

# RATH YOUNG PIGNATELLI

Michael S. Lewis  
Attorney at law  
[mst@rathlaw.com](mailto:mst@rathlaw.com)  
Please reply to: Concord Office

September 2, 2015

## Via Federal Express

Mark J. Langer, Clerk  
United States Court of Appeals  
For the District of Columbia Circuit  
333 Constitution Avenue, NW  
Washington, DC 20001

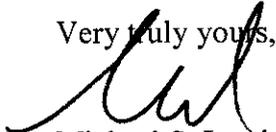
Re: **New Energy Capital Partners, LLC v. Federal Energy Regulatory Commission**

Dear Clerk Langer:

Enclosed for filing in the above-captioned action please find an original and five copies of a Petition for Review of New Energy Capital Partners, LLC and Corporate Disclosure Statement of New Energy Capital Partners, LLC. Also enclosed is our check in the amount of \$500 to cover the filing fee and a stamped self-addressed envelope for a stamped copy of the Petition.

Please feel free to contact me should you have any questions regarding the enclosed.

Very truly yours,



Michael S. Lewis  
Enclosure  
cc: Service List

**National Impact. Uniquely New Hampshire.**

Rath, Young and Pignatelli, P.C.  
[www.rathlaw.com](http://www.rathlaw.com)

One Capital Plaza  
Concord, NH 03302-1500  
T (603) 226-2600  
F (603) 226-2700

20 Trafalgar Square  
Suite 307  
Nashua, NH 03063  
T (603) 889-9952  
F (603) 595-7489

54 Canal Street  
Boston, MA 02114  
T (617) 523-8080  
F (617) 523-8855

**UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT**

New Energy Capital Partners, LLC,	)	
	)	
Petitioner,	)	
	)	
V.	)	No. 15-_____
	)	
Federal Energy Regulatory Commission,	)	
	)	
Respondent.	)	

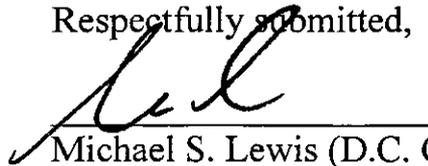
**PETITION FOR REVIEW OF NEW ENERGY CAPITAL PARTNERS, LLC**

Pursuant to 16 U.S.C. § 8251(b) and Rule 15(a) of the Federal Rules of Appellate Procedure (“FRAP”) and Circuit Rule 15 of the rules of this Court, New Energy Capital Partners, LLC (hereinafter “NEC”) hereby petitions this Court for review of the following orders of the Federal Energy Regulatory Commission, attached hereto:

- (1) Order Denying Rehearing, Alcoa Power Generating, Inc., 152 FERC ¶ 61,040 (FERC Docket No. P-2197-107) (July 16, 2015);
- (2) Notice Rejecting Motion to Reopen Record, Alcoa Power Generating, Inc. (FERC Docket No. P-2197-073) (March 3, 2015).

In compliance with FRAP Rule 26.1 and Circuit Rule 26.1, NEC is submitting a Corporate Disclosure Statement contemporaneously with this Petition for Review. NEC prays that the orders set forth above be set aside or modified.

Respectfully submitted,



Michael S. Lewis (D.C. Cir. Bar #55042)

Rath, Young and Pignatelli, P.C.

One Capital Plaza

Concord, New Hampshire 03301

(603) 226-2600

[mssl@rathlaw.com](mailto:mssl@rathlaw.com)

Attorney for New Energy Capital Partners, LLC

Dated: September 2, 2015

152 FERC ¶ 61,040  
UNITED STATES OF AMERICA  
FEDERAL ENERGY REGULATORY COMMISSION

Before Commissioners: Norman C. Bay, Chairman;  
Philip D. Moeller, Cheryl A. LaFleur,  
Tony Clark, and Colette D. Honorable.

Alcoa Power Generating Inc.

Project No. 2197-107

ORDER DENYING REHEARING

(Issued July 16, 2015)

1. On April 2, 2015, New Energy Capital Partners, LLC (New Energy) filed a request for rehearing of the Commission Secretary's March 3, 2015 Notice Rejecting Motion to Reopen Record (Notice) in the relicense proceeding for the 210-megawatt Yadkin Hydroelectric Project No 2197 (Yadkin Project). The project is located on the Yadkin River in Davidson, Davie, Montgomery, Rowan, and Stanly Counties, North Carolina. For the reasons discussed below, we deny New Energy's request for rehearing.

**Background**

2. On September 23, 2002, Alcoa Power Generating Inc. (Alcoa Power) filed its Initial Consultation Document for the relicensing of the Yadkin Project, beginning its pre-filing license application process. On March 27, 2003, Alcoa Power filed its Notice of Intent to file an application for a new license. Three years later, on April 25, 2006, Alcoa Power filed its new license application with the Commission.

3. On December 28, 2006, the Commission's Secretary issued public notice of Alcoa Power's relicense application.<sup>1</sup> The notice established February 26, 2007, as the deadline for filing protests, comments, and motions to intervene in the proceeding. Among other things, the notice stated that "only those who file a motion to intervene in accordance with the Commission's Rules may become a party to the proceeding."

4. On May 7, 2007, Alcoa Power filed a Relicensing Settlement Agreement on behalf of itself and twenty-four other entities. The Commission's Secretary issued a public notice soliciting comments on the settlement agreement on May 17, 2007.

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<sup>1</sup> The notice was published in the *Federal Register* on December 29, 2006. 71 Fed. Reg. 78,424 (01) (2006).

5. On September 28, 2007, Commission staff issued the draft environmental impact statement (EIS). The deadline for comments on the draft EIS was November 27, 2007, and the notice of the document stated that “[a]nyone may intervene in this proceeding based on this draft EIS.”<sup>2</sup> Commission staff issued the final EIS on April 18, 2008. The Commission has not been able to act on the relicense application because the State of North Carolina has declined to issue water quality certification for the project under the Clean Water Act, a prerequisite to Commission action.<sup>3</sup>

6. New Energy did not file a motion to intervene or any comments in response to the notices of the application, settlement agreement, or draft EIS.

7. On April 30, 2013, New Energy filed a request to reopen the record or, in the alternative, intervene late in the relicensing proceeding. New Energy characterized itself as a competitor to Alcoa Power<sup>4</sup> and argued that the Commission must determine whether Alcoa Power’s relicensing application is best adapted to the public interest in light of the repurposing of the Yadkin Project. New Energy further argued that it had good cause to intervene late because its interest did not arise until the occurrence of certain events between March and December 2010. New Energy alleged that these events provided evidence that Alcoa Power was going to sell the project’s power in the wholesale market rather than using it to supply local businesses. On May 24, 2013, Alcoa Power filed an opposition to New Energy’s petition.

8. On May 30, 2013, the Commission’s Secretary denied New Energy’s motion for late intervention, finding that New Energy did not demonstrate good cause for intervening late. The Commission did not address New Energy’s request to reopen the record. On June 5, 2013, New Energy filed a motion for clarification of the May 30, 2013 order, seeking clarification whether a separate ruling on New Energy’s petition to reopen the record was forthcoming and if not, explain how the May 30, 2013 notice

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<sup>2</sup> Citing 18 C.F.R. § 380.10 (2007).

<sup>3</sup> See 33 U.S.C. § 1341(a)(1) (2012). On August 2, 2013, the North Carolina Department of Environmental and Natural Resources (North Carolina DENR) denied Alcoa Power’s September 28, 2012 water quality certification application because of a pending lawsuit regarding the ownership of the streambed located beneath the project. See North Carolina DENR August 16, 2013 Supplemental Information at 3-4. On May 29, 2015, the North Carolina Office of Administrative Hearings reversed the August 2, 2013 denial by North Carolina DENR and directed North Carolina DENR to issue a new decision on Alcoa Power’s water quality certification application within thirty days. See Alcoa Power’s June 5, 2015 transmittal letter.

<sup>4</sup> See Petition to Reopen Relicensing Application Process at 24.

properly addressed the petition. On June 17, 2013, Alcoa Power filed a motion to respond to New Energy's motion for clarification.

9. On June 27, 2013, New Energy filed a request for rehearing of the May 30, 2013 notice denying New Energy's late motion to intervene. The Commission denied rehearing on September 19, 2013.<sup>5</sup>

10. On November 12, 2013, New Energy filed a petition for appeal of the September 19, 2013 Order with the U.S. Court of Appeals for the District of Columbia Circuit. On January 24, 2014, the court granted New Energy's unopposed motion to hold the appeal in abeyance pending resolution by the Commission of New Energy's petition to reopen the record in the relicensing proceeding.

11. On January 21, 2014, New Energy filed a supplement to its petition to reopen the record. New Energy claimed that events subsequent to its petition supported New Energy's request to reopen the record, namely the actions of certain North Carolina agencies, including denial of the section 401 water quality certification. On February 3, 2014, Alcoa Power filed a response in opposition to New Energy's supplement.

12. On December 5, 2014, New Energy filed a request for action on its petition to reopen the record. Alcoa Power filed an opposition to the request on December 22, 2014.

13. On March 3, 2015, the Commission's Secretary issued a notice rejecting New Energy's request to reopen the record for lack of party status. The notice stated that although styled as a petition, New Energy's request was in fact a motion to reopen the record, which may only be filed by a participant, defined in the Commission's regulations as a party or certain Commission employees.<sup>6</sup> Since the Commission had denied New Energy's late motion to intervene, New Energy was not a party to the proceeding. Thus, the notice found that New Energy is not a participant permitted to file a motion to reopen the record and rejected New Energy's motion to reopen the record for lack of party status.

14. On April 2, 2015, New Energy filed a request for rehearing of the notice rejecting its motion to reopen the record.

### **Discussion**

15. New Energy lists three grounds for rehearing. First, New Energy argues that the Commission erred in ruling that New Energy's petition was a motion subject to

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<sup>5</sup> *Alcoa Power Generating Inc.*, 144 FERC ¶ 61,218 (2013) (September 19, 2013 Order).

<sup>6</sup> 18 C.F.R. § 385.716(b)(1) (2014).

resolution under Rule 716 of the Commission's Rules of Practice and Procedure. Next, New Energy claims that the Commission erred in failing to address the substance of New Energy's petition. Finally, New Energy asserts that the Commission erred in failing to grant New Energy's petition. As discussed below, none of these claims has merit.

16. New Energy argues that the Commission improperly characterized New Energy's petition to reopen the record, which may be made by any "person" under Rule 207,<sup>7</sup> as a motion to reopen the record, which only "participants" may make under Rule 716. Rule 102(b)(1)-(2) defines participant to mean any party or certain Commission employees.<sup>8</sup> New Energy asserts that it sought relief under four of the circumstances listed in Rule 207 and, therefore, the Commission violated its procedural rules. We disagree.

17. Regardless of how an entity labels its submissions to the Commission, the Commission has discretion to determine the actual nature of the filing and to treat the filing accordingly.<sup>9</sup> Here, although New Energy labeled its pleading a petition to reopen the relicensing process under Rule 207, the filing does not fit the requirements of that rule. The pleading does not seek a declaratory order to terminate a controversy or remove uncertainty, as required by Rule 207(a)(2),<sup>10</sup> is not an appeal from a staff action, as required by Rule 207(a)(3),<sup>11</sup> and does not seek a rule of general applicability under

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<sup>7</sup> *Id.* § 385.207.

<sup>8</sup> *Id.* § 385.102(b)(1)-(2).

<sup>9</sup> *See, e.g., Northwest Pipeline Corp.*, 44 FERC ¶ 61,157, at 61,497 (1988) ("Although Northwest characterizes its pleading as an answer to motions to reject, it is in fact a response to protests and, as such, is not permitted."); *Roger and Emma Wahl v. Allamakee-Clayton Electric Coop.*, 116 FERC ¶ 61,134, at 61,613 (2006) ("... we will treat the [request for rehearing], in our discretion, as a request for reconsideration.).

<sup>10</sup> *See, e.g., Crown-Vantage-New Hampshire Electric, Inc.*, 88 FERC ¶ 61,018, at 61,050-51 (1999) (Commission concluded that pleading filed by U.S. Department of the Interior seeking, in part, to re-open the record in a hydropower licensing proceeding, was not fit for a declaratory order, but was in fact a late-filed request for rehearing.). In addition, New Energy's pleading did not comply with 18 C.F.R. § 381.302(c) (2014), which requires that a petition for declaratory order under Part I of the Federal Power Act be accompanied by a petition for exemption from the \$24,370 filing fee prescribed in 18 C.F.R. § 381.302(a) (2014).

<sup>11</sup> *See, e.g., Public Service Co. of New Mexico*, 27 FERC ¶ 61,007 (1984) (Commission denied appeal of delegated letter order.).

and the Commission considers comments from all participants regardless of their party status. New Energy's motion to reopen the record is merely an untimely, statutorily-barred attempt to compete for the project.<sup>15</sup>

20. In any case, as noted in the Commission's September 19, 2013 Order denying New Energy's request for rehearing of the denial of its motion for late intervention, it has been the Commission's practice since the issuance of licenses began in 1920 to leave disposition of project power in the hands of the licensee unless Congress has made a legislative directive to the contrary, which has not happened here.<sup>16</sup> Therefore, Alcoa Power's decision as to where to sell project power is not a relevant issue in the relicensing proceeding and could not provide a reason to reopen the record, even if it were closed. Moreover, Alcoa's plans to close the Badin Works plant and to sell project power into the open market were disclosed during the relicensing proceeding several years prior to New Energy's motion.<sup>17</sup> Thus, Alcoa Power's alleged "re-purposing" of the project does not constitute new evidence that would warrant reopening the record pursuant to Rule 716(a).<sup>18</sup>

21. Further, although, as noted above, we are under no obligation to respond to the merits of New Energy's petition, we note that section 15 of the Federal Power Act<sup>19</sup> requires that any entity – whether an existing licensee or a competitor – seeking to file an application to relicense a project must do so no later than two years from when the current license will expire.<sup>20</sup> Accordingly, New Energy is barred by statute from competing for the Yadkin Project license at this late date. We must only reopen license proceedings where changes in an applicant's plan of development are material, that is, involve significant changes to a project's physical features such that it should be

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<sup>15</sup> See *Erie Boulevard Hydropower, L.P.*, 131 FERC ¶ 61,036, at P 3 (2010), *aff'd*, *Green Island Power Auth. v. FERC*, No. 11-1960 (2d Cir. Sept. 25, 2012) (Power authority made series of filings in order to place its untimely and statutorily-barred competitive proposal before the Commission; court affirmed decision on remand denying late motion to intervene and reinstating license.).

<sup>16</sup> See September 19, 2013 Order, 144 FERC ¶ 61,218 at P 15.

<sup>17</sup> *Id.* at PP 16-20.

<sup>18</sup> 18 C.F.R. § 385.716(a) (2014).

<sup>19</sup> 16 U.S.C. § 808 (2012).

<sup>20</sup> See, e.g., *Green Island Power Authority*, 110 FERC ¶ 61,034 at P 13-P 14, *reh'g denied*, 110 FERC ¶ 61,331 (2005).

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considered an entirely new project.<sup>21</sup> No such changes have occurred in this proceeding.<sup>22</sup>

22. For the reasons discussed above, we find that New Energy lacks party status to file a motion to reopen the record.

The Commission orders:

The request for rehearing filed by New Energy Capital Partners, LLC on April 2, 2015, in this proceeding is denied.

By the Commission.

( S E A L )

Kimberly D. Bose,  
Secretary.

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<sup>21</sup> See *Erie Boulevard Hydropower, L.P.*, 131 FERC ¶ 61,036 at P 17, P 37; *reh'g denied*, 134 FERC ¶ 61,205 at P 31-P 32; *reh'g denied*, 136 FERC ¶ 61,044 (2011); *summarily aff'd*, *Green Island Power Authority v. FERC*, 497 Fed. Appx. 127 (2d Cir. 2012).

<sup>22</sup> As we explained in *Alcoa Power Generating Inc.*, 144 FERC ¶ 61,218, at P 24-P 25, the two matters raised by New Energy – the settlement agreement and two water withdrawal agreements – did not constitute material amendments to Alcoa's license application.

Document Content(s)

P-2197-107.DOCX.....1-7

UNITED STATES OF AMERICA  
FEDERAL ENERGY REGULATORY COMMISSION

Alcoa Power Generating Inc.

Project No. 2197-073

## NOTICE REJECTING MOTION TO REOPEN RECORD

(March 3, 2015)

On April 30, 2013, New Energy Capital Partners, LLC (New Energy) filed a “Petition to Reopen Relicensing Application Process and in the Alternative, Motion for Late Intervention” in the relicensing proceeding for Alcoa Power Generating Inc.’s Yadkin Hydroelectric Project No. 2197. The project is located on the Yadkin River in Davidson, Davie, Montgomery, Rowan, and Stanly Counties, North Carolina. The Commission has denied New Energy’s late motion to intervene.<sup>1</sup> On January 21, 2014, New Energy filed a supplement to its petition elaborating on arguments raised in its earlier filing. Though styled as a petition, the request is in fact a motion to reopen the record. New Energy’s motion to reopen the record is rejected.

Under Rule 716 of the Commission’s Rules of Practice and Procedure, only a participant may file a motion to reopen the record.<sup>2</sup> Our regulations define participant to mean any party or certain Commission employees.<sup>3</sup> A party is “any person whose intervention in a proceeding is effective under Rule 214.”<sup>4</sup> Because the Commission denied New Energy’s late motion to intervene, New Energy is not a party in this proceeding. Thus, New Energy is not a participant permitted to file a motion to reopen the record.

Accordingly, the motion to reopen the record is rejected for lack of party status.

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<sup>1</sup> *Alcoa Power Generating Inc., order denying reh’g*, 144 FERC ¶ 61,218 (Sept. 19, 2013). New Energy filed a petition for appellate review in the Court of Appeals of the District of Columbia on November 7, 2013, receiving docket number 13-1277. Upon motion by New Energy, with Commission assent, the court held the case in abeyance on Jan. 24, 2014, and required that New Energy file status reports every 90 days.

<sup>2</sup> 18 C.F.R. § 385.716(b)(1) (2014).

<sup>3</sup> *Id.* § 385.102(b)(1)-(2).

<sup>4</sup> *Id.* §385.102(c)(3).

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This notice constitutes final agency action. Requests for rehearing by the Commission of this rejection notice must be filed within 30 days of the date of issuance of this notice, pursuant to Rule 713 of the Commission's Rules of Practice and Procedure.<sup>5</sup>

Kimberly D. Bose,  
Secretary.

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<sup>5</sup> 18 C.F.R. § 385.713 (2014).

Document Content(s)

P-2197-073 Notice.DOCX.....1-2

**UNITED STATES COURT OF APPEALS  
FOR THE DISTRICT OF COLUMBIA CIRCUIT**

New Energy Capital Partners, LLC,	)	
	)	
Petitioner,	)	
	)	
V.	)	No. 15-_____
	)	
Federal Energy Regulatory Commission,	)	
	)	
Respondent.	)	

**CORPORATE DISCLOSURE STATEMENT OF NEW ENERGY CAPITAL  
PARTNERS, LLC**

Pursuant to Rule 26.1 of the Federal Rules of Appellate Procedure (“FRAP”) and Circuit Rule 26.1 of the rules of this Court, New Energy Capital Partners, LLC (hereinafter “NEC”) submits the following corporate disclosure statement:

NEC is a limited liability company that invests in renewable energy projects and facilities through private equity funds managed by NEC. NEC has no parent company. No publicly held company owns 10 percent or more of NEC’s stock.

Respectfully submitted,  
  
\_\_\_\_\_  
Michael S. Lewis (D.C. Cir. Bar #55042)  
Rath, Young and Pignatelli, P.C.  
One Capital Plaza  
Concord, New Hampshire 03301  
(603) 226-2600  
[mssl@rathlaw.com](mailto:mssl@rathlaw.com)  
Attorney for New Energy Capital Partners, LLC

Dated: September 2, 2015

Service List

Fred Adkins  
270 Marion Brown Trl  
Salisbury, NC 28146-5016

Lou Adkins  
270 Marion Brown Trl  
Salisbury, NC 28146-5016

Gene Ellis  
AGPI Power Generating Inc.  
PO Box 576  
Badin, 28009-0576

Max W Laun  
AGPI Power Generating Inc.  
201 Isabella St  
Pittsburgh, PA 15212-5858

Charles T Steinman, MD  
Animal Care Center of Salisbury  
1500 E Innes St  
Salisbury, NC 281466009

Richard Blase  
177 NC Highway 42 N Ste A  
Asheboro, NC 27203-7955

Legal Department  
Carolina Power & Light Company  
PO Box 1551  
Raleigh, NC 27602-1551

Clerk of Court  
140 S Main St  
Mocksville, NC 27028

Gaither Walser  
Brinkley Walser, PLLC  
10 LSB Plz  
Lexington, NC 27292-3393

Ronnie Lee Qualkenbush  
156 Stratford Rd  
Lexington, NC 27292-9650

Mark Tyson  
President  
Sandhills Rod and Gun Club  
340 Grey Fox Road  
Ellerbe, NC 28338

Dick Christie  
SCDNR  
1771-C Highway 521 By-Pass South  
Lancaster, SC 29720

Jim L Shuping  
755 Riverwalk Dr  
Salisbury, NC 281465020

Henry D McMaster  
SC Office of Attorney Gen.  
PO Box 11549  
Columbia, SC 29211-1549

Andy Lucas, County Manager  
AGPI Power Generating Inc.  
1000 N 1st St  
Stanly County, NC

Andy Lucas, County Manager  
AGPI Power Generating Inc.  
Suite 10  
Albemarle, NC 28001

Prescott Brownell  
Southeast Region FERC Coordinator  
219 Fort Johnson Road  
Charleston, SC 29412

David Bernhart  
Assistant Regional Administrator  
NOAA National Marine Fisheries Service  
National Marine Fisheries Service -SERO  
263 13th Avenue South  
St. Petersburg, FL 33701-5505

Miles Croom  
Assistant Regional Administrator  
NOAA National Marine Fisheries Service  
National Marine Fisheries Service  
263 13th Avenue S  
St. Petersburg, FL 33701

Gerrit Jobsis  
SE Regional Director  
American Rivers  
2231 Devine St, Ste 202  
Columbia, SC 29205

John Seebach  
American Rivers  
1104 14th Street NW, ste 1400  
Washington, D.C. 20005

Philip Marston  
218 N. Lee Street, Suite 300  
Alexandria, VA 22314

Linda Flounders Bell  
315 Topsail Rd.  
Salisbury, NC 28146

Julie Gantenbein  
Staff Attorney  
2140 Shattuck Avenue, Ste. 801  
Berkeley, CA 94704-1229

Richard Roos-Collins  
Director, Legal Services  
2140 Shattuck Avenue, Ste. 801  
Berkeley, CA 94704-1229

V. Tinsley  
Brooks, Pierce, McLendon, Humphrey  
230 N. Elm Street, Suite 2000  
Greensboro, NC 27401

Guy Cornman, Planning Director  
Davidson County Governmental Center  
913 Greensboro Street  
Lexington, NC 27292

John Whittaker  
Winston & Strawn LLP  
1700 K St. N.W.  
Washington, DC 20006-3817

Jeffrey G. Lineberger, Director  
Duke Energy Carolinas, LLC  
PO Box 1006  
Charlotte, NC. 28201-1006

Michael Kurman  
Arent Fox PLLC  
415 Green Pasture Drive  
Rockville, MD 20852

Larry Jones  
High Rock Lake Association  
310 Fox Hollow Farm Road  
Salisbury, NC 28146

Faison Hicks  
Special Deputy Attorney General  
114 West Edenton Street (27603)  
Post Office Box 629 (27602-0629)  
Raleigh, NC 27602-0629

Lars F. Nance  
North Carolina Department of Justice  
114 E Edenton Street  
Office Number 416A  
Raleigh, NC 27603

Prescott Brownell  
NOAA Fisheries Service, Northeast Region  
219 Fort Johnson Rd  
Charleston, SC 29412-9110

Marc Bernstein  
Special Deputy Att'y General  
NC Department of Justice  
9001 Mail Service Center  
Raleigh, NC 27699-9001

Donald Laton  
N.C. Attorney General's Office  
NC Department of Justice  
114 West Edenton Street  
Raleigh, NC 27603-1712

Jim Mead  
Env Specialist  
NC Div. of Water Quality  
1611 Mail Service Center  
Raleigh, NC 27699-1611

Marc Bernstein  
Special Deputy Att'y General  
NC Department of Justice  
9001 Mail Service Center  
Raleigh, NC 27699-9001

Christopher J. Goudreau  
Hydropower Relicensing Coord.  
NC Wildlife Resources Commission  
645 Fish Hatchery Road  
Marion, NC 28752-8254

Todd Ewing  
Eastern FERC Coordinator  
NC Wildlife Resources Commission  
808 Briggs St., NW  
Valdese, NC 28690

Paul F. Shiers  
Project Manager  
PB Power Inc  
75 Arlington St  
Boston, MA 021163904

Neil Robinson, Esquire  
Nexsen Pruet Law Firm  
PO Box 486  
Charleston, SC 29402-0486

James Hancock  
Balch & Bingham LLP  
1710 Sixth Avenue N  
Birmingham, AL 35203

David Poe  
Bracewell & Giuliani LLP  
2000 K Street NW  
Washington, D.C. 20006-1872

Robert Petree  
SaveHighRockLake.org  
263 High Rock Drive  
Lexington, NC 27292

Mullen Taylor  
Willoughby & Hoefler, PA  
120 S. Waccamaw Ave.  
Columbia, SC 29205

Robert Edward Duncan  
SCDNR  
PO Box 12559  
Charleston, SC 29422-2559

Julie McIntyre, Staff Counsel  
SC Department of Health and Environmental  
Control  
2600 Bull Street  
Camden, SC 29020

Larry Turner  
SC Department of Health and Environmental  
Control  
2600 Bull Street  
Columbia, SC 29016

Hank Stallworth  
SC Office of the Governor  
PO Box 11829  
Columbia, 29211-1829

Rebecca J. Baldwin  
Spiegel & McDiarmid LLP  
1875 Eye Street, NW, Suite 700  
Washington, DC 20006

Frances Francis  
Spiegel & McDiarmid LLP  
1875 Eye Street, NW, Suite 700  
Washington, DC 20006

William Huang  
Spiegel & McDiarmid LLP  
1875 Eye Street, NW, Suite 700  
Washington, DC 20006

E Service  
Spiegel & McDiarmid LLP  
1875 Eye Street, NW, Suite 700  
Washington, DC 20006

Kimberly D. Bose, Secretary  
Nathaniel J. Davis, Sr., Deputy Secretary  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, DC 20426

Jason Walser  
The Land Trust for Central NC  
215 Depot St.  
Salisbury, NC 28144

Eric Krueger  
Aquatic Program Mgr  
The Nature Conservancy  
PO Box 20246  
Charleston, SC 29413-0246

Nancy Gottovi  
Executive Director  
PO Box 159  
Star, NC 27356-0159

Ann Brownlee  
President  
400 Lantz Avenue  
Salisbury, NC 28144

Gerald Thornton  
U.S. Department of Interior  
530 South Gay Street  
Suite 308  
Knoxville, TN 37902

Mark D. Bowers  
Biologist  
U.S. Department of Interior  
PO Box 27636  
Raleigh, TN 27611

Teresa Morton  
134 Peach Drive  
Troy, North Carolina 27371

Roger Morton  
134 Peach Drive  
Troy, North Carolina 27371

Holy Cafer  
Office of the General Counsel  
Solicitor's Office  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, DC 20426