTH CAROLINA.

BE IT RESOLVED BY THE SOUTH CAROLINA STATE BUDGET AND CONTROL BOARD IN MEETING DULY ASSEMBLED:

ARTICLE I

FINDINGS OF FACT

Section 1.01

On March 8, 1974, the South Carolina State Budget and Control Board entered into an Assistance Agreement with the Town of Pageland, South Carolina, which was amended by Revision of Assistance Agreement dated November 1, 1974 (Revision No. 1); Revision of Assistance Agreement dated November 21, 1975 (Revision No. 2); Revision of Assistance Agreement dated November 24, 1976 (Revision No. 3); and Revision of Assistance Agreement dated March 22, 1983 (Revision No.4) (hereinafter collectively referred to as the "Assistance Agreement" and attached hereto as Exhibits A, B, C, D and E, respectively) pursuant to the terms of the Assistance Agreement the South Carolina State Budget and Control Board on behalf of the General Assembly of the State of South Carolina granted to the Town of Pageland the total sum of $276,346.63 in order to assist the said Town in the financing of certain improvements to its Water and Sewer System. The terms of the Assistance Agreement further set forth that the grant is payable in 25 annual installments of principal as shown on the Grant Payment Schedule attached as

Exhibit A to the Revision of the Assistance Agreement dated November 24, 1976 (Revision No. 3) commencing on November 1, 1976, and ending on November 1, 2000, together with interest on the unpaid balance of the principal at the rate of five percent (5%) per annum.

Section 1.02

The Town of Pageland presently plans to issue its $225,000 Water and Sewer System Revenue Bond (Fourth Lien), to be dated as of the date of delivery (the Bond), in order to defray the cost of constructing extensions and improvements to its Water and Sewer System (the System), which Bond is to be sold to the Farmers Home Administration, United States Department of Agriculture ("FmHA") pursuant to an agreement between the FmHA and the Town which provides that the Bond must be fourth lien upon the Water and Sewer System of the Town of Pageland. In order for this Bond to constitute a fourth lien upon the Water and Sewer System of the Town of Pageland, it is necessary for the pledge of revenues made to secure the debt evidenced by the Assistance Agreement be subordinated to the pledge of revenues which will be made to secure the payment of the Bond.

Section 1.03

The South Carolina State Budget and Control Board has reviewed the contents of the Revision No. 5 of the Assistance Agreement which is attached hereto as Exhibit F, and has determined to enter into and execute the said Revision No. 5.

000224

ARTICLE II

AUTHORIZATION TO EXECUTE AND ENTER INTO THE REVISION NO. 5 OF THE ASSISTANCE AGREEMENT

Section 2.01

The South Carolina State Budget and Control Board has reviewed the findings of fact set forth in Article I hereof and finds the same to be true and correct. Pursuant thereto, the South Carolina State Budget and Control Board does hereby authorize the Chairman of the said Board and the Secretary on its behalf to execute and enter into the Revision No. 5.

000225

EXHIBIT A

STATE OF SOUTH CAROLINA)
)
COUNTY OF CHESTERFIELD)

ASSISTANCE AGREEMENT

This Assistance Agreement made and concluded at Pageland, South Carolina, this 8th day of March, 1974, pursuant to the provisions of Act No. 835 of the Acts and Joint Resolutions of 1973 (the Act), as and if amended, by and between the State of South Carolina acting by and through the State Budget and Control Board (the State Board) and the Town of Pageland (Local Unit):

WITNESSETH:

WHEREAS, the Government of the United States has recognized the necessity of treating sewage and other effluent matter for the purpose of preventing such matter from reaching rivers, streams and other bodies of water in these United States in untreated form thereby polluting any of those bodies of water and has made available federal grants and other forms of assistance to the various states and local governmental units for the purpose of eliminating or reducing pollution as a hazard to the health of its citizens; and

WHEREAS, the General Assembly of South Carolina has authorized the State Board to provide financial assistance to Local Units which undertake projects which are approved and declared eligible under the terms of the Act by the State Department of Health and Environmental Control or its successors (the Authority) for the collection, treatment and disposal of sewage and other effluent; and

WHEREAS, the Local Unit has made application to the State Board for a grant to defray a portion of the costs incidental to the construction of a project approved and declared to be an eligible project by the Authority; and

WHEREAS, included in the application by the Local Unit are: (A) an executed copy of a document whereby the Authority has approved the project and found it to be eligible for a grant under the terms of the Act; (B) a certification by the Authority of the total cost of the project; and (C) a certification by the Authority of the

source(s) of funds from which the Local Unit intends to pay for the project, including all sources of local, federal, state and other funds, and such other documents and/or data as are necessary to show all particulars of project financing to the satisfaction of the State Board; and

WHEREAS, the State Board desires to assist the Local Unit in its obtaining the maximum amount of federal grants available for the project and, therefore, desires to assist the Local Unit by making a grant available;

NOW, THEREFORE, in consideration of $5.00 to each party in hand paid by the other, the receipt whereof is acknowledged, and the mutual covenants and agreements contained herein, the State Board and the Local Unit, for themselves, their successors and assigns, mutually agree as follows:

1. The definitions of terms and words contained in the Act shall be controlling in the construction of this Assistance Agreement.

2. The State Board acknowledges receipt of and approves the application of the Local Unit referred to in the above preamble, and agrees to grant to the Local Unit the total sum of $120,000.00 to assist in the financing of the project heretofore approved, declared eligible and designated by the Authority as No. C450 238 and referred to hereafter as the PROJECT.

3. The grant shall be payable from time to time as may be required to meet expenses incurred by the Local Unit in the construction of the PROJECT. To the extent practicable, the Local Unit shall expend funds from all sources proportionately. The Local Unit agrees to furnish such PROJECT financial reports as the State Board may request from time to time.

4. Notwithstanding anything herein to the contrary, the State Board shall have the unqualified right to retain five per cent (5%) of this grant until it has received an executed copy of the document by which the Authority certifies that the PROJECT has been completed and that the facilities provided are operating properly. Any funds so retained shall be paid to the Local Unit upon receipt of said certificate by the State Board.

000227

5. This grant shall be repaid in 25 annual installments as shown on Exhibit A attached hereto and, by reference, incorporated herein. Interest on the unpaid principal shall also be paid annually at the annual rate of five per cent (5%) and such payments of interest shall continue until all principal and interest are paid fully. It is further agreed that the Local Unit may at any time prepay, without penalty, any future principal payments scheduled in Exhibit A.

6. The source(s) of revenue to be designated for and applied by the Local Unit to the repayment of the grant is(are) described in Exhibit B attached hereto and made a part of this agreement.

7. If at any time the Local Unit should fail to pay to the State Board with reasonable promptness the amounts due annually as shown on Exhibit A, the State Board may, without further action, require the State Treasurer and the Comptroller General to pay to the State Board such amount of other state aid to which the Local Unit may thereafter become entitled until all delinquent payments, together with interest thereon at the rate of six per cent (6%) per annum, have been paid. The Local Unit agrees that such payments may be made by the State Treasurer and the Comptroller General solely upon the request of the State Board. If the Local Unit is a special purpose district and receives no other state aid, it agrees that, upon its failure to make the requisite payments to the State Board in accordance with the repayment schedule shown on Exhibit A, the Comptroller General may levy a special tax calculated to produce revenues sufficient to meet the repayment schedule plus any interest due on any delinquent payments, and the Comptroller General may require the applicable county treasurer to collect and remit the revenues derived therefrom to the State Board.

8. It is explicitly understood and agreed that all funds made available to the Local Unit under the terms of this Assistance Agreement shall be expended exclusively to meet expenses incurred in connection with the PROJECT.

IN WITNESS WHEREOF, the State of South Carolina by and through the State

000228

Board, by its duly authorized officer, and the Local Unit, by its duly authorized officer, have set their hands and seals the day and year first above written.

IN THE PRESENCE OF:

William A. McInnis

Terry L. McCall

As to the State Board

Irma A. Smith

Town Clerk

As to the Local Unit

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

By: _____

Its: Secretary
STATE BOARD

By: _____

Its: Mayor
LOCAL UNIT

000229

ASSISTANCE AGREEMENT: EXHIBIT B

RE: SOURCES OF FUNDS FOR GRANT REPAYMENT

Local Unit Pageland, South Carolina Authority Project # 238

Amount of Grant: $120,000.00

The Local Unit proposes to repay the grant from -

(1) Current Operating Revenues [X]

(2) Special Charges Imposed for that Purpose [ ]

(3) A Combination of Current Revenues and Special Charges [ ]

The following data on these sources is submitted:

I. CURRENT OPERATIONS

(Last Two Complete Fiscal Years)

	Year Ending Dec. 1972	Year Ending Dec. 1971
Beginning Unencumbered Balance	$ 64,616.80	48,185.63
Revenue	$ 96,063.38	83,246.46
Expenditures	$ 77,986.83	72,859.26
Sub-Total	$ 18,076.55	10,387.20
Ending Unencumbered Balance	$ 82,036.40	64,618.80

II. SPECIAL CHARGES

Description:

Estimated Annual Revenue $

Highest Annual Principal and Interest Payment on Grant (From Exhibit A) $

REVISION OF ASSISTANCE AGREEMENT EXHIBIT D

1. The Assistance Agreement by and between the State of South Carolina acting by and through the State Budget and Control Board (the State Board) and the Town of Pageland (the Local Unit), dated March 8, 1974, relating to a certain sewage collection and/or treatment PROJECT declared eligible under the terms of Act 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as PROJECT No. C450238 is hereby revised so as to (1) increase the total amount granted to the Local Unit by the State Board and (2) to reflect this increase appropriately in the Grant Repayment Schedule (Exhibit A) of the referenced Assistance Agreement.

2. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is hereby increased from $ 120,000.00 to $ 271,064.63.

3. Attached hereto and hereby incorporated into the referenced Assistance Agreement are a revised Grant Repayment Schedule, Exhibit A, which includes the increased grant amount indicated in Paragraph 2, above, and the related increased interest charges; and a revised Exhibit B, Sources of Funds for Grant Repayment.

4. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officer, and the Local Unit, by its duly authorized officer, have set their hands and seals this 1st day of November, 1974.

IN THE PRESENCE OF:

William A. McInnis

As To The State Board

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

BY: _____

Its: Secretary

LOCAL UNIT: TOWN OF PAGELAND

BY: _____

Its: MAYOR

As To The Local Unit

000231

REVISED

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pageland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-75	$ 5,064.63	$ 13,553.23	$ 18,617.86 ~~18,618.86~~
2	11-1-76	5,000.00	13,300.00	18,300.00
3	11-1-77	6,000.00	13,050.00	19,050.00
4	11-1-78	6,000.00	12,750.00	18,750.00
5	11-1-79	7,000.00	12,450.00	19,450.00
6	11-1-80	7,000.00	12,100.00	19,100.00
7	11-1-81	8,000.00	11,750.00	19,750.00
8	11-1-82	8,000.00	11,350.00	19,350.00
9	11-1-83	9,000.00	10,950.00	19,950.00
10	11-1-84	9,000.00	10,500.00	19,500.00
11	11-1-85	9,000.00	10,050.00	19,050.00
12	11-1-86	10,000.00	9,600.00	19,600.00
13	11-1-87	10,000.00	9,100.00	19,100.00
14	11-1-88	11,000.00	8,600.00	19,600.00
15	11-1-89	11,000.00	8,050.00	19,050.00
16	11-1-90	12,000.00	7,500.00	19,500.00
17	11-1-91	13,000.00	6,900.00	19,900.00
18	11-1-92	13,000.00	6,250.00	19,250.00
19	11-1-93	14,000.00	5,600.00	19,600.00
20	11-1-94	15,000.00	4,900.00	19,900.00
21	11-1-95	15,000.00	4,150.00	19,150.00
22	11-1-96	16,000.00	3,400.00	19,400.00
23	11-1-97	17,000.00	2,600.00	19,600.00
24	11-1-98	18,000.00	1,750.00	19,750.00
25	11-1-99	17,000.00	850.00	17,850.00

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Pageland, South Carolina Authority Project # C450 238

Total Grant Amount: $120,000 Date of Assistance Agreement 3-8-74

June 1, 1974

Payment Number	Due Date	Principal	Interest	Total Payment
1	6-1-75	2,500	6,000	8,500
2	6-1-76	2,500	5,875	8,375
3	6-1-77	2,500	5,750	8,250
4	6-1-78	2,500	5,625	8,125
5	6-1-79	3,000	5,500	8,500
6	6-1-80	3,000	5,350	8,350
7	6-1-81	3,000	5,200	8,200
8	6-1-82	3,500	5,050	8,550
9	6-1-83	3,500	4,875	8,375
10	6-1-84	4,000	4,700	8,700
11	6-1-85	4,000	4,500	8,500
12	6-1-86	4,000	4,300	8,300
13	6-1-87	4,500	4,100	8,600
14	6-1-88	4,500	3,875	8,375
15	6-1-89	5,000	3,650	8,650
16	6-1-90	5,000	3,400	8,400
17	6-1-91	5,500	3,150	8,650
18	6-1-92	6,000	2,875	8,875
19	6-1-93	6,000	2,575	8,575
20	6-1-94	6,500	2,275	8,775
21	6-1-95	7,000	1,950	8,950
22	6-1-96	7,500	1,600	9,100
23	6-1-97	8,000	1,225	9,225
24	6-1-98	8,000	825	8,800
25	6-1-99	8,500	425	8,925

000233

REVISED

ASSISTANCE AGREEMENT: EXHIBIT B

RE: SOURCES OF FUNDS FOR GRANT REPAYMENT

Local Unit Town of Pageland Authority Project # 0450238

Amount of Grant: $ 271,064.63

The Local Unit proposes to repay the grant from -

(1) Current Operating Revenues Rates to be changed [X]
See attached data for projections.

(2) Special Charges Imposed for that Purpose [ ]

(3) A Combination of Current Revenues and Special Charges [ ]

The following data on these sources is submitted:

I. CURRENT OPERATIONS

(Last Two Complete Fiscal Years)

	Year Ending Dec. 1973	Year Ending Dec. 1972
Beginning Unencumbered Balance	$ 82,036.40	64,616.80
Revenue	$ 103,375.00	96,063.38
Expenditures	$ 94,013.00	77,988.83
Sub-Total	$ 9,362.00	18,076.55
Ending Unencumbered Balance	$ 92,526.00	82,036.40

II. SPECIAL CHARGES

Description:

Estimated Annual Revenue $ _____

Highest Annual Principal and Interest Payment on Grant (From Exhibit A) $ _____

000234

PAGELAND, SOUTH CAROLINA

WATER & SEWER RATES

Existing Rates

Water

0-3000 gal.	3.00 Minimum
3-20,000	0.40 / 1,000
over 20,000	0.35 / 1,000

Sewer

First two units	1.50 Minimum
Each additional	0.50 Each

Proposed Rates

Water

0-3,000 gal.	4.00 Minimum
over 3,000 gal.	0.50 / 1,000

Sewer

0-3,000 gal.	2.00 Minimum
over 3,000 gal.	0.40 / 1,000

Water District

Wholesale Rate	0.40 /1,000
Reading and Billing	0.75 / Mo. / Customer

000235

PAGELAND, SOUTH CAROLINA PROPOSED RATES

PROJECTED REVENUE

	WATER			SEWER		
Use	Customers	Cost	Revenue	Customers	Cost	Revenu
0-3	290	4.00	1,160.00	250	2.00	500.(
3-4	100	4.50	450.00	90	2.40	216.(
4-5	90	5.00	450.00	80	2.80	224.(
5-6	70	5.50	315.00	70	3.20	224.(
6-7	60	6.00	360.00	60	3.60	216.(
7-8	50	6.50	325.00	50	4.00	200.(
8-9	40	7.00	280.00	40	4.40	176.(
9-10	30	7.50	225.00	30	4.80	144.(
10-11	25	8.00	200.00	25	5.20	130.(
11-12	20	8.50	170.00	20	5.60	112.(
12-13	20	9.00	180.00	20	6.00	120.(
13-14	10	9.50	95.00	10	6.40	64.(
14-15	10	10.00	100.00	10	6.80	68.(
15-16	5	10.50	52.50	5	7.20	36.(
16-17	4	11.00	44.00	4	7.60	30.4
17-18	4	11.50	46.00	4	8.00	32.(
18-19	1	12.00	12.00	1	8.40	8.4
19-20	1	12.50	12.50	1	8.80	8.8
20-21	1	13.00	13.00	1	9.20	9.2
21-22	1	13.50	13.50	1	9.60	9.6
22-23	1	14.00	14.00	1	10.00	10.(
23-24	1	14.50	14.50	1	10.40	10.4
24-25	1	15.00	15.00	1	10.80	10.8
25-75	3	27.50	82.50	3	20.80	62.4
75-150	5	62.50	312.50	4	48.80	195.2
150-1,000	1	115.00	115.00	1	90.80	90.8
over 1,000	1	1,952.50	1,952.50	1	1,560.80	1,560.8
	845		7,009.50	784		4,468.8
Total Year			84,114.00			53,625.6

PROPOSED BUDGET

PAGELAND, SOUTH CAROLINA

Revenue		
Water Charges	84,100	
Sewer Charges	53,600	
Water Cut ins	4,000	
Sewer Cut ins	4,000	
Miscellaneous	400	
Rural Water District	25,500	
Total Income		171,600
Expense		
Personal Services	46,000	
Repairs & Maintenance	3,500	
Power	10,000	
Office Expense	4,500	
Chemicals	14,000	
Materials & Supplies	8,000	
Total Expense		86,000
Net Income		$ 85,600
Bond Amortization		
Existing Bonds	12,600	
State Loan	19,400	
FHA Loan	51,500	
Total Bond Amortization		83,500
Reserve		$ 2,100

EXHIBIT C

STATE OF SOUTH CAROLINA
OFFICE OF THE STATE AUDITOR
P. O. BOX 11333
COLUMBIA
29211

P. C. SMITH
STATE AUDITOR

TELEPHONE
(803) 758-3106

November 21, 1975

The Honorable Joseph W. Terry, Mayor
Town of Pageland
Pageland, South Carolina 29728

RE: State Loan for Project C450238; Request for Revision of Repayment Schedule

Dear Mayor Terry:

Approval has been given to your October 21, 1975 request to revise the referenced repayment schedule by shifting the payment due dates forward by one year. This approval is based on the fact that no draws against the loan had been made prior to November 1, 1975 which was the original due date for your first payment.

A copy of the revised repayment schedule is enclosed and we also are sending a copy to your consulting engineers. The revised schedule shows your first payment as being due on November 1, 1976 and all other payments are due at one year anniversaries from that date. The amounts due annually are unchanged from the schedule approved originally.

Sincerely,

William A. McInnis

William A. McInnis
Assistant to the State Auditor

WAI:jpw

cc: Mr. Hugh Miley
Harwoode Beebe Company

Enclosure

000238

TOWN OF PAGELAND

THE WATERMELON CAPITAL OF THE WORLD

HEALTHY CLIMATE ... PROGRESSIVE SECTION

PAGELAND, SOUTH CAROLINA 29728

COUNCILMEN:
KENNETH A. ATKINSON
ALVIN A. LEAIRD
THOMAS W. MUNGO
H. B. SOWELL, JR.

JOSEPH W. TERRY
MAYOR

LOUISE A. SMITH
CLERK AND TREASURER

October 21, 1975

Mr. P. C Smith
Secretary
State Budget and Control Board
P. O. Box 11333
Columbia, South Carolina 29211

Re: Pageland, South Carolina
Project EPA No. C450238
State Loan

Dear Mr. Smith:

It has been called to our attention that the first payment of the state loan is due November 1, 1975, but as of today the Town has not been paid any of the loan, therefore we are requesting that the dates on our payment schedule be shifted forward by one year. If this meets your approval then our first payment would be due November 1, 1976.

Your assistance in this matter will be most appreciated and if further information is needed, please feel free to contact us.

Sincerely yours,

J. W. Terry
Mayor

JWT/

cc: Harwood Beebe Company

000239

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pageland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74; 11-21-75

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-76	$ 5,064.63	$ 13,553.23	$ 18,617.86
2	11-1-77	5,000.00	13,300.00	18,300.00
3	11-1-78	6,000.00	13,050.00	19,050.00
4	11-1-79	6,000.00	12,750.00	18,750.00
5	11-1-80	7,000.00	12,450.00	19,450.00
6	11-1-81	7,000.00	12,100.00	19,100.00
7	11-1-82	8,000.00	11,750.00	19,750.00
8	11-1-83	8,000.00	11,350.00	19,350.00
9	11-1-84	9,000.00	10,950.00	19,950.00
10	11-1-85	9,000.00	10,500.00	19,500.00
11	11-1-86	9,000.00	10,050.00	19,050.00
12	11-1-87	10,000.00	9,600.00	19,600.00
13	11-1-88	10,000.00	9,100.00	19,100.00
14	11-1-89	11,000.00	8,600.00	19,600.00
15	11-1-90	11,000.00	8,050.00	19,050.00
16	11-1-91	12,000.00	7,500.00	19,500.00
17	11-1-92	13,000.00	6,900.00	19,900.00
18	11-1-93	13,000.00	6,250.00	19,250.00
19	11-1-94	14,000.00	5,600.00	19,600.00
20	11-1-95	15,000.00	4,900.00	19,900.00
21	11-1-96	15,000.00	4,150.00	19,150.00
22	11-1-97	16,000.00	3,400.00	19,400.00
23	11-1-98	17,000.00	2,600.00	19,600.00
24	11-1-99	18,000.00	1,750.00	19,750.00
25	11-1-2000	17,000.00	850.00	17,850.00
		$ 271,064.63	$211,053.23	$ 482,117.86

000240

THIS CASE MAY HAVE SOME OR ALL OF THE FOLLOWING DEFECTS WHICH MAY BE QUESTIONABLE WHEN READING. IN SPECIAL PROBLEM AREAS, THIS ROLL NOTE MAY BE REFILMED BEFORE THE DOCUMENT OR DOCUMENTS IN QUESTION.

1. PHOTOCOPY NOT CENTERED PROPERLY CUTTING OFF SOME OF THE INFORMATION.

2. DOCUMENTS ARE OF POOR QUALITY AND MAY NOT PHOTOGRAPH WELL.

3. DOCUMENTS DAMAGED OR TORN BEFORE ARRIVING FOR FILMING.

4. DOCUMENTS CONTAIN A DOUBLE-COPY IMAGE, THE UNDERLYING IMAGE IS IRRELEVENT TO THE READABLE INFORMATION.

5. DOCUMENTS WITH GLUED INSERTS WHICH WERE OR COULD NOT BE REMOVED, INFORMATION MAY OR MAY NOT BE UNDER THE INSERT.

6. OVERSIZED DOCUMENTS THAT COMPRISE TWO OR MORE FRAMES.

7. EXTREMELY DARK COLORED DOCUMENTS THAT LACK CONTRAST BETWEEN WRITING AND BACKGROUND.

8. SOME OF THE NUMERED PAGES APPEAR TO BE MISSING.

REVISED

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Parkland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74; ~~10-29-75~~ 11-2-75

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-76	$ 5,064.63	$ 13,553.23	$ 18,617.86
2	11-1-77	5,000.00	13,300.00	18,300.00
3	11-1-78	6,000.00	13,050.00	19,050.00
4	11-1-79	6,000.00	12,750.00	18,750.00
5	11-1-80	7,000.00	12,450.00	19,450.00
6	11-1-81	7,000.00	12,100.00	19,100.00
7	11-1-82	8,000.00	11,750.00	19,750.00
8	11-1-83	8,000.00	11,350.00	19,350.00
9	11-1-84	9,000.00	10,950.00	19,950.00
10	11-1-85	9,000.00	10,500.00	19,500.00
11	11-1-86	9,000.00	10,050.00	19,050.00
12	11-1-87	10,000.00	9,600.00	19,600.00
13	11-1-88	10,000.00	9,100.00	19,100.00
14	11-1-89	11,000.00	8,600.00	19,600.00
15	11-1-90	11,000.00	8,050.00	19,050.00
16	11-1-91	12,000.00	7,500.00	19,500.00
17	11-1-92	13,000.00	6,900.00	19,900.00
18	11-1-93	13,000.00	6,250.00	19,250.00
19	11-1-94	14,000.00	5,600.00	19,600.00
20	11-1-95	15,000.00	4,900.00	19,900.00
21	11-1-96	15,000.00	4,150.00	19,150.00
22	11-1-97	16,000.00	3,400.00	19,400.00
23	11-1-98	17,000.00	2,600.00	19,600.00
24	11-1-99	18,000.00	1,750.00	19,750.00
25	11-1-2000	17,000.00	850.00	17,850.00
		$ 271,064.63	$ 211,053.23	$ 482,117.86

000241

EXHIBIT D

REVISION OF ASSISTANCE AGREEMENT

1. The Assistance Agreement by and between the State of South Carolina acting by and through the State Budget and Control Board (the State Board) and the Town of Pageland (the Local Unit), dated November 21, 19 75, relating to a certain sewage collection and/or treatment PROJECT declared eligible under the terms of Act 835 of the Acts and Joint Resolutions of 1973, as amended, and designated by the Department of Health and Environmental Control as PROJECT No. C450238 is hereby revised so as to (1) increase the total amount granted to the Local Unit by the State Board and (2) to reflect this increase appropriately in the Grant Repayment Schedule (Exhibit A) of the referenced Assistance Agreement.

2. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is hereby increased from $ 271,064.63 to $ 276,346.63.

3. Attached hereto and hereby incorporated into the referenced Assistance Agreement is a revised Grant Repayment Schedule, Exhibit A, which includes the increased grant amount indicated in Paragraph 2, above, and which requires that the amount of the increase provided pursuant to this revision of the referenced Assistance Agreement shall be repaid by the Local Unit on the same business day such an amount is received from the State of South Carolina.

4. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officer, and the Local Unit, by its duly authorized officer, have set their hands and seals this 24 day of November, 1976.

IN THE PRESENCE OF:

William A. McInnis

As To The State Board

Diane F. Courtney

As To The Local Unit

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

BY: [signature]

Its: Secretary

LOCAL UNIT: Town of Pageland

BY: [signature]

Its: Mayor

000242

Cpy to Dist 11/26/76

EXHIBIT D

ASSISTANCE AGREEMENT: EXHIBIT A

GRANT REPAYMENT SCHEDULE

Local Unit: Town of Pageland Authority Project # C450238

Total Grant Amount: $271,064.63 Date of Assistance Agreement 3-8-74

Date of Revision of Assistance Agreement 11-1-74; 11-21-75; 11/24/76

Payment Number	Due Date	Principal	Interest	Total Payment
1	11-1-76	$ 5,064.63	$ 13,553.23	$ 18,617.86
2	11-1-77	5,000.00	13,300.00	18,300.00
3	11-1-78	6,000.00	13,050.00	19,050.00
4	11-1-79	6,000.00	12,750.00	18,750.00
5	11-1-80	7,000.00	12,450.00	19,450.00
6	11-1-81	7,000.00	12,100.00	19,100.00
7	11-1-82	8,000.00	11,750.00	19,750.00
8	11-1-83	8,000.00	11,350.00	19,350.00
9	11-1-84	9,000.00	10,950.00	19,950.00
10	11-1-85	9,000.00	10,500.00	19,500.00
11	11-1-86	9,000.00	10,050.00	19,050.00
12	11-1-87	10,000.00	9,600.00	19,600.00
13	11-1-88	10,000.00	9,100.00	19,100.00
14	11-1-89	11,000.00	8,600.00	19,600.00
15	11-1-90	11,000.00	8,050.00	19,050.00
16	11-1-91	12,000.00	7,500.00	19,500.00
17	11-1-92	13,000.00	6,900.00	19,900.00
18	11-1-93	13,000.00	6,250.00	19,250.00
19	11-1-94	14,000.00	5,600.00	19,600.00
20	11-1-95	15,000.00	4,900.00	19,900.00
21	11-1-96	15,000.00	4,150.00	19,150.00
22	11-1-97	16,000.00	3,400.00	19,400.00
23	11-1-98	17,000.00	2,600.00	19,600.00
24	11-1-99	18,000.00	1,750.00	19,750.00
25	11-1-2000	17,000.00	850.00	17,850.00
		$ 271,064.63	$211,053.23	$ 482,117.86

Assistance Agreement Revision Dated November 24, 1976:

Provided, that the $5,282.00 increase approved in the Revision of Assistance Agreement dated November 24, 1976 (which increased the total grant amount from $271,064.63 to $276,346.63) shall be repaid by the Local Unit on the same business day such an amount is received from the State of South Carolina.

000243

EXHIBIT E

REVISION OF ASSISTANCE AGREEMENT
(Revision No. 4)

1. Heretofore under date of September 1, 1981, the Town of Pageland, South Carolina (the Local Unit) entered into a Loan Resolution with the Farmers Home Administration, United States Department of Agriculture ("FmHA"), which Loan Resolution was supplemented by a Letter of Conditions from the FmHA to the Local Unit under date of August 28, 1981, which Loan Resolution and Letter of Conditions and all subsequent amendments thereto are collectively referred to as the "Loan Agreement", under which FmHA agreed to purchase from the Local Unit a $1,000,000 Water and Sewer System Revenue Bond (Third Lien), of the Town of Pageland, South Carolina, to be dated as of the date of delivery (the "Bond") and pursuant to said Loan Agreement said Bond was to be secured by a pledge of the revenues of the Water and Sewer System of the Town of Pageland (the "System") which pledge of revenues is to be junior and subordinate to the pledges of revenues of the System securing the following three issues of revenue bonds of the Local Unit:

(a) the now outstanding $49,000 of an original issue of $139,000 Water and Sewer System Revenue Bonds, Series of 1963, dated February 1, 1963;

(b) the now outstanding installments of an original issue of $47,700 Water and Sewer System Revenue Bonds, Series of 1970, dated November 18, 1970; and

(c) the now outstanding installments of an original issue of $880,000 Water and Sewer System Revenue Bond of 1975, dated March 26, 1975.

and which pledge securing the Bond is not to be junior and subordinate to any other obligations of the Local Unit secured by a pledge of the revenues of the System. The Local Unit has requested the South Carolina State Budget and Control Board (the "State Board") to revise the Assistance Agreement entered into between the State of South Carolina acting by and through the State Board and the Local Unit (the "Assistance Agreement") which is more fully described hereinafter, by subordinating the pledge of revenues of the System made by the Local Unit to secure the payment to the State Board of the annual installments of the $276,346.63 Grant (the "Grant") to the pledges of revenues of the System made by the Local Unit to secure the payment of the Bond provided that the Local Unit authorize the State Board in the event of default to repay the annual installments due on the Grant from any and all other State aid to which the Local Unit may thereafter become entitled.

2. The Assistance Agreement by and between the State of South Carolina acting by and through the State Board and the Local Unit, dated March 8, 1974, as revised by Revision No. 1 dated November 1, 1974, Revision No. 2 dated November 21, 1975 and Revision No. 3 dated November 24, 1976, relating to a certain sewage collection and/or treatment Project declared eligible under the terms of Act No. 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as Project No. C450238 is hereby revised so as to amend the source of

revenue to be designated for and applied by the Local Unit to the repayment of the Grant in the following respects:

(A.) The State Board agrees that its claim to any revenues of the Water and Sewer System of the Town of Pageland pursuant to the Assistance Agreement above referred to shall be junior and subordinate in all respects to the pledge of revenues to be made to secure the payment of the $1,000,000 Water and Sewer System Bond (Third Lien) of the Town of Pageland to be sold to the FmHA under the Loan Agreement.

(B.) The Local Unit agrees that the ultimate source of payment of the $276,346.63 Grant made by the State Board shall be any and all other State aid to which the Local Unit may hereafter become entitled, and said funds shall be used by the State Treasurer to pay the annual installments of the Grant as the same mature.

3. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is not changed by this revision. The total sum granted is $276,346.63.

4. The Grant Repayment Schedule, attached as Exhibit A to the Assistance Agreement Revision No. 3 dated November 24, 1976 is not changed by this revision.

5. It is further agreed by the Local Unit that it shall furnish to the State Board a certificate signed by the Mayor, the Clerk, the Treasurer and the Town Administrator of the Local Unit that said officials fully understand the

000246

contents of this Revision No. 4 of the Assistance Agreement and that under Paragraph 2 (B) above the State Board shall, in the event of default, cause the State Treasurer to use any and all other State aid to which the Local Unit may be entitled to pay the annual installments of the Grant as the same mature. Such certificate shall further establish that this Revision No. 4 of the Assistance Agreement has been duly read to all members of the governing body of the Local Unit at a public meeting of said governing body and that said Revision of Assistance Agreement has been incorporated into the codification of Ordinances of the Local Unit as prescribed by law.

6. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officers, and the Local Unit, by its duly authorized officers, have

000247

set their hands and seals this 22 day of March, 1983.

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

IN THE PRESENCE OF:

Katherine M Clark

Joana K. Williams
As To The State Board

By Richard W. Riley
Its Chairman

By William A McInnis
Its Secretary

TOWN OF PAGELAND, SOUTH CAROLINA

IN THE PRESENCE OF:

Marsha M. Clark

Jane F. Courden
As To The Local Unit

By James L Kirk
Its Mayor

By Louise A. Smith
Its Clerk

By Joseph M
Its Town Administrator

000248

EXHIBIT F

REVISION OF ASSISTANCE AGREEMENT
(Revision No. 5)

1. Heretofore under date of June 29, 1983, the Town of Pageland, South Carolina (the Local Unit) entered into a Loan Resolution with the Farmers Home Administration, United States Department of Agriculture ("FmHA"), which Loan Resolution was supplemented by a Letter of Conditions from the FmHA to the Local Unit under date of June 21, 1983, which Loan Resolution and Letter of Conditions and all subsequent amendments thereto are collectively referred to as the "Loan Agreement", under which FmHA agreed to purchase from the Local Unit a $225,000 Water and Sewer System Revenue Bond (Fourth Lien), of the Town of Pageland, South Carolina, to be dated as of the date of delivery (the "Bond") and pursuant to said Loan Agreement said Bond was to be secured by a pledge of the revenues of the Water and Sewer System of the Town of Pageland (the "System") which pledge of revenues is to be junior and subordinate to the pledges of revenues of the System securing the following four issues of revenue bonds of the Local Unit:

(a) the now outstanding $49,000 of an original issue of $139,000 Water and Sewer System Revenue Bonds, Series of 1963, dated February 1, 1963;

(b) the now outstanding installments of an original issue of $47,700 Water and Sewer System Revenue Bonds, Series of 1970, dated November 18, 1970;

(c) the now outstanding installments of an original issue of $880,000 Water and Sewer System Revenue Bond of 1975, dated March 26, 1975; and

(d) the outstanding installments to be of an issue of $1,000,000 Water and Sewer System Revenue Bond (Third Lien) to be dated as of the date of delivery, which will be outstanding from that date.

and which pledge securing the Bond is not to be junior and subordinate to any other obligations of the Local Unit secured by a pledge of the revenues of the System. The Local Unit has requested the South Carolina State Budget and Control Board (the "State Board") to revise the Assistance Agreement entered into between the State of South Carolina acting by and through the State Board and the Local Unit (the "Assistance Agreement") which is more fully described hereinafter, by subordinating the pledge of revenues of the System made by the Local Unit to secure the payment to the State Board of the annual installments of the $276,346.63 Grant (the "Grant") to the pledges of revenues of the System made by the Local Unit to secure the payment of the Bond provided that the Local Unit authorize the State Board in the event of default to repay the annual installments due on the Grant from any and all other State aid to which the Local Unit may thereafter become entitled.

2. The Assistance Agreement by and between the State of South Carolina acting by and through the State Board and the Local Unit, dated March 8, 1974, as revised by Revision No. 1 dated November 1, 1974, Revision No. 2 dated November 21, 1975, Revision No. 3 dated November 24, 1976 and Revision No. 4 dated March 22, 1983 relating to a certain sewage collection and/or treatment Project declared eligible

under the terms of Act No. 835 of the Acts and Joint Resolutions of 1973 and designated by the Department of Health and Environmental Control as Project No. C450238 is hereby revised so as to amend the source of revenue to be designated for and applied by the Local Unit to the repayment of the Grant in the following respects:

(A.) The State Board agrees that its claim to any revenues of the Water and Sewer System of the Town of Pageland pursuant to the Assistance Agreement above referred to shall be junior and subordinate in all respects to the pledge of revenues to be made to secure the payment of the $225,000 Water and Sewer System Bond (Fourth Lien) of the Town of Pageland to be sold to the FmHA under the Loan Agreement.

(B.) The Local Unit agrees that the ultimate source of payment of the $276,346.63 Grant made by the State Board shall be any and all other State aid to which the Local Unit may hereafter become entitled, and said funds shall be used by the State Treasurer to pay the annual installments of the Grant as the same mature.

3. The total sum granted to the Local Unit by the State Board, as shown in Paragraph 2 of the referenced Assistance Agreement, is not changed by this revision. The total sum granted is $276,346.63.

4. The Grant Repayment Schedule, attached as Exhibit A to the Assistance Agreement Revision No. 3 dated November 24, 1976 is not changed by this revision.

000251

5. It is further agreed by the Local Unit that it shall furnish to the State Board a certificate signed by the Mayor, the Clerk, the Treasurer and the Town Administrator of the Local Unit that said officials fully understand the contents of this Revision No. 5 of the Assistance Agreement and that under Paragraph 2 (B) above the State Board shall, in the event of default, cause the State Treasurer to use any and all other State aid to which the Local Unit may be entitled to pay the annual installments of the Grant as the same mature. Such certificate shall further establish that this Revision No. 5 of the Assistance Agreement has been duly read to all members of the governing body of the Local Unit at a public meeting of said governing body and that said Revision of Assistance Agreement has been incorporated into the codification of Ordinances of the Local Unit as prescribed by law.

6. All terms and conditions set forth in the referenced Assistance Agreement other than those revised herein are hereby reaffirmed.

IN WITNESS WHEREOF, the State of South Carolina by and through the State Board, by its duly authorized officers, and the Local Unit, by its duly authorized officers, have

set their hands and seals this _____ day of _____, 1983.

THE STATE OF SOUTH CAROLINA
BY: THE STATE BUDGET AND CONTROL BOARD (SEAL)

IN THE PRESENCE OF:

By_____
Its Chairman

_____

_____
As To The State Board

By_____
Its Secretary

TOWN OF PAGELAND, SOUTH CAROLINA

IN THE PRESENCE OF:

By_____
Its Mayor

_____

By_____
Its Clerk

_____
As To The Local Unit

By_____
Its Town Administrator

000253

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

COUNTY OF RICHLAND

I, WILLIAM A. McINNIS, Secretary of the South Carolina State Budget and Control Board, DO HEREBY CERTIFY:

That the said State Budget and Control Board (the Board) is composed of the following:

His Excellency, Richard W. Riley, Governor and Chairman of the Board;

The Honorable Grady L. Patterson, Jr., State Treasurer;

The Honorable Earle J. Morris, Jr., Comptroller General;

The Honorable Rembert C. Dennis, Chairman of the Senate Finance Committee; and

The Honorable Tom G. Mangum, Chairman of the House Way and Means Committee.

That due notice of a meeting of the Board, called to be held in Columbia, South Carolina, at _____ _.M., _____, _____ __, 1983, was given to all members in writing, and at least four (4) days prior to said meeting; that all members of said Board were present at said meeting, with the exception of:

That at said meeting, a Resolution, of which the attached is a true, correct and verbatim copy, was introduced by _____, who moved its adoption; said motion was seconded by _____, and upon the vote being taken and recorded it appeared that the following votes were cast:

FOR MOTION AGAINST MOTION

That the Chairman thereupon declared the Resolution unanimously adopted and the original thereto has been duly entered in the permanent records of minutes of meetings of said Board in my custody as its Secretary.

_____
Secretary

_____, 1983

000254

EXHIBIT

JUL 12 1983 NO. 12

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

COUNTY OF RICHLAND

I, WILLIAM A. McINNIS, Secretary to the South Carolina State Budget and Control Board, DO HEREBY CERTIFY:

That the said State Budget and Control Board (the Board) is composed of the following:

His Excellency, Richard W. Riley, Governor and Chairman of the Board;

The Honorable Grady L. Patterson, Jr., State Treasurer;

The Honorable Earle E. Morris, Jr., Comptroller General;

The Honorable Rembert C. Dennis, Chairman of the Senate Finance Committee; and

The Honorable Tom G. Mangum, Chairman of the House Ways and Means Committee.

That due notice of a meeting of the Board, called to be held in Columbia, South Carolina at 10:00 A. M., Tuesday, July 12, 1983, was given to all members in writing, and at least four (4) days prior to said meeting; that all members of said Board were present at said meeting, with the exception of: Mr. Earle E. Morris, Jr.

That at said meeting, a Resolution, of which the attached is a true, correct and verbatim copy, was introduced by Mr. Patterson, who moved its adoption; said motion was seconded by Rep. Mangum, and upon the vote being taken and recorded it appeared that the following votes were cast:

FOR MOTION	AGAINST MOTION
4	0

That the Chairman thereupon declared the Resolution unanimously adopted and the original thereof has been duly entered in the permanent records of minutes of meetings of said Board in my custody as its Secretary.

That any and all conditions attached to the referenced Board action have been satisfied as of the date of this certificate.

000255

August 3, 1983

Secretary

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 13 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 STATE BUDGET & CONTROL BOARD ITEM NUMBER 6

Agency: Executive Director's Office

Subject: Policy on Personal Use of State-Owned Vehicles and State-Owned Housing

Mr. Putnam will present these policy statements at the meeting.

Board Action Requested:

Consider.

Staff Comment:

Attachments:

000256

EXHIBIT

JUL 12 1983 NO. 13

STATE BUDGET & CONTROL BOARD

The Law

The new Appropriations Act contains the following requirement:

> Section 164. The General Assembly, in recognition of the need to meet certain reporting requirements relating to information returns to be submitted to the Internal Revenue Service, hereby directs the Budget and Control Board to immediately institute a charge for the personal use of State-owned vehicles.

Requirements of the Internal Revenue Service and the S. C. Tax Commission

To report the fair market value of all personal use of State-owned vehicles.

Personal Use of State-owned Vehicles

Personal use of State-owned vehicles is prohibited except that:

A. Employees may be authorized to commute in State-owned vehicles provided that they shall reimburse the agency at the rate of 20c per mile.

B. In unusual or emergency situations employees may use State-owned vehicles for personal use but must report such use to the appropriate agency and must reimburse the State at the rate of 20c per mile.

Those Who Are Exempt from the Commuting Charge

The following employees may be exempted from the requirement of paying for commuting and/or personal use of State-owned vehicles:

A. Full-time law enforcement officers who are not serving in an administrative capacity and who are required to utilize their special vehicles on a 24-hour basis.

B. Employees who have no office, but work out of their residence and when such residence is designated by their employer as their primary place of business.

C. Pooled vehicles not regularly used for commuting when used for local or out-of-town trips when the employee departs and/or returns after normal working hours or when travel to or from the employee's residence is an integral part of the trip.

D. Special and unusual instances may be authorized for exemption by the Budget and Control Board upon application by the agency head.

Administrative Procedures

A. Initially payments for personal use of State-owned vehicles will be made in the form of direct remittances to the agency on a bi-weekly, ~~or monthly basis~~.

B. Reporting forms will be supplied by the Budget and Control Board.

C. All reporting forms and contracts, and refunding procedures will be approved by the Comptroller General and the State Auditor.

D. The Director of the Motor Vehicle Management Division will assist agencies in determining whether a particular employee whould be exempt from the commuting charges, subject to review by the Motor Vehicle Management Council and designated representatives of the S. C. Tax Commission. The Budget and Control Board shall act as a final review authority where disputes cannot be resolved.

E. In instances where it is determined that exemptions of commuting charges are warranted but the Internal Revenue Service and/or the S. C. Tax Commission determine that a report is required, the agency shall arrange for reporting of the appropriate amount for Federal and State purposes.

F. The agency head shall have direct responsibility for reporting permanent assignment of vehicles and for the monitoring of the collection of reporting procedures established with respect to personal use of vehicles.

Definition of Terms

A. The term "commuting fee" is defined as the specific monetary amount charged for the authorized privilege of operating a State-owned vehicle between employee residence and office.

B. The term "office" is defined as the specific area or space assigned to an individual employee or group of employees by the agency director of proper jurisdiction, in which that person or persons performs his primary duty.

C. The term "residence" is defined as the particular dwelling that serves as the employee's primary abode. This does not include places of temporary dwelling such as motels, hotels, guest and/or boarding houses when occupying these premises as the result of assignment of duty and/or functions considered temporary in nature.

D. The term "full-time law enforcement officer" is defined as those full-time officers with state-wide arrest powers not limited to a specific job assignment. This does not include administration and/or supervisory personnel whose job function does not require active law enforcement performance not those employees with minor investigative, law enforcement or support functions.

EXHIBIT

JUL 12 1983 NO. 13

STATE BUDGET & CONTROL BOARD

July 12, 1983 WTP/nk

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

Box 12444
Columbia
29211

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

EXHIBIT

JUL 12 1983 NO. 13

STATE BUDGET & CONTROL BOARD

April 21, 1983

MEMORANDUM TO: Budget and Control Board

FROM: Ad Hoc Committee to Study Federal Reporting Requirements, W. T. Putnam, Coordinator

SUBJECT: Recommendations

The Ad Hoc Committee which was authorized by the Budget and Control Board has met on several occasions and has developed recommendations pertaining to reporting requirements which must be met by the State of South Carolina as it relates to information returns due to the Internal Revenue Service. These recommendations are as follow:

(1) That the Appropriations Act for FY 1983-84 be changed so as to authorize the payment of only 20¢ per mile for the use of a personal automobile, rather than 23¢ per mile as is authorized in the Appropriations Act for FY 1982-83.

(2) That the Appropriations Act for 83-84 be modified so as to authorize reimbursement for meals only in instances where overnight travel is involved. This proviso should be modified to permit the Budget and Control Board to authorize reimbursement for meals in very rare and unusual instances which might merit special attention.

(3) That the Budget and Control Board should immediately institute a charge for personal use of State-owned automobiles.

(4) That the Budget and Control Board should immediately institute charges for State-owned housing that approximate fair market value, unless it can be clearly determined that the occupying of the residence by a particular individual is required in the performance of his/her duties.

(5) That the Budget and Control Board immediately launch an effort to determine payments of rental or interest to individuals, and the development of a process for reporting such payments.

(6) That the Budget and Control Board recommend that any apparent dollar savings, which might accrue as a result of the reduction of mileage and meal reimbursement, remain with the agencies to provide funds for existing travel requirements and for the purpose

of increasing meal allowances which might be connected with overnight travel (the more liberal meal allowances will require legislation).

NOTE: A minority has expressed the opinion that the aforementioned action should be delayed and that the State of South Carolina should retain a tax attorney to determine if further administrative action or a legal suit is in order before complying with the stated requirements of the Internal Revenue Service.

WTP/nk

EXHIBIT

JUL 12 1983 NO. 13

STATE BUDGET & CONTROL BOARD

NEWS RELEASE

May 17, 1983

An ad hoc committee appointed by the Budget and Control Board and composed of 13 state employees has been studying the requirements placed upon the State of South Carolina pertaining to information returns and reports due to the Internal Revenue Service. This ad hoc committee has made a report to a joint legislative group composed of five senators and five members of the House of Representatives appointed by the Chairman of the Senate Finance Committee and the Chairman of the House Ways and Means Committee, respectively.

The preliminary report to the joint committee indicated the following areas of concern:

(1) That the present reimbursement for business use of personal automobiles by state employees amounts to 23¢ per mile whereas the Internal Revenue Service permits a reimbursement of only 20¢ per mile without the furnishing of complete evidence of expenditures. If the State should reduce its reimbursement rate to 20¢ per mile, no reporting would be required by either the State or the employee.

(2) That reimbursement for meals when overnight travel is not involved must be reported by the State and included on the employees' tax returns as ordinary income. No deduction is permitted and the amount of such reimbursement must be considered as ordinary income.

(3) That, in most cases, commuting in state-owned automobiles must be considered as a perquisite and that the fair value must be reported to the Internal Revenue Service by the State and must be included on the tax returns of the employee.

GASDOG

(4) That state-owned housing furnished to employees must be considered as income unless it can be shown that the particular place of residence is necessary and required in the conduct of business of the employee. As such, reports must be filed with the Internal Revenue Service by the State.

(5) That payments made to individuals for rentals and certain interest payments must be identified and reported to the Internal Revenue Service by the State.

The joint committee will meet again in the immediate future to discuss these matters and how to resolve each of them.

000263

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 14 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 7

STATE BUDGET & CONTROL BOARD

Agency: Executive Director's Office

Subject: Manual for Planning and Execution of State Permanent Improvements

Staff of the Board (from the Division of General Services and the Executive Director's Office) have prepared a draft Manual for the planning and execution of state permanent improvements and have conducted training sessions in the use of this manual for agencies of the state government involved in permanent improvement matters. Staff has emphasized that this manual and the forms it presents and describes are considered to be draft materials subject to change throughout the entire year of 1983-84. Suggestions from agency personnel involved in these matters have been actively solicited and will continue to be sought during this entire coming year.

The manual does cover planning for permanent improvements, seeking funding authorization for permanent improvements, establishing and revising permanent improvements projects and project budgets, the execution of permanent improvement projects, the demolition, moving or sale of buildings, standards of construction, bonds and insurance, and the forms for use in all of these processes.

A significant issue in this entire process revolves around the definition of permanent improvements. As stated in the manual at page 1, permanent improvements are defined as (1) any acquisition of land; (2) any acquisition (as opposed to the construction) of buildings or other structures; (3) construction of additional facilities and the renovation, repair, alteration or demolition of existing facilities in those instances in which the total project cost is $10,000 or more; and (4) architectural and engineering planning and design work intended to result in a permanent improvement. This definition means that minor construction projects are those costing less than $10,000 under current policies and they are not subject to Board or Bond Committee approval. Under the provision in the Appropriations Act, the cost cutoff level is subject to adjustment by the Bond Committee and the Budget and Control Board.

It is suggested that the Board and the Committee consider increasing this cutoff level in the definition of permanent improvements to perhaps $75,000. Staff holds the view that dollar significance is of much more importance in this area than attempting to categorize what is being done to a building in such terms as renovation, repair, alteration or maintenance. It is staff's view that it doesn't matter whether a building is being reroofed or repainted or recarpeted; if the dollar amount of the project exceeds a certain level then it ought to be subjected to the review processes provided for permanent improvements. Staff is also convinced that the dollar level significance approach is administratively easier to deal with in that it can be understood more easily by more people with the result that uniform administration is more likely to be achieved throughout the state government using that approach than by attempting to gain widespread agreement on definitions of words like renovation, repair, maintenance, alteration, etc.

Another aspect of this subject in need of attention by the Board relates to the Board's delegation of approval authority to staff. Presently, the Board has delegated authority to the State Engineer to approve the establishment and source of funds for any permanent improvement project not exceeding $250,000 in estimated cost. Under the procedures outlined in the manual, responsibility for processing the establishment and sources of funds and matters relating

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 14 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 7, Page 2

STATE BUDGET & CONTROL BOARD

Agency: Executive Director's Office

Subject: Manual for Planning and Execution of State Permanent Improvements (continued)

to the overall budgets of permanent improvement projects is assigned to the Executive Director's Office with the State Engineer's Office being responsible for the execution of approved projects with particular attention to the various requirements of the Consolidated Procurement Code.

The Board is asked, therefore, to consider assigning approval authority up to $250,000 to the Executive Director's Office in the permanent improvement project area.

Board Action Requested:

Consider adjustment of cost cutoff level for permanent improvements in collaboration with the Joint Bond Review Committee and assigning sign-off responsibility for permanent improvement project budgets and sources of funds to the Executive Director's Office.

Staff Comment:

000265

Attachments:

Referenced manual for planning and execution of state permanent improvements

EXHIBIT

JUL 12 1983 NO. 14

STATE BUDGET & CONTROL BOARD

MANUAL

FOR

PLANNING AND EXECUTION

OF

STATE PERMANENT IMPROVEMENTS

STATE BUDGET AND CONTROL BOARD

Columbia, South Carolina

000266

MANUAL FOR PLANNING AND EXECUTION OF STATE PERMANENT IMPROVEMENTS

TABLE OF CONTENTS

		Page
CHAPTER I	Planning for Permanent Improvements.....	1
CHAPTER II	Seeking Funding Authorization for Permanent Improvements.....	7
CHAPTER III	Establishing and Revising Permanent Improvement Projects and Project Budgets.....	14
CHAPTER IV	Permanent Improvements Project Execution.....	16
CHAPTER V	Demolition, Moving or Sale of Buildings.....	21
CHAPTER VI	Standards of Construction.....	22
CHAPTER VII	Bonds and Insurance: Requirements.....	23
CHAPTER VIII	Withdrawal of Available Funds.....	24
APPENDIX A	Forms for Use in Planning for Permanent Improvements; Requesting Funding for Permanent Improvement Projects; and Revising Total Budget and Scope of Permanent Improvement Projects..	27
APPENDIX B	State Engineer Forms for Use in Project Execution.....	43
APPENDIX C	Architect-Engineer, Construction Management, and Land Surveying Service.....	61
APPENDIX D	Method of Construction Contracting Administration.....	65
APPENDIX E	Status of Bonds Proceeds.....	71
APPENDIX F	Request to Withdraw Authorized Funds.....	73

000267

D R A F T

CHAPTER I

PLANNING FOR PERMANENT IMPROVEMENTS

10.01 - What are permanent improvements?

Permanent improvements, for the purposes of this manual, are defined as (1) any acquisition of land; (2) any acquisition (as opposed to the construction) of buildings or other structures; (3) construction of additional facilities and renovation, repair, alteration or demolition of existing facilities in those instances in which the total project cost is $10,000 or more; and (4) architectural and engineering planning and design work intended to result in a permanent improvement.

Permanent improvements, whether a multi-million dollar correctional complex or a reroofing of a college dormitory, obviously are essential to the carrying out of the varied responsibilities of the state government. Substantial funding is required to provide new facilities and to maintain existing facilities in acceptable condition. The provision of new facilities and taking care of existing facilities are the two basic questions which must be addressed directly as a part of the permanent improvement planning process.

It is important to bear in mind that the permanent improvement planning process is intended to be a means through which state agencies and institutions can assess formally the facility requirements of their various programs and present those needs in the desired time sequence.

It also should be noted that minor construction projects (which also include renovations and alterations) are those costing less than $10,000 under current policies and they are not subject to Board or Bond Committee approval. This cost cut-off level is subject to adjustment by the Bond Committee and the Budget and Control Board.

000268

10.02 - Overall Permanent Improvement Plans Required

The General Assembly, in Act 179 of 1981, determined that any state agency or institution seeking approval of funding for permanent improvement projects by the General Assembly must submit an overall permanent improvement plan to the Joint Bond Review Committee and to the Budget and Control Board for review and approval. The General Assembly made that determination after finding that plans adopted by several state agencies and institutions had proven to be useful documents. Act 179 also prohibits the Joint Bond Review Committee or the Budget and Control Board from recommending General Assembly approval of any additional permanent improvement funding for any agency or institution until an overall permanent improvement plan for that agency has been reviewed and approved by the Committee and the Board.

Act 179 requires that plans be submitted to the Committee and the Board on or before July 1, 1982, and that they be updated as necessary and submitted in alternate years thereafter. The plans submitted in 1982 were to cover a future period of not less that five fiscal years beginning July 1, 1983 and any updates submitted thereafter are to cover five fiscal year periods beginning in alternate fiscal years after 1983.

Act 179 also requires that the plans of institutions of higher learning be submitted to the Committee and the Board through the Commission on Higher Education. It requires that Commission to forward each plan and any supporting documentation received from each institution to the Board and the Committee together with its comments and recommendations on each plan and with overall summary comments and recommendations on the several plans considered in the aggregate.

000269

10.03 - Plan Content

Act 179 of 1981 specifies that the overall permanent improvement plan of each agency and institution shall, as a minimum, include the following:

(1) A summary of existing facilities with an analysis of their condition and adequacy in view of present and anticipated use of and demands upon them;

(2) An analysis of the key factors involved in the trends in the demand for various facilities of the agency or institution;

(3) A summary of the alternative approaches considered as a means of meeting any unmet current or anticipated demands upon facilities and the reasons those alternatives were not pursued;

(4) A listing of the permanent improvements proposed and those projects authorized previously for funding but not yet established classified in terms of types of projects such as maintenance, renovation, new construction or other categories specified by the Board, listed in order of priority and grouped according to the fiscal year in which funding approval is deemed necessary;

(5) A justification for each project proposed which identifies the specific needs to be met by the project and its dependence upon other projects being proposed or to be proposed;

(6) The proposed sources of funds for the projects listed including evidence that all funds for these purposes available to the agency or institution from its own sources or capabilities have been applied;

(7) Information on additional annual operating costs related to each proposed project;

(8) Feasibility studies and any other documentation which may help to convey fully the nature, rationale, and urgency of each proposed project;

(9) Campus or other maps showing the location of existing and proposed facilities; and

(10) Other pertinent information requested by the Board or the Committee.

000270

These several requirements for inclusion in agency permanent improvement plans can be broadly categorized as (a) needs assessment; (b) alternatives considered to meet needs; and (c) proposals for meeting needs.

Note should be taken that an analysis of the condition and adequacy of existing facilities in view of their present and anticipated use is a required part of the needs assessment and that it is to be accomplished along with an analysis of trends in demand for facilities of the agency. It is expected that deferred maintenance or so-called backlog maintenance project proposals would be based upon this analysis.

As a part of the review of each project proposal made in response to the needs assessment, any alternative project solutions considered but not pursued should be noted. By supplying this information in the plan documents, questions along this line which are almost certain to be asked by those reviewing the plan are anticipated and answered. On major proposals, responses to this requirement also gives agencies an opportunity to share the thought processes engaged in in coming to conclusions about project proposals.

Emphasis should be placed on the justification for each project proposed in response to the analysis of needs and of the adequacy of existing facilities. That justification should specify the needs each proposed project would meet and any dependence each project has on other projects being proposed or which are to be proposed in the future. Great care should be taken to make sure that any inter-relationships among project proposals are clearly identified. If feasibility studies or other detailed examinations have been undertaken

in support of any project proposal, they should be submitted for review as a part of a proposal package. In addition, in the interest of orienting reviewers to the location of both the existing and proposed permanent improvements, the submission of campus or other maps showing that information is encouraged.

10.04 - Emphasis on Years One and Two of Plan

Although Act 179 of 1981 requires that permanent improvement plans covering five fiscal years be submitted by agencies and institutions seeking permanent improvement project funding, emphasis as a practical matter is on the first and second years of that plan. Project proposals in years one and two are expected to be described in much greater detail than those in years three, four and five. Agencies and institutions, in fact, are encouraged to use project proposal and justification statement forms for project proposals in years one and two of the five year plan. Proposals included in years three, four and five should be much less detailed and can include only a name with a brief description, along with an estimated cost and proposed sources of funding.

Use of project proposal and justification statement forms for proposals in the first and second years of the plan makes it possible for the agency actually to combine its biennial requests with the plan requirement.

10.05 - Board Guidance Memorandum

Not later than March 1 in the year in which plan updates are to be submitted, the Board will issue a guidance memorandum highlighting key factors in the process. As noted, this is scheduled for even-numbered years.

000272

10.06 - Schedule for Agency Submission of Five-Year Plans and Plan Updates in Alternate Years

*On or before July 1, 1982 (for fiscal years 1983-84 through 1987-88)

On or before July 1, 1984 (for fiscal years 1985-86 through 1989-90)

On or before July 1, 1986 (for fiscal years 1987-88 through 1991-92)

On or before July 1 in alternate years thereafter

---

*Received in 1982

CHAPTER II

SEEKING FUNDING AUTHORIZATION FOR PERMANENT IMPROVEMENTS

20.01 - Introduction

There are two main processes through which agencies and institutions may seek funding authorizations for permanent improvement projects. The first is the biennial funding authorization request process which culminates in the passage of a bond act by the General Assembly. The second is what has come to be known as the "interim" funding authorization request process in which the Joint Bond Review Committee reviews and the Budget and Control Board approves the establishment and sources of funds of projects not specifically authorized by the General Assembly.

000274

20.02 - Biennial Funding Authorization Request Process

In Act 518 of 1980, the General Assembly expressed its intention that Capital Improvement Bonds be authorized only in odd-numbered years beginning in 1981. That alternate year procedure is consistent with an earlier action of the General Assembly in Act 237 of 1975 which requires the Budget and Control Board to submit its bond authorization recommendations to the General Assembly in alternate years beginning in 1977.

While both of these acts relate specifically to authorizations of Capital Improvement Bonds only, which is the principal source of funds for permanent improvements for state agencies and institutions, the effect of these acts is that if no "bond bill" (for Capital Improvement Bonds) is to be considered by the General Assembly then no vehicle for permanent improvement funding authorizations by the General Assembly is available except in odd-numbered years.

The Budget and Control Board has determined administratively that the five-year plan submission and the alternate year or biennial funding authorization request process can and should be treated effectively as a single requirement. As was noted in the planning chapter, this merger of these processes is accomplished essentially through the use of SPIRS A-1 Project Proposal and Justification Statement forms for projects proposed in years one and two of each agency's five-year plan.

As the submission schedule indicates, five-year plans and updates of those plans are submitted to the Board and the Joint Bond Review Committee by July 1 in even-numbered years. (The first under this requirement were filed in 1982.) Use of the A-1 forms for projects in those plans and proposed in the first

000275

two years gives agencies and institutions a first opportunity to identify the projects for which funding authorization will be sought in the following funding request cycle.

These submissions will be treated as funding authorization requests for projects included in plan years one and two by Board staff. Agencies and institutions will have ample opportunity to update and revise these requests prior to November 1 when the final versions are to be filed with the Budget and Control Board.

20.03 - What Permanent Improvement Projects Should Be Included in Requests?

All permanent improvement projects of whatever type should be included in the authorization request list of agencies and institutions. In particular, it is important that maintenance, repair, and renovation projects which meet the permanent improvement definition be identified and included in the requests. It is not necessary that maintenance projects be itemized where that proves difficult or impractical. It is possible to submit omnibus maintenance project requests which cover categories of activities for which estimated expenditure amounts are indicated.

20.04 - Board Guidance Memorandum

A guidance memorandum is issued by the Budget and Control Board in September of each even-numbered year which outlines key factors to be considered by the agencies and institutions in responding to that particular funding request cycle. As the submission schedule indicates, biennial requests are filed with the Board by the following November 1. The Board's funding recommendations are then forwarded to the General Assembly by February 15 of the following odd-numbered year.

000276

20.05 - Schedule for Agency Submission of Biennial Funding Authorization Requests

*On or before November 1, 1982 (for consideration during 1983 session for funding requests for fiscal years 1983-84 and 1984-85)

On or before November 1, 1984 (for consideration in 1985 session for funding requests for fiscal years 1985-86 and 1986-87)

On or before November 1, 1986 (for consideration during 1987 session for funding requests for fiscal years 1987-88 and 1988-89)

On or before November 1 in alternate years thereafter

---

*Submissions not invited due to uncertain economic conditions

NOTE: Budget and Control Board funding recommendations in response to these requests are forwarded to the General Assembly by February 15 in odd-numbered years for consideration as indicated.

000277

20.06 - "Interim" Funding Authorization Request Process

Permanent improvements funding may be authorized through what has come to be known as the "interim" authorization process, in addition to the biennial funding authorization request process. This "interim" process derives from provisions in Act 194 of 1979 and Act 518 of 1980 which require that the Joint Bond Review Committee review the establishment of any permanent improvement project and the source of funds for any such project not previously authorized specifically by the General Assembly prior to approval by the Budget and Control Board. This means that any proposal for the use of any funds on any project not authorized specifically by an act of the General Assembly is subject to the "interim" process. It also means that broad authorizations which are made by the General Assembly in Capital Improvement Bond Acts are subject to this process as those broad purposes are translated into particular projects. Revisions in the scope of permanent improvement projects also are subject to the "interim" procedure involving review by the Joint Bond Review Committee and approval by the Budget and Control Board.

20.07 - "Interim" Process Schedule

Proposals to finance all or any part of any permanent improvement project using any funds not previously authorized specifically for that purpose by the General Assembly or using any funds not previously approved for that purpose by the Board after review by the Committee are to be submitted to the Committee for review prior to approval by the Board. Any such proposals are to be filed with the Budget and Control Board in accord with guidance memoranda issued by the Board. Under existing policy and procedure, any such proposals received

000278

by the Board on or before the fifteenth of each month are forwarded to the Joint Bond Review Committee not later than the second working day after the fifteenth. Any proposals received after the fifteenth and on or before the last working day in any month are forwarded to the Committee for its review not later than the close of business on the second working day of the following month. Any such proposals which an agency feels must be rushed because of an emergency must be clearly identified as such and accompanied by a statement of the nature of the emergency.

22.03 - Guidance Memoranda

The Budget and Control Board from time to time will issue guidance memos addressing specific aspects of the "interim" funding authorization request process.

20.09 - Schedule for Agency Submission of Interim Funding Authorization Requests

Requests to Budget and Control Board	Forwarded to Joint Bond Review Committee
Requests received on or before the 15th of each month	Not later than second working day after the 15th
Requests received after 15th of month and on or before last working day in month	Not later than 2nd working day of following month
Emergency requests, clearly identified as such and accompanied by a statement on the nature of the emergency	Processed as required

000280

CHAPTER III

ESTABLISHING AND REVISING PERMANENT IMPROVEMENT PROJECTS AND PROJECT BUDGETS

Act 518 of 1980 provides that no action may be taken to implement a permanent improvement project in any way until the project has been established formally by the Budget and Control Board.

30.01 - Project Establishment

Project establishment signifies formal Budget and Control Board acknowledgement that a permanent improvement project exists. Project establishment may occur simultaneously with or be preceded by the approval of project funding but a project can never be established before project funding had been authorized.

Project proposals in years one and two of an agency's five-year plan and project requests in a biennial request submission may be captured by the Statewide Permanent Improvement Reporting System in a "proposed" or "requested" status but they are not considered "established" until the several required project and project funding approvals have been secured. As noted, when those approvals are secured, the status of the project is changed to "established" by a formal action of the Budget and Control Board or its designee.

No special form is used to formally establish a project. The status of the project is simply revised to reflect that condition when required approvals have been secured.

30.02 - Revising Project Budget or Scope

SPIRS Form A-2, entitled "Revision of Total Budget of Project or Project Scope", is used to increase the total budget of a permanent improvement project, decrease the total budget of a project, or revise the scope of a project.

000281

It is expected that most proposed revisions will be submitted as a part of the "interim" process and will consist of adjustments to the total project budget. Scope revisions, of course, also may be processed through the interim procedure.

It is possible for proposed revisions to be submitted as a part of the agency's plan or as a funding authorization request in the biennial request procedure.

30.03 - Board Guidance Memoranda

The Board from time to time will issue guidance memoranda on the project establishment and revision process.

000282

CHAPTER IV

SECTION 40 - PROJECT EXECUTION

40.01 SCOPE - The Division of General Services, State Engineer's Office, is responsible for monitoring the execution phase of a state permanent improvement project. Such responsibilities include monitoring the procurement of professional and construction services, materials, and basic equipment; reviews of construction plans and specifications; inspection of progress during construction; and initiate the closing of the project.

40.02 ADVERTISEMENT FOR ARCHITECT/ENGINEER/CONSTRUCTION MANAGEMENT/LAND SURVEYING SERVICES - The procedure to be followed in the selection of any of these professional services, hereinafter referred to as A&E/CM/LS, is prescribed in Sections 11-35-3210 through 11-35-3230 of the S. C. Code of Laws, 1976, as amended, and is quoted in Appendix C.

The requesting Agency is responsible for submitting to the State Engineer three copies of the Advertisement for Professional Design and Consultant Services (Form SE-210) which will be published in the S. C. Business Opportunities magazine. The State Engineer must submit advertisements to S. C Business Opportunities by Noon Friday in order for it to appear in the publication on the following Wednesday.

The advertisement prepared by the Agency shall enumerate all professional services that are anticipated for the project.

NOTE: (METHOD OF CONSTRUCTION CONTRACTING) If the Agency determines to use construction management services with respect to the advertisement for Architect/Engineer services, it should be included in the same advertisement. If such determination is not made by the Agency as specified above but the Agency later determines that construction management is appropriate, an advertisement for such services must be published in accordance with this section prior to advertisement for construction bids. When an Agency makes a determination as to the method of construction contracting to be used for a project, it must submit such in writing to the State Engineer for approval. This determination must be made and approved prior to advertising for construction bids. (See Appendix D.)

40.03 SELECTION AND EMPLOYMENT OF ARCHITECT/ENGINEER/CONSTRUCTION MANAGER/LAND SURVEYOR - The Agency is responsible for interviewing, selecting and ranking A&E/CM/LS applicants in accordance with Act 148 of 1981 (See Appendix C). Upon successful completion of the selection process, the Agency shall submit two copies of the

000283

A&E Selection Approval Request (Form SE-220 or SE-230, as appropriate) with one copy of the tentative Agreement and the supporting data (See Appendix C) to the State Engineer. The Agency may use a tentative letter contract on a project by project basis when land surveying services are being employed. After approval of the tentative Agreement or tentative letter contract by the State Engineer, the Agency may execute the Agreement or letter contract and submit one copy of the executed document to the State Engineer.

40.04 COMPLETION OF ARCHITECTURAL PLANS - Architectural work on final plans and specifications should not be started until the project has been established (Section 30.01). During the period of developing final plans and specifications, the Architect/Engineer should consult with the State Engineer as needed. This is particularly desirable if any major change in design or type construction is proposed. When working drawings and specifications are finally approved by the Agency and the State Engineer, a set should be filed with the State Engineer, together with the Architect/Engineer's final cost estimate analysis.

NOTE: If possible, one copy of preliminary drawings and outline specifications should be submitted to the State Engineer in accordance with the Architect/Engineer's contract.

Architect/Engineer contracts provide for the State to own the original drawings; therefore, the Agency will furnish the Architect/Engineer with mylar drawing sheets. These mylar sheets are available in two sizes (30" x 42" and 24" x 36") at the Division of General Services Supply Warehouse. They should be requisitioned by the Agency as needed and the cost charged to the project.

NOTE: All documents submitted to the State Engineer shall be as designated in the Architect/Engineer's contract.

40.05 STATE DEPARTMENT OF HEALTH AND ENVIRONMENTAL CONTROL APPROVALS - Plans and specifications for the construction, alteration or extension of water supply; plumbing; sewerage, garbage and refuse disposal systems; food handling facilities; swimming pools; incinerators and certain boilers must have the approval of the Department of Health and Environmental Control prior to advertising for bids. This is a responsibility of the Architect/Engineer but the Agency should be aware of its existence.

40.06 ADVERTISEMENT FOR CONSTRUCTION BIDS - The State Engineer will authorize the Agency to advertise for bids after he has reviewed the bid documents as contained in the Project Manual and they have been approved by the regula-

000284

tory agencies having jurisdiction. Specifically, the Project Manual to be approved shall include, among other data, the Advertisement for Bids (Form SE-310); Instructions to Bidders; Form of Proposal; Form of Bid Bond; and General Conditions. Should the bids be expected to be $250,000 or more, the Affidavit of Non-collusion (Form SE-350) shall be made a part of the manual. The Agency may require the Contractor to submit with his bid a Contractor's Qualification Statement (Form SE-360) pursuant to Section 11-35-1810 of the S. C. Code of Laws, 1976, as amended. (See Appendix D.)

The Agency is responsible for submitting to the State Engineer three copies of the Advertisement for Bids (Form SE-310) which will be published in the S. C. Business Opportunities.

If advertising is delayed longer than six months after completion of the bid documents, a new evaluation and approval by the State Engineer shall be obtained.

40.07 BID OPENING AND EXECUTION OF CONSTRUCTION CONTRACT - Bids will be opened at such time and place as designated in the advertisement and in accordance with applicable provisions of Act 148 of 1981. (See Appendix D.)

After bids are received and it is determined that a contract is feasible with respect to the lowest responsive and responsible bidder, two copies of the Request for Authorization to Award a Construction Contract (Form SE-380) along with one copy each of the Proof of Advertising, Tabulation of Bids, Low Bid, Bid Bond with Power of Attorney, and a letter from the Architect/Engineer recommending award shall be submitted to the State Engineer. When approved, one copy of Form SE-380 will be returned to the Agency, receipt of which authorizes the Architect/Engineer to issue a "Notice to Proceed".

The Agency shall then file a copy of the executed contract together with one copy each of the Performance and Payment Bond, Certificate of Insurance, list of approved substitutions, list of subcontractors and progress schedule with the State Engineer.

40.08 CONTRACTOR'S COST ESTIMATE - The Architect/Engineer shall supply the State Engineer with a copy of the Contractor's cost estimate in the form of a schedule of values allocated to the various portions of the work. The schedule shall include the following certification:

ARCHITECT/ENGINEER PAYMENT CERTIFICATE

In accordance with the Contract Documents, based on on-site observations and the data comprising this schedule, the A/E certifies to the Agency that the Work has progressed to the point indicated; that to the best of his knowledge, information and belief, the

quality of Work is in accordance with the Contract Documents; and that the Contractor is entitled to payment of the AMOUNT CERTIFIED. This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment, and acceptance of payment are without prejudice to any rights of the Agency or Contractor under this Contract.

A/E FIRM:_____ AMOUNT CERTIFIED $_____

By:_____ Date:_____

40.09 EQUIPMENT - Two copies of the equipment list for the project (Form SE-510) shall be submitted to the State Engineer for approval. One copy of the approved document will be returned to the Agency.

Prior to purchase of the approved equipment, two copies of the Request for Authority to Purchase Equipment (Form SE-520) shall be submitted to the State Engineer. One approved copy will be returned to the Agency. Purchase of equipment shall be in accordance with Act 148 of 1981.

40.10 REVISION OF PROJECT - Revisions can occur at any time during establishment and execution of a state permanent improvement project. In such event, a revision packet consisting of Forms A-2 and A-3 shall be prepared by the Agency for approval. If the revision changes the total funding of the project (increase or decrease), changes the source of funds, or changes the scope of the project, the revision packet shall be submitted to the Office of Executive Director for approval. (See Section 30.02 of this manual.)

40.11 PAYMENT CERTIFICATES - On a monthly basis, the Architect/Engineer shall file with the State Engineer one copy of the Contractor's Payment Application and Certification and the Agency shall file with the State Engineer one copy of each of the paid invoices for Architect/Engineer services.

40.12 PERIODIC INSPECTIONS - During the construction period, the State Engineer shall have access to the project premises for such periodic inspections as he may desire.

40.13 FINAL INSPECTION - Upon completion of construction and prior to acceptance, the Agency shall advise the State Engineer of the date and time of the final inspection so that the State Engineer may be present.

40.14 FINAL ACCEPTANCE - Upon acceptance of the project by the Agency, and the release of all claims against the Agency, the Architect/Engineer shall file with the Agency, Contractor and the State Engineer a written

000286

certification as to the entire amount of work performed and compensation earned by the Contractor. Once the project has been accepted, the State Engineer shall be advised of the date of acceptance.

40.15 YEAR-END INSPECTION - One month prior to the expiration of the one-year warranty period as called for in the Construction Documents, the Architect/Engineer shall inspect the project for any deficiencies that may have developed under the one-year warranty. Upon completion of inspection, a written report shall be furnished to the Agency, the State Engineer and the Contractor, and the Architect/Engineer shall take the necessary action to see that the deficiencies are corrected at no cost to the Agency.

40.16 SUBMISSION OF MYLARS - Mylars are to be revised by the Architect/Engineer to reflect all changes made during construction and are to be submitted to the State Engineer for his permanent record as specified in the Architect/Engineer contract.

40.17 CLOSING OF A PROJECT - No later than ninety (90) days after the project has been completed, the State Engineer will recommend to the Office of Executive Director that the project be closed.

CHAPTER V

SECTION 50 - DEMOLITION, MOVING OR SALE OF BUILDINGS

50.01 DEMOLITION - Buildings or other improvements shall not be demolished until written approval is received from the State Engineer. Requests for such approval shall include a complete description (age, size, type of construction, use, condition, exterior and interior photographs, etc.), reason for demolishing, estimated cost and source of funds.

50.02 MOVING - Any Agency wishing to move any existing building from one location to another location on real estate owned by that Agency shall obtain written approval from the State Engineer. Requests for such approval shall include a complete description (age, size, type of construction, condition, etc.), reason for moving, present location, new location (provide map), use at new location, estimate of cost of moving and cost of rehabilitation for new use and source of funds.

50.03 COST OF DEMOLITION OR MOVING - When the cost of demolition or moving exceeds an amount established by the Board for permanent improvements (see 10.01), a project shall be established in accordance with this manual.

50.04 SALE - When any Agency determines that the best method of disposal or removal of a building is by sale to the public, it shall obtain written approval from the State Engineer. Requests for such approval shall include a complete description (age, size, type of construction, condition, etc.), and reason for disposition by sale. Such disposal, removal and sale shall be in accordance with Sections 11-35-3810 and 11-35-3820, S. C. Code of Laws, 1976, as amended.

000288

CHAPTER VI

SECTION 60 - STANDARDS OF CONSTRUCTION

60.01 BUILDING CODE STANDARDS - In all construction, improvement and renovation of State buildings, the applicable building codes shall be those as set forth in the latest Appropriations Act.

60.02 STATE STANDARDS - "Barrier Free Building Design Standard" promulgated by the South Carolina Board for Barrier Free Design shall be substituted for Section 508 of the Standard Building Code. All buildings shall comply with the provisions of ASHRAE Standard 90, Energy Conservation in New Building Design. (Section 6-10-10 through 6-10-90, S. C. Code of Laws, 1976, as amended.

000289

CHAPTER VII

SECTION 70 - BONDS AND INSURANCE: REQUIREMENTS

70.01 BONDS - Each Contractor shall furnish a Performance and Payment Bond and a Labor and Material Payment Bond in the full amount of the contract sum.

70.02 WORKMEN'S COMPENSATION - The Contractor shall take out and maintain during the life of his contract Workmen's Compensation Insurance for all employees employed at the site of the project under his contract.

70.03 BODILY INJURY LIABILITY AND PROPERTY DAMAGE LIABILITY - The Contractor shall take out and maintain during the life of his contract such bodily injury liability and property damage liability insurance and automobile injury liability and property damage liability insurance as shall protect him and any Subcontractor performing work covered by his contract.

70.04 AGENCY'S PROTECTIVE LIABILITY INSURANCE - At the discretion of the Agency, the Contractor may be required to take out and maintain during the life of his contract Agency's protective liability insurance.

70.05 FIRE INSURANCE - The Agency shall insure the building or other work included in the contract against loss or damage by fire and against loss or damage covered by the standard extended coverage insurance endorsement of the Insurance Reserve Fund.

70.06 PROOF OF CARRIAGE - Satisfactory proof of carriage of the required insurance must be filed with the State Engineer before construction is begun.

CHAPTER VIII

SECTION 80 - WITHDRAWAL OF AVAILABLE FUNDS

The State Treasurer's Office is responsible for providing the money necessary to fund permanent improvements. In order to accomplish effective money management we must maintain records that will provide the information required to meet the needs of the agencies as specified by the Legislature, Joint Bond Review Committee and the Budget and Control Board. When the legislative bill becomes law, we immediately establish a record for each agency's needs, restrictions and funding as spelled out in that Act. Once the record is established and reconciled to the Budget and Control Board records, our office sets aside for each authorization the funding of future bond costs in accordance with statutory requirements. At present, the bond costs set aside is at the rate of $2.00 per $1,000. This is subject to change according to inflationary or economic changes in the cost of issuance. Of course, this also means if an agency has been authorized by the Legislative Act to use $1,000,000; $2,000 is immediately set aside for future issuance cost; therefore, the agency will only be able to draw $998,000.

Subsequently, the efforts of the Joint Bond Review Committee and the Budget and Control Board in coordination with the agency results in a time schedule that determines the time and amounts that this money can be withdrawn. It is important at this point to emphasize that no money is

available nor can any be withdrawn from the State Treasurer's Office until this allocation has been made. In our experience there seems to be some confusion in the agencies between the "authorization" of the money in the Legislative Act and the "allocation" of money by the Joint Bond Review Committee and the Budget and Control Board.

After the records have been established there is a monthly reconciliation between the State Treasurer's records and the Budget and Control Board records. We urge the agencies to maintain detailed records and consistently reconcile with our records. In order to facilitate this, our plans call for the monthly issuance of a computer report from our records to the agency. Obviously it is much more practical and convenient to stay reconciled on a regular basis than it is to periodically attempt to bring the records into agreement. The report referred to here is entitled, "STATE TREASURER'S OFFICE STATUS OF BONDS PROCEEDS." A sample is provided herein.

Upon approval of expenditure for projects by the Joint Bond Review Committee and the Budget and Control Board, it will be necessary for the agency to prepare and submit to the State Treasurer's Office the form entitled, "REQUEST TO WITHDRAW AUTHORIZED FUNDS." A copy is included. When this form is received in the State Treasurer's Office it will be audited against the files and records for completeness of information and authenticity. Valid requests will result in a transfer of funds sufficient to support the request. Insufficient information will result in the return of the form to the agency for corrective action. We feel it necessary to emphasize at this point that no funds can be transferred without <u>complete</u> information.

In special situations when the Joint Bond Review Committee and the Budget and Control Board have taken emergency action, please attach a copy of that action (letter or minutes) to your request. Very often this will aid us in expediting our research and will result in a faster action by our office.

This meeting has brought about orderly procedures to deal with a very complicated process. Much of the past frustration will undoubtedly be eliminated if all parties concerned continue to cooperate by following the procedures as outlined. The State Treasurer's Office is prepared to continue to help you in any reasonable way. You may communicate with us by calling: Patricia Brown at 758-0184 or Ralph Rabon at 758-0184 or 7695.

We appreciate your cooperation in the past and look forward to a more orderly procedure in the future.

APPENDIX A

FORMS FOR USE IN PLANNING FOR PERMANENT IMPROVEMENTS; REVISING TOTAL BUDGETS OF PERMANENT IMPROVEMENT PROJECTS OR SCOPE OF PERMANENT IMPROVEMENT PROJECTS; AND PROPOSING SOURCES OF PROJECT FUNDS

Form Number	Form Name	Form Uses
SPIRS A-1	Project Proposal and Justification Statement	a. To describe projects included in years one and two of five-year plan; b. To propose and justify permanent improvement projects which implement plan and to request funding authorization for them in the biennial and interim funding authorization request processes; c. To establish projects and sources of funds when approved in biennial and interim request processes
SPIRS A-2	Revision of Total Budget of Project or Project Scope	a. To increase or decrease total project budget; b. To revise scope of project
SPIRS A-3	Proposed Source of Project Funds	a. With A-1 or A-2 forms, to propose sources of funds in plan and request stages and, when funds are approved, to establish project funds; b. To add sources of funds to established project budget; c. To increase or decrease amount of project funds; d. To correct funding errors

000295

BCB USE ONLY
A01-
Packer Number

SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATEWIDE PERMANENT IMPROVEMENT REPORTING SYSTEM

Project Proposal and Justification Statement (SPIRS Form #1)

CD1 Page 1 of _____ pages in this packet.

I. PROJECT IDENTIFIERS:

A. Agency: _____ _____
STARS Code Name

Contact Person: _____ Phone: _____

B. Project Name (1) _____

(2) BCB USE ONLY # _____ Name: _____

(3) AGENCY USE ONLY _____

C. Submitted as a part of:

(1) ___ Permanent Improvement Project Request Survey For FY ___
(2) ___ Interim Authorization Procedure
(3) ___ Permanent Improvement Plan for Fiscal Years _____

Date submitted: _____
FY submitted: ____

D. Submitted By:
Authorized Official: _____
Typed Name and Title and Signature

II. PROJECT JUSTIFICATION (*should show clearly why the project is needed.*):

A. Basic purpose of proposed project is to:

(1) ___ Restore facility to acceptable condition;
(2) ___ Maintain facility in acceptable condition;
(3) ___ Replace inadequate facilities;
(4) ___ Add new facilities;
(5) ___ Other: _____.

B. Attach a statement specifying any alternative approaches considered as a means of achieving the basic purpose of the proposed project and any dependence it has on any other proposed project or project to be proposed.

C. Attach feasibility studies, plans and any other documentation which helps to convey fully the nature, rationale and urgency of the proposed project.

D. Project is priority number ____ among _____ projects proposed for FY _____.

III. PROJECT DESCRIPTION (*should indicate clearly what the project consists of.*):

A. Narrative description:

B. The items checked are major elements in the proposed project:

(1) ___ Planning/design services
(2) ___ Site work (including utilities)
(3) ___ Central energy systems repair/replacement
(4) ___ Mechanical systems repair/replacement
(5) ___ General renovation/repair (floor space) (Gross sq. ft.: _____)
(6) ___ Roof repair/replacement
(7) ___ Construction of additional floor space (Gross sq. ft.: _____)
(8) ___ Equipment/supplies
(9) ___ Purchase of facilities
(Floor space, gross sq. ft.: _____; Land, acres: _____)
(10) ___ Other (Specify) _____

C. Building floor space in proposed project:

Identify Predominant Intended Uses		Gross Sq. Ft. of Building Floor Space	
Floor Space	Use	Renovation/Repair of Existing	Construction of Additional
(1) Most	_____	_____ SF	_____ SF
(2) Next Most	_____	_____ SF	_____ SF
(3) All Other	XXXXXXXXXXXX	_____ SF	_____ SF
(4) Total Square Feet		_____ SF	_____ SF

D. Site Description: (Attach a campus or other map showing project site location.)

Location: _____ _____ _____
County City Site

000296

BCB USE ONLY
A01-
Packet Number

Project Proposal and Justification Statement (SPIRS Form A-1)

Page 2 of _____ pages in this packet.

AGENCY: Code: _____ Name: _____

PROJECT: Number _____ Name: _____

4. ESTIMATED PROJECT COSTS AND PROPOSED SOURCES OF FUNDS:

A. Total estimated cost of project: $_____

B. Total estimated cost of project [Section (4B) Should Equal 4B(8)=4A]:

(1) Land Purchase . . .$_____ (5) Renovation/Repairs $_____
(2) Site & Grading. . .$_____ (6) A&E Fees . . . . . .$_____
(3) Basic Equipment . .$_____ (7) Contingencies. . . .$_____
(4) Construction (New) $_____ (8) All Other. . . . . .$_____

C. Proposed sources of funds (submit a Form A-3 for each source of funds):

[0] Capital Improvement Bond Proceeds . . . . . .$_____
[1] Departmental Capital Improvement Bond Proceeds. . . . . .$_____
[2] Institutional (Existing) Bond Proceeds . . . . . .$_____
[3] Revenue Bond Proceeds (specify type: _____ $_____
[4] Excess Debt Service/Reserve Funds (specify: _____ $_____
[5] (reserved for future use) . . . . . .$_____
[6] Appropriated State Funds. . . . . .$_____
[7] Federal Funds . . . . . .$_____
[8] Athletic Funds. . . . . .$_____
[9] Other Funds (specify: _____ $_____

Total Funds (same as 4A, above) . . . . . .$_____

5. ADDITIONAL ANNUAL OPERATING COSTS RELATED TO PROPOSED PROJECT:

Total Additional Operating Costs: Personal Services Costs/Positions Only

Fiscal Year	Projected Sources				Projected Sources			Total	
	Gen Funds	Federal	Other*	Total	Gen Funds	Federal	Other*	Amount	#Pos.
	$	$	$	$	$ (#)	$ (#)	$ (#)	$	
(1)									
(2)					(#)	(#)	(#)		
(3)					(#)	(#)	(#)		
(4)					(#)	(#)	(#)		
(5)					( )	( )	( )		

*Specify _____ *Specify _____

6. THE APPROVALS INDICATED BELOW RELATE TO THIS ACTION:

	Date		Supporting Documentation Required	Attached
01	/ /	Board of Agency or Institution	___	___
02	/ /	Commission on Higher Education	___	___
03	/ /	Local Legislative Delegation	___	___
04	/ /	Joint Appropriations Review Committee	___	___
05	/ /	Comptroller General	___	___
06	/ /	State Treasurer	___	___
07	/ /	Joint Bond Review Committee	___	___
08	/ /	Act _____ of _____	___	___
09	/ /	State Budget and Control Board	___	___

7. THIS PROJECT IS A PART OF THE FOLLOWING BOARD ACTIVITY GROUP:

Code _____ Description _____

8. DATE PROJECT ESTABLISHED BY BUDGET AND CONTROL BOARD ACTION: / /

9. PROJECT STATUS:

Code _____ Description _____

10. APPROVED

_____ _____
Typed Name and Title and Signature Date

000297

11. RELEASED TO SPIRS:

☐

_____ _____
Typed Name and Title and Signature Date

30

SPIRS FORM A-1: NOTES ON HOW TO COMPLETE IT

Item Number	Description of Entry
1. PROJECT IDENTIFIERS:	
A.	Enter STARS agency code (from STARS Manual) and agency name along with name and phone number of project contact person
B. (1)	Enter name of project
(2)	Board use only (for data entry of STARS project number and name)
(3)	Agency use only (for any project reference desired) up to 15 characters including blanks
C.	Check appropriate line to indicate what procedure the submission is part of. Also enter date and fiscal year submitted (two positions only for FY).
D.	Typed name and title of the authorized agency official submitting this statement with the signature of that official should appear here.
2. PROJECT JUSTIFICATION:	
A.	Check line which best characterizes basic purpose of project.
B.	Attachment should describe alternative approaches considered to meet basic project purpose. It also must identify any relationship this proposed project has to any other proposed project on other project to be proposed.
C.	You are strongly urged to attach any documentation which helps describe why the proposed project is needed and its urgency.
D.	For use in permanent improvement project request surveys (biennial request process) only to show relative importance of this proposed project to others proposed. Use only if item 1C(1), above, is checked.

000298

Item Number	Description of Entry
3. PROJECT DESCRIPTION	
A.	A general description of what the proposed project consists of should be entered here.
B.	Check lines which describe major elements of the proposed project (should reflect the two or three categories on which most of the funds in the project budget will be spent).
C.	The predominant use and the next most predominant use of building floor space involved in the project should be identified.
D.	The submission of campus or other maps which show the location of the proposed project in relation to other facilities is strongly encouraged.
4. ESTIMATED PROJECT COSTS AND PROPOSED SOURCES OF FUNDS	
A.	Enter the total estimated cost of the proposed project.
B.	The total estimated project cost should be distributed among the eight categories shown.
C.	The proposed sources of funds for the project should be shown and should, of course, equal the total in 4A, above. Note that a SPIRS Form A-3 must be submitted for each different source of funds. Note also that source categories [3], [4], and [9] are general categories for which you must provide specifics on both the A-1 and A-3 forms. If the funds are in source categories 0-4, a separate Form A-3 must be filed for each authorized account with a Treasurer's ID number.

000299

Item Number	Description of Entry
5. ADDITIONAL ANNUAL OPERATING COSTS RELATED TO PROPOSED PROJECT	Additional annual operating costs attributable to the proposed project must be shown starting with the fiscal year in which the project is projected to be completed. The amount entered in each year should be the increment identified with this project. Note that total additional annual costs are to be entered in the left side of the form and that the personal services and positions costs only are to go in the right side of the form.
6. APPROVALS	Board use only for tracking approvals required and the dates of those actions.
7. BOARD ACTIVITY GROUP	Board use only for classifying projects.
8. DATE PROJECT ESTABLISHED	Board use only to date project establishment action.
9. PROJECT STATUS	Board use only.
10. APPROVED	Board use only.
11. RELEASED TO SPIRS	Board use only.

BCB USE ONLY
A02-
PACKET NUMBER

SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATEWIDE PERMANENT IMPROVEMENTS REPORTING SYSTEM

Revision of Total Budget of Project or Project Scope (SPIRS Form A-2)

Page 1 of _____ pages in this packet.

1. THE FOLLOWING ACTION IS PROPOSED FOR A PERMANENT IMPROVEMENT PROJECT:
___ Increase total project budget
___ Decrease total project budget
___ Revise Scope

RESERVED FOR BCB USE ONLY:
C03 ___ Change Project Record
C05 ___ Delete

2. THE PROJECT AFFECTED BY THIS PROPOSAL IS:

A. Agency: _____ _____
STARS CODE Name

Contact Person: _____ Phone: _____

B. Project: _____ _____
Number Name

C. Revision submitted as a part of:
(1) ___ Permanent Improvement Project Request Survey for FY ___
(2) ___ Interim Authorization Procedure
(3) ___ Permanent Improvement Plan for Fiscal Years _____

Date Submitted: / /
FY Submitted: _____

D. Submitted By:
Authorized Official: _____
Typed Name and Title and Signature

3. PURPOSE OF REVISION OF PROJECT:

4. JUSTIFICATION FOR REVISION OF PROJECT (*Why is revision needed?*):

5. REVISION DESCRIPTION (*What is the revision proposed?*):

6. ESTIMATED PROJECT COSTS AND PROPOSED SOURCES OF FUNDS, AS REVISED:

A. Total Estimated Cost of Project, As Revised $_____

B. Proposed Sources of Funds, As Revised (Submit a Form A-3 for each source increased or decreased):

	APPROVED TOTAL	INCREASE+ DECREASE-	REVISED TOTAL
[0] Capital Improvement Bond Proceeds.....			
[1] Departmental Capital Improvement Bond Proceeds.			
[2] Institution (Tuition) Bond Proceeds.....			
[3] Revenue Bond Proceeds (Specify: _____)			
[4] Excess Debt Service/Reserve Funds (_____)			
[5] (reserved for future use)			
[6] Appropriated State Funds.....			
[7] Federal Funds.....			
[8] Athletic Funds.....			
[9] Other Funds (Specify: _____)			
Total (Revised total equals 6A, above)			

000301

Reserved for BCB
A02-
PACKET NUMBER

SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATEWIDE PERMANENT IMPROVEMENTS REPORTING SYSTEM

Revision of Total Budget of Project or Project Scope (SPIRS Form A-2)

Page 2 of ___ pages in this packet.

Agency: Code: Name:

Project Number: Name:

7. ADDITIONAL ANNUAL OPERATING COSTS RELATED TO PROPOSED PROJECT, AS REVISED:

Fiscal Year	Total Additional Operating Costs — Projected Sources: Funds	Federal	Other*	Total	Personal Services Costs/Positions On — Projected Sources: Gen Funds	Federal	Other*	Total: Amount	# Pos.
	$			$	$ (#)	$ (#)	$ (#)	$	
(1)					(#)	(#)	(#)		
(2)					(#)	(#)	(#)		
(3)					(#)	(#)	(#)		
(4)					(#)	(#)	(#)		
(5)					(#)	(#)	(#)		

*Specify *Specify

8. THE APPROVALS INDICATED BELOW RELATE TO THIS ACTION:

	Date		Supporting Documentation Required	Attached
01	/ /	Board of Agency or Institution	___	___
02	/ /	Commission on Higher Education (Priority ___)	___	___
03	/ /	Local Legislative Delegation	___	___
04	/ /	Joint Appropriations Review Committee	___	___
05	/ /	Comptroller General	___	___
06	/ /	State Treasurer	___	___
07	/ /	Joint Bond Review Committee	___	___
08	/ /	Act ___ of ___	___	___
09	/ /	State Budget and Control Board	___	___

9. THE FOLLOWING PROJECT DATA SHOULD BE CHANGED TO REFLECT THE INDICATED DATA:

A. Project Name: (1)

(2) BCB USE ONLY Name:

(3) AGENCY USE ONLY

B. Date project established by Budget and Control Board action / /

C. Project initiation date / / Completion date / /

D. This project is a part of the following Board activity group:

Code Description

E. Project status: Code Description

10. APPROVED

Typed Name and Title and Signature Date

11. RELEASED TO SPIRS:

Typed Name and Title and Signature Date

35

000302

SPIRS FORM A-2: NOTES ON HOW TO COMPLETE IT

Item Number	Description of Entry
1. ACTION PROPOSED	Check appropriate line to indicate type of revision proposed. Note that the CO5 Delete line is reserved for Budget and Control Board use only
2. PROJECT IDENTIFIER	
A.	Enter STARS agency code (from STARS Manual) and agency name along with name and phone number of project contact person.
B.	Enter STARS number assigned to project and project name.
C.	Check appropriate line to indicate what procedure this revision is part of. Also enter date and fiscal year submitted.
D.	Typed name and title of the authorized agency official submitting this statement with the signature of that official should appear here.
3. PURPOSE OF REVISION	Enter a broad statement of what the revision is to accomplish here.
4. REVISION JUSTIFICATION	Why the revision proposed is needed should be indicated.
5. REVISION DESCRIPTION	What the proposed revision consists of should be stated.

Item Number	Description of Entry
6. REVISED ESTIMATED PROJECT COSTS AND PROPOSED SOURCES OF FUNDS	
A.	Enter the total estimated cost of the project, as revised.
B.	Enter approved sources of funds in first column, any increases or decreases in the middle column and the revised sources in the third column. Note that a Form A-3 must be submitted for each increase or decrease in any source.
7. REVISED ADDITIONAL ANNUAL OPERATING COSTS	Any needed revision of additional annual operating costs of project from those shown on the Form A-1 should be shown. Treat like an A-1 form if no A-1 has been submitted.
8. APPROVALS	Board use only for tracking approvals required and dates of those actions.
9. VARIOUS PROJECT DATA	
A. (1)	Reflect any change in project name.
(2)	Board use only for any project name change.
(3)	Agency use only (for any project reference desired).
B.	Board use only.
C.	Board use only.
D.	Board use only.
E.	Board use only.

37000304

Item Number	Description of Entry
10. APPROVAL	Board use only.
11. RELEASED TO SPIRS	Board use only.

Reserved for BCB
A03-
PACKET NUMBER

SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATEWIDE PERMANENT IMPROVEMENTS REPORTING SYSTEM

Proposed Source of Project Funds (SPIRS Form A-3)

Page 1 of __ pages in this packet.

1. THE FOLLOWING FUNDING ACTION IS PROPOSED FOR A PERMANENT IMPROVEMENT PROJECT:

For Authorized and Approved Funds	For Funds Not Yet Authorized/Approved
C01 __ Establish Project Funds Initially	C01 __ Proposed in Permanent Improvement Plan
C02 __ Add A Source of Funds	C01 __ Requested in Biennial or Interim Process
C03 __ Add Project Funds	
C03 __ Subtract Project Funds	C09 __ Delete A Funding Record (Reserved for BCB Staff)
C04 __ Add A Funding Error	
C04 __ Subtract a Funding Error	

2. THE FUNDS TO BE AFFECTED BY THIS ACTION ARE:

(a) Agency: ____ STARS Code _____ Name

(b) Project: ____ STARS Number _____ Name

(c) Source of Funds: ____ STARS Revenue Code _____ Description

(d) Authorized Account: ____ Treasurer's ID # (if assigned) _____ Description

(e) Amount of Funds: $ _____ ___ Add this amount. ___ Subtract this amount.

3. SUBMITTED BY:
Authorized Official: _____ Typed Name and Title and Signature

Date Submitted: / /
FY Submitted: ____

4. NOTE THE FOLLOWING REFERENCES CONCERNING THIS PROPOSAL:

(a) Agency Account Reference: _____

(b) Federal ID Number: _____

(c) STARS Accounts Where Funds Are Currently Recorded

____ Subfund ____ Mini Code ____ Object Code

(d) If the funds are advanced to the project, the source of funds for repayment is to be:

____ STARS Revenue _____ Description

____ Treasurer's ID _____ Description

5. The funds to be affected by this action are currently in the following status (check one status in each of the following categories):

(a) Authorization to use these funds as proposed is:
___ provided by prior action of Bond Committee and Budget and Control Board;
___ provided in Act ___ of ____ (See also 2(d), above;
___ requested or proposed.

(b) Cash for disbursement purposes is:
___ on deposit in State Treasury to agency's credit [2(d) and 4(c), above, must be completed];
___ projected to be received from _____ and deposited in State Treasury by / /;
___ other (specify): _____

(c) Time limits apply to these funds, as follows:
___ none, funds available through project completion;
___ will lapse or not be available if:
☐ not committed to a contract by / / or
☐ not expended by / /.

000306

39

Reserved for BCB
A03-
PACKET NUMBER

SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATEWIDE PERMANENT IMPROVEMENTS REORTING SYSTEM

Proposed Source of Project Funds (SPIRS Form A-3)

6. FUNDING STATUS (B&CB Staff Use Only):

(a) ____ ____
Code Description

(b) Date of Joint Bond Review Committee Action ___/___/___

(c) Date of Budget and Control Board Action ___/___/___

SPIRS FORM A-3: NOTES ON HOW TO COMPLETE IT

Item Number	Description of Entry
1. FUNDING ACTION	Check appropriate line to indicate action proposed. Note that the possible actions are grouped into authorized and approved and not authorized and approved categories. Note also that the C05 Delete line is for Budget and Control Board use only.
2. FUNDS AFFECTED	
(a)	Enter STARS agency code (from STARS Manual) and agency name.
(b)	Enter STARS project number if assigned and the project name. Submissions at plan and request stages will not include these numbers.
(c)	Enter STARS revenue code (from STARS Manual) for the source of funds along with a name of the source.
(d)	Enter the State Treasurer's ID number where known along with a description. Note that when Form A-3 is submitted with a project proposed in a five-year plan or with a project in the biennial funding request process, there will be no authorized account and this item should be left blank. When the A-3 Form is submitted in support of a request in the interim authorization process, the State Treasurer's ID entry will vary depending on the type of funds involved. Capital Improvement Bond funds accounts are established and ID numbers are assigned when the authorizing acts become law thus these entries must be made. For Institution (Tuition Bonds, Revenue Bonds and Excess Debt Service/Reserve Funds, the ID number must be entered if an authorized account has been established by the State Treasurer. If no account has been established, one should be requested by completing item 5(b) on the A-3 form. The ID number field can then be left blank. For all other types of funds, the following ID numbers must be used:

Type of Funds	ID Number
Appropriated State Funds	68800100
Federal	78800100
Athletic	88800100
Other	98800100

000308

41

(e) The amount of funds to be added or subtracted should be entered here.

Item Number	Description of Entry
3. SUBMITTED BY	Typed name and title of the authorized agency official submitting this form with the signature of that official should appear here.
4. REFERENCES	
(a)	Agency use only.
(b)	Enter the STARS federal ID number for any federal funds involved.
(c)	Enter the STARS accounts where funds are recorded currently.
(d)	The source of funds for the repayment of any funds advanced should be noted here.
5. CURRENT STATUS OF FUNDS	
(a)	Check one of the funds statuses to show if funds are approved/authorized or requested or proposed.
(b)	Indicate status of cash by checking one of the statuses. If projected, enter source funds are expected from.
(c)	Reflect any time limits applicable to the funds proposed by checking proper line. If funds may lapse, indicate conditions and date.
6. FUNDING STATUS	For Budget and Control Board use only.
(a)	Status code and status description.
(b)	Date of Joint Bond Review Committee action.
(c)	Date of Budget and Control Board action.

000309

APPENDIX B

000310

APPENDIX B - STATE ENGINEER FORMS

Number	Name
210	Advertisement for Professional Design and Consultant Services
220	A&E Selection Approval Request
230	A&E Selection Approval Request - Small Contracts
310	Advertisement for Construction Bids
350	Affidavit of Non-collusion
360	Contractor's Qualification Statement
[illegible]	Request for Authority to Execute a Construction Contract
510	Equipment List
520	Request for Authority to Purchase Equipment

SE-210

STATE OF SOUTH CAROLINA
STANDARD FORM FOR
ADVERTISEMENT FOR PROFESSIONAL DESIGN AND CONSULTANT SERVICES

Resumes from firms interested in providing professional design and/or consulting services for the following proposed projects will be received until the date(s) and at the location(s) indicated below. Resumes shall include Federal Standard Form 254, Federal Standard Form 255, and a list of all contracts the firm has executed for state work in the past two years. Selection of firms will be made in accordance with the South Carolina Consolidated Procurement Code and regulations.

TYPE OF SERVICE DESIRED: _____

PROJECT NO.: _____

BRIEF DESCRIPTION OF PROJECT: _____

_____

LOCATION: _____

OWNER/AGENCY: _____

RESUME DUE: (DATE) _____ (PLACE) _____

NAME OF AWARDING AUTHORITY: _____

TITLE: _____

ADDRESS: _____

TELEPHONE NO.: _____

****

SEND THREE (3) COPIES TO THE STATE ENGINEER WHO WILL REVIEW AND SEND APPROVED COPY TO "S. C. BUSINESS OPPORTUNITIES".

APPROVED BY:

_____
STATE ENGINEER
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201

_____ CHECK HERE IF NOT A SUBSCRIBER TO THE SOUTH CAROLINA BUSINESS OPPORTUNITIES MAGAZINE AND YOU WANT A COPY OF THE ISSUE IN WHICH YOUR AD APPEARS.

000312 45

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-220

A&E Selection Approval Request

Agency or Institution _____
Code Name

Project _____
No. (Stars-Agency-Eng. I.D.) Name

Project Description _____

_____

Estimated Cost: (a) A&E Fees: _____

(b) Construction: _____

(c) Total Project: _____

Source of Funds for Project _____

_____

A/E FIRMS SELECTED:

Order of Preference	Firm			Reported State Work in Two Years Prior to _____ (Date this project first advertised)		
	Vendor Code	Name	Location	A/E Contracts #	A/E Contracts Fee Amount	Construction Costs
1						
2						
3						
4						
5						

( ) APPROVED

( ) DISAPPROVED
See Letter attached
Submit SE-220 in DUPLICATE.
See Instructions on back of this form.

_____ _____
STATE ENGINEER DATE

000313

46

THIS CASE MAY HAVE SOME OR ALL OF THE FOLLOWING DEFECTS WHICH MAY BE QUESTIONABLE WHEN READING. IN SPECIAL PROBLEM AREAS, THIS ROLL NOTE MAY BE REFILMED BEFORE THE DOCUMENT OR DOCUMENTS IN QUESTION.

1. PHOTOCOPY NOT CENTERED PROPERLY CUTTING OFF SOME OF THE INFORMATION.

2. DOCUMENTS ARE OF POOR QUALITY AND MAY NOT PHOTOGRAPH WELL.

3. DOCUMENTS DAMAGED OR TORN BEFORE ARRIVING FOR FILMING.

4. DOCUMENTS CONTAIN A DOUBLE-COPY IMAGE, THE UNDERLYING IMAGE IS IRRELEVENT TO THE READABLE INFORMATION.

5. DOCUMENTS WITH GLUED INSERTS WHICH WERE OR COULD NOT BE REMOVED, INFORMATION MAY OR MAY NOT BE UNDER THE INSERT.

6. OVERSIZED DOCUMENTS THAT COMPRISE TWO OR MORE FRAMES.

7. EXTREMELY DARK COLORED DOCUMENTS THAT LACK CONTRAST BETWEEN WRITING AND BACKGROUND.

8. SOME OF THE NUMERED PAGES APPEAR TO BE MISSING.

Instruction To Agency/Institution

Letter of transmittal requesting approval shall include as attachments the following and in the following order:

A. Form SE-220: "A&E Selection Approval Request"

B. (1) Statement, signed by the chairman of the selection committee of the Agency or Institution, that the amount of prior State work of each firm responding was taken into consideration in the selection of firms to be interviewed.

(2) Should the firm selected first in order of preference report having more A&E fees than the firms in second, third, fourth or fifth preference, a statement must also be included giving the overriding reasons for selection of the firm in first order of preference.

(3) Selection and ranking shall be in accordance with Section 11-35-3220 of Act 148 of 1981 and in particular subsections (4), (5) and (6).

C. Proposed contract executed only by firm in first order of preference.

D. List of firms interviewed. This list shall show the number of contracts, total construction cost and total A&E fees on contracts the firm has executed on State work (work with State Agencies or Institutions) during the past two years prior to the date this project was first advertised.

E. List of all firms responding to advertisement.

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-230

A&E Selection Approval Request - Small Contracts

Date _____ 19_____

1. Agency or Institution _____
   Code Name

   Project _____
   No. (Stars-Agency-Eng. I.D.) Name

2. Certification by Chief Financial Officer:

   I hereby certify that the Agency/Institution named in 1 above has not paid during the 24 month period immediately preceding the date of this Request fees in excess of $36,000 to the firm named in 5 below for services performed pursuant to the procedure in Sections 11-35-3220 and 11-35-3230 of the S. C. Code of Laws, 1976, as amended.

   _____ _____
   Type Name of Chief Financial Officer Signature of Chief Financial Officer

3. Project Description:

4. Services to be Performed:

5. Firm Selected: (a) Name: _____
   _____ (b) Address: _____
   Vendor Code

6. Contract Terms: (a) Beginning Date: _____ Ending Date: _____
   (b) Fee: $_____ (May not exceed $12,000 under Act 148 of 1981.)
   (c) Fee Payment Schedule: _____
   _____

7. How many firms were contacted regarding this project? _____

( ) APPROVED

( ) DISAPPROVED (See Letter Attached) _____
State Engineer Date

000315 48

Submit THREE copies of SE-230 and ONE copy of notices or advertisements, if any, used in this selection and ONE copy of the letter agreement or contract as negotiated.

SE-310

STATE OF SOUTH CAROLINA
STANDARD FORM FOR
ADVERTISEMENT FOR CONSTRUCTION BIDS

Unless otherwise provided in the bid documents (in which case the provisions of the bid documents take precedence) bids must be accompanied by a bid bond or certified check in an amount not less than 5% of the base bid. Performance and labor and materials payment bonds, each in an amount equal to 100% of the contract price will be required. No bid may be withdrawn for a period as specified for the particular project.

Contracts must be licensed in accordance with the provisions of §§40-11-10 through 40-11-340 of the Code of Laws of S. C., 1976, as amended.

Contractors' attention is directed to §11-35-3020, paragraph (2)(b) of of the Code of Laws of S. C., 1976, as amended, which pertains to the mandatory naming of proposed subcontractors in the bid, and which is detailed in the bid documents. Failure to list subcontractors in accordance with this section shall render the contractor's bid unresponsive.

TITLE OF PROJECT: _____

DESCRIPTION: _____

_____

PROJECT NO.: _____ LOCATION: _____

ARCHITECT/ENGINEER: _____
(Name and Address)

_____ PHONE NO.: _____

PREBID/SITE VISIT: _____

BIDS DUE - DATE: _____ TIME: _____ PLACE: _____

PLANS - AGC: _____ _____ _____

DODGE: _____ _____ _____

PLAN DEPOSIT: _____ BID VALID FOR _____ DAYS

AWARDING AUTHORITY: _____

TITLE: _____

OWNER/AGENCY: _____ PHONE NO.: _____

****

SEND THREE (3) COPIES TO THE STATE ENGINEER WHO WILL REVIEW AND SEND APPROVED COPY TO "S.C. BUSINESS OPPORTUNITIES".

APPROVED BY:

000316

_____
STATE ENGINEER
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201

49

_____ CHECK HERE IF NOT A SUBSCRIBER TO THE SOUTH CAROLINA BUSINESS OPPORTUNITIES MAGAZINE AND YOU WANT A COPY OF THE ISSUE IN WHICH YOUR AD APPEARS.

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-350

AFFIDAVIT

INSTRUCTIONS: Each contractor submitting a bid must complete this form as an affidavit of non-collusion. This sworn statement will be considered part of the contractor's bid. Any bid submitted without this information will be incomplete.

PROJECT NAME:

BID DOCUMENTS DATED:

BID OPENING DATE:

STATE OF _____

COUNTY OF _____

personally appeared before me _____

_____ who being first duly sworn says that he is a member of the firm of _____ and further says that his firm, association, or corporation has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with the submission of a bid on the above named project.

_____

_____
Legal Signature

Sworn to before me this _____

Day of _____, 19____

_____

Notary Public for _____

000317 50

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-360

QUESTIONNAIRE FOR GENERAL CONTRACTORS

PURSUANT TO SECTION 11-35-1810 OF THE
SOUTH CAROLINA CODE, 1976 AS AMENDED

INSTRUCTIONS:

Each General Contractor submitting a bid must complete this form as a description of Bidder's Qualifications. This questionnaire and all other information requested herein shall be placed in a separate sealed envelope and submitted as part of the Contractor's Bid. The outside of the envelope shall show:

TITLE: BIDDER'S QUALIFICATIONS
NAME AND LOCATION OF PROJECT
NAME AND ADDRESS OF BIDDER

This description of qualifications will be considered part of the Contractor's Bid. Any Bid submitted without this information will be incomplete. The Architect/Engineer and/or Owner will examine only the qualifications of the apparent low Bidder. The envelopes submitted by unsuccessful Bidders will be returned unopened.

PLEASE COMPLETE THE FOLLOWING:

1. Name of Bidder:

_____

_____

2. Address of Bidder:

_____

_____

3. Name of Project:

_____

_____

4. Location of Project:

_____

_____

5. Bidder's Contractors License Number:

_____

000318

51

6. Who will be the superintendent on this job? If you are not certain, name the possible superintendents.

_____

_____

_____

7. Give the name and address of your certified public accountant.

_____

_____

8. Give the name and address of your insurance agent and surety company.

_____

_____

9. If you are the apparent low bidder, will you furnish your most recent certified financial statements. (If you do not have certified financial statements, a statement of condition from your CPA showing verifiable payables and receivables will be sufficient.)
Yes _____ No _____

10. What is or will be your bonding capacity on the date of your bid?

_____

11. What amount of your bonding capacity will have been used on the date of your bid?

_____

12. How many applications for bonds have you made in the last five (5) years? How many of these applications have been to the same surety company?

_____

_____

13. Have any claims been paid by your surety bond company in the last five (5) years? If so, describe the nature of the claim and give the name of the surety company, date of claim, amount of claim, and identifying number of the claim.

_____

_____

_____

14. List the following for all projects done for the State of South Carolina in the past three (3) years. (Use additional sheets if necessary.)

PROJECT #1

a. Name and Location of Project:

_____

_____

_____

b. Name and Address of Owner:

_____

_____

_____

c. Name and Address of Architect:

_____

_____

_____

d. Name of your Superintendent on the Job:

_____

e. Date of Signed Construction Contract:

_____

f. Date of Final Completion:

_____

g. Amount of Bid:

_____

h. Final Cost:

_____

i. Explain Difference:

_____

_____

_____

15. List selected projects done for private owners or the Federal Government which exemplify your expertise in this particular building type and/or projects done by the proposed superintendent. (Use additional sheets if necessary.)

PROJECT #1

a. Name and Location of Project:

_____

_____

_____

b. Name and Address of Owner:

_____

_____

_____

c. Name and Address of Architect:

_____

_____

_____

d. Name of your Superintendent on the Job:

_____

e. Date of Signed Construction Contract:

_____

f. Date of Final Completion:

_____

g. Amount of Bid:

_____

h. Final Cost:

_____

i. Explain Difference:

_____

_____

_____

I, the undersigned, do hereby declare that the foregoing statements are true and correct, all as of the date hereinafter set forth, and that those examining this document may, with my permission, contact any or all of those parties listed in this questionnaire.

COMPANY: _____

NAME (typed): _____

SIGNATURE: _____

TITLE: _____

DATE: _____

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-380

Request for Authority to Execute a Construction Contract

Date _____ 19_____

Agency or Institution _____
Code Name

Project _____
No. (Stars-Agency-Eng. I.D.) Name

To: State Budget and Control Board
Columbia, South Carolina

Attached herewith is a tabulation of bids received on the above named project on _____ 19_____.

Bid expires on _____ 19_____.

Your authorization is requested to enter into a contract with the following bidder, whose bid has been determined to be the most advantageous:

Name of Bidder _____
(Vendor Code)

*Amount of Bid . . . . . $_____

Amount Estimated Prior to Receipt of Bids. . . . . $_____

(Signed) _____

Title _____

APPROVED: _____ DATE: _____
State Engineer

*If alternates were involved in the call for bids, it should be clearly shown how the proposed contract figure was determined.

NOTE: Submit SE-380 in DUPLICATE

000323

56

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-510

Page _____ of _____

EQUIPMENT LIST

Date _____ 19____

Agency or Institution _____
Code Name

Project No. (Stars-Agency-Eng. I.D.) _____
Name

(List Major Items to be Purchased from Funds Provided for This Project)

Room No. & Title	Quantity	Description	Unit Cost	Total Cost

000324

APPROVED _____
State Engineer Date

57

Submit SE-510 in DUPLICATE.

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-520

REQUEST FOR AUTHORITY TO PURCHASE EQUIPMENT

Date _____ 19____

Agency or Institution _____
Code Name

Project _____
No.(Stars-Agency-Eng. I.D.) Name

To: State Budget and Control Board
Columbia, South Carolina

Submitted herewith is a tabulation of quotations received for the furnishing of equipment described in attachments hereto.

Your authorization is requested to enter into purchase agreements, with the suppliers named in the attachments hereto, and based upon the listed quotations for the items to be purchased.

Total of Quotations, this Request. . . . . .$_____

Amount Estimated Prior to Receipt of Quotations. . . . . .$_____

EQUIPMENT PURCHASE SUMMARY:

a.	Overall Estimate, Basic Equipment	$_____
b.	Previously Encumbered	(_____)
c.	This Purchase	(_____)
d.	Total Encumbered (Line b + Line c)	(_____)
e.	Balance Remaining(Line a - Line d)	(_____)

Signed _____

Title _____

APPROVED: _____ Date: _____
State Engineer

NOTE: Include, with this Request, such supporting data as may be appropriate to indicate compliance with applicable laws and regulations governing purchases.

Submit SE-520 in DUPLICATE.

000325

58

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
STATE ENGINEER

SE-610
Page 1

CERTIFICATION OF AVAILABILITY OF APPROVED FUNDING REPORT

Date _____ 19___

Agency or Institution _____
Code Name

Project No. _____
(Stars-Agency-Eng. I.D.) Name

I. Total Approved Budget Funds (II & III) $_____

II. Encumbered Project Funds:	Last Report Date _____	Revised Report
A. A/E, Consultant Fee, Contracts (If more than one, show total and list on back)	_____	_____
B. Construction Contract (If more than one, show total and list on back)	_____	_____
C. Basic Equipment	_____	_____
D. Miscellaneous Encumbrance	_____	_____
E. Personal Services	_____	_____
F. Purchased Construction Material	_____	_____
TOTAL ENCUMBERED	_____	_____
III. Unencumbered Project Funds	_____	_____

IV. If Capital Improvement Bond Funds are encumbered by Item II and Unencumbered by Item III above, list Treasurer's I.D. number and amounts:

	Tres. I.D. #	Encumbered CIB (II)	Unencumbered CIB (III)
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____
5.	_____	_____	_____

I certify that the proposed contracts and changes above are within the approved Scope and Budget of this project as required in Section 11-35-3060, S. C. Code of Laws 1976, as amended.

_____
Chief Financial Officer

000326

Item	Last Report	Change	Revised Report
A/E, Consultant Fee, Contracts			
1.	$	$	$
2.			
3.			
4.			
5.			
TOTAL (enter on Line II A on front)	$		
Construction Contract			
1.	$	$	$
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			
TOTAL (enter on Line II B on front)	$		$

APPENDIX C

000328

APPENDIX C

(Excerpts from Act 148 of 1981 Consolidated Procurement Code)

ARCHITECT-ENGINEER, CONSTRUCTION MANAGEMENT, AND LAND SURVEYING SERVICES

Section 11-35-3210 - APPLICABILITY AND POLICY:

(1) APPLICABILITY - Architect-engineer, construction management, and land surveying services shall be procured as provided in Section 11-35-3220 except as authorized by Section 11-35-1560 and Section 11-35-3230.

(2) POLICY - It is the policy of this State to announce publicly all requirements for architect-engineer, construction management, and land surveying services and to negotiate contracts for such services on the basis of demonstrated competence and qualification for the particular type of services required, and at fair and reasonable prices.

Section 11-35-3220 - PROCUREMENT PROCEDURES:

(1) AGENCY SELECTION COMMITTEE - Each using agency shall establish its own architect-engineer, construction management, and land surveying services selection committee hereinafter referred to as the agency selection committee, which shall be composed of those individuals whom the agency head determines to be qualified to make an informed decision as to the most competent and qualified firm for the proposed project. The head of the using agency or his qualified, responsible designee shall sit as a permanent member of the agency selection committee for the purpose of coordinating and accounting for the committee's work. To assist an agency selection committee in the selection of firms to be employed for significant or highly technical projects and to facilitate prompt selections, the agency selection committee may invite the state engineer or his designee to sit as a nonvoting member of the committee.

(2) ADVERTISEMENT OF PROJECT DESCRIPTION - The agency selection committee shall be responsible for (a) developing a description of the proposed project, (b) enumerating all required professional services for that project, and (c) preparing a formal invitation to firms for submission of information. The invitation shall include but not be limited to the project title, the general scope of work, a description of all professional services required for that project, the submission deadline, and how interested firms may apply for consideration. The agency selection committee shall file a copy of the project description and the invitation with the state engineer's office. The invitation shall be formally advertised in an official state government publication. The manner in which this official state government publication shall be published, the content of the publication itself, the frequency of the publication, the method for subscription to the publication, and the manner by which the publication will be distributed shall be established by regulation of the board.

(3) RESPONSE TO INVITATION - The date for submission of information from interested persons or firms in response to an invitation shall be not less than thirty days after publication of the invitation. Interested architect-engineer, construction management and land surveying persons or firms shall be required to respond to the invitation with the submission of a current and accurate Federal Standard Form 254, Architect-Engineer and Related Services Questionnaire, and Federal Standard Form 255, Architect-Engineer and Related Services Questionnaire for Specific Project, or such similar information as the board may prescribe by regulation, and any other information which the particular invitation may require.

(4) INTERVIEWS WITH INTERESTED FIRMS - Following receipt of information from all interested persons and firms, the agency selection committee shall hold interviews with at least five persons or firms who have responded to the committee's advertisement and who are deemed most qualified on the basis of information available prior to the interviews. If less than five persons or firms have responded to the advertisement, the committee shall hold interviews with those that did respond. The agency selection committee's determination as to which will be interviewed shall be in writing and shall be based upon its review and evaluation of all submitted materials. The written report of the committee shall specifically list the names of all persons and firms that responded to the advertisement and enumerate the reasons of the committee for selecting those to be interviewed. The purpose of the interviews shall be to provide such further information as may be required by the agency selection committee to fully acquaint itself with the relative qualifications of the several interested firms.

(5) SELECTION AND RANKING OF THE FIVE MOST QUALIFIED - The agency selection committee shall evaluate each of the persons or firms interviewed in view of their (a) past performance; (b) the ability of professional personnel; (c) willingness to meet time and budget requirements; (d) location, (e) recent, current and projected work loads of the firms; and (f) related experience on similar projects. Based upon these evaluations, the agency selection committee shall select the five which, in its judgment, are the most qualified, ranking the five in priority order. The agency selection committee's report ranking the five chosen persons or firms shall be in writing and shall include data substantiating its determinations.

(6) NOTICE OF SELECTION AND RANKING - The agency selection committee may submit its written report ranking the five recommended persons or firms to the governing body of the using agency for its evaluation and approval. When it is determined that the ranking report is final by the agency, written notification of the election and order of preference shall be immediately sent to all of those that responded to the agency selection committee's invitation to submit information.

(7) NEGOTIATION OF CONTRACT - The governing body of the using agency or its designee shall negotiate a contract for services with the most qualified person or firm at a compensation which is fair and reasonable to the State. Should the governing body of the using agency or its designee be unable to negotiate a satisfactory contract with this person or firm, negotations shall be formally terminated. Negotiations shall commence in the same manner with the second and then the third, fourth and fifth most qualified until a satisfactory contract has been negotiated. If no agreement is reached with one of the five, additional persons or firms in order of their competence and qualifications shall be selected after consultation with the agency selection committee, and negotiations shall be continued in the same manner until agreement is reached.

(8) STATE ENGINEER'S OFFICE REVIEW - The head of the using agency shall submit the following documents to the state engineer's office for its review: (a) the written report of the agency selection committee, listing the persons or firms that responded to the invitation to submit information and enumerating the reasons of the committee for selecting the particular ones to be interviewed; (b) the written ranking report of the agency selection committee and all data substantiating the determinations made in that report; and (c) the tentative contract between the using agency and the selected person or firm.

(9) APPROVAL OR DISAGREEMENT BY STATE ENGINEER'S OFFICE - The state engineer's office shall have ten days to review the data submitted by the agency selection committee, to determine the volume of work previously awarded to the firm by the State, with the object of effecting an equitable distributions of contracts among qualified firms, and to determine its position with respect to the particular person or firm

recommended for approval by the agency. If the state engineer's office disagrees with the proposal, it may contest the proposal by submitting the matter to the board for decision. In the event of approval, the state engineer's office shall immediately notify in writing the using agency and the person or firm selected of the award and thereby authorize the using agency to execute a contract with the selected person or firm. In the event of disagreement, the state engineer's office shall immediately notify the using agency in writing of its intention to contest the ranking and the reasons therefor. All contract negotiations by the governing body shall be suspended pending a decision by the board concerning a contested ranking. The board shall hear any such contests at its next regularly scheduled meeting subsequent to notification of the using agency. If the board rules in support of the state engineer's office position, the using agency shall submit the name of another person or firm to the state engineer's office for consideration, selected in accordance with the procedures prescribed herein. If the board rules in support of the using agency, the using agency shall be notified in writing and thereby authorized to execute a contract with the selected person or firm.

Section 11-35-3230 - EXCEPTION FOR SMALL ARCHITECT-ENGINEER AND LAND SURVEYING SERVICES CONTRACT:

(1) PROCUREMENT PROCEDURES FOR CERTAIN CONTRACTS - All governmental bodies securing architect-engineer or land surveying services which are estimated not to exceed an amount or amounts to be established by regulation of the board may employ the architects, engineers or land surveyors by direct negotiations and selection, taking into account (a) the nature of the project, (b) the proximity of the architect-engineer or land surveying services to the project, (c) the capability of the architect, engineer, or land surveyor to produce the required services within a reasonable time, (d) past performance, and (e) ability to meet project budget requirements.

(2) MAXIMUM FEES PAYABLE TO ONE PERSON OR FIRM - Fees paid during the twenty-four month period immediately preceding negotiation of the small contract by any single governmental body for professional services performed by any one architectural-engineering or land surveying firm pursuant to this section and Section 11-35-3220 shall not exceed an amount to be established by regulation of the board. All persons or firms seeking to render professioanl services pursuant to this section shall furnish the governmental body with whom the firm is negotiating a list of professional services, including the fees paid therefor, performed for the governmental body during the fiscal year immediately preceding the fiscal year in which the negotiations are occuring and during the fiscal year in which the negotiations are occurring.

(3) APPROVAL OF CONTRACTS BY STATE ENGINEER'S OFFICE - All contracts negotiated pursuant to this section shall be submitted for approval to the state engineer's office in accordance with regulations to be established by the board prior to the awarding and execution of the contracts.

(4) SPLITTING OF LARGER PROJECTS PROHIBITED - No using agency may break a project into small projects for the purpose of circumventing the provisions of Section 11-35-3220 and this section.

APPENDIX D

000332

APPENDIX D

(Excerpts from Act 148 of 1981 Consolidated Procurement Code)

METHOD OF CONSTRUCTION CONTRACTING ADMINISTRATION

Section 11-35-3010 - METHOD OF CONSTRUCTION CONTRACTING ADMINISTRATION:

(1) SELECTION OF METHOD - The method of construction contracting administration used for a state construction project by a using agency shall be determined to be that method which is most advantageous to the State and will result in the most timely, economical, and successful completion of the construction project. The using agency shall select in accordance with regulations of the board the appropriate method of construction contracting administration for a particular project and shall state in writing the facts and considerations which led to the selection of that particular method.

(2) STATE ENGINEER'S OFFICE REVIEW - The using agency shall submit its written report stating the facts and considerations which led to the selection of the particular method of construction contracting administration to the state engineer's office for its review.

(3) APPROVAL OR DISAPPROVAL BY STATE ENGINEER'S OFFICE - The state engineer's office shall have ten days to review the data submitted by the using agency to determine its position with respect to the particular method of construction contracting administration recommended for approval by the using agency, and to notify the using agency of its decision in writing. If the state engineer's office disagrees with the method selected, it may contest such by submitting the matter to the board for decision. Written notification by the state engineer's office to the using agency of its intention to contest the method selected shall include the reasons therefor. The board shall hear any such contests at its next regularly scheduled meeting subsequent to notification of the using agency. If the board rules in support of the state engineer's office position, the using agency shall receive written notification of the decision. If the board rules in support of the using agency, the using agency shall be notified in writing and thereby be authorized to use that method of construction contracting administration as previously recommended by the using agency on the particular construction project.

Section 11-35-3020 - CONSTRUCTION PROCUREMENT PROCEDURES:

(1) SOURCE SELECTION - All state construction contracts shall be awarded by competitive sealed bidding pursuant to the procedures set forth in Section 11-35-1520 subject to the exceptions enumerated in subsection (2) of this section and except as provided in Sections 11-35-1550, 11-35-1560, and 11-35-1570. Competitive sealed proposals as provided in Section 11-35-1530 and multi-step sealed bidding as provided in Section 11-35-1520(11) shall not be used, except in such cases and in accordance with criteria as may be authorized and prescribed by regulation of the board.

(2) EXCEPTIONS IN COMPETITIVE SEALED BIDDING PROCEDURES - The process of competitive sealed bidding as required by subsection (1) of this section shall be performed in accordance with the procedures outlined in Article 5 of this code subject to the following exceptions:

(a) INVITATION FOR BIDS - In lieu of Section 11-35-1520(2), Section 11-35-1520(3) and Section 11-35-1520(4), invitations for bids for each state construction project subject to subsection (1) of this section shall be made in the following manner. Each using agency shall be responsible for developing a formal invitation for bids for each state construction project subject to subsection (1) of this section. The invitation shall include, but not be limited to, all contractural terms and conditions applicable to the procurement. A copy of each invitation for bids shall be filed with the state engineer's office and shall be formally advertised in an official state government publication. The manner in which this official state government publication shall be published, the content of the publication itself, the frequency of the publication, the method of subscription to the publication, and the manner by which the publication will be distributed shall be established by regulation of the board.

(b) BID ACCEPTANCE - In lieu of Section 11-35-1520(7), the following provision shall apply. Bids shall be accepted unconditionally without alteration or correction, except as otherwise authorized in this code. The using agency's invitation for bids shall set forth all requirements of the bid including but not limited to the following:

(i) Any bidder or offeror in response to an invitation for bids shall set forth in his bid or offer the name and the location of the place of business of each subcontractor who will perform work or render service to the prime contractor to or about the construction, or who will specially fabricate and/or install portion of the work in an amount that exceeds the following percentages:

Prime contractor's total bid up to three million dollars. . . . 2½%

Prime contractor's total bid is three million to five million dollars 2%

Prime contractor's total bid is over five million dollars . . . 1½%

(ii) Failure to list subcontractors in accordance with this section and any regulation which may be promulgated by the board shall render the prime contractor's bid unresponsive.

(iii) No prime contractor whose bid is accepted shall substitute any person as subcontractor in place of the subcontractor listed in the original bid, except with the consent of the awarding authority, for good cause shown.

(iv) The using agency shall send all responsive bidders a copy of the bid tabulation within ten working days following the bid opening.

(c) NEGOTIATIONS AFTER UNSUCCESSFUL COMPETITIVE SEALED BIDDING - In lieu of Section 11-35-1540, the following provisions shall apply:

(i) When bids received pursuant to an invitation for bids are unreasonable, or were not independently reached in open competition and it is determined in writing by the chief procurement officer or his designee that time or other circumstances will not permit the delay required to resolicit competitive sealed bids, a contract may be negotiated pursuant to this section with the lowest responsible and responsive bidder, provided that the lowest base bid does not exceed available funds.

(ii) When the lowest base bid received pursuant to an invitation for bids exceeds approved available funds and the using agency is able to identify additional funds for the project, as certified by the appropriate fiscal officers, in the amount of the difference between the lowest

000334

base bid and the approved available funds for the project, the using agency shall submit its request to use such additional funds to the board and the Joint Bond Review Committee in accordance with Sections 3A and 3B of Act 761 of 1976 which were added pursuant to Section 11 of Act 518 of 1980.

Section 11-35-3030 - BOND AND SECURITY:

(1) BID SECURITY -

(a) REQUIREMENT FOR BID SECURITY - Bid security shall be required for all competitive sealed bidding for construction contracts and such other contracts as may be prescribed by the state engineer's office. Bid security shall be a bond provided by a surety company meeting the criteria established by the regulations of the board or otherwise supplied in a form which may be established by regulation of the board.

(b) AMOUNT OF BID SECURITY - Bid security shall be in an amount equal to at least five percent of the amount of the bid at a minimum.

(c) REJECTION OF BIDS FOR NONCOMPLIANCE WITH BID SECURITY REQUIREMENTS - When the invitation for bids requires security, noncompliance requires that the bid be rejected.

(d) WITHDRAWAL OF BIDS - After the bids are opened, they shall be irrevocable for the period specified in the invitation for bids. If a bidder is permitted to withdraw its bid before bid opening pursuant to Section 11-35-1520(8) no action shall be had against the bidder or the bid security.

(2) CONTRACT PERFORMANCE AND PAYMENT BONDS -

(a) WHEN REQUIRED - AMOUNTS - When a construction contract is awarded pursuant to Section 11-35-3020, the following bonds or security shall be delivered to the using agency and shall become binding on the parties upon the execution of the contract:

(i) A performance bond satisfactory to the State, executed by a surety company meeting the criteria established by the board in regulations, or otherwise secured in a manner satisfactory to the State, in an amount equal to one hundred percent of the price specified in the contract.

(ii) A payment bond satisfactory to the State, executed by a surety company meeting the criteria established by the board in regulations, or otherwise secured in a manner satisfactory to the State, for the protection of all persons supplying labor and material to the contractor or its subcontractors for the performance of the work provided for in the contract. The bond shall be in an amount equal to one hundred percent of the contract price.

(b) AUTHORITY TO REQUIRE ADDITIONAL BONDS - Nothing in subsection (2) of this section shall be construed to limit the authority of the board to require a performance bond or other security in addition to these bonds, or in circumstances other than specified in item (a) of such subsection in accordance with regulations promulgated by the board.

(c) SUITS ON PAYMENT BONDS - RIGHT TO INSTITUTE - Every person who has furnished labor or material to the contractor or its subcontractors for the work specified in the contract, in respect of which a payment bond is furnished under this section, and who has not been paid in full therefor before the expiration of a period of ninety days after the day on which the last of the labor was done or performed by such person or material was furnished or supplied by

such person for which such claim is made, shall have the right to sue on the payment bond for the amount, or the balance thereof, unpaid at the time of institution of such suit and to prosecute such action for the sum or sums justly due such person. Any person having a direct contractural relationship with a subcontractor of the contractor, but no contractual relationship express or implied with the contractor furnishing such payment bond, shall have a right of action on the payment bond upon giving written notice to the contractor within ninety days from the date on which such person did or performed the last of the labor or furnished or supplied the last of the material upon which such claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the material was furnished or supplied or for whom the labor was done or performed. Such written notice to the contractor shall be personally served or served by mailing the same by registered or certified mail, postage prepaid, in an envelope addressed to the contractor at any place the contractor maintains an office or conducts its business.

(d) SUITS ON PAYMENT BONDS - WHERE AND WHEN BROUGHT - Every suit instituted upon a payment bond shall be brought in a court of competent jurisdiction for the county or circuit in which the construction contract was to be performed, but no such suit shall be commenced after the expiration of one year after the day on which the last of the labor was performed or material was supplied by the person bringing suit. The obligee named in the bond need not be joined as a party in any such suit.

(3) BOND FORMS AND COPIES -

(a) BOND FORMS - The board shall promulgate by regulation the form of the bonds required by this section.

(b) CERTIFIED COPIES OF BONDS - Any person may request and obtain from the using agency a certified copy of a bond upon payment of the cost of reproduction of the bond and postage, if any. A certified copy of a bond shall be prima facie evidence of the contents, execution and delivery of the original.

(4) RETENTION -

(a) MAXIMUM AMOUNT TO BE WITHHELD - In any contract or subcontract for construction which contract or subcontract provides for progress payments in installments based upon an estimated percentage of completion, with a percentage of the contract's proceeds to be retained by the State or general contractor pending completion of the contract or subcontract, the retained amount of each progress payment or installment shall be no more than five percent.

(b) RELEASE OF RETAINED FUNDS - When the work to be performed on a state construction project or pursuant to a state construction contract is to be performed by multiple prime contractors or by a prime contractor and multiple subcontractors, the work contracted to be done by each individual contractor or subcontractor will be considered a separate division of the contract for the purpose of retention. As each such division of the contract is certified as having been completed, that portion of the retained funds which is allocable to the completed division of the contract shall be released forthwith to the prime contractor, who shall, within ten days of its receipt, release to the subcontractor responsible for the completed work the full amount of any retention previously withheld from him by the prime contractor.

Section 11-35-1520 - COMPETITIVE SEALED BIDDING:

(1) CONDITION FOR USE - Contracts amounting to two thousand, five hundred dollars or more shall be awarded by competitive sealed bidding except as otherwise provided in Section 11-35-1510.

(2) BID OPENING - Bids shall be opened publicly in the presence of one or more witnesses at the time and place designated in the invitation for bids and in the manner prescribed by regulation of the board. The amount of each bid, and such other relevant information as may be specified by regulation, together with the name of each bidder, shall be tabulated. The tabulation shall be open to public inspection at that time.

(3) BID ACCEPTANCE AND BID EVALUATION - Bids shall be accepted unconditionally without alteration or correction, except as otherwise authorized in this code. The invitation for bids shall set forth the evaluation criteria to be used. No criteria may be used in bid evaluation that are not set forth in the invitation for bids. Bids shall be evaluated based on the requirements set forth in the invitation for bids and in accordance with the regulations of the board.

(4) CORRECTION OR WITHDRAWAL OF BIDS; CANCELLATION OF AWARDS - Correction or withdrawal of inadvertently erroneous bids before bid opening, withdrawal or inadvertently erroneous bids after award, or cancellation of awards or contracts based on such bid mistakes, may be permitted in accordance with regulations promulgated by the board. After bid opening no changes in bid prices or other provisions of bids prejudicial to the interest of the State or fair competition shall be permitted. Except as otherwise provided by regulation, all decisions to permit the correction or withdrawal of bids, or to cancel awards or contracts based on bid mistakes shall be supported by a written determination of appropriateness made by the chief procurement officers or head of a purchasing agency.

(5) TIE BIDS - In the event two or more bidders are tied in price while otherwise meeting all of the required conditions, awards are determined as follows:

(a) Should there be a South Carolina firm tied with an out-of-state firm, the award shall be made automatically to the South Carolina firm.

(b) Tie bids involving South Carolina produced or manufactured products, when known, and items produced or manufactured out of the State shall be resolved in favor of the South Carolina commodity.

(c) Tie bids involving South Carolina firms shall be resolved by the flip of a coin in the office of the chief procurement officer or the head of a purchasing agency witnessed by all interested parties.

These are the only conditions under which any in-state preference is shown.

(6) AWARD - The contract shall be awarded with reasonable promptness by written notice to the lowest responsible and responsive bidder whose bid meets the requirements and criteria set forth in the invitation for bids unless there is a compelling reason to reject one or more bids as prescribed by regulation of the board. A determination of responsibility shall be made before award in accordance with Section 11-35-1810.

000337

APPENDIX E

000338

RUN DATE: 06/16/83
TCB007CR

STATE TREASURER'S OFFICE
STATUS OF BONDS PROCEEDS
FOR THE PERIOD OF 11/30/82 TO 06/16/83

AGENCY E24 AGENCY NAME: ADJUTANT GENERAL

SOURCE OF FUNDS: CAPITAL IMPROVEMENT BONDS

TREAS ID	STATUS DATE	FY PROJECT	MINI CODE DOC NO	SUB FUND	AUTH AMT	ADJUSTMENTS	DIST AMT	AUTH AMT NOT DRAWN
	01/07/83		2399				102.96	
	01/12/83		2443				8,528.87	
	01/21/83		2504				1,269.14	
	02/22/83	700301	2811				650.36	
	02/22/83	700301	2811				216.79	
	02/22/83	700301	2811				155.00	
	02/22/83	700301	2811				1,371.97	
	02/25/83	700301	2955				744.00	
	03/09/83	700301	3040				28,038.90	
	03/16/83	700301	3106				289.04	
	04/13/83	700301	3318				576.51	
	04/13/83	700301	3318				23,945.94	
	04/13/83	700301	3318				433.58	
	04/13/83	700201	3318				4,096.50	
	04/30/83	700201	3318			4,096.50		
TOTAL					87,941.02	4,096.50	92,037.52	.00
0 20 004 00		9001		3043	3,020.54	.00	.00	3,020.54
FORT MILL ARMORY CONSTR								
TOTAL					3,020.54	.00	.00	3,020.54
0 20 005 00	21	9001		3043	8,843.33	.00	484.67	8,358.66
ARMORY CONSTR & EQUIP								
	12/09/82		2100				295.79	
	01/12/83		2443				145.55	
	01/12/83		2443				43.33	
TOTAL					8,843.33	.00	484.67	8,358.66
0 20 006 00	21	9001		3043	.00	.00	.00	.00
ARMORY CONSTR & EQUIP								
TOTAL					.00	.00	.00	.00
0 20 007 00	21	9001		3043	8,055.50	.00	.00	8,055.50
ARMORY ROOF REPLACEMENTS								
TOTAL					8,055.50	.00	.00	8,055.50

000339

72

APPENDIX F

Page ___ of ___ DATE REQUESTED _____

SOUTH CAROLINA OFFICE OF THE STATE TREASURER
STATEWIDE PERMANENT IMPROVEMENTS REPORTING SYSTEM
REQUEST TO WITHDRAW AUTHORIZED FUNDS

STATE TREASURERS JOURNAL VOUCHER NUMBER _____ DATE TRANSFERRED _____ FISCAL YEAR _____

1. Agency or institution requesting funds:

Agency No. _____ Division No. _____ Agency/Institution Name _____

2. a. Request is herewith made to withdraw:

Type of Funds (Only One Type per Form)

Revenue Object Code	Description

b. Total amount of this type of funds authorized to this agency: $_____

c. Total amount of this type of funds previously drawn from State Treasurer (_____)

d. This withdrawal request is to be charged to the following accounts:

State Treasurer's I. D. Number	Project	Subfund	Amount
_____	___	___	$_____
_____	___	___	_____
_____	___	___	_____
_____	___	___	_____
_____	___	___	_____
_____	___	___	_____
_____	___	___	_____

Total withdrawal amount requested: (_____)

e. Authorized balance of this type of funds remaining after this withdrawal $_____

. Signature of Authorized Agency Official: Telephone No. _____

Signature _____ Title _____ Date _____

000341

Instructions for Preparing Requests to Withdraw Funds

Page ____ of ____: To be filled in if one or several pages are necessary to complete request for funds.

Date Request: Use date the request is filled in.

State Treas. J.V. #: Used by the Office of the State Treasurer only.

Date Transferred: Used by the Office of the State Treasurer only.

Fiscal Year: Use current fiscal year.

1. Use Agency number, Division number and Agency/Institution name.

2. a. Use only one type of funds per form.
   - Fill in the revenue object code.
   - The description would be type of funds being requested: Capital Improvement Bonds; type of Excess Debt Service Funds; or Institution Bond Funds, etc.

   b. Total amount of this type of funds authorized by Legislative Act or other authority.

   c. Total amount of this type of funds previously drawn as of date of this request.

   d. After completion of information in item (d), enter the total of withdrawal requests.

   e. This should be the amount from item (c) less the total in item (d).

3. This information is required for processing of the request.

EXHIBIT

JUL 12 1983 NO. 14

STATE BUDGET & CONTROL BOARD

000342

EXHIBIT

STATE BUDGET AND CONTROL BOARD
MEETING OF July 12, 1983 JUL 12 1983 NO. 15 REGULAR SESSION AGENDA
ITEM NUMBER 8

Agency: Finance Division STATE BUDGET & CONTROL BOARD

Subject: Transfer Requests

The Finance Division staff recommends approval of the following transfer requests:

(a) Department of Agriculture: $6,338 from personal service to other operating expenses ($1,500 from special contract employee and $4,838 from temporary positions to travel, $1,500, and equipment, $4,838);

(b) Department of Agriculture: $4,600 from classified positions to equipment (federal funds to purchase a vehicle to be used in the Egg Surveillance Program);

(c) Barber Examiners Board: $2,834.89 from personal service to other operating expenses (if this request is approved, the $1,500 allocation from the Civil Contingent Fund will not be required);

(d) Physical Therapy Board: $80 from state employer contributions to contractual services to help cover the costs of reprinting copies of the State Physical Therapy Practice Act;

(e) Board of Financial Institutions - Bank Examining Division: $800 from personal service to travel to cover costs related to three requests for savings and loan association charters;

(f) Lieutenant Governor's Office: $1,044.06 from personal service to travel to pay expenses related to May trade mission.

Board Action Requested:

Approve.

Staff Comment:

Attachments:
Agenda item worksheets and attachments

EXHIBIT 83-52

JUL 12 1983 NO. 15

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

July 12, 1983

___Blue Agenda
X Regular Session Agenda
___Executive Session Agenda

1. Submitted By: Finance Division / Budget Development - Allan Kincaid

2. Subject: S. C. Department of Agriculture Request to Transfer Personal Service Funds

3. Summary Background Information:

Agency requests to transfer State personal service funds to other operating expenses. Operating expenses includes expenses for office renovation, word processing equipment, and travel to conferences.

Budget & Control Board Staff Recommendation:

By Allan Kincaid

Allan Kincaid

APPROVED

DISAPPROVED

4. What is Board Asked To Do?

Board is requested to approve the transfer of $6,338.00 from Personal Service funds to Other Operating Expenses.

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Letter From Agency 2. Form 30 - Transfer	

(a)

000344

PAGE 1 OF 1

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
P16	24	2,059	12,676.00			3

AGENCY VOUCHER NUMBER

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

CG WARRANT NUMBER

AGENCY TRANSFERRED TO (CR)

NAME
Agriculture Dept.
P.O. Box 11280
Columbia, SC 29211

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer

AGENCY TRANSFERRED FROM (DR)

NAME
Same
ADDRESS

REASON FOR TRANSFER: Requested to properly classify expenditures for FY 1982-83.

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT EXT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT EXT	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	350	P16	0762	1001							0188		1,500.00		
12	350	P16	0763	1001							0171		4,838.00		
										TOTAL	359		6,338.00		

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT EXT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT EXT	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	300	P16	0762	1001							0600		1,500.00		
12	300	P16	0763	1001							0500		1,500.00		
12	300	P16	0763	1001							0600		3,338.00		
										TOTAL	1700		6,338.00		

REQUESTED BY Kenneth Kyle DATE 6/29/83 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

STATE AUDITOR 000345 DATE _____

STARS FORM 30 10/1/80

Submit original and 3 copies

S.C.
DEPARTMENT OF
Agriculture

Wade Hampton Office Building
P. O. Box 11280
Columbia, S. C. 29211

D. Leslie Tindal - Commissioner

Telephone: (803) 758-2426

June 30, 1983

Mr. Edgar A. Vaughn, Jr.
State Auditor, Finance Division
Budget and Control Board
Wade Hampton State Office Building
Columbia, South Carolina

Dear Mr. Vaughn:

It is requested that the attached transfer in the amount of $6,338.00 be approved in order that this Department can properly classify expenditures and cover obligations.

Updating equipment including re-furnishing the Commissioner's Office and trying to initiate some word processing procedures has greatly strained the total equipment budget of this Department. The travel involved is to cover Laboratory personnel trips to food, fertilizer, feed, seed, and drug conferences held in late June which is necessary in keeping our people informed and abreast of current standards and requlations in these technical fields. We feel that we have actually saved the funds in Special Contract employees and Temporary Positions in order to gain sufficient funds for these expenditures.

Thank you for your attention to this matter.

Sincerely,

Kenneth L. Johnson

Kenneth L. Johnson
Accounting Manager

KLJ/jab

Attachment

Need Board Approval

000346

83-53

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:
July 12, 1983

___Blue Agenda
_X_Regular Session Agenda
___Executive Session Agenda

1. Submitted By: Finance Division / Budget Development - Allan Kincaid

2. Subject: S.C. Department of Agriculture Request to Transfer Personal Service Funds

3. Summary Background Information:

Agency requests to transfer excess Federal personal service funds to purchase a vehicle to be used in the Egg Surveillance program.

EXHIBIT

JUL 12 1983 NO. 15

STATE BUDGET & CONTROL BOARD

Budget & Control Board Staff Recommendation:

By [signature] for

Allan Kincaid

APPROVED DISAPPROVED

4. What is Board Asked To Do?

Board is requested to approve the transfer of $4,600.00 from Personal Service Funding to Equipment.

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Letter From Agency	
2. Form 30 Transfer	

(b)

000347

PAGE 1 OF 1

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
P16	25	758	9,200.00			3

AGENCY VOUCHER NUMBER

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

C G WARRANT NUMBER

AGENCY TRANSFERRED TO (CR)

NAME: Agriculture Dept.

ADDRESS: P.O. Box 11280

Columbia, SC 29211

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

AGENCY TRANSFERRED FROM (DR)

NAME: Same

ADDRESS:

REASON FOR TRANSFER: Requested to properly classify expenditures for FY 1982-83.

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT DETAIL	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	350	P16	0764	5310							0158		4,600.00		

TOTAL 158 4,600.00

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT DETAIL	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	300	P16	0764	5310							0600		4,600.00		

TOTAL 600 4,600.00

REQUESTED BY Kenneth L Johnson DATE 6/29/83 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

STATE AUDITOR 000348 DATE _____

STARS FORM 30 10/1/80

Submit original and 3 copies

S.C.
DEPARTMENT OF
Agriculture

D. Leslie Tindal - Commissioner

Wade Hampton Office Building
P. O. Box 11280
Columbia, S. C. 29211

Telephone: (803) 758-2426

EXHIBIT

JUL 12 1983 NO. 15

STATE BUDGET & CONTROL BOARD

June 30, 1983

Mr. Edgar A. Vaughn, Jr.
State Auditor, Finance Section
Budget And Control Board
Wade Hampton State Office Building
Columbia, South Carolina

Dear Mr. Vaughn:

It is requested that the attached transfer of budget funds in the amount of $4,600.00 be approved in order that the purchase of a vehicle used in the Egg Surveillance section may be paid from other funds, instead of General Appropriated State funds. The only budgeted funds available is in classified positions of the Egg Surveillance account and cannot be used to pay classified positions as this is the Department's side of the agreement. These funds can be used to purchase equipment used directly in the Egg Surveillance program.

Thank you for your attention to this matter.

Sincerely,

Kenneth L. Johnson

Kenneth L. Johnson
Accounting Manager

KLJ/jab

Attachment

Need B&C approval

000349

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:
July 12, 1983

___Blue Agenda
_X_Regular Session Agenda
___Executive Session Agenda

1. Submitted By: Finance Division / Budget Development - Frances Barr

2. Subject: Barber Examiners Board Request Approval to Transfer Personal Service Funds to Other Operating Expenses.

3. Summary Background Information:

This transfer is needed to pay 1982-83 bills in the current fiscal year. If the request is approved it will not be necessary to make the approved $1,500.00 Civil Contingency Fund Loan.

EXHIBIT
JUL 12 1983 NO. 15
STATE BUDGET & CONTROL BOARD

Budget & Control Board Staff Recommendation:

Frances Barr - Frances Barr 7-5-83

APPROVED _____ DISAPPROVED

4. What is Board Asked To Do?

To approve the transfer of $2,834.89 from Personal Service Funding to Other Operating Expenses.

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Letters From Agency	
2. Form 30 Transfer	

(c)

000350

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
R72	254	1501	$5,669.78	6/23/83		3

AGENCY VOUCHER NUMBER: 324

C G WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

AGENCY TRANSFERRED TO (CR)
NAME: Barber Examiners Board
ADDRESS:

AGENCY TRANSFERRED FROM (DR)
NAME: Barber Examiners Board
ADDRESS:

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

REASON FOR TRANSFER: To meet the necessary payments for accounts, which need to be paid in this FY

FROM Personal Services

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PHASE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	350	R72	0905	1001							0158		$2,055.89		
12	350	R72	0905	1001							0171		639.00		
12	350	R72	0905	1001							0172		140.00		
										TOTAL	0501		$2,834.89		

TO Contractual Services, Supplies, & Travel

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PHASE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	300	R72	0905	1001							0200		$ 150.00		
12	300	R72	0905	1001							0300		1,000.00		
12	300	R72	0905	1001							0500		1,684.89		
										TOTAL	1000		$2,834.89		

REQUESTED BY Lisa W. Hawsey DATE 6/23/83 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized.

STATE AUDITOR 000351 DATE _____

STARS FORM 30 10/1/80

Submit original and 3 copies

LISA W. HAWSEY
EXECUTIVE SECRETARY

SOUTH CAROLINA
STATE BOARD OF BARBER EXAMINERS

~~1485 BARNWELL STREET~~ 900 Garland St.
P. O. BOX 11983
PHONE: 758-3356
COLUMBIA, S. C. 29211

BOARD MEMBERS
HERBERT B. JONES
KEN WILSON
THELMA J. ROBINSON
WILLIAM L. GILLIARD, SR.
ROBERT R. MARTIN

June 23, 1983

EXHIBIT
JUL 12 1983 NO. 15
STATE BUDGET & CONTROL BOARD

Frances Barr
Budget and Development Section
S.C. Auditor's Office
P. O. Box 11333
Columbia, SC 29211

Dear Ms. Barr:

Enclosed please find a request for a transfer of personal service funds which is the remainder from that account for this fiscal year. We would like to request that the total amount of $2,834.89 be disbursed into three of our operating accounts. We request that $1000.00 be transferred to our supply account to accommodate several expenses incurred this fiscal year which we have not been able to remit. We would also like to request that $150.00 be transferred to our contractual services account to aid in the payments of the June utility bills. The remainder of that amount which is $1,684.89, we would like to request it be transferred to our travel account to be distributed to the inspectors and the secretary for mileage purposes for expenses related to the operation of this office.

We would be most appreciative if this transfer of funds could be allowed.

Sincerely,

S.C. STATE BOARD OF BARBER EXAMINERS

Lisa W. Hawsey

Lisa W. Hawsey
Administrative Assistant I

LHW/

enc

RECEIVED
JUN 24 1983
STATE AUDITOR'S OFFICE
BUDGET DIVISION

SOUTH CAROLINA
STATE BOARD OF BARBER EXAMINERS

~~1429 BARNWELL STREET~~ 900 Garland St.
P. O. BOX 11983
PHONE: 758-3356
COLUMBIA, S. C. 29211

LISA W. HAWSEY
EXECUTIVE SECRETARY

BOARD MEMBERS
HERBERT B. JONES
KEN WILSON
THELMA J. ROBINSON
WILLIAM L. GILLIARD, SR.
ROBERT R. MARTIN

June 28, 1983

EXHIBIT
JUL 12 1983 NO. 15
STATE BUDGET & CONTROL BOARD

Frances Barr
Budget and Development Section
S.C. Auditor's Office
P. O. Box 11333
Columbia, SC 29211

Dear Ms. Barr:

If this office gets approval to use funds which remain in our personal service accounts, it will not be necessary to have the $1500 from the civil contingent fund initially requested.

This Board would be most appreciative if we could take this option to complete the obligations owed by this office for FY 1982-83.

Sincerely,

S.C. STATE BOARD OF BARBER EXAMINERS

Lisa W. Hawsey

Lisa W. Hawsey
Administrative Assistant I

LH/

RECEIVED
JUN 29 1983
STATE AUDITOR'S OFFICE
BUDGET DIVISION

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

July 12, 1983

___ Blue Agenda
_X_ Regular Session Agenda
___ Executive Session Agenda

1. Submitted By: Finance Division / Budget Development - Frances Barr

2. Subject: Physical Therapy Examiners Board Request Authorization to Transfer $80.00 From State Employer Contributions to Contractual Services.

3. Summary Background Information:

This request is made to help cover the cost of reprinting copies of the State Physical Therapy Practice Act due to changes made in its Law.

Budget & Control Board Staff Recommendation:

Frances Barr - Frances Barr 7-5-83

APPROVED

DISAPPROVED

4. What is Board Asked To Do?

To approve the transfer of $80.00 from State Employer Contributions to Contractual Services.

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. From 30 Transfer	
2. Letter From Agency	

(d)

000354

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
S24		1500	$160.00			3

AGENCY VOUCHER NUMBER

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

C G WARRANT NUMBER

AGENCY TRANSFERRED TO (CR)

NAME: Phys. Ther. Board
ADDRESS: P. O. Box 11594
Col., SC 29211

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

AGENCY TRANSFERRED FROM (DR)

NAME: Phys.Ther. Board
ADDRESS: P. O. Box 11594
Col., SC 29211

REASON FOR TRANSFER: To cover needs in Contractural Services Account.

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PHASE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	350	S24	1626	1001							1300		$80.00		
										TOTAL	1300		$80.00		

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PHASE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
12	300	S24	0934	1001							0200		$80.00		
										TOTAL	0200		$80.00		

REQUESTED BY Brenda M. Owens DATE 6-23-83 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized.

STATE AUDITOR 000355 DATE _____

STARS FORM 30 10/1/80

Submit original and 3 copies

South Carolina State Board
of Physical Therapy Examiners
Post Office Box 11594
Columbia, South Carolina 29211

June 23, 1983

EXHIBIT

JUL 12 1983 NO. 15

STATE BUDGET & CONTROL BOARD

Ms. Frances Barr
Office of State Auditor
P. O. Box 11333
Columbia, SC 29211

Dear Ms. Barr:

This is written to request a transfer of $80.00 from the Employer Contributions account to the Contractural Services account. This amount is needed to help cover the cost of reprinting copies of the State Physical Therapy Practice Act due to changes made in our law.

Thank you for your consideration of this request.

Sincerely,

Brenda M. Owens

Brenda M. Owens
Administrative Assistant

bmo

Enclosures

RECEIVED
JUN 27 1983
STATE AUDITOR'S OFFICE
BUDGET DIVISION

000356

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

July 12, 1983

___Blue Agenda
_x_Regular Session Agenda
___Executive Session Agenda

1. Submitted By: Finance Division/Budget Development-Jones G. Herring

2. Subject: Board of Financial Institutions-Bank Examining Division
Request to transfer Personal Service Funds to Travel.

3. Summary Background Information:

Agency had three requests for applications by Savings and Loan Associations for charters which the Agency had to run surveys to determine the applicants status in the State. Also, the Agency received an application fee of $1,000.00 each for the Agency's survey which was credited to the General Fund Revenue.

EXHIBIT

JUL 12 1983 NO. 15

STATE BUDGET & CONTROL BOARD

Budget & Control Board Staff Recommendation:

Jones G. Herring

APPROVED DISAPPROVED

4. What is Board Asked To Do?

To approve the transfer of $800.00 from Personal Service to Travel

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Agency's Letter 2. Form 30 Transfer	

(2)

000357

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUM
R24	A-8	0658	1,600.00			

AGENCY VOUCHER NUMBER: A-8

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

CG WARRANT NUMBER:

AGENCY TRANSFERRED TO (CR):
NAME: FINANCIAL INST BD-BK EXAM
ADDRESS: 1026 Sumter St. Rm. 217
Columbia, SC 29201

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

AGENCY TRANSFERRED FROM (D):
NAME: SAME
ADDRESS:

REASON FOR TRANSFER: To have sufficient funds in Travel

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT ACT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT ACT	TRANSACTION AMOUNT	MULTI PURPOSE CODE
13	350	R24	0852	1001							0158		800.00	
										TOTAL	0158		800.00	

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT ACT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT ACT	TRANSACTION AMOUNT	MULTI PURPOSE CODE
13	300	R24	0852	1001							0500		800.00	
										TOTAL	0500		800.00	

REQUESTED BY Robert C Chastain DATE 07/05/83 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized.

STATE AUDITOR 000358 DATE _____

STARS FORM 10 10/1/80

Submit original and 3 copies

STATE OF SOUTH CAROLINA
BOARD OF FINANCIAL INSTITUTIONS
COLUMBIA, S. C. 29201

OFFICE OF
COMMISSIONER OF BANKING
1026 SUMTER STREET, ROOM 217
COLUMBIA, S. C. 29201

July 5, 1983

EXHIBIT
JUL 12 1983 NO. 15
STATE BUDGET & CONTROL BOARD

Mr. Edgar A. Vaughn, Jr.
State Auditor
406 Wade Hampton Office Building
Columbia, SC

Dear Mr. Vaughn:

This letter is to request the transfer of $800.00 from Classified Positions to Travel for the State Board of Financial Institutions - Examining Division. This transfer is necessary to have sufficient funds in Travel to pay the examiners' remaining traveling expenses for the last two weeks in June.

Very truly yours,

Robert C. Cleveland
Commissioner of Banking

RCC:jdm

Enc.

83-57

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

July 12, 1983

___ Blue Agenda
X Regular Session Agenda
___ Executive Session Agenda

1. Submitted By: Finance Division/Budget Development-Scott Barnes

2. Subject: Lieutenant Governor's Office request transfer of personal service funds into travel

3. Summary Background Information:

Excess personal service funds are the result of the Lieutenant Governor not accepting the increase granted at the start of his term (January, 1983).

Travel funds are needed to pay some expenses related to trade mission in May, 1983.

EXHIBIT

JUL 12 1983 NO. 15

STATE BUDGET & CONTROL BOARD

Budget & Control Board Staff Recommendation:

Scott Barnes

APPROVED DISAPPROVED

4. What is Board Asked To Do?
Approve transfer of excess personal service of $1,044.06 into travel

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Letter from Lt. Governor's Office	
2. Letter to Mr. Putnam	

(f)

000360

STATE OF SOUTH CAROLINA
Office of The Lieutenant Governor
POST OFFICE BOX 142
COLUMBIA 29202

MICHAEL R. DANIEL
LIEUTENANT GOVERNOR

TELEPHONES
803-758-3304
803-758-7150

July 1, 1983

EXHIBIT
JUL 12 1983 NO. 15
STATE BUDGET & CONTROL BOARD

Mr. Scott Barnes
Budget Analyst
Budget and Control Board
408-J Wade Hampton Office Building
Columbia, SC 29201

Dear Mr. Barnes:

The attached travel voucher in the amount of $1,171.43 was submitted to me from the Lieutenant Governor in which he is requesting reimbursement for the expenses he incurred during his trade mission to Taiwan and Hong Kong. As of the end of fiscal year 1982-83, we have a total of $127.37 (62.37 in account 0300, and 65.00 in 0500) that can be applied to this; thus, we still have a shortage of $1,044.06.

On March 1, Bud Ferillo of this office wrote Mr. Putnam requesting Budget and Control Board approval for this trip. A copy of this letter is also attached. Please advise me as to whether or not funds totaling $1,044.06 will be provided to cover this shortage.

As always, your assistance is greatly appreciated.

Sincerely,

Francine E. Jones

Francine E. Jones
Administrative Assistant

FEJ:trv
2-1

Attachments

000361

S.B.
7-5-83

EXHIBIT

STATE BUDGET AND CONTROL BOARD

MEETING OF July 12, 1983 JUL 12 1983 NO. 16

REGULAR SESSION AGENDA

ITEM NUMBER 9

STATE BUDGET & CONTROL BOARD

Agency: General Services

Subject: Rental Rate Increase for State-Owned Real Property

It is proposed that the rental rate for state-owned real property be increased by 80c per square foot effective July 1, 1984, to finance the operating budgets of those entities which derive their support from rents. The increase is needed primarily to cover the projected inflationary increases in personal service costs and utility costs and to provide added funding to the Depreciation Reserve Fund to offset the cost of major repairs to buildings and property.

Board Action Requested:

Approve.

Staff Comment:

Attachments:

Addy June 15 memo to Simpson plus attachment

000362

EXHIBIT

JUL 12 1983 NO. 16

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD

OED - INTERNAL OPERATIONS

Analysis of Projected 80c Increase in State Rental Rate Necessary to Fund Operating Expenses For FY 1984-85 and FY 1985-86

Budget Detail	Rent Increase		Number of Square Ft.	Amount
Operating Expense	.73	X	1,259,895	$ 919,723
Depreciation Reserve	.07	X	1,259,895	88,192
Total Additional Revenue Per Year				$1,007,915

Notes:

1. Projected Rental Increase based on following inflationary percentages:

	1984-85	1985-86
Personal Service	7%	7%
Employer Contributions	10%	10%
Utility Expense	12%	7%

Projection assumes that all other operating expenses will be budgeted in 1984-85 and 1985-86 at same level as FY 1983-84.

2. Number of square feet used for calculation of additional revenue represents current heated square footage.

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

1205 GERVAIS STREET
COLUMBIA, S.C. 29201
(803) 758-0208

EXHIBIT
JUL 12 1983 NO. 16
STATE BUDGET & CONTROL BOARD

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

MEMORANDUM

TO: Jimmy Simpson

FROM: Donald P. Addy Don

SUBJECT: Rental Rate Increase for State Owned Property

DATE: June 15, 1983

In order to fund the 1984-85 and 1985-86 operating budgets which derive their source of funding from State Rents, it is projected that the rental rate per square foot for State owned real property should be increased by 80¢, effective July 1, 1984.

This increase in the rental rate is needed primarily to cover the projected inflationary increases in personal service costs and utility costs. Additionally, it provides for more funding to the Depreciation Reserve Fund to offset the increasing costs of major repairs to State buildings and property

Information to arrive at the projected operating budgets for 1984-85 and 1985-86 was gathered from South Carolina Electric and Gas, State Research and Statistical Services, and the appropriate budget managers.

Please let me know if you have questions and/or would like to discuss any specifics related to the projections.

DPA/nwc

cc: Eddy Brice ✓
Kay Riley

000364

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 17 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 10

STATE BUDGET & CONTROL BOARD

Agency: Children's Bureau

Subject: Fee Schedule

Executive Director Francis Lewis of the Children's Bureau has forwarded a fee schedule which was approved by the Children's Bureau Board of Directors at its meeting on July 5. This schedule is submitted for Board approval in accordance with the requirements of the Appropriations Act.

Please refer to attachments for details.

Board Action Requested:

Approve.

Staff Comment:

000365

Attachments:

Lewis July 6 letter plus fee schedule

JUL 7 1983

The Children's Bureau of South Carolina

FRANCIS E. LEWIS, ACSW
EXECUTIVE DIRECTOR
PHONE 758-2702

SUITE 225
1001 HARDEN STREET
COLUMBIA, S.C. 29205

BOARD OF DIRECTORS
GOVERNOR RICHARD W. RILEY, EX OFFICIO

SAUL E. JONES, Chairman
ROBERT T. GOBLE, Vice Chairman
JACK W. LAWRENCE, Secretary
JUNE C. ANDERSON
GLORIA L. BENJAMIN
BETTY K. COFFEY
ROBERT L. GREEN
GRACE L. HILL
WILBERT S. MOTLEY
DANNY SHAW
MELANEE R. WILLIAMS
FRANK R. WRENN, III

July 6, 1983

EXHIBIT

JUL 12 1983 NO. 17

STATE BUDGET & CONTROL BOARD

Honorable Richard W. Riley
Chairman, Budget and Control Board
Governor of South Carolina
State House
Columbia, S. C.

Dear Governor Riley:

Enclosed you will find the Fee Schedule approved by the Children's Bureau of South Carolina Board of Directors at its meeting on July 5, 1983. As required by the Appropriations Act, we are submitting it to you for the approval of the Budget and Control Board.

Should there be any questions, please do not hesitate to get in touch with me.

Thank you for your attention to this matter.

Sincerely,

Francis E. Lewis, ACSW
Executive Director
THE CHILDREN'S BUREAU OF SOUTH CAROLINA

FEL:cgm

cc: William T. Putnam
William A. McInnis

000366

EXHIBIT

JUL 12 1983 NO. 17

STATE BUDGET & CONTROL BOARD

THE CHILDREN'S BUREAU OF SOUTH CAROLINA
SERVICES TO APPLICANTS FOR ADOPTION
FEE SCHEDULE

I. The Children's Bureau of South Carolina charges fees for its services. The fees are used to offset the costs of the services provided. The largest portion of the fee revenue (75%) is used to pay for medical and hospital expenses incurred in the birthing of the children who are to be placed in adoptive homes. The remainder of the revenue is used for the preservation of our records and the costs of travel incurred in the homestudy process.

All fees are payable to the Children's Bureau of South Carolina by check or money order. No cash may be accepted.

II. FEES

1. Application Fee - payable at completion of application process.

a) Applicants for Infants & Preschool Children	$25.00
b) Applicants for Interstate	$50.00
c) Applicants for Intercountry	$75.00
d) Applicants for Special Needs Children	$25.00

2. Home Study Fee - payable at beginning of homestudy process.

a) Adoptive Preparation Group Process	$175.00
b) Special Needs Preparation Process	$ 50.00
c) Individual Preparation Process*	$300.00

*Available only in extraordinary circumstances.

3. Transfer Fee - payable upon request to transfer study to another agency.

All applicants	$ 25.00

4. Placement Fee - payable at time of placement; or on a monthly basis during the post placement supervision period.

Placement of infant and preschool aged children:

Gross Family Income	Fee	Gross Family Income	Fee
Less than $11,000	$200.00	$32,000 - $34,999	$1,150.00
$11,000 - $12,999	$300.00	$35,000 - $37,999	$1,250.00
$13,000 - $14,999	$400.00	$38,000 - $40,999	$1,350.00
$15,000 - $16,999	$500.00	$41,000 - $43,999	$1,450.00
$17,000 - $19,999	$600.00	$44,000 - $46,999	$1,550.00
$20,000 - $22,999	$700.00	$47,000 - $49,999	$1,650.00
$23,000 - $25,999	$800.00	$50,000 - $51,999	$1,750.00
$26,000 - $28,999	$900.00	$52,000 - $53,999	$1,850.00
$29,000 - $31,999	$1,050.00	$54,000 & Above	$1,950.00

Note: In the case of placement of twins or siblings the fee does not increase.

III. Any applicant may request a waiver or reduction of fee, if the applicant finds that the payment of the fee would create a financial hardship which would make it impossible for the applicant to pursue adoption.

CBSC 7/83

000367

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 18 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 11

STATE BUDGET & CONTROL BOARD

Agency: General Services

Subject: Procurement Certification of Department of Mental Health

The Division of General Services indicates that it has audited the Department of Mental Health procurement system and recommends its certification within the following limits for a period of two years, in accordance with Consolidated Procurement Code Section 11-35-1210:

(a) Annual term contracts only for drugs and related pharmaceuticals defined in the State Procurement Commodity Code Manual under #270 - drugs, pharmaceuticals and biologicals; $1,600,000 maximum of all such contracts combined;

(b) Annual term contracts only for medical supplies under Commodity Code classes #435 - germicides; #470 - hospital equipment - invalid; #475 - hospital sundries; and #465 - hospital equipment; $400,000 maximum of all such contracts combined;

(c) All other goods and services procurements excluding printing equipment which must be approved by the Materials Management Office and food commodities that are currently combined with other agencies' needs and procured on scheduled buys by the Materials Management Office; $15,000 per purchase commitment.

Board Action Requested:

Grant procurement certification for the Department of Mental Health as outlined in rcommendations of the Division of General Services.

Staff Comment:

000368

Attachments:

Agenda item worksheet and Procurement Audit and Certification Report

EXHIBIT

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

JUL 12 1983 NO. 18

STATE BUDGET & CONTROL BOARD

For Meeting Scheduled For:
July 12, 1983

____ Blue Agenda
X Regular Session Agenda
____ Executive Session Agenda

1. Submitted By: Division of General Services

2. Subject: Procurement Certification of South Carolina Department of Mental Health

3. Summary Background Information:
In accordance with the Consolidated Procurement Code, Section 11-35-1210, the Division of General Services has audited the Department of Mental Health's procurement system and recommends its certification within the following limits for a period of two years:
Goods and Services:

A. Annual Term Contracts Only for Drugs and Related Pharmaceuticals Defined in the State Procurements Commodity Code Manual under #270-Drugs, Pharmaceuticals and Biologicals; $1,600,000 Maximum of All Such Contracts Combined.
B. Annual Term Contracts Only for Medical Supplies under Commodity Code Classes #435-Germicides, #470-Hospital Equipment-Invalid, #475-Hospital Sundries and #465-Hospital Equipment; $400,000 Maximum of All Such Contracts Combined.
C. All Other Goods and Services Procurements Excluding Printing Equipment
(continued below)

4. What Is Board Asked To Do? Grant procurement certification for the Department of Mental Health as set forth above.

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available From Submitter
12 copies of Procurement Audit and Certification Report	

3. Continued:
which must be approved by the Materials Management Office and Food Commodities that are currently combined with other agencies' needs and procured on scheduled buys by the Materials Management Office; $15,000 Per Purchase Commitment.

000369

Barbara McMillan 7/5/83
Jerry R. Ellis 7/5/83

EXHIBIT

JUL 12 1983 NO. 18

STATE BUDGET & CONTROL BOARD

South Carolina
Division of General Services
Materials Management Office

PROCUREMENT AUDIT AND CERTIFICATION

S.C. DEPARTMENT OF MENTAL HEALTH
AGENCY

June 28, 1983
DATE

000370

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
800 DUTCH SQUARE BLVD., SUITE 150
COLUMBIA, S.C. 29210
(803) 758-6060

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

MATERIALS MANAGEMENT

June 28, 1983

Mr. Tony Ellis, Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Dear Tony:

Attached is the final Department of Mental Health audit report and recommendations made by the Materials Management Office. I recommend the Budget and Control Board grant the Department of Mental Health two years certification as outlined in the audit report.

Respectfully,

Richard J. Campbell
Acting Materials Management Officer

RJC/ra

Attachment

000371

SOUTH CAROLINA DEPARTMENT OF MENTAL HEALTH

AUDIT REPORT

June 28, 1983

000372

TABLE OF CONTENTS

	PAGE
Transmittal Letter	1
Introduction	3
Background	5
Scope	6
Summary of Audit Findings	8
Results of Examination	11
Certification Recommendations	57

000373

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 758-3150

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM J. PUTNAM
EXECUTIVE DIRECTOR

TONY ELLIS

March 7, 1983

Mr. Richard J. Campbell
Acting Materials Management Officer
Columbia, South Carolina

We have examined the procurement policies and procedures of the South Carolina Department of Mental Health for the period July 31, 1981 - June 30, 1982. As a part of our examination, we made a study and evaluation of the system of internal control over procurement transactions to the extent we considered necessary.

The purpose of such evaluation was to establish a basis for reliance upon the system of internal control to assure adherence to the Consolidated Procurement Code and State and Department of Mental Health procurement policy. Additionally, the evaluation was used in determining the nature, timing and extent of other auditing procedures that were necessary for developing a recommendation for certification above the $2,500 limit.

The administration of the Department of Mental Health is responsible for establishing and maintaining a system of internal control over procurement transactions. In fulfilling this responsibility, estimates and judgments by management are required to assess the expected benefits and related costs of control procedures. The objectives of a system are to provide management with reasonable, but not absolute, assurance of

000374

the integrity of the procurement process, that affected assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and are recorded properly.

Because of inherent limitations in any system of internal control, errors or irregularities may occur and not be detected. Also, projection of any evaluation of the system to future periods is subject to the risk that procedures may become inadequate because of changes in conditions, or that the degree of compliance with the procedures may deteriorate.

Our study and evaluation of the system of internal control over procurement transactions as well as our overall examination of procurement policies and procedures were conducted with due professional care. They would not, however, because of the nature of audit testing, necessarily disclose all weaknesses in the system.

The examination did, however, disclose conditions enumerated in this report which we believe to be subject to correction or improvement.

Corrective action based on the recommendations described in these findings will in all material respects place the South Carolina Department of Mental Health in compliance with the South Carolina Consolidated Procurement Code and ensuing regulations.

Barbara A. McMillan

Barbara A. McMillan, Director
Contracts and Audit Management

000375

INTRODUCTION

The Audit and Certification Section conducted an examination of the internal procurement operating procedures and policies and related manual of the South Carolina Department of Mental Health.

Our on-site review was conducted August 2, 1982 through September 24, 1982, and was made under the authority as described in Section 11-35-1230(1) of the South Carolina Consolidated Procurement Code and Section 19-445.2020 of the accompanying regulations.

The examination was directed principally to determine whether, in all material respects, the procurement system's internal controls were adequate and the procurement procedures, as outlined in the Internal Procurement Operating Procedures Manual, were in compliance with the South Carolina Consolidated Procurement Code and its ensuing regulations.

Additionally, our work was directed toward assisting the agency in promoting the underlying purposes and policies of the Code as outlined in Section 11-35-20, which include:

(1) to ensure the fair and equitable treatment of all persons who deal with the procurement system of this State;

(2) to provide increased economy in state procurement activities and to maximize to the fullest extent practicable the purchasing values of funds of the State;

(3) to provide safeguards for the maintenance of a procurement system of quality and integrity with clearly defined

000376

rules for ethical behavior on the part of all persons engaged in the public procurement process.

000377

BACKGROUND

Section 11-35-1210 of the South Carolina Consolidated Procurement Code states:

> The Budget and Control Board may assign differential dollar limits below which individual governmental bodies may make direct procurements not under term contracts. The materials management office shall review the respective governmental body's internal procurement operation, shall certify in writing that it is consistent with the provisions of this code and the ensuing regulations, and recommend to the board those dollar limits for the respective governmental body's procurement not under term contract.

On May 5, 1982, the Department of Mental Health requested certification above the $2,500 base limit as follows:

(1)	Maintenance Agreements	$ 20,000.00
(2)	Industrial Grade Papers	5,000.00
(3)	Drugs and Related Pharmaceuticals	1,600,000.00/term contract
(4)	Medical Products	325,000.00/term contract
(5)	Building and Grounds Maintenance	25,000.00
(6)	Janitorial and Insecticides	30,000.00/term contract
(7)	Dietary Products	25,000.00
(8)	Medical Equipment	25,000.00
(9)	Canned and Staple Foods	200,000.00/quarter
(10)	Frozen Foods	90,000.00/quarter
(11)	Clothing and Dry Goods	80,000.00/quarter
(12)	Shoes	20,000.00/bi-annually

000378

SCOPE

Our examination encompassed a detailed analysis of the internal procurement operating procedures of the South Carolina Department of Mental Health and the related policies and procedures manual to the extent we deemed necessary to formulate an opinion on the adequacy of the system to properly handle procurement transactions up to the requested certification limits.

The Audit and Certification team of the Materials Management Office statistically selected random samples for the period July 31, 1981 - June 30, 1982, of procurement transactions for compliance testing and performed other auditing procedures that we considered necessary in the circumstances to formulate this opinion. As specified in the Consolidated Procurement Code and related regulations, our review of the system included, but was not limited to, the following areas:

(1) adherence to provisions of the South Carolina Consolidated Procurement Code and ensuing regulations;

(2) procurement staff and training;

(3) adequate audit trails and purchase order register;

(4) evidences of competition;

(5) small purchase provisions and purchase order confirmations;

(6) emergency and sole source procurements;

(7) source selection;

(8) file documentation of procurements;

(9) reporting of Fiscal Accountability Act;

000379

(10) warehousing, inventory and disposition of surplus property; and

(11) economy and efficiency of the procurement process.

At the date of this report, neither the state plan nor the Department's plan for the management and use of information technology have been completed. Additionally, procedures for monitoring construction and related services procurements have not been finalized. Because of this, we feel it would be inappropriate to recommend certification in these areas at this time.

Our examination included a review of these areas so that once the aforementioned plans and procedures are completed we will be able to make recommendations for certification with only a limited follow-up review.

000380

SUMMARY OF AUDIT FINDINGS

Our examination of the procurement system of the South Carolina Department of Mental Health produced findings and recommendations in the following areas:

		PAGE
I.	CODE COMPLIANCE - GENERAL	11
	A. Review of the Department of Mental Health's Procurement Procedures Manual Our examination revealed that additional policy and procedure documentation is necessary in the Procurement Procedures Manual before approval can be granted for higher certification limits.	11
	B. Sole Source Procurements A number of items have been procured improperly as sole sources and others were insufficiently justified.	16
	C. Leases of Community Mental Health Centers The Department has not filed an annual report of state-owned and non-state-owned real property leases with the Division of General Services for fiscal year 1981-82.	19
II.	COMPLIANCE - GOODS AND SERVICES Our examination of transactions in the area of goods and services has determined that	20

000381

PAGE

some procurements were not made in compliance with the Consolidated Procurement Code and regulations.

III. COMPLIANCE - CONSULTANT SERVICES 23

A. Consultant and Professional Services Procured Without Competition 23

Consultant and professional services were procured without following the competitive source selection process required by the Procurement Code.

B. Control Over Contracts for Health Professionals 25

We noted weaknesses in the Department's system for contracting for health service professionals.

IV. COMPLIANCE - INFORMATION TECHNOLOGY 31

A procurement was processed with no documented evidence of competition. Also, some lease and maintenance contracts for data processing equipment could not be located.

V. COMPLIANCE - CONSTRUCTION 34

All necessary supporting documents for construction procurements are not retained by the Department.

000382

PAGE

VI. AUTHORITY AND RESPONSIBILITY 35

During our review, we found two cases where Mental Health Centers did not follow Department policy and procedures in the procurement of drugs.

VII. GENERAL TRANSACTION CONTROL 36

There are weaknesses in the Department's system for processing procurements made through the use of F11-A Invoice/Vouchers.

VIII. FISCAL ACCOUNTABILITY ACT REPORTING 40

The Department of Mental Health has failed to fully comply with the requirements of the Fiscal Accountability Act.

IX. INTERNAL AUDIT 43

There has been insufficient involvement in the procurement process by the Department's Internal Audit Section.

X. DUPLICATING EQUIPMENT UTILIZATION ANALYSIS 45

As part of our examination, the State Printing Officer has evaluated the equipment utilization of the Department of Mental Health's Print Shop.

000383

RESULTS OF EXAMINATION

I. CODE COMPLIANCE - GENERAL

A. Review of the Department of Mental Health's Procurement Procedures Manual

Our examination of the Department of Mental Health's Policy and Procedures Manual indicated the following areas that need to be addressed and/or expanded in the current document:

(1) Organization

An organizational chart of the Procurement Division which defines positions and duties of the staff and which designates authorized approval signatures.

(2) Procedures Flow Chart

A procedures flow chart showing the document processing flow for the four types of procurements (where applicable): (1) goods and services; (2) construction and related professional services; (3) consultant services; (4) information technology.

(3) Purchase Requisition Process

The specific procedures to be followed by the governmental body when procurements are made in any of the four areas enumerated above. For example, describe the purchase requisition process, use of direct expenditure vouchers, selection and

000384

contracting procedures for professional and consultant services, etc.

(4) Construction Policy

A procurement policy statement listing the general procurement policies to be adhered to in the area of construction and related professional services, including repairs and renovations.

(5) Determination Reports

Determination reports as listed in Sections 11-35-2410, 11-35-2420, 11-35-2440, 11-35-3820, 11-35-3830 and 11-35-5260 of the Consolidated Procurement Code should be addressed outlining the use of each and the approvals required.

(6) Bid Security and Bid Opening Procedures

(7) Grievance and Complaint Procedures

Description of the procedures to be followed by the Department in receiving and attempting the settlement of a vendor or contractor complaint and in initiating a complaint against a vendor or contractor.

(8) Change or Amendment to Purchase Order and Contract Procedures

(9) Authorized Signature Form for Division Heads and Location Where Kept

(10) In-State Bidder's Preference and Tie Bid Procedures

(11) Professional Development

(12) Unauthorized Procurements

(13) Exemptions

Include under this section the most recent items exempted by the Budget and Control Board.

(14) Legal and Auditing Services

The manual should reference the special approvals required for procurements of legal and auditing services.

(15) Drug Contract Procedures

A concise outline of the procedures for establishing the drug term contract and any other special contracts established by the agency.

(16) Exhibits

Mental Health's forms referred to in the manual should be included as exhibits.

(17) MBE Liaison

State who is the Minority Business Enterprise Liaison Officer under the section "Small and Minority Business".

(18) Product Standardization Policy

(19) Authorized Usage for F11-A Form

(20) Responsible Vendors

Cover the criteria governing the addition, deletion and reinstatement of bidders and the methods used to determine the potential of bidders to perform under the terms and conditions of the proposed procurement.

C00385

(21) Bid Solicitation Procedures

The part covering bid solicitation on page eight should be moved out of the section on printing because this applies to all procurement areas, not only printing.

(22) Sole Source Procurement

Section VIII shows as one of the circumstances as examples that could represent sole source procurements the "Best interest of the State". This should be removed from this section because this, in itself, is not justification for a sole source procurement.

Section VIII on sole source procurements should be further changed as follows:

a. Item C, 1, should be changed by eliminating the clause, "or by a designated official above the level of Chief Procurement Officer".

b. Item C, 2, should be changed by removing the reference to a "certified" head of a purchasing agency. Certification has no bearing on this. Also, change the designated official to "above the level of the Agency Procurement Officer".

(23) Information Technology

The procedures for information technology procurements should be completed.

000386

(24) Surplus Property

The section on disposal of surplus property should be expanded to cover trade-in and sales of surplus when the agency wishes to retain the proceeds to be used to procure like items.

(25) Source of Funding

The manual should address the applicability of the Consolidated Procurement Code to all procurements made by the Department of Mental Health, including the Community Mental Health Centers, regardless of the source of funds, when they are processed through the state system.

MENTAL HEALTH RESPONSE

Instead of attempting to improve and revise the Procurement Procedures Manual that was examined during the audit, we have completely rewritten a new Purchasing Policies and Procedures Manual. The new manual addresses each point (1)-(25) in the audit report as well as other areas outlined in the South Carolina Consolidated Procurement Code and regulations.

This manual will be made available to all facilities, centers, and clinics of the Department and will be updated as needed.

We have provided your office with a draft of the manual for your review and comments. The draft will be finalized upon approval by the Department and your office.

000387

B. Sole Source Procurements

We examined the quarterly reports of sole source and emergency procurements and all available supporting documents for the period July 1, 1981 - June 30, 1982, for the purpose of determining the appropriateness of the procurement actions taken and the accuracy of the reports submitted to the Division of General Services, as required by Section 11-35-2440 of the Code.

We found the following problems in this area:

(1) These items were procured improperly as sole sources when competition was available:

a. P.O. #35081 - Binding of periodicals.

b. P.O. #384 - Security uniforms.

c. P.O. #1263 - Emblems for security uniforms.

d. P.O. #2320 - Furniture.

e. P.O. #728, #783, #1349, #2381 - Cooling tower water treatment chemicals.

(2) There is an inherent weakness in the written determinations justifying the sole source procurements, in that they fail to adequately substantiate the basis for a single source designation of the item and contractor. Examples are as follows:

	Product	Justification
a.	Boiler chemical treatment	"Proven product"
b.	Water cooling tower treatment	"Proven product"

000388

c. Casting tape, stockinette and cast padding	"Cutter Biomedical will sell direct or through their distributor, Charles Beard and Associates, for their region."

These justifications are listed as examples. We feel the determinations and findings are inadequate in the majority of the cases reviewed.

Section 19-445.2105 of the regulations indicates the following on sole source procurements:

> Sole source procurement is not permissible unless there is only a single supplier. The following are examples of circumstances which could necessitate sole source procurement:
>
> (1) where the compatibility of equipment, accessories, or replacement parts is the paramount consideration;
>
> (2) where a sole supplier's item is needed for trial use or testing;
>
> (3) where a sole supplier's item is to be procured for resale;
>
> (4) where public utility services are to be procured;
>
> (5) where the item is one of a kind; and
>
> (6) printed forms, pamphlets, brochures, exclusive of printing equipment.

000389

> ...In cases of reasonable doubt, competition should be solicited. Any request by a governmental body that a procurement be restricted to one potential contractor shall be accompanied by an explanation as to why no other will be suitable or acceptable to meet the need.

Due to the lack of sufficient understanding of sole source procurements and the documentation required, Mental Health is not in compliance with state laws and regulations.

We, therefore, recommend that the Purchasing Department familiarize themselves thoroughly with the laws and regulations in sole source procurements and strictly apply these standards. The terminology "only one source" is intended to mean one manufacturer or unique service provider distributing through one distribution channel. If a firm specification for a product or service is requested due to a unique circumstance or need only satisfied by the procurement of that specific product/service and there is more than one source of distribution, the procurement is not sole source.

Bids should be forwarded to all known distributors to achieve the lowest possible price for the particular service or product. If alternate sources are not known, other state purchasing departments should be contacted for assistance.

It is our understanding that the Department is currently taking steps to procure the security uniforms and emblems and the cooling tower water treatment chemicals competitively.

000390

MENTAL HEALTH RESPONSE

An internal organizational change has been implemented since the period under review. Our sole source procedures now require that such purchases with supporting justification be approved by the Deputy Commissioner for Financial Services. The justifications are now reviewed to determine that they do indeed support the definitions and requirements for sole source procurement.

As to the particular items addressed in the report that were improperly secured as sole sources, we now have annual state term contracts on security uniforms and emblems and on cooling tower water treatment chemicals. As for procurement of any other items in the future on which there is a question as to source of supply, we will test the marketplace to determine whether competition does indeed exist.

C. Leases of Community Mental Health Centers

The Department of Mental Health has not filed an annual report of state-owned and non-state-owned real property leases with the Division of General Services, for fiscal year 1981-82.

Section 19-445.2120, Subsection C, of the regulations states in part:

> The Division of General Services may exempt governmental bodies from leasing State-owned and/or non-State-owned property through the leasing procedure herein required, provided however, that annual reports be filed with the Division of General Services, Real Property Management Section, prior to July 1 of each year. Annual reports shall contain copies of all existing leases of State-owned and non-State-owned real property. The Division of General Services may

000391

limit or withdraw any exemptions provided for in this Regulation.

The following exemptions are hereby granted in this Regulation:

...(2) Mental Health - Community Mental Health Centers;...

A lack of understanding at the Department that this was required resulted in the report not being filed. Consequently, the Department is not in compliance with this rule of the procurement regulations.

We recommend the Department of Mental Health prepare and submit this report to the Division of General Services, Real Property Management Section, as soon as possible. Further, this information should be captured centrally for future reporting purposes.

MENTAL HEALTH RESPONSE

We have now submitted to the Division of General Services copies of all real property leases of the Community Mental Health Centers presently in existence. Copies of any other such leases entered into during the year will be submitted on a timely basis in the future.

II. COMPLIANCE - GOODS AND SERVICES

Our examination of transactions in the area of Goods and Services has determined that some procurements were not made in compliance with the Consolidated Procurement Code and regulations. Examples of these are as follows:

ITEM	AMOUNT
(1) Repairs to Emergency Generator	$ 955.19

000392

(2) Repairs and Maintenance Service for Air Conditioning Units 6,018.12

(3) Food Service Contract for State Hospital 2,772.98

Item (1) was for repairs and maintenance to the emergency generator at Hall Psychiatric Institute and Item (2) was for repairs and maintenance to the air conditioning system at the Administration Building. The first repair was paid by an Invoice/Voucher (Mental Health's form F11-A) with an invoice attached, which went directly to Finance, bypassing the Purchasing Department.

Item (2) was a payment for four (4) different work orders completed over a fifty-seven day span. A F11-A Invoice/Voucher was completed and submitted. After a review by the Purchasing Department a purchase order was issued after the fact.

Item (3) was a purchase order for food for the State Hospital for the month of February, 1982. There was no contract at the time of the purchase order as the original contract for this service had expired. Mental Health is presently issuing monthly purchase orders for this service without a valid contract with the company.

These items were procured without competition, i.e., quotation, sealed bids or sealed proposal, and no documentation was made that the procurements were to the advantage of the State, price and other factors considered, including administrative cost of the purchase.

Section 19-445.2100, Subsection B, Items 2 and 3, of the regulations state in part:

> Purchases from $500.01 to $1499.99. Solicitations of verbal or written quotes from two qualified sources of supply shall be made and documented that the procurement is to the advantage of the

000393

> State, price and other factors considered, including the administrative cost of the purchase. Such documentation shall be attached to the requisition.

Section 11-35-1520 of the Consolidated Procurement Code states in part:

> ...Contracts amounting to two thousand, five hundred dollars or more shall be awarded by competitive sealed bidding....

Those procurements which possibly could have been classified as sole source or emergency procurements were not documented as such.

Based upon the sample from which these items were taken, we can project statistically at a 95% confidence level that up to 14% of all procurements of goods and services may have been handled out of compliance with the Procurement Code.

The Internal Procurement Procedures Manual contains policies and procedures to procure these services according to the requirements of the Code. We recommend that these policies and procedures be followed closely to ensure that this does not happen in the future.

We understand that bids/specifications are presently being prepared in regards to a food contract and an air conditioning system maintenance contract. We recommend that the Purchasing Department continue to work toward contracts on these services.

MENTAL HEALTH RESPONSE

The examples cited in the audit report have been noted and are being corrected. Future repairs will be advertised for solicitation of bids based upon specifications from our Department's Chief Engineer. Any emergency type item will be documented as such. Presently, we are

000394

soliciting competition and plan to award a term contract for preventive maintenance for the heating and cooling system for the Department's Administration Building. Also, we have obtained a contract for the food service system mentioned in the report.

We will strive in the future to adhere to the Procurement Code with regards to purchases of goods and services.

III. COMPLIANCE - CONSULTANT SERVICES

A. Consultant and Professional Services Procured Without Competition

The Department of Mental Health contracts for a number of consultant and professional services. These are paid through the use of an F11-A "Invoice/Voucher". Although this eliminates the necessity for a purchase order, it does not exempt the agency from compliance with the Procurement Code's competitive source selection process.

We discovered in our testing the following consulting services that were improperly procured, subsequent to the passage of the Code, and paid through the F11-A system:

ITEM	CHECK #	AMOUNT
(1) Instructor's fee for Staff Development Workshops	19393	$1,058.88
(2) Instructional Teachers Services for Residents	23725	505.56
(3) Physical Therapy Services	16920	3,139.02

000395

Section 11-35-1270 states in part: "...a governmental body may act as a purchasing agent and contract on its own behalf for such services, subject to this code and regulations which may be established by the board." This section and the corresponding regulations require that these services be obtained competitively or justified as sole source procurements. Neither of these options were used in the above cases.

Further, Regulation 19-445.2025 restricts this authority to the certified dollar limit assigned to that agency which is $2,500. Since item (3) above exceeds this amount, the service should have been requested from State Procurement.

We recommend Mental Health include in their Internal Procurement Procedures Manual the policies and procedures that would place them in compliance with the Procurement Code in regards to consulting and professional services. We further recommend the manual be explicit as to who has the authority to negotiate professional contracts outside the Purchasing Department.

We understand that the teachers in exception (2), above, were changed from being considered consultants to part-time employees during mid-session this past summer and are now on the payroll. We feel this change was appropriate. Also, at its meeting of March 22, 1983, the Budget and Control Board exempted the procurement of Licensed Physical Therapists from the provisions of the Procurement Code under defined conditions. This would probably cover future acquisitions of the services in exception (3) above.

000396

MENTAL HEALTH RESPONSE

Of the three examples cited in the audit report, the physical therapy services have since been exempted from the Procurement Code as of March 22, 1983. The instructional teachers have now been changed from consultant status to part-time employees. The third example, instructor's fees for staff development workshops is a type of service that may lend itself more to sole source procurement although we did not justify it as such at that time. In the future, we will more closely examine procurement of consultant services to ensure that we are in compliance with the Procurement Code. Procedures and accompanying flowchart for procurement of such services are documented in our new manual.

B. Control Over Contracts for Health Professionals

Contracts for professional health services, such as doctors, nurses or physical therapists, are established by the facility or Mental Health Center administrator. These contracts, if for Mental Health Centers, are approved by the center's governing board. These and other contracts are then reviewed by the Legal Section for proper wording, etc.

During our review, we noted the following weaknesses in this system of contracting for these professional services:

(1) Once the contracts are signed by the facility administrator they are binding on the Department with no additional approval.

000397

(2) At no point in the process does the Purchasing Department review these for compliance with the Procurement Code. Doctors and certain other health service professionals are exempt from compliance with the Procurement Code, but we found that many of these services which are obtained through contracts by Mental Health are covered by the Code.

(3) Neither the Division of Administrative Services nor the Division of Financial Services see these contracts. Their approval is not required.

(4) No funds are committed in the general accounting system to cover these obligations.

(5) These are paid by F11-A Invoice/Vouchers so the information is not captured for the Fiscal Accountability Act reporting requirements to the Division of General Services.

(6) There is no central file of these contracts that could be used for payment verification.

We believe that there should be central control over these contracts allowing for Administrative or Financial Services approval/disapproval before they become binding on the agency.

Before leaving the Department, we were given the following directive, dated August 9, 1982, from the Office of the State Commissioner of Mental Health which, we feel, should eliminate the weaknesses in this area if enforced:

000298

TO: All Organizational Components

SUBJECT: Contract Review and Approval

I. Purpose

The purpose of this directive is to establish review and approval procedures for contracts, including all leases, entered into by the Department of Mental Health.

II. Authority to Contract

1. No employee of the South Carolina Department of Mental Health has the authority to enter into any contract obligating the Department unless that contract has been first reviewed and approved in accordance with paragraph III of this directive.

2. After approval those persons authorized to sign contracts are:

   a. For all psychiatric hospitals, Earle E. Morris Alcohol and Drug Addiction Center, William S. Hall Psychiatric Institute and C. M. Tucker, Jr. Human Resources Center, the Assistant State Commissioner of Mental Health.

   b. For all Community Mental Health centers and clinics, a person(s) authorized by the Board of the contracting center or clinic. Names of those authorized to sign contracts shall be submitted to the Deputy Commissioner for Community Mental Health Services.

   c. For the Division of Administrative Services, the Deputy Commissioner for Administrative Services.

   d. For the Division of Financial Services, the Deputy Commissioner for Financial Services.

   f. Notwithstanding the above, all construction contracts and all contracts obligating the Department in an amount exceeding $100,000.00

shall be signed by the State Commissioner of Mental Health.

III. Review and Approval

1. Review sequence. All proposed contracts shall be submitted for review as follows:

   a. Contracts originating from Comunity Mental Health centers and clinics shall be submitted to the Deputy Commissioner for Community Mental Health Services. The Deputy Commissioner for Community Mental Health Services will simultaneously submit copies of the proposed contract to the Office of General Counsel and the Deputy Commissioner for Financial Services.

   b. Contracts originating from the Division of Administrative Services shall be submitted to the Deputy Commissioner for Administrative Services. The Deputy Commissioner for Administrative Services will simultaneously submit copies of the proposed contract to the Office of General Counsel and Deputy Commissioner for Financial Services.

   c. Contracts originating from all other divisions and facilities shall be submitted to the Assistant State Commissioner. The Assistant State Commissioner will simultaneously submit copies of the proposed contract to the Office of General Counsel and Deputy Commissioner for Financial Services.

   d. Exceptions. Contracts which do not obligate more than $500.00 annually and have a term not exceeding (1) year need not be submitted to the Office of General Counsel and Deputy Commissioner for Financial Services. Contract splitting will not be permitted to avoid the review requirements. Contracts which are on standard forms previously approved by the Office of

General Counsel need not be submitted to the Office of General Counsel for further review.

2. Approval

a. Fiscal. The purpose and effect of fiscal approval is:

(i) To determine compliance with payment requirements of the Comptroller General's Office and the procurement code;

(ii) To determine that documentation of a bona fide business purpose is present and sufficient to justify the transaction; and

(iii) To determine that sufficient funds are available to pay for the transaction.

b. Legal. The purpose and effect of legal approval is:

(i) Other than the Procurement Code, to determine compliance with State law;

(ii) To confirm the presence of proper contractual language and provisions necessary to effect a binding, valid contract;

(iii) To protect against provisions creating unanticipated or unacceptable obligations; and

(iv) To review contract provisions to determine their compatability with the Department's insurance requirements and coverage.

000401

3. Review Time

a. A minimum of ten (10) working days will be required for the review/approval process for routine contracts.

b. A minimum of twenty (20) working days will be required for complex contracts or contracts which involve the expenditure of more than $100,000.00.

c. Unless otherwise approved by the State Commissioner of Mental Health, no contract shall be signed nor performance begun on any contract until the review/approval process has been completed.

IV. Contract Filing

The original of all contracts, other than construction contracts, must be submitted to Finance when the request for the first payment under the contract is made. Finance will be the depository for the contract originals, other than construction contracts.

The only area of potential concern not covered here is in the procurement of an individual's services. In these cases a determination must be made that the contract does not constitute an employer-employee relationship requiring that they be added to the payroll.

MENTAL HEALTH RESPONSE

The directive draft included in the audit report has now been revised pending approval. We feel that the only area of potential concern that was expressed regarding the draft of the directive has now been alleviated by adding the following requirement:

"Contracts entered into with individuals shall be submitted by the Deputy Commissioner for Financial Services to the Personnel Division to determine whether a possible employee-employer relationship exists."

000402

IV. COMPLIANCE - INFORMATION TECHNOLOGY

In our test of transactions in the area of information technology, we found the following weaknesses:

(1) One procurement totaling $896 was processed with no documented evidence of competition nor a sole source determination.

(2) We found in several cases payments for lease or maintenance of data processing equipment were being approved by Computer Services when the supporting contracts could not be located. We determined that these payments totaled $2,682.50 per month or $32,190 per year.

As stated earlier, Sections 19-445.2100 and 19-445.2105 of the regulations require that procurements between $500 and $1,500 either be supported by evidence of phone quotes from two qualified sources or a sole source determination.

We recommend that all competition required by the Procurement Code be sought out and properly documented. Otherwise, a sole source determination and finding should be prepared justifying such action.

Additionally, in order to properly control payments on equipment lease or maintenance contracts there must be a copy of the contract available so that services and charges can be verified. This also will indicate when the contract should be rebid.

Section 11-35-2030(1) of the Consolidated Procurement Code states:

> Section 11-35-2030. Multi-Term Contracts. (1) Specified Period. Unless otherwise provided by law, a contract for supplies or services shall not

> be entered into for any period of more than one year unless approved in a manner prescribed by regulation of the board; provided, that the term of the contract and conditions of renewal or extension, if any, are included in the solicitation and funds are available for the first fiscal period at the time of contracting. Payment and performance obligations for succeeding fiscal periods shall be subject to the availability and appropriation of funds therefor.

This section requires that records be kept which will indicate the beginning and ending dates of agreements to ensure that the maximum five year period (established by subsequent regulation) is not exceeded. While this Code section cannot be made retroactive, these services will have to be rebid before August 1, 1986, five years from the enactment of the Consolidated Procurement Code.

Further, payments on lease or maintenance contracts should not be processed until they are verified against appropriate contracts. If contracts cannot be located, copies should be requested from the vendor. If items are added to existing contracts, documentation should always be obtained from the vendor to ensure that invoices can be verified before payment is processed. These should be centrally filed for future reference.

When copies of these contracts are obtained from the vendor, a determination should be made if they are still binding on the Department or if new agreements should be sought. If the vendor cannot supply copies of these contracts, they should be considered null and void and the appropriate steps should be taken immediately to procure these services competitively, if they are necessary to the operation of the agency.

C00404

In order to fully control multi-term contracts, the Department should start a system whereby purchase orders are prepared annually supplementing agency contracts and authorizing the continuation of these for each fiscal year. These purchase orders should address contract numbers and specify equipment serial numbers, thereby providing a complete audit trail for ease of payment verification.

This would allow for the encumbrance of sufficient funds to cover the agreement each fiscal year providing each budget manager a better picture of true available funds. This would also provide a mechanism for reporting these agreements to the Division of General Services for Fiscal Accountability Act requirements which, as stated in item VIII below, are not being met at this time.

MENTAL HEALTH RESPONSE

With regards to the procurement that was processed without evidence of competition or sole source documentation, we have found that the purchase was for computer supplies selected from review of several catalogs in order to get the best price. Procurement procedures have been changed and documented in our manual to prevent this type error in the future.

As to the lease payments for which contracts could not be found, these payments were against a long-standing generalized lease/rental agreement with IBM. Most of this equipment is no longer being rented, having been replaced by more modern leased equipment for which we do have contracts.

000405

V. COMPLIANCE - CONSTRUCTION

During our review of procurements in the area of construction and related professional services, we noted that the Department does not retain on file all the necessary documentation supporting the procurement of construction when an architect has been hired to handle the project. In these cases, the Department depends on the architectural firm to retain bid documents and bid security documents among others.

In order to document procurements sufficiently to support the action taken, the Department must obtain original documents or at least copies of original documents which are relevant to the procurement.

Per Section 11-35-410 of the Procurement Code, "procurement information shall be a public record to the extent required by Chapter 3 of Title 30 (the Freedom of Information Act)...." Additionally, Section 11-35-2430 of the Code states in part, "All procurement records of governmental bodies shall be retained and disposed of in accordance with record retention guidelines and schedules approved by the Department of Archives and History after consultation with the Attorney General."

We recommend that the Department retain all the supporting documents justifying procurement transactions.

MENTAL HEALTH RESPONSE

The Engineering Office has obtained copies of the proposals from MBTB for the Patrick B. Harris Psychiatric Hospital. Historically,

000406

copies of proposals from contractors on jobs where the architect administered the bid receiving procedures have not been retained. The architect provided a copy of bid tabulations with recommendation of contract award. Upon contract award approval by the Mental Health Commission, a request for authority to award a Construction Contract was submitted to the S. C. State Budget and Control Board for action. It was felt that the proper documentation for the awarding of a construction contract was the Budget and Control Board's Authority to award a Construction Contract (Form E-2). The Engineering Office will in the future maintain copies of proposals from contractors for construction when the project is being handled by an architect.

VI. AUTHORITY AND RESPONSIBILITY

During our review we found two instances where area Mental Health Centers were bypassing the Purchasing Department when ordering drugs. The payment vehicle for these transactions were F11-A Invoice/Vouchers discussed previously and the amounts were $1,756.56 and $2,125.76. The F11-A's were sent directly to Finance and paid without Purchasing's approval.

Although the drugs ordered are on the approved agency drug term contract, agency policy states that all drug orders are to be placed by means of a purchase order issued by the Department's Purchasing Department. This policy, however, has not been reduced to writing.

Further, since the Purchasing Department's purchase order system captures and stores all purchasing transactions, procurement drug

000407

history not entered into the system is lost. This drug history is retrieved each year and relied upon as a basis for projecting drug needs when establishing the annual drug term contract.

We recommend that the Purchasing Department specifically address the drug ordering procedures in their Internal Procurement Operating Procedures Manual. Purchasing and Finance should ensure these policies and procedures are adhered to by all the Mental Health Clinics and Centers.

MENTAL HEALTH RESPONSE

We have changed internal procedures to require that all F-11As on non-exempt items be routed through Purchasing for review before they are processed by Accounting.

Our policy no longer requires that drug orders placed on the approved drug term contract be made by purchase orders. Use of F-11As is permissible providing the F-11A references to the established contract.

Use of F-11As as well as drug contract procedures are addressed in our new policies and procedures manual.

VII. GENERAL TRANSACTION CONTROL

The Department uses F11-A Invoice/Vouchers to authorize direct expenditures when a purchase order is not used. During our review we noted the following conditions in the F11-A Invoice/Voucher processing system:

000408

(1) During fiscal year 1981/82 F11-A's made up 34% of total procurement transactions greater than $500.00.

(2) In the area of consultant services, F11-A's made up 82% of the total purchases. In the area of construction and related services, including building repairs and renovations, F11-A's made up 89% of the procurement activity.

(3) All F11-A's are not routed through the Purchasing Department so that they can check for Code compliance and compliance with internal procedures before payment is processed.

(4) The Department's Internal Procurement Procedures Manual does not address the use of F11-A Invoice/Vouchers outlining the items to be procured in this fashion.

(5) The majority of the procurement compliance exceptions addressed in this report happened when F11-A Invoice/Vouchers were used.

(6) There is no recognized limit on the use of F11-A's by Mental Health Centers for the purchase of supplies. We encountered procurements greater than $2,000 for supplies that were processed in this manner.

The F11-A Invoice/Voucher is designed to allow individual sections to make small purchase transactions without the use of the Purchasing Department. F11-A Invoice/Vouchers do not require that a purchase order nor a separate receiving report support the disbursement.

A properly controlled "direct expenditure" system reduces the cost of processing procurements and results in a measurable cost savings to

000409

the procurement section, effectively increasing economy and efficiency. The system, however, must have adequate controls built in to ensure that:

(1) Items which are open to competition are not obtained in this manner.

(2) The Purchasing Department and/or the Accounts Payable Section have adequate review procedures to monitor and control the use of F11-A's and that this review is sufficiently documented.

(3) All required supporting documents are attached with evidence of the proper approvals.

(4) User departments are obtaining adequate competition on the small items they procure on F11-A's and that business is being spread around to available vendors.

It appears that the use of Invoice/Vouchers may have expanded past the original intent of the policy. Further, there are no written procedures that require departments to obtain competition on F11-A procurements where competition is required by law and/or available.

Purchasing has little control over direct expenditures because they do not see all of them. This increases the possibility of unintentional violations of state laws and regulations.

We recommend that the Department of Mental Health implement stricter regulations over the use of F11-A Invoice/Vouchers. We feel that the use of F11-A's should be limited to a specific dollar range such as $100 or $250 for goods and services and consulting services.

000410

Additionally, the following items could be procured in this manner without a dollar limitation:

(1) Oil company credit card charges for gas, oil and jet fuel;

(2) Heat, light and water bills;

(3) Telephone and telegraph bills;

(4) U. S. Post Office box rentals and postage;

(5) Freight and express bills;

(6) Contributions, dues and registration fees;

(7) Sales tax paid to the South Carolina Tax Commission;

(8) Auto licenses and registrations;

(9) Equipment repairs (not to exceed $500); and,

(10) Magazine subscriptions (not to exceed $500).

All F11-A's should be approved by the Purchasing Department, then forwarded to the Finance Office for payment. The Purchasing Department should be responsible for assuring compliance with Department procurement regulations and the Procurement Code, as well as Fiscal Accountability Act reporting. The Accounts Payable Section of the Finance Office should be responsible for invoice verification, approval, classification and funding.

Regardless of the exact policies and procedures adapted for processing F11-A Invoice/Vouchers, they should be clearly defined in the Internal Procurement Procedures Manual.

000411

MENTAL HEALTH RESPONSE

The use of F-11As by the Department has been examined closely. We have now enstated procedures to require that all F-11As, except on exempt items, be routed through Purchasing before going to Accounting for processing.

The redefinition of F-11A invoice/voucher use is covered in our new manual and should prevent improper use of the F-11A in the future.

VIII. FISCAL ACCOUNTABILITY ACT REPORTING

Partially, as a result of lack of clarification as to reporting procedures statewide, the Department of Mental Health has failed to fully comply with the requirements of the Fiscal Accountability Act in the following areas:

(1) Failed to report to the Comptroller General a statement of all existing contracts for permanent improvements and the status of work pursuant to such contracts.

(2) Failed to report to the Division of General Services the following:

a. Procurements handled on F11-A Invoice/Vouchers;

b. Procurements of construction;

c. Leases of equipment;

d. Maintenance contracts;

e. Consultant services;

f. All other procurements of services.

At the date of this report, the Department only reported approximately thirty-six percent (36%) of their total

000412

procurements for July 1, 1981-June 30, 1982, to the Division of General Services. This does not include expenditures for personal service which comprise approximately 80% of total expenditures.

(3) Neglected to reconcile the data collected for Fiscal Accountability Act reporting to the Division of General Services with the general accounting records.

(4) Failed to retain the Fiscal Accountability Act printouts from the Comptroller General's Office making verification difficult.

Act 561 of 1976, Section 4, states in part:

> The quarterly reports required by this Act shall include the following information current to the end of the last preceding quarter:
>
> ,...(2) A statement of all existing contracts for permanent or capital improvements and the status of the work pursuant to such contracts....

Additionally, Section 5 states in part:

> All agencies, departments and institutions of state government shall...furnish to the Division of General Services of the Budget and Control Board...a statement of all expenditures...for commodities which were not purchased through the Division. Such statements shall be prepared in the commodity code structure and report format established by the Division for reporting commodities purchased through the Division's central purchasing system....
>
> ...Expenditures for units under two hundred dollars shall be reported in the aggregate and units in excess of two hundred dollars shall be itemized.

Further, 561 as amended May 30, 1977 states in part:

> ...it is the intent of the General Assembly that all funds including state, federal, and other

000413

> agency revenues, and also including any financial transactions covered by the budget code of the Comptroller General's office, be included in the reporting requirements of this Act....

The General Assembly, without major additional effort, cannot readily know the procurement activity of the Division in the areas of:

(1) Permanent and capital improvements; and,

(2) Total commodities purchased.

Additionally, by not establishing Fiscal Accountability Act input as a reliable data base, the Division deprives itself of the internal fringe benefits that could result therefrom, such as,

(1) Planning and scheduling acquisitions;

(2) Consolidation of commodities for better prices;

(3) Monitoring of user department needs for efficiency, cost effectiveness and small order abuse;

(4) Evaluation of purchasing goals.

The Division of General Services is currently working with the Comptroller General's Office on proposals to make major revisions in the reporting requirements of the Fiscal Accountability Act in the near future. These revisions will hopefully make the data reported by agencies more responsive and more cost effective.

Because of the possibility of these major revisions, we cannot recommend that the Department of Mental Health expend unnecessary time and money in effecting compliance with the law although we feel compelled to point out the lack of compliance. This lack of compliance has been discovered in differing degrees, however, at all agencies which we have audited.

000414

MENTAL HEALTH RESPONSE

We were informed by your office during our meeting of March 7, 1983, that the Fiscal Accountability Reporting Act is in the process of possible repeal or revision and that your recommendation was that we postpone any further action on the audit report point at this time.

IX. INTERNAL AUDIT

We found that there has been insufficient involvement in the procurement process by the Department's Internal Audit staff.

A complete internal audit program includes a periodic review of the system of requisitioning, placing of purchase orders, receiving, etc. to determine that procurement procedures are sound and are being adhered to by user departments. As a state-supported agency the program must also include a review of the procurement process for compliance with the Consolidated Procurement Code and regulations, as well as, other applicable laws and regulations.

Historically, due to time limitations, internal audit departments have been forced to concentrate their efforts in the financial area, which precluded compliance and operational programs.

This leaves a gap in the administrative control over the procurement function because this area goes without review except by external audit organizations. Although these are effective, they cannot provide the type of on-going control necessary in an area where such large sums of money are expended.

000415

The Institute of Internal Auditors' publication entitled Standards for the Professional Practice of Internal Auditing states, "The scope of Internal Audit should encompass the examination and evaluation of the adequacy and effectiveness of the organization's system of internal control and the quality of performance in carrying out assigned responsibilities." We feel this expands the role of Internal Auditors into the areas of compliance, management and operational reviews of all areas and functions of an organization.

We recommend that Internal Audit programs be developed to test the procurement process for adequacy of internal control, compliance with the Consolidated Procurement Code, adherence to department procedures and overall effectiveness. This program should include but not be limited to periodic review of procurements at all dollar levels including the direct purchase voucher process and central stockroom operations.

We understand that plans are underway in the Internal Audit Section to perform a test of the overall procurement function. We feel this program will be advantageous to the Department by providing needed control over this area.

MENTAL HEALTH RESPONSE

The Internal Audit Division is currently developing audit programs and procedures to test the procurement process for adequacy of internal control, compliance with the Consolidated Procurement Code, and adherence to department procedures and overall effectiveness.

000416

"Compliance with the Procurement Code" has been added to the audit list of attributes for cash disbursement testing of the facilities and Community Mental Health Centers and Clinics.

X. DUPLICATING EQUIPMENT UTILIZATION ANALYSIS

As part of our examination, we requested the State Printing Officer evaluate the equipment utilization of the Department of Mental Health's Print Shop. The results of this study are as follows:

DUPLICATING EQUIPMENT UTILIZATION ANALYSIS

Standards for evaluating efficiency and effectiveness of duplicating equipment in Mental Health's Print Shop were established in part by the Legislative Audit Council in 1978. (See Program and Operational Review of Printing, Duplicating and Photocopying Activities of South Carolina State Agencies, November 14, 1978). The Print Shop is currently operating five (5) separate pieces of equipment. These five are made up of four (4) Offset Duplicators and one (1) Xerox 3600 I. The criteria for evaluating equipment utilization has been established as 5,000 impressions per hour for offset equipment, and 2,000 impressions per hour for the Xerox 3600 I.

A minimum standard for use levels has been developed by allowing three and one-half (3½) hours out of each seven and one-half (7½) hour working day to be used for job set-up time, clean-up time, routine maintenance, operators lunch period, breaks and miscellaneous down time.

000417

The number of hours equipment is available for operation annually is the same number of hours an operator would be on the job.

		HOURS
37½ hours straight time per week x 52 weeks	=	1,950
Less: 15 days annual leave.....	=	112.5
15 days sick leave.....	=	112.5
11 holidays.....	=	82.5
		307.5

1950 hours available minus 307.5 hours = 1,624.5

1642.5 divided by 7.5 hours = 219 days

Utilizing the production standards mentioned, 100% utilization would equal four hours per day. The number of working days per month based on 219 days divided by 12 equals 18.25 days.

In this analysis each piece of equipment has been evaluated individually and a percentage of utilization calculated. A collective utilization has also been calculated based on five pieces of equipment. Man hours have been analyzed using basically the same criteria established for evaluating equipment utilization. The fact that Mental Health's Print Shop has three employees operating five pieces of equipment has been taken into consideration in evaluating man hour utilization.

The percentage of man hours utilized out of the working hours available indicates the overall efficiency of Mental Health's Print Shop. At this point, data is not available to compare the efficiency of this Print Shop with others in State Government. However, when all the data is compiled from each agency Print Shop, the results will be published.

000418

In November of 1978 the Legislative Audit Council's Report indicated Mental Health's Print Shop was producing 8,036,232 impressions per year. The data contained in this analysis indicate a twenty-two percent (22%) increase in equipment utilization and a nineteen percent (19%) increase in impressions per year.

MENTAL HEALTH DUPLICATING EQUIPMENT INVENTORY

			PURCHASED
1.	Offset Duplicators -	AM1250, S/N 564629	1961
2.		AM1250, S/N 759512	1961
3.		AM1250, S/N 740903	1961
4.		AM1850, S/N 121161	1969
5.	Xerox Duplicator - 3600 I		1976
6.	Itek Plate Maker		1967
7.	NuArc Exposure Frame		1972
8.	GBC Binder		1965
9.	Laminator Model 18LD		1965
10.	Collators & Stitchers-Interlake Stitcher		1965
11.		Pitney Bowes Collator Stitcher	1971
12.		Pitney Bowes Table Top Collator	1967
13.	Challenge Single Spindle Drill		1961
14.	Padding Press		
15.	Challenge Cutter 305 MCM		1969
16.	Folder - Martin Yale Table Top		1974
17.	Pitney Bowes Table Top		1967
18.	Light Table		1950

000419

XEROX 3600 I

Monthly Volume:

July	1981	150,152 impressions
August	1981	126,469 impressions
September	1981	225,642 impressions
October	1981	297,815 impressions
November	1981	173,017 impressions
December	1981	134,347 impressions
January	1982	104,602 impressions
February	1982	141,739 impressions
March	1982	131,606 impressions
April	1982	208,673 impressions
May	1982	133,696 impressions
June	1982	120,735 impressions

Total Annual Volume - 1,948,493 impressions

Average Monthly Volume - 162,374 impressions

1,948,493 Annual Impressions Divided by 219 working days = 8,897

8,897 Daily Impressions Divided by 2000 impressions per hour * =
4.44 hours

4.44 hours is 111% of 4 hours (Representing 100% Utilization).

Xerox 3600 I Utilization Percentage - 111%

* 2,000 Impressions per hour is the production standard established by a random sampling of Xerox 3600 users.

000420

AM 1250 MULTILITH

Serial No. 740903

Monthly Volume:

July	1981	284,424 impressions
August	1981	166,375 impressions
September	1981	205,195 impressions
October	1981	155,179 impressions
November	1981	221,875 impressions
December	1981	72,585 impressions
January	1982	330,585 impressions
February	1982	473,860 impressions
March	1982	474,375 impressions
April	1982	325,880 impressions
May	1982	380,470 impressions
June	1982	481,935 impressions

Total Annual Volume - 3,572,738 impressions

Average Monthly Volume - 297,728 impressions

3,572,738 Annual Impressions Divided by 219 working days = 16,314

16,314 Daily Impressions Divided by 5,000 impressions per hour =

3.26 hours

3.26 hours is 82% of 4 hours (Representing 100% Utilization).

000421

AM 1250 Utilization - 82%

AM 1250 MULTILITH

Serial No. 759512

Monthly Volume:

July	1981	574,300 impressions
August	1981	328,250 impressions
September	1981	412,675 impressions
October	1981	294,600 impressions
November	1981	225,500 impressions
December	1981	218,040 impressions
January	1982	50,000 impressions
February	1982	0 impressions
March	1982	47,500 impressions
April	1982	41,125 impressions
May	1982	85,510 impressions
June	1982	41,260 impressions

Total Annual Volume - 2,318,760 impressions

Average Monthly Volume - 193,230 impressions

2,318,760 Annual Impressions Divided by 219 working days = 10,588

10,588 Daily Impressions Divided by 5,000 impressions per hour =

2.12 hours

2.12 hours is 53% of 4 hours (Representing 100% Utilization).

000422

AM 1250 Utilization - 53%

AM 1250 MULTILITH

Serial No. 564629

Monthly Volume:

July	1981	101,900 impressions
August	1981	7,600 impressions
September	1981	30,950 impressions
October	1981	170,680 impressions
November	1981	36,540 impressions
December	1981	40,139 impressions
January	1982	159,183 impressions
February	1982	153,730 impressions
March	1982	47,875 impressions
April	1982	139,630 impressions
May	1982	41,529 impressions
June	1982	43,140 impressions

Total Annual Volume - 972,896 impressions

Average Monthly Volume - 81,075 impressions

972,896 Annual Impressions Divided by 219 working days = 4,442

4,442 Daily Impressions Divided by 5,000 impressions per hour =

53 minutes

53 minutes is 22% of 4 hours (Representing 100% Utilization).

AM 1250 Utilization - 22%

000423

AM 1850

Serial No. 121161

Monthly Volume:

July	1981	127,535 impressions
August	1981	107,750 impressions
September	1981	53,000 impressions
October	1981	16,635 impressions
November	1981	48,400 impressions
December	1981	56,250 impressions
January	1982	153,900 impressions
February	1982	122,900 impressions
March	1982	164,400 impressions
April	1982	30,780 impressions
May	1982	200,402 impressions
June	1982	66,766 impressions

Total Annual Volume - 1,148,718 impressions

Average Monthly Volume - 95,727 impressions

1,148,718 Annual Impressions Divided by 219 working days = 5,245

5,245 Daily Impressions Divided by 5,000 impressions per hour =
1.5 hours

1.5 hours is 26% of 4 hours (Representing 100% Utilization).

AM 1850 Utilization - 26%

000424

DUPLICATING EQUIPMENT UTILIZATION SYNOPSIS

Total number of impressions produced from July 1, 1981 through June 30, 1982 = 9,961,605.

A.	Xerox 3600 I	1,948,493
B.	AM1250, S/N 740903	3,572,738
C.	AM1250, S/N 759512	2,318,760
D.	AM1250, S/N 564629	972,896
E.	AM1850, S/N 121161	1,148,718

Utilization Percentages:

A. 111%

B. 82%

C. 53%

D. 22%

E. 26%

Average Equipment Utilization Percentage - 58.8%

MAN HOUR UTILIZATION ANALYSIS

Number of Employees - Four (4) full time.

1. Print Shop Manager
2. Printing Equipment Operator I
3. Printing Equipment Operator II
4. Printing Equipment Operator III

000425

There are three employees (2 through 4 above) directly responsible for duplicating equipment productivity. These employees operate five pieces of duplicating equipment.

Volume Produced Overall - 9,961,605 impressions.
9,961,605 Divided by 219 Working Days = 45,487 impressions per day.
45,487 Impressions Per Day Divided by 3 employees = 15,162 impressions per employee.
15,162 Impressions Per Employee Divided by 4400 * impressions = 3.44 hours.
3.44 hours is 86% of 4 hours (Representing 100% Utilization).
Man Hour Utilization - 86%

* 4400 is the average of 5000 impressions X (4) pieces of offset equipment (20,000) and 2000 impressions X (1) piece of xerographic equipment (2000). 20,000 plus 2000 equals 22,000 divided by five pieces of equipment + 4400 impressions per hour (Production Standard).

100% Equipment Utilization would generate - 19,272,000 impressions.
Actual Equipment Utilization - 9,961,605.
100% Man Hour Utilization - 2,628
Actual Man Hour Utilization - 2,256.

RECOMMENDATIONS

The Print Shop Manager should make an effort, this fiscal year, to phase out one 1250 Offset Duplicator, via a trade-in or IDT. Several

000426

agency Print Shops have expressed an interest in a small offset. This equipment possibly could be used in another facility. The possibility of acquiring a high speed duplicator with on-line sorting should be investigated. Acquiring a system of this type would facilitate phasing out another 1250 offset and transferring the volume from two manual presses and a portion of the volume from the Xerox 3600 I to a single unit. This would create a more efficient utilization of equipment and man hours. To exercise this option, the Print Shop Manager should determine if enough straight line copy work is available to transfer from two offset duplicators and the Xerox 3600 I to a high speed system and be more cost effective than the present method of operation.

We suggest that the Department of Mental Health take these recommendations into consideration and strive to upgrade the operational efficiency of the Print Shop.

MENTAL HEALTH RESPONSE

We feel that the information presented in the analysis is accurate and we take no exception to any part of it. We were aware that the utilization figures for duplicators would possibly be lower than desired. However, three of the four duplicators being operated were purchased in 1961. Consequently, they require considerable maintenance and are not as efficient as later models of duplicators. Additionally, the number of duplicators now in use exceeds the number of operators available under current budgetary limitations.

000427

We have been aware of this situation for some time and we will give consideration to discontinuing the use of the least efficient duplicator. This should improve the utilization percentage of our duplicators. We have continued to use this duplicator as backup in situations when other duplicators have become inoperable.

Additionally, we would be interested in acquiring a high speed duplicator with on line sorting. However, with funds being severely reduced at the present, we do not foresee any possibility of acquiring such a duplicator in the near future.

000428

CERTIFICATION RECOMMENDATIONS

As enumerated in our transmittal letter, corrective action based on the recommendations described in the findings contained in the body of this report, we believe, will in all material respects place the Department of Mental Health in compliance with the South Carolina Consolidated Procurement Code and ensuing regulations.

Under the authority described in Section 11-35-1210 of the Procurement Code, subject to this corrective action, we recommend the South Carolina Department of Mental Health be certified to make direct agency procurements as follows:

PROCUREMENT AREAS	RECOMMENDED CERTIFICATION LIMITS
Goods and Services:	
A. Annual Term Contracts Only for Drugs and Related Pharmaceuticals Defined in the State Procurements Commodity Code Manual under #270-Drugs, Pharmaceuticals and Biologicals	$1,600,000 Maximum of All Such Contracts Combined
B. Annual Term Contracts Only for Medical Supplies under Commodity Code Classes #435-Germicides, #470-Hospital Equipment-Invalid, #475-Hospital Sundries and #465-Hospital Equipment.	$400,000 Maximum of All Such Contracts Combined
C. All Other Goods and Services Procurements Excluding Printing Equipment which must be approved by the Materials Management Office and Food Commodities that are currently combined with other agencies' needs and procured on scheduled buys by the Materials Management Office.	$15,000 Per Purchase Commitment

000429

This would result in the Department of Mental Health handling 99% of their procurement transactions.

Certification in the areas of Information Technology, Construction and Consultant Services are not being addressed in this report because the Department did not request to be certified over $2,500 in these areas. Our examination, however, included a review of these areas for compliance as required by the Code.

R. Voight Shealy
Audit Supervisor

Robert W. Wilkes, Jr., CPA
Director, Audit and Certification

000430

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 758-3150

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

TONY ELLIS

June 24, 1983

EXHIBIT

JUL 12 1983 NO. 18

STATE BUDGET & CONTROL BOARD

Mr. Richard J. Campbell
Materials Management Officer
800 Dutch Square Boulevard, Suite 150
Columbia, South Carolina 29210

Dear Richard:

We have returned to the Department of Mental Health to determine the progress made toward implementing the recommendations in our audit report covering the period July 31, 1981 - June 30, 1982. During this visit, we followed up on each recommendation made in the audit report through inquiry, observation and limited testing.

The Audit and Certification Section observed that the Department has made substantial progress toward correcting the problem areas found and improving the internal controls over the procurement system.

We, therefore, recommend that the certification limits for the Department of Mental Health, as outlined in the audit report, be granted for a period of two (2) years.

Sincerely,

Barbara A. McMillan

Barbara A. McMillan, Director
Contracts and Audit Management

BAM:rms

000431

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 19 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 12

STATE BUDGET & CONTROL BOARD

Agency: Executive Director's Office

Subject: Civil Contingent Fund Allocation Requests

The Board is asked to consider the following requests for allocations from the Civil Contingent Fund:

(a) Southeast Interstate Low-Level Radioactive Waste Management Compact, $25,000 for a one-time payment for membership in the Compact.

(b) State Fire Marshal's Office, $858 for training program at Wampee on July 19, 20, and 21.

(c) Executive Director's Office, $1,500 for the cost of microfilming Budget and Control Board minutes and exhibits during 1983-84.

Board Action Requested:

Consider.

Staff Comment:

000432

Attachments:

Clarke July 5 letter to Putnam; Lofits June 13 memo to Putnam

RECEIVED
JUL 6 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

State of South Carolina

Office of the Governor

RICHARD W. RILEY
GOVERNOR

POST OFFICE BOX 11450
COLUMBIA 29211

July 5, 1983

EXHIBIT
JUL 12 1983 NO. 19
STATE BUDGET & CONTROL BOARD

Mr. William T. Putnam
Executive Director
State Budget and Control Board
Room 618, Wade Hampton Office Building
Columbia, South Carolina 29211

Dear Mr. Putnam:

South Carolina needs to make a one-time payment of $25,000 to the Southeast Interstate Low-Level Radioactive Waste Management Compact. This one-time payment is required for membership in the Compact. We would appreciate the Budget and Control Board considering allocating this payment from the Civil Contingent Fund.

Thank you for your assistance.

Yours sincerely,

Katherine Clarke

Katherine M. Clarke
Executive Assistant for Management
and Finance

KMC/ge

RECEIVED
JUN 13 1983
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

Box 12444
Columbia
29211

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

June 13, 1983

EXHIBIT
JUL 12 1983 NO. 19
STATE BUDGET & CONTROL BOARD

MEMORANDUM TO: William T. Putnam

FROM: Alton T. Loftis

SUBJECT: EXPENDITURES FOR FIRE MARSHAL'S TRAINING PROGRAM
WAMPEE - July 19, 20, and 21, 1983

I have been advised by Harvey Scurry of the Fire Marshal's Office that the following estimates of expenditures includes all known expenses for the program that the Division cannot provide at this time.

The expenses are:

Travel for instructor (plane ticket)	$318.00
Meals and Lodging for 12 people for three days at Wampee ($45 each)	540.00
TOTAL:	$858.00

It is my understanding that the Division wishes to request that this amount be provided from the Civil Contingency Fund.

/cm

cc: Harvey Scurry

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 20 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 13

STATE BUDGET & CONTROL BOARD

Agency: State Personnel Division

Subject: Technical and Comprehensive Education Compensation Plan for Unclassified Positions

This item was carried over at the June 28, 1983 meeting.

Please refer to attachment for details.

Board Action Requested:

Approve.

Staff Comment:

000435

Attachments:

Agenda item worksheet plus attachments

STATE BOARD FOR TECHNICAL
AND
COMPREHENSIVE EDUCATION

C. WILLIAM DUDLEY, JR.
EXECUTIVE DIRECTOR

1429 SENATE STREET
COLUMBIA, S. C. 29201

RECEIVED
JUN 20 1983
S. C. STATE
PERSONNEL DIVISION

June 17, 1983

EXHIBIT
JUL 12 1983 NO. 20
STATE BUDGET & CONTROL BOARD

Dr. Jack S. Mullins, Director
S.C. State Personnel Division
1205 Pendleton Street
Columbia, South Carolina 29211

Dear Jack:

Enclosed are copies of our compensation plans for unclassified institutional officers and for unclassified faculty personnel in the technical colleges. These plans reflect proposed revisions for implementation in the 1983-84 academic year. Staff members from our personnel department have discussed the revisions to the plans with members of your staff.

The two revisions to the institutional officers compensation plan are relatively minor. We have added specific training and experience requirements where we did not have such included in the plan in the past. The second version is to increase the minimum and maximum salaries by 7%. This increase is to provide for the 2% increase that all unclassified employees received on January 1, 1983 and will allow for the 5% cost of living increase provided in the 1983-84 appropriations bill.

The majority of the revisions to the Compensation Plan for Faculty Personnel are compositional in nature and are intended to clarify and/or put the plan in better procedural form. These changes do not alter the substance of the plan.

The substantive revisions to the plan are in four areas:

8-2-102.1-I.H - This section has been revised to reflect more stringent requirements for individual faculty members to advance into salary schedules 5 and 6.

8-2-102.1-III.E and IV.E - These revisions/additions are designed to compensate those faculty who are administrators at a rate greater than that offered to those faculty members who are considered teaching faculty.

8-2-102A (pp. 1-6) - This section incorporates minimum training and experience requirements for all faculty positions into the compensation plan. In the past, such requirements were not a part of the plan.

8-2-102.1B - The addition of the minimum training and experience requirements eliminates the need for salary schedule 1. Therefore, that schedule has been deleted. The minimum and maximum of all salary ranges have been increased by 12%. In July of 1981 when all state employees were granted a 7% cost of living increase, we chose not to adjust our faculty salary ranges. We are now proposing to adjust the ranges to reflect the 7% increase of 1981 and to provide for the 1983-84 5% COL.

We respectfully request that you take the necessary steps to present these proposed revisions to the Budget and Control Board for its approval at the next scheduled meeting. Your support of this request will be sincerely appreciated.

Should you have questions concerning any of the revisions or require further documentation or explanation, please call Leroy Mosely, our Personnel Director.

Sincerely,

Bill

G. William Dudley, Jr.
Executive Director

GWDjr/at

EXHIBIT

JUL 12 1983 NO. 20

STATE BUDGET & CONTROL BOARD

Summary of Proposed Changes

I. Addition of specific training and experience requirements to the institutional officers compensation plan (applies to presidents and vice presidents).

II. Increase the minimum and maximum of the pay ranges of the institutional officer's compensation plan by 7%.

Pay ranges for classified employees and Hay System positions are being adjusted by 5% and received no adjustment last year.

III. More stringent requirements for individual faculty members to advance to the highest pay ranges.

IV. Supplemental compensation of $200 per month for administrative faculty who serve as deans of instructional divisions.

The total salary of each employee is subject to the salary limitations established by the Budget and Control Board and the Appropriation Act regarding unclassified employees.

V. Elimination of the first level of the salary schedule as a result of the addition of minimum training and experience requirements for faculty.

VI. Increase the minimum and maximum of the salary ranges of the faculty pay schedule by 12%.

The salary schedule of classified employees has been adjusted by 12% since the last adjustment by TEC to the faculty pay schedule.

000438

STATE BOARD FOR TECHNICAL
AND
COMPREHENSIVE EDUCATION

Rationale for Increase in Institutional Officers Salary Ranges

For several years now, we have been aware that our salaries for institutional officers (Presidents and Vice Presidents) of our technical colleges were below the national and southeastern averages for similar positions. This has presented serious problems when we have attempted to recruit for these positions and found that the prime candidates, who possessed the required credentials, in many instances were already being paid higher salaries than our ranges would allow us to offer. In reviewing the data contained in the College and University Personnel Association's Administrative Compensation Survey and from various informal sources, including our own recruitment experience, we have repeatedly found that we are not in competition. We realize, however, that for a number of reasons we are not in a position to raise our ranges to a level that would make us competitive. Two of the major reasons we are not at this time requesting competitive ranges are budgetary constraints and the necessity of keeping maximum salaries of our Presidents below that of our Executive Director. We feel very strongly that the ranges we are requesting are reasonable and will allow us to compensate the Presidents and Vice Presidents of our larger technical colleges at a rate more competitive with their peers as well as give us a competitive edge in our efforts to recruit and retain the high quality persons needed to provide innovative leadership for our colleges.

We respectfully request your approval of these increased salary ranges.

000439

EXHIBIT

JUL 12 1983 NO. 20

STATE BUDGET & CONTROL BOARD

EXHIBIT

STATE BUDGET AND CONTROL BOARD JUL 12 1983 NO. 21 REGULAR SESSION AGENDA

MEETING OF July 12, 1983 ITEM NUMBER 14

STATE BUDGET & CONTROL BOARD

Agency: State Personnel Division

Subject: Special Training Request, Forestry Commission

The State Personnel Division advises that the 1983 General Assembly authorized the Forestry Commission to purchase land to operate a second-generation seed orchard and notes that the key individual in the establishment and operation of such an orchard will be the tree improvement supervisor who must be well trained in forest genetics.

The Division advises that the Forestry Commission has experienced severe difficulty in past years in retaining geneticists and that, although it is possible to hire them out of college, the responsibilities in this project require an experienced geneticist.

The Commission asks therefore for authorization under Section 10.08 of the Personnel Rules to send an individual to study at North Carolina State University for two semesters under an agreement that the individual will work for the State for at least four years following completion of the training. It is anticipated that the total cost to the Commission will not exceed $2,600 for tuition, fees and books. The employee would receive full salary during the period in school and would pay for his own living expenses.

Board Action Requested:

The State Personnel Division recommends approval of the request.

Staff Comment:

000440

Attachments:

Agenda item worksheet plus attachments

EXHIBIT

JUL 12 1983 NO. 21

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD
AGENDA ITEM WORKSHEET

For meeting scheduled for:

July 12, 1983

___ Blue Agenda
_X_ Regular Session Agenda
___ Executive Session Agenda

1. Submitted By: State Personnel Division

2. Subject: Special Training Request of the Forestry Commission.

3. Summary Background Information:

The 1983 General Assembly authorized the Forestry Commission to purchase land and operate a second generation seed orchard. The key individual in the establishment and operation of the orchard will be the Tree Improvement Supervisor, who must be well-trained in forest genetics.

The Forestry Commission has experienced severe difficulty in past years in retaining experienced geneticists. Although it is possible to hire geneticists straight out of college, the responsibilities in this project require an experienced geneticist. The Commission requests, therefore, authorization under Section 10.08 of the Personnel Rules to send an employee to study at N.C. State for two semesters under an agreement that the individual will work for the state for at least four years following completion of the training. It is anticipated that the total cost to the Commission will not exceed $2,600 to pay for tuition, fees and books. The employee would receive full salary during this period and pay his own living expenses.

4. What is Board Asked To Do?

Approve the request. Staff recommends approval.

5. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
7/6/83 letter, L.A. Kilian to J.S. Mullins	

000441

South Carolina Forestry Commission

LEONARD A. KILIAN JR. STATE FORESTER P.O. BOX 21707 COLUMBIA, S.C. 29221 (803) 758-6900

July 6, 1983

EXHIBIT

JUL 12 1983 NO. 21

STATE BUDGET & CONTROL BOARD

Dr. Jack S. Mullins
State Director
Personnel Division
P.O. Box 12647
Columbia, SC 29211

Dear Dr. Mullins:

During the 1983 S. C. Legislative session, the S. C. Forestry Commission was given authorization to purchase land for a second generation seed orchard and funds were appropriated for the staffing and operation of the orchard.

The staff will include a Tree Improvement Supervisor who will be responsible for establishing this orchard and for other tree improvement activities of the Forestry Commission. We believe that it will be in the best interest of the Forestry Commission if we transfer one of our foresters into this position and provide a method for him to take additional courses in forest genetics.

We will select a career employee who has worked with us for 10-12 years and will agree to accept this position, to take the required courses and to sign an agreement to work with us at least four years after completion of these courses. Tree improvement programs being conducted by state organizations and by industry have increased greatly in the past few years and have made it difficult to employ an experienced forest geneticist. The Forestry Commission has a history of industries hiring away many of our well-qualified young foresters. We are sure that our best course to follow is to provide additional training for a career employee.

The Forestry Commission requests authorization to allow one of its foresters to return to school as a special student under 10.08 of Section Ten of the S. C. Personnel Rules for two semesters for the purpose of taking courses in forest genetics. Two semesters are requested since all the necessary courses are not available in either the fall or the spring semesters. Cost of the courses, including tuition fees and any other fees required as a special student, would be paid by the Forestry Commission. After discussions with Forestry School administrators, we anticipate that the total cost to the Forestry Commission will not exceed $2,600.00. The employee would pay his own living expenses.

N. C. State University Forestry School has an excellent forest genetics program and heads up a tree improvement cooperative of which the Forestry Commission is a member. The employee would be sent to this school. Contacts with the College of Forestry at Clemson University determined that they do not offer the courses in forest genetics that would be needed. The courses which the employee would take will be selected by the Forestry Commission and N. C. State Forestry School. A full course load will be required. The employee would not be working toward an advanced degree, but the courses would apply.

The Forestry Commissioners have approved this plan and the N. C. State University Forestry School would accept the employee as a special student.

Forest genetics is a long-term program which requires an extensive knowledge of the individual trees being used in the program and the methods necessary to take advantage of the superior qualities of these trees. All trees used in our second generation orchard will be progeny-tested, which will take from 8-10 years and will require close supervision by trained personnel throughout this period. By selecting a career employee and providing the necessary training, we are assured of having our second generation seed orchard under the supervision of the same individual during the development of this orchard and the progeny testing.

The time required for training of this employee would not delay the initial work on establishing the second generation seed orchard since we will have to honor a farming contract for the land being purchased. The farming contract expires on December 31, 1983. Preliminary land preparation work can be done without the supervision of the geneticist and we will proceed with this work as soon as the land is available.

Your approval of this request for special consideration would be most appreciated.

Very truly yours,

Claude F. Barden
for

Leonard A. Kilian, Jr.
State Forester

LAK/css

000443

South Carolina Forestry Commission

LEONARD A. KILIAN JR. STATE FORESTER P.O. BOX 21707 COLUMBIA S.C. 29221 (803) 758-6900

July 11, 1983

EXHIBIT

JUL 12 1983 NO. 21

STATE BUDGET & CONTROL BOARD

Dr. Jack S. Mullins, Director
S. C. Personnel Division
P. O. Box 12547, Capitol Station
Columbia, SC 29211

Dear Dr. Mullins:

As a follow-up to my request of July 6, 1983, I am providing additional background information requested by Mrs. Phyllis Mayes, Director of Classification and Compensation.

Mrs. Mayes requested information concerning starting salaries for comparable positions in other organizations in order to determine the cost of hiring someone who would already have the requested training. We surveyed several forest industries that have employed Forest Geneticists recently. All have confirmed that Geneticists with a masters degree and several years of experience are not readily available. A summary of their starting salaries is as follows:

Brunswick Pulp Land Co., Brunswick, GA starting salary - around $30,000

Chesapeake Corporation of Virginia, West Point, VA starting salary - around $30,000

Boise Cascade Corporation, Florence, SC starting salary - around $23,000

Champion International Corporation, Greenville, SC starting salary - around $25,000

Westvaco Corporation, Summerville, SC starting salary - around $22,000

In addition, this situation was discussed with Dr. Robert Weir, the Director of the Cooperative Tree Improvement Program at North Carolina State University in Raleigh, NC. This cooperative is composed of thirty three (33) member organizations from forest industry, the S. C. Forestry Commission, the North Carolina Forest Service and the Virginia Division of Forestry. Dr. Weir is in a position to observe the operation of the tree improvement programs in these organizations and has seen Forest Geneticists with a few years experience frequently lost to other companies for higher salaries. In fact, he recently lost two (2) members of his staff to forest industry.

Another question from Mrs. Mayes concerns the possibility of funding this training through a special grant from the Commission on Higher Education. This area was discussed with Mr. Cannon Mayes, Coordinator of Student Affairs. Mr. Mayes advised us that there is no funding available for training of this nature.

In summary, I would again like to emphasize my desire that my request be approved. We have been authorized by the Legislature to spend up to $1,500,000 to acquire the land for the new seed orchard. If statewide planting continues at the 1983-84 level and second generation improved stock is used, an additional $160.5 million would be added to the economy of the state annually based on the value of the stands at 20 years of age. Considering the expenditures that have already been approved, and the potential gain to South Carolina, I feel it is absolutely necessary that we receive approval of our request to send an experienced career employee for this additional retraining rather than hire someone else from outside the agency.

As always, we at the Forestry Commission are appreciative of the fine support and assistance received from you and your staff.

Sincerely,

Claude F. Barden
for

Leonard A. Kilian, Jr.
State Forester

LAKjr/lb

000445

Report of the Committee Reviewing the
Proposed Operating Capacities of State Correctional Facilities

Background

The Prison Overcrowding Act passed by the Legislature this year and signed by the Governor on June 16, 1983, provides that "the Board of Corrections and the Budget and Control Board shall within thirty days of the enactment of this act establish the operating capacities of the prisons operated by the Department of Corrections and shall at least quarterly certify existing operating capacities or establish changed or new operating capacities." The legislation defines operating capacity as "the safe and reasonable inmate capacity of a prison or prison system as certified by the State Board of Corrections and approved by the State Budget and Control Board."

As a result of this law, a four member committee was established by the Budget and Control Board to review the operating capacity of state prisons as proposed by the Department of Corrections. The Committee was charged with the responsibility of reviewing the methodology used by the Department of Corrections in establishing the proposed total capacity of 7,630 for the state correctional system. The Committee met with Corrections officials on June 27 and on July 1, 1983, to discuss and review data regarding the capacity of the prisons. The Department provided information regarding facility function, capacity, square footage, staffing, and compliance or non-compliance with agency standards, as well as standards of the State Fire Marshal, the Department of Health and Environmental Control and the mandatory standards of the American Correctional Association.

The Committee wants to express its appreciation to Commissioner Leeke and his staff for their cooperation and assistance in providing comprehensive and detailed prison capacity information within a very short time frame.

Recommendation

Based upon its analysis of the capacity data, the Committee recommends that the Budget and Control Board approve the total proposed operating capacity for Department of Corrections facilities of 7,630. It should be noted that the only State correctional facility which will expand this total capacity during the current fiscal year will be the Moncrief Building at the State Park Center. The projected capacity for this facility is 250 and will be subject to the Board's approval at a later date. This facility is not included in the 7,630 capacity figure.

EXHIBIT

JUL 12 1983 NO. 22

STATE BUDGET & CONTROL BOARD

000446