

MINUTES OF
Budget and
Control Board
Meeting

Sept. 14, 1977

MINUTES OF BUDGET AND CONTROL BOARD MEETING

SEPTEMBER 14, 1977 3:00 P.M.

The Budget and Control Board met at 3:00 p.m. on September 14, 1977 in the Governor's Conference Room with the following members in attendance:

Governor James B. Edwards
Mr. Grady L. Patterson, Jr.
Mr. Earle E. Morris, Jr.
Senator Rembert C. Dennis
Representative Tom G. Mangum

Also attending were Board Secretary State Auditor W. T. Putnam, Messrs. P. C. Smith and Jesse A. Coles of the Office of State Planning, Governor's Executive Assistant Walter R. Pettiss and his Assistant A. E. Reiser, and Assistant to State Auditor W. A. McInnis.

Because Governor Edwards was delayed in his return from a ceremony announcing a new industry to be located in Greenville County, State Treasurer Grady L. Patterson, Jr., presided during the Board's consideration of the following two agenda items and during part of the third agenda item following, after which Governor Edwards assumed the chair and presided throughout the remainder of the meeting.

The following items of business were considered:

MINUTES OF PREVIOUS MEETINGS - Budget and Control Board members previously had been furnished with minutes of the meetings and polls held on August 19, 22 and September 2, 1977.

Upon a motion by Mr. Morris, seconded by Representative Mangum, the Budget and Control Board approved these minutes as written.

DEPARTMENT OF SOCIAL SERVICES - CONSULTANT SERVICES CONTRACT -

Upon a motion by Representative Mangum, seconded by Mr. Morris, the Budget and Control Board agreed to add to the present agenda an appearance by DSS Chairman Robert Kneece to request Budget and Control Board approval of a consultant services contract with Locke and Robison for assistance to the

DSS Board in the selection of a new Commissioner. Chairman Kneece indicated that the consultant's fee would be thirty percent of the anticipated first year salary of the Commissioner and that, in addition, the consultant's out-of-pocket expenses would be paid. Chairman Kneece also indicated that the DSS Board had given its unanimous approval to this contract and that he expects a minimum of ninety days will be required to make the selection and that this process could require as much as five months.

Following a brief discussion, upon a motion by Mr. Morris, seconded by Representative Mangum, the Budget and Control Board approved the execution of the referenced contract between the Department of Social Services and Locke and Robison.

DEPARTMENT OF CORRECTIONS - CAPITAL IMPROVEMENT PROGRAM - Commissioner

William D. Leeke, accompanied by several staff members, appeared before the Budget and Control Board to present a report on progress made on the Department's Capital Improvement Program in response to actions by the Budget and Control Board at its 2/8/77 meeting and to request Board approval of (1) an addendum to the A&E contract covering services related to Phase I projects; (2) 12 Phase I construction projects; and (3) the use of Capital Improvement Bond funds for personnel, materials and equipment for the inmate construction program.

Commissioner Leeke also requested an early opportunity to appear before the Board on Phase II of the Department's Capital Improvement Program.

In a brief summary of progress to date on the program, Commissioner Leeke pointed out that prototype designs are being developed on four types of correctional facilities (medium/maximum, minimum, work-release and pre-release) and that, as a result of this approach, a \$260,000 saving in A&E fees is projected for Phase I projects and that substantial savings on A&E costs will be realized as the program moves into other phases. Commissioner Leeke also noted that, through the projected use of inmate labor, a \$1.7 million saving in Phase I construction costs is indicated.

Department of Corrections staff member John Potts, in a brief review of actions taken by the Department of Corrections subsequent to the February 8 Budget and Control Board meeting, reported that Mr. George Harris had been employed as construction manager; that Wilbur Smith/Hellmuth, Obata and Kassabaum had been awarded the Phase I A&E contract and that schematic design work on prototypical correctional facilities and an investigation of construction techniques had been accomplished; and that, with the assistance of Stephen Carter & Associates, an inmate labor construction program had been developed. Mr. Potts noted that a major focus of the design effort was to identify and design, as prototypes, those elements which are common to the four types of correctional facilities included in the overall program. Mr. Potts indicated that in excess of \$3 million in A&E fees may be saved in the Department's total projected 10-year program as the result of the use of prototypical designs.

Mr. Potts also outlined the Phase I inmate labor construction program and noted that the projects in which inmates would be involved carry an estimated contract value of \$4.3 million and that about \$1.7 million are expected to be saved as a result of the use of inmate labor. He also projected savings of \$8 to \$8.5 million in Phase II of the Department's program resulting from the use of inmate labor.

Mr. Potts noted that the completion of the twelve Phase I construction projects is projected for July of 1980 and called attention to the anticipated bed space deficiencies which would still exist if the inmate population grows as has been predicted.

Following a brief discussion, Mr. Potts requested Budget and Control Board approval of (1) an addendum to the A&E contract with Wilbur Smith and Associates/Hellmuth, Obata and Kassabaum calling for a fixed fee totaling \$1,050,000 for all services related to Phase I projects; (2) twelve Phase I construction projects at an estimated total cost of \$18,670,760; and

the use of Capital Improvement Bond funds for the costs of eight permanent civilian personnel and eight contract civilian personnel, inmate labor, materials and equipment for the inmate construction program relating to Phase I.

Following a brief discussion, upon a motion by Senator Dennis, seconded by Mr. Morris, the Budget and Control Board approved twelve Phase I construction projects at an estimated total cost of \$18,670,760 to be financed by Capital Improvement Bond funds, and approved an addendum to the previously approved A&E contract between the Department of Corrections and Wilbur Smith and Associates/Hellmuth, Obata and Kassabaum, Inc., which calls for a total fixed fee of \$1,050,000 for the provision of prototypical and site-specific drawings and specifications, and bidding and construction administration in connection with a medium/maximum security correctional facility, a minimum security correctional facility, a work-release center and a pre-release center.

Upon a motion by Representative Mangum, seconded by Mr. Morris, the Budget and Control Board authorized the use of Capital Improvement Bond funds for the costs of eight permanent civilian personnel and eight contract civilian personnel, inmate labor, materials and equipment for the inmate construction program.

Senator Dennis, Governor Edwards and Mr. Patterson commended the Department of Corrections and others involved in bringing the Department's Capital Improvement Program to this point because of the savings indicated and the coordinated problem-solving efforts entailed.

Information relating to this matter has been retained in these files and is identified as Exhibit I.

POLL AGENDA - The Budget and Control Board without objection approved all items included on a poll agenda dated September 14, 1977 furnished along with materials for the present meeting with the exception of contracts 2(e)

and 3, included in Poll Item 6, which were carried over to a future meeting.

Items included in the Poll Agenda are specifically identified as such in these minutes.

STATE HOUSING AUTHORITY - AUTHORIZATION TO EXECUTE CONSTRUCTION CONTRACTS (POLL ITEM 1) - State House Authority Executive Director Steve Mayfield has requested Budget and Control Board authorization to execute construction contracts covering the following projects: (1) Walterboro, 68 units, with Dicks & Gillam Construction Company, for a low bid of \$1,195,000; and (2) Ridgeland, 40 units, with Dicks & Gillam Construction Company, for a low bid of \$715,000.

The Budget and Control Board without objection authorized the State Housing Authority to execute the two referenced construction contracts, as requested by Executive Director Mayfield.

Information pertaining to this matter has been retained in these files and is identified as Exhibit II.

FINANCE DIVISION, GRANTS AND CONTRACTS REVIEW UNIT - PREVIOUSLY APPROVED FUNDING AWARDS (POLL ITEM 2) - At its meeting on 8/22/77, the Budget and Control Board considered but did not act on a listing of 144 funding awards received by various State agencies prior to the establishment of the Grants and Contracts Review Unit pending the preparation of a summary analysis of the long-term impacts of these awards on the State Budget. Grants Services Administrator George F. Oliver presented a brief analysis of the projected impacts of these previously-received awards which indicated that all but 7 of the 144 awards listed are included in the 1977-78 budget and are for periods ending on or before June 30, 1978. A separate analysis of the 7 longer-term awards also was presented by Mr. Oliver who also called attention to the possible adverse impacts arising out of a failure of the several State agencies to have formal understandings with employees whose positions are Federally-funded. Mr. Oliver also suggested that these understandings should indicate that the Federally-funded jobs are contingent upon the receipt of

Federal funds and that no claim against the State for a continuation of a position would exist in the event Federal funding is terminated.

Budget and Control Board members generally agreed that a formal disclaimer of the sort suggested by Mr. Oliver should be required for all positions funded by Federal or other funds.

The Budget and Control Board without objection approved the 144 funding awards received by State agencies prior to the establishment of the Grants and Contracts Review Unit, as recommended by Mr. Oliver.

Information pertaining to this matter has been retained in these files and is identified as Exhibit III.

FRANCIS MARION COLLEGE - CAMPUS DEVELOPMENT ACCOUNT (POLL ITEM 3) -

Francis Marion College President Walter D. Smith has reported that the State College Board of Trustees has authorized Francis Marion College to assess a \$25 per semester campus development fee. President Smith indicates that revenues from this fee are to be deposited in a special "Campus Development Account" and used to complete various campus development and landscaping projects. The Budget and Control Board was advised that this proposal is essentially the same as the "Renovation Fee Reserve" which was approved by the Board for the University of South Carolina at its 7/14/77 meeting.

The Budget and Control Board without objection approved the establishment of a "Campus Development Account" to be funded by a \$25 per semester student fee, as requested by Francis Marion College.

Information pertaining to this matter has been retained in these files and is identified as Exhibit IV.

UNIVERSITY OF SOUTH CAROLINA, AIKEN - SELECTION OF A&E FIRM (POLL

ITEM 4) - University of South Carolina-Aiken Dean of Administration George A. Gibson advised the Budget and Control Board that the following firms, listed in preference order, had been selected, after following the required selection procedure, to provide the services required in connection with Project H31-001, Campus Development Planning - Aiken:

- (1) Hallman and Weems;
- (2) Alexander Moorman and Associates; and
- (3) Wells and Fleetwood.

The Budget and Control Board, after being assured that the required selection procedure had been followed, approved the selection of Hallman and Weems to provide the services required in connection with the referenced project, as requested by the University of South Carolina-Aiken.

Information relating to this matter has been retained in these files and is identified as Exhibit V.

DEPARTMENT OF MENTAL HEALTH - SELECTION OF A&E FIRM (POLL ITEM 5) -

The Department of Mental Health advised the Budget and Control Board that, after following the required selection procedure, the following firms, listed in preference order, had been selected to provide the services required in connection with the renovation and air conditioning of the Thompson Building, SCSH:

- (1) Reed-Shealy and Associates;
- (2) Energy Conservation Systems; and
- (3) Durlach, O'Neal, Jenkins and Associates.

After being assured that the required selection procedure had been followed, the Budget and Control Board without objection approved the selection of Reed-Shealy and Associates for the referenced project, as recommended by the Department of Mental Health.

Information relating to this matter has been retained in these files and is identified as Exhibit VI.

CONSULTANT SERVICES CONTRACTS (POLL ITEM 6) - With the exception of a proposed contract between the Division of Administration and CORBIA, identified as contract 2(e), and a proposed contract between the Department of Social Services and Robert A. Frederick, M.D., identified as contract 3, the Budget and Control Board without objection approved the following consultant services contracts, pursuant to a proviso in Part I, Section 13, Page 47 of the 1977-78 Appropriation Act:

8 - 9/14/77

<u>AGENCY/Consultant</u>	<u>Maximum Dollars</u>
(1) DEPARTMENT OF CORRECTIONS:	
(a) Dr. John F. Kososki	\$36,000
(b) Vismor, McGill and Bell, Inc.	38,900 (plus \$5,000 option)
(2) DIVISION OF ADMINISTRATION:	
(a) Stephen Carter & Associates	\$18,600
(b) Thompson, Mann and Hudson	20,000
(c) Wilbur Smith & Associates	27,500
(d) Stephen Carter & Associates	53,328

Information relating to this matter has been retained in these files and is identified as Exhibit VII.

DIVISION OF GENERAL SERVICES - RIGHT-OF-WAY AGREEMENT (POLL ITEM 7) -

The Division of General Services reports that the City of Columbia has requested that a right-of-way easement across Department of Corrections property at CCI be granted for the construction of sanitary sewer lines. The Division also notes that existing easements for sewer lines which are abandoned will be released and cancelled by the acceptance of this easement. The requested easement has been approved by the Board of Corrections and the Division of General Services recommended its approval.

The Budget and Control Board without objection approved the granting of the referenced right-of-way agreement, as recommended by the Division of General Services.

DIVISION OF GENERAL SERVICES - PRINTING EQUIPMENT ACQUISITIONS

(POLL ITEM 8) - The Division of General Services reported that the State Printing Officer has reviewed and concurs with the following printing equipment requests:

(A) Clemson University - To purchase (1) one Rosback Model #250 auto-trimmer attachment at an approximate cost of \$14,850; and (2) one Nygren-Dahly perforating and scoring machine with attachments at an approximate cost of \$1,900 for use in the Vocational Education Media Center.

(B) Lander College - To lease a photo typesetter with processor at a cost of \$580 per month.

The Division of General Services reported that no additional personnel

are required in either of the requests and recommended their approval by the Board.

The Budget and Control Board without objection approved the referenced printing equipment acquisitions, as recommended by the Division of General Services.

FRANCIS MARION COLLEGE - PROPOSED ON-CAMPUS PRESIDENT'S HOUSE -

Francis Marion College Vice President for Business and Finance N. Casey Frederick appeared before the Budget and Control Board in connection with a request by College President Walter D. Smith for authorization to proceed with the actions necessary to construct a house for the College President on the campus of the College. State Auditor W. T. Putnam reviewed the item in which Francis Marion College requests Board authorization to (1) initiate the project to provide a house for the President on the campus of the College, using funds from the Central Pee Dee Education Foundation now held by the College; (2) employ an architect to prepare plans and specifications for the project, following the usual procedures; and (3) sell the off-campus house presently used as the President's home and apply the proceeds of this sale to the cost of the on-campus home with the difference between the cost of the on-campus home and the sale price to be provided by the Central Pee Dee Education Foundation Fund now held by the College. Mr. Putnam noted that the General Assembly has authorized the sale of the existing President's house (which the College estimates will sell for between \$80,000 and \$90,000 at current prices). The proposed on-campus house would contain approximately 4,500 to 5,000 square feet of floor space and is estimated to cost in the range of \$175,000 to \$190,000.

Following a brief discussion, upon a motion by Senator Dennis, seconded by Mr. Morris, the Budget and Control Board granted the several authorizations requested by Francis Marion College, as presented by Mr. Putnam.

Information pertaining to this matter has been retained in these

files and is identified as Exhibit VIII.

STATE PERSONNEL DIVISION - 1977-78 TEC FACULTY COMPENSATION PLAN -

Dr. Jack Mullins, Director of the State Personnel Division, in a follow-up to action taken by the Budget and Control Board at its 7/27/77 meeting, reported that a Budget and Control Board subcommittee, TEC staff and staff of the Personnel Division have reached agreement on the remaining matters at issue in the proposed TEC Faculty Compensation Plan. TEC Executive Director G. William Dudley, Jr., and Associate Executive Director Wyman Shealy also were in attendance.

Dr. Mullins reported that, in reaching agreement on Item 10 of the TEC proposed compensation plan, terminology became an important issue and he distributed copies of an opinion by Assistant Attorney General Nathan Kaminski, Jr., concerning the meaning of the word "approval" and "review" as used in the Plan proposed by TEC. TEC Executive Director Dudley expressed a preference for the use of the term "approval" as it pertains to the local area commission level and the term "review" or "concurrence" at the State Board level.

Following a brief discussion, upon a motion by Senator Dennis, seconded by Mr. Patterson, the Budget and Control Board adopted the subcommittee report on the TEC Faculty Compensation Plan for 1977-78, including the revision of Item 10 proposed by staff revised further to provide that the salary of new employees will be determined by the Institutional Chief Executive Officer with the approval of the area Commission, and the concurrence of the State Board for Technical and Comprehensive Education and the State Budget and Control Board.

Information pertaining to this matter has been retained in these files and is identified as Exhibit IX.

STATE EMPLOYEES ASSOCIATION - MERIT INCREMENT PROGRAM - As background

for consideration of this item, State Auditor W. T. Putnam noted that this fiscal year, for the first time, the General Assembly appropriated merit increment funds to each agency as a line item and that the amount appropriated

provided for an average merit increment of 4% for classified personnel with the actual pay-out being 1.7%. Mr. Putnam also noted that merit increment appropriations included provision for a 1.7% pay-out for unclassified personnel and, because most unclassified employees are on a contractual basis, the merit increment increase would be about the same as the 1.7% pay-out. Mr. Putnam also indicated that the Budget and Control Board had previously taken the view that only funds appropriated for merit pay may be used for the increment program and that the Plan of Administration authorizes merit increments up to 4% for satisfactory performance, up to 6% for superior performance and up to 8% for outstanding performance. Mr. Putnam concluded his introductory remarks by stating that the problem is that the amounts appropriated are not sufficient to give every employee a 4% merit pay increase.

State Employees Association Director Ellis emphasized that the lack of uniformity in merit increments being granted to classified employees is another dimension of the difficult situation now confronting State employees. Mr. Ellis indicated that some agencies are granting merit increases on the 4, 6 and 8 percent basis authorized by the Plan of Administration, while various other percent increases, ranging down to 1, 3 and 5 percent, are being granted. Mr. Ellis urged the Budget and Control Board to maintain a uniform classification and compensation plan and suggested that the Board permit the transfer of funds within agencies as well as the transfer of funds from one agency to another in order to provide for a uniform merit increment program.

State Personnel Division Director Jack Mullins indicated that a degree of disparity had always existed in the merit increment program and pointed out that the language in the Plan of Administration authorizing merit increments up to certain percentages is a recognition of budgetary reality and also is designed to allow agencies to rank employees against one another. Dr. Mullins noted that the basic difference in the current fiscal year is the line item appropriation approach and the inability of agencies to transfer

funds from vacancy accruals to fund the merit increment increases. Dr. Mullins also pointed out that the appropriation for merit increments was not sufficient to provide 4% increases to all employees receiving a satisfactory performance rating and that the discrepancies described by Mr. Ellis are a result of different management approaches among the several State agencies.

Governor Edwards observed that, as merit increments are presently viewed, they are not awarded for truly meritorious performance.

State Employees Association Executive Director Ellis recommended that a thorough investigation of the merit increment pay program for classified employees be undertaken by a subcommittee of the Board in view of the fact that many employees are receiving a 1 or 2 percent merit pay increase when they expected to receive 4%. Mr. Ellis expressed the view that there was no misunderstanding on the unclassified merit increment program in that his Association has received relatively few complaints from personnel in that status.

Representative Mangum expressed the view that, while the amount appropriated for merit increases for unclassified personnel may be a problem, agency heads have created the present problem by giving too many merit increases above the 4% level to classified employees.

Following considerable discussion, the Budget and Control Board without objection named a subcommittee comprised of Senator Dennis, Representative Mangum and Mr. Patterson to investigate the merit pay program for both classified and unclassified personnel and to meet with appropriate committees of the Legislature in the course of the investigation.

AUCTIONEERS COMMISSION - CIVIL CONTINGENT FUND ADVANCE - Auctioneers Commission Chairman J. L. Pinckney, accompanied by Commission member William Yonce, appeared before the Budget and Control Board to request that an advance of \$15,000 be made to the Auctioneers Commission from the Civil Contingent Fund. Mr. Yonce pointed out that, under Act 111 of 1977 which created the Auctioneers Commission, the Commission is supposed to become

operational on October 1, 1977, but that start-up funds were not appropriated by the General Assembly. He noted that the Commission will generate revenue in support of its activities but that until these monies can be collected the Commission is without the resources required to become operational.

Messrs. Pinckney and Yonce indicated that the Auctioneers Commission expects to license about 300 persons between October of 1977 and January of 1978 and, on that basis, would be in position to repay the advance on or before February 1, 1978. Mr. Yonce noted that the Commission expects to license about 500 persons during the current fiscal year.

Following a brief discussion, upon a motion by Mr. Morris, seconded by Senator Dennis, the Budget and Control Board authorized the transfer of a \$15,000 advance to the Auctioneers Commission from the Civil Contingent Fund, with the understanding that repayment is to be made on or before 2/1/78.

Governor Edwards called attention to the possibility of combining the administrative staffs and space of the various licensing boards as a means of reducing costs.

Information relating to this matter has been retained in these files and is identified as Exhibit X.

FINANCE DIVISION, GRANTS AND CONTRACTS REVIEW UNIT - FEDERAL GRANT AND CONTRACT PROPOSALS - Grants Services Administrator George F. Oliver presented a review of 54 proposals for Federal grants and contracts and recommended their approval by the Budget and Control Board. Board members expressed interest in the long-term impact of these proposals and called particular attention to the need for a formal disclaimer of any State responsibility for personnel employed in positions which are funded by Federal or other funds. Mr. Oliver noted that he had addressed this matter in the analysis presented on the 144 awards made prior to the establishment of the Grants and Contracts Review Unit (Poll Item 2) and that steps would be taken to include such a disclaimer in forms used by his Unit and elsewhere in the personnel system.

State Auditor Putnam reported that the committee of the General Assembly investigating the possibility of appropriating Federal funds had expressed interest in the work of the Grants and Contracts Review Unit and requested Board authorization to supply copies of the Grants and Contracts Unit reports to that committee.

Following a brief discussion, upon a motion by Mr. Morris, seconded by Mr. Patterson, the Budget and Control Board approved the 54 proposals for Federal grant and contract funds, as recommended by the Grants and Contracts Review Unit, and authorized State Auditor Putnam to provide copies of these reports to the referenced committee of the General Assembly.

Information pertaining to this matter has been retained in these files and is identified as Exhibit XI.

FINANCE DIVISION AND PERSONNEL DIVISION - COMPREHENSIVE EMPLOYMENT AND TRAINING ACT (CETA) EMPLOYEES - State Auditor W. T. Putnam pointed out that, because of a conflict between State law and Federal regulations, some 2,700 State employees hired under the CETA program now have a problem or face the prospect of a problem with respect to the maximum salary they may be paid and with respect to their participation in the State Retirement System. Mr. Putnam noted that, under CETA regulations, the maximum salary payable from Federal funds is \$10,000 and that, under State law, persons at that maximum may not be granted increases payable from State sources. He also pointed out that, because of a conflict with the State Retirement System statutes, participation in that System is now jeopardized. Mr. Putnam also called particular attention to the fact that the Retirement System is especially impacted by this conflict of State law and Federal regulations in that thousands of CETA employees of local political subdivisions are presently in the Retirement System.

Retirement System Director Purvis Collins reminded the Board that a Resolution had been adopted requiring CETA employees to participate in the

Retirement System and that to continue them in the System under the conditions imposed by Federal regulations to be effective October 1, 1977 would amount to selective participation which is contrary to the Retirement System statutes. Mr. Putnam urged that the State view the CETA program as a means for training employees for possible placement in positions not supported by CETA funds and that State agencies avoid using the CETA program as a means for supplementing their personal service funds. As another part of a possible long-term policy, Mr. Putnam also suggested that CETA positions be filled initially at salaries not greater than \$8,000 in order to allow for salary increases within the maximum allowable under the Federal regulations until the possibility of permanent positions can materialize. Messrs. Roger Kirk and Charles Minshew, of the Division of Administration Manpower Division, also appeared before the Budget and Control Board on this matter and observed that portions of the CETA program now consist entirely of public service employment for short periods of time and that the training aspects of the program have assumed lesser significance.

State Auditor Putnam stressed the importance of identifying the State employees who are at or near the \$10,000 salary maximum. Mr. Minshew estimated the number to be identified at about 200 persons.

Governor Edwards suggested that further consideration of this item be carried over in order that he might have sufficient time to seek relief from the Federal regulations by involving the Southern Governors Conference and, perhaps, the National Governors Conference.

DIVISION OF RESEARCH AND STATISTICAL SERVICES - EDUCATION FINANCE

ACT INFLATION FACTOR - Dr. E. A. Laurent, Director of the Division of Research and Statistical Services, appeared before the Budget and Control Board to present a methodology for projecting the rate of inflation for use in adjusting base student cost figures as required by the Education Finance Act of 1977. Dr. Laurent noted that none of the regularly published, aggregate inflation

measures is appropriate for this purpose and briefly described a methodology developed by his Division. As described by Dr. Laurent, the proposed methodology includes inflation measures for each of the three components of education expenditures, i.e., compensation, purchase of goods and services, and structures, each of which was used in proportion to its weight in the total education expenditures as presented in the annual report of the State Superintendent of Education. Dr. Laurent expressed the view that the methodology proposed is defensible and, in response to a suggestion by Governor Edwards, indicated his intention to apply it retrospectively as a means of testing its validity.

Following a brief discussion, upon a motion by Senator Dennis, the Budget and Control Board without objection accepted the methodology proposed by Dr. Laurent for projecting the rate of inflation for use in adjusting base student cost figures, as required by the Education Finance Act of 1977.

Information relating to this matter has been retained in these files and is identified as Exhibit XII.

STATE COLLEGE BOARD OF TRUSTEES (COLLEGE OF CHARLESTON) - BOND

ANTICIPATION NOTES - At its meeting on March 17, 1977, the Budget and Control Board adopted a Resolution approving the issuance of \$1,000,000 Student and Faculty Housing Revenue Bonds and \$3,300,000 Facility Improvement Bonds by the State College Board of Trustees for the College of Charleston. The College now requests Budget and Control Board approval of the issuance of \$750,000 Bond Anticipation Notes in anticipation of the issuance of the \$1,000,000 Student and Faculty Housing Revenue Bonds and \$500,000 Bond Anticipation Notes in anticipation of the issuance of \$3.3 million Facility Improvement Bonds.

Following a brief discussion, upon a motion by Mr. Patterson, seconded by Senator Dennis, the Budget and Control Board adopted a Resolution authorizing the State College Board of Trustees to issue \$750,000 Bond Anticipation Notes and \$500,000 Bond Anticipation Notes in anticipation of

the referenced bond issues.

Information relating to this matter has been retained in these files and is identified as Exhibit XIII.

DIVISION OF MOTOR VEHICLE MANAGEMENT - ITEMS CARRIED OVER - The Budget and Control Board without objection agreed to postpone to a future meeting its consideration of Agenda Item 10, pertaining to 1978 motor vehicle specifications and assignment criteria; Agenda Item 11, pertaining to a proposed Motor Vehicle Management Manual Chapter 15, relating to the disposal of State-owned motor vehicles; and Agenda Item 12, pertaining to a proposed Motor Vehicle Management Manual Chapter 18, relating to reimbursements for the use of privately-owned vehicles.

Various materials relating to these items submitted by Division of Motor Vehicle Management Director Allan Spence have been retained in these files and are identified collectively as Exhibit XIV.

STATE PERSONNEL DIVISION - SPECIFICATIONS FOR REBID OF INSURANCE COVERAGE - Dr. Jack Mullins, Director of the State Personnel Division, reported that the Board is required by law to re-bid every three years the contract for life, long-term disability and accidental death/dismemberment insurance coverage and requested that the Board's insurance subcommittee be authorized to approve the bid specifications.

Following a brief discussion, upon a motion by Mr. Morris, seconded by Senator Dennis, the Budget and Control Board authorized the insurance subcommittee to approve the referenced bid specifications.

DIVISION OF GENERAL SERVICES - MEDICAL LIABILITY INSURANCE LOSS PREVENTION PROGRAM - Division of General Services Director Furman McEachern recommended that the State insurance program join with the Joint Underwriting Association (JUA) and the Hospital Association to provide a loss prevention program for medical facilities insured in the State program. As described by Mr. McEachern, the loss prevention program is designed to include seminars for hospital employees; prevention and premises liability inspections and

engineering; incident reporting review; and operating room environment and equipment risk management assistance. Mr. McEachern also noted that the provision of such a program is to medical coverage what engineering services are to fire and extended coverage protection and recommended that the State share of the cost of such a program, estimated to be a maximum of \$75,000, be paid by the means of an adjustment of the liability premium structure.

Following a brief discussion, upon a motion by Senator Dennis, seconded by Mr. Morris, the Budget and Control Board authorized the State insurance program to join with the JUA and the Hospital Association in providing a loss prevention program with the State's share of its cost to be paid by means of an adjustment of the liability premium structure, as recommended by Mr. McEachern.

Information relating to this matter has been retained in these files and is identified as Exhibit XV.

DIVISION OF GENERAL SERVICES - NEW CENTRAL CASHIER POSITION -

Division of General Services Director Furman McEachern reported that a recommendation in the current Division audit indicates a need to establish a central cashier position to be responsible for all funds received by the Division, the distribution of all checks drawn from Division accounts, accounting for all pre-printed financial documents used by the Division and for related duties. Mr. McEachern requested authorization to employ a Cashier II at a Grade 12 at a salary not to exceed \$7,500 and to prorate equally the salary and related expenses of this position among the following accounts: Insurance Reserve Funds; Central Telephone System; Data Processing; Motor Pool; and Construction Projects. State Personnel Division Director Jack Mullins indicated that his staff had reviewed the Position Questionnaire on this position.

Following a brief discussion, upon a motion by Mr. Morris, the Budget and Control Board without objection authorized the Division of General

Services to employ a Cashier II and to prorate the salary and related costs of the position among the several accounts, as recommended by Mr. McEachern.

FINANCE DIVISION - REGULATIONS ON TRAVEL EXPENSE ADVANCES -

The Budget and Control Board without objection agreed to postpone its consideration of a draft of regulations covering travel expense advances as authorized by Section 131 of the 1977-78 Appropriation Act.

A copy of the draft regulations has been retained in these files and is identified as Exhibit XVI.

FUNDING FOR PERMANENT IMPROVEMENTS, 1978 - State Auditor Putnam

advised the Budget and Control Board that, although Act 237 of 1975 requires that the Board make recommendations on capital improvement projects to the General Assembly in alternate years beginning in 1977, recommendations made and acted on by the General Assembly last year were confined to one year only. Mr. Putnam pointed out that the House-Senate Bond Review Committee has indicated its intention to review the capital improvement bond situation again in 1978 and that it has informally requested the Budget and Control Board to do likewise.

Board members expressed general concern about opening the capital improvement bond matter completely during what is supposed to be an interim year under the requirements of Act 237 of 1975 and took the general position that any consideration of requests in 1978 should be restricted to the extent necessary to avoid having to go through the entire review process again.

FUTURE MEETING - The Budget and Control Board agreed to hold its next meeting on Wednesday, September 21, 1977, at 2:00 p. m.

FINANCE DIVISION - 1978-79 BUDGET ALLOCATIONS - State Auditor

Putnam presented and briefly reviewed a listing of preliminary budget allocations by agency for fiscal year 1978-79 totaling \$1,312,418,485. In his review of these preliminary allocations, Mr. Putnam noted that about \$16,000,000

are included to provide for a base pay increase of 4% for employees and that the allocations presented do not include provisions for the required Reserve Fund, for merit increments and for certain fringe benefits. He pointed out that somewhat more than \$39.5 million are included in the allocation for the Department of Education for implementation of the Education Finance Act and that the application of the revised inflation factor proposed by the Division of Research and Statistical Services would decrease this allocation somewhat.

Following a brief discussion, the Budget and Control Board, upon a motion by Mr. Patterson, seconded by Senator Dennis, adopted the allocations presented as preliminary figures and directed that the Reserve Fund and other items not now included be added.

Mr. Putnam called attention to a need to clarify the definition of the make-up of General Fund revenues and recommended that the Board adopt a policy which considers as General Fund revenues all funds included in it without regard to source.

Following a brief discussion, the Budget and Control Board without objection adopted the definition of General Fund revenues proposed by State Auditor Putnam.

Governor Edwards suggested that provision be made in the budget for the costs of gubernatorial transition, including a provision that a newly-elected governor draw a salary from election day onward. Following a brief discussion of this suggestion, upon a motion by Senator Dennis, seconded by Mr. Morris, the Budget and Control Board agreed to recommend funds to cover the costs of gubernatorial transition, with an amount to be recommended by the Auditor's Office.

A copy of the preliminary budget allocations for 1978-79 has been retained in these files and is identified as Exhibit XVII.

JUDICIAL DEPARTMENT - CONSULTANT SERVICES CONTRACTS - State

Auditor Putnam reported that Chief Justice Lewis and Court Administration Director L. Edmund Atwater have requested Board approval of two consultant services contracts with Mr. Lee M. Thomas. The first contract includes two parts, i.e., (1) an analysis of court facilities in half of the counties in the State which is to include recommendations on needed improvements to those facilities; and (2) a review and analysis of magistrate courts, for which the Judicial Department proposes to pay Mr. Thomas \$135 per day, not to exceed 100 days or \$13,500 during the October 1, 1977 through September 30, 1978 period, with 90% of the costs to be paid from Federal grant funds. The second contract proposed between the Judicial Department and Mr. Thomas calls for the preparation of an Annual State Judicial Plan, phase 1 of which includes the provision of staff support in the development of the Annual Plan by the Judicial Planning Committee and is to consist of an analysis of court needs, resources, and the establishment of priorities and programs to implement the Plan. Phase 2 of this effort includes the preparation of funding proposals to LEAA to implement the plan. The Judicial Department proposes to pay Mr. Thomas \$9,800 for the specified end products, with the funds payable quarterly during the October 1, 1977 through September 30, 1978 period, with 90% of these costs being paid by Federal grant funds.

Mr. Atwater called the Board's attention to the overlap of these proposed contracts with a contract Mr. Thomas presently has with the Department of Corrections (which is for the August 1, 1977 through July 31, 1978 period and which provides for a \$135 per day rate of pay, not to exceed 200 days or \$27,000 and which was approved by the Budget and Control Board on July 14, 1977). Mr. Atwater assured the Board that Mr. Thomas will be reimbursed for work completed based on specific invoices stating the hours worked by date and by tasks performed for each Department.

Following a brief discussion, upon a motion by Senator Dennis,

seconded by Mr. Patterson, the Budget and Control Board approved the execution of the two referenced contracts between the Judicial Department and Mr. Lee M. Thomas, as requested by the Judicial Department.

Information relating to this matter has been retained in these files and is identified as Exhibit XVIII.

EXECUTIVE SESSION - Governor Edwards and State Auditor Putnam announced that two personnel salary matters, a land acquisition item and five Grievance Committee items had been proposed for consideration in Executive Session. The Budget and Control Board without objection agreed to consider these matters, whereupon Governor Edwards declared the meeting to be in Executive Session.

SECRETARY'S NOTE: Senator Dennis had to leave the meeting at this point because of other commitments.

RATIFICATION OF EXECUTIVE SESSION ACTIONS - Following the Board's consideration of Executive Session items, the meeting was opened and the following actions taken by the Board in Executive Session were announced:

(1) Denied requested salary increases for Attorney III's in the Attorney General's Office and denied permission to hire Attorney III's at a starting salary of \$22,000;

(2) Confirmed the maximum salary which the DHEC Board can offer to a new Commissioner as the amount appropriated, \$48,608;

(3) Authorized the Department of Corrections to purchase two tracts of land for correctional facility sites in the Piedmont region;

(4) Received as information a report from the State Employees Grievance Committee on ways of reducing the grievance case backlog and directed the State Personnel Division to draft legislation to remove the Grievance Committee from Administrative Procedures Act requirements; and

(5) Received as information the findings and recommendations of the State Employee Grievance Committee in four grievance cases.

The meeting was adjourned at 6:15 p. m.

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14 1977

AGENDA ITEM NUMBER

EXHIBIT I
9/14/77
5

Agency: Department of Corrections

Subject: Capital Improvement Program

Department of Corrections representatives will present a progress report on the program and request approval of the following:

(1) A&E Contract Addendum: For all services related to Phase I projects, a contract calling for a fixed fee totalling \$1,050,000 has been negotiated and is recommended for approval by the Department of Corrections (see copy attached).

(2) Phase I Construction Projects: E-1 forms covering 12 Phase I construction projects will be presented for Board approval (copies are attached).

(3) Use of Bond Funds for Inmate Construction Program: Authorization to pay certain civilian personnel who will be involved in the inmate construction program and to purchase equipment for use in this program, using previously-released bond funds, will be requested (equipment costs are spread among the projects and a listing of the proposed personnel is attached).

Board Action Requested:

- (1) Approve the execution of the A&E contract addendum;
 - (2) Approve E-1 forms covering 12 Phase I construction projects;
 - (3) Approve the use of previously-released bond funds to employ civilian personnel and to purchase equipment for inmate construction program.
-

Staff Comment:

Attachments:

- (1) Leeke 9/2/77 letter to Putnam; (2) SCDC Construction Program Outline; (3) A&E Contract Addendum; (4) E-11 form on Design Fees; (5) E-1 forms on 12 projects; and (6) Listing of personnel for inmate construction program.



south carolina department of corrections

P. O. BOX 21787/4444 BROAD RIVER ROAD/COLUMBIA, SOUTH CAROLINA 29221
TELEPHONE (803) 758-6444
WILLIAM D. LEEKE, Commissioner

September 2, 1977

Mr. William T. Putnam
State Auditor
P. O. Box 11333
Columbia, South Carolina 29201

Dear Bill:

As you recall, the Budget and Control Board approved, at its February meeting, release of \$19,720,760 in capital improvement funds for the South Carolina Department of Corrections to implement Phase I of its Ten-Year Growth and Capital Improvements Plan. The Department of Corrections then proceeded to select a construction manager, initiate a review of prototypical design techniques and modular construction, develop an inmate labor construction program, and select an architectural firm. At the May meeting of the Budget and Control Board, the contract for Phase I of the architectural contract was approved to develop design specifications for our new facilities.

Since the approval of the architectural contract, work has proceeded quite well; and we are now prepared to request the Board's approval for the specific projects to be constructed. I would appreciate it if you could place this on the agenda for the September 14, 1977, meeting of the Budget and Control Board so we may move forward as rapidly as possible with our construction program.

My staff is finalizing the E-1 forms necessary for each project, the architectural contract, and an outline for our proposed construction program. This outline includes a description of the use of prototypical design, modular construction techniques, and inmate labor in our projects. As you recall, the members of the Board were quite interested in these aspects of the program. Upon completion of these documents early next week, I will have my staff deliver them to Mr. John McPherson, State Engineer, for review and dissemination to the Board members.

Your assistance in this matter will be greatly appreciated.

Sincerely,

William D. Leeke

WDL:sj

cc: Mr. John McPherson

BOARD OF
CORRECTIONS

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Vice Chairman
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GOV. JAMES B. EDWARDS, Member, Ex-Officio, Columbia, S. C.

SCDC CONSTRUCTION PROGRAM OUTLINE

Introduction

The South Carolina Department of Corrections completed the development of a Ten Year Growth and Capital Improvements Plan in the fall of 1976. Based upon this plan, the S. C. Budget & Control Board, at its February meeting, authorized the expenditure of \$19,720,760 in capital improvement funds for the implementation of Phase I of this long-range building program. This approval was conditioned upon further development of plans for employing a construction manager, utilizing prototypical plans, and exploration of construction methods and systems. Since that time, the Department of Corrections has moved forward to develop a construction program designed to meet these conditions and to implement the projects in the Ten Year Plan.

In developing this program, the Department has sought to maximize efficiency and economy while at the same time minimizing construction time and administrative overhead. In meeting these objectives the Department feels it has established a number of precedents which should prove extremely beneficial to the state in other capital improvement projects.

This outline of the Department of Corrections construction program includes a summary of the items of concern to the Board of Corrections and the Budget & Control Board and is responsive to the agreement of February 9, 1977, between William D. Leeke and Governor James B. Edwards. Detailed information on the construction program will be presented to the Board of Corrections and the Budget & Control Board at their September meetings.

Construction Manager

The Department of Corrections employed a construction manager in April 1977 to be responsible for coordinating and managing the implementation of the capital improvement plan. This construction manager, George Harris, has extensive construction experience in private industry. He reports directly to the Commissioner and has authority to direct activities across organizational lines.

Architectural Design

The architectural firm of Wilbur Smith & Associates was selected by the Board of Corrections and approved by the Budget & Control Board at its May 1977 meeting to develop prototypical plans for Type I, Type II and Type III

facilities and to site adapt and supervise construction of the first two new facilities in the Greenville/Spartanburg area. These two facilities are being designed as prototypes for future Type I and Type II facilities. Additionally, similar portions of each facility have been designed in a prototypical fashion thereby cutting down on design time and costs. Included in the architectural contract was a provision that the final plans will be the property of the state for reuse. Future liability is provided for with the inclusion of a liability renegotiation clause. When completed, the Department of Corrections will own a final complete set of drawings for a Type I (Medium Security), a Type II (Minimum Security) and Type III (Pre-Release/Work Release) facility. These plans will then only need resiting to be used for future construction called for in the Ten Year Growth and Capital Improvement Plan. This will save a significant amount of design time for each facility as well as the cost savings in architectural fees.

As noted above, in designing the Type I and Type II facilities for the Greenville/Spartanburg area, as much similarity as possible was incorporated in the drawings. The residential units were of particular importance as they constitute a significant portion of all new facilities. A prototypical design has been developed to be used in all Type I and Type II facilities with internal modifications for security differences. Additionally, these residential units will be used for all planned add-on living space at existing institutions.

Construction Methods, Techniques & Materials

The architectural firm employed by the Department of Corrections explored a variety of construction methods, techniques and materials. Additionally the firm of Steven Carter & Associates, which had developed the Ten Year Growth and Capital Improvements Plan, coordinated a review of construction techniques used in other states. Visits were made to view construction of corrections facilities in Montana, Oklahoma, Arkansas, Florida, and Texas. Extensive discussions were held in each state and with Department of Corrections personnel regarding the utilization of the inmate labor for the construction program.

After a review of the cost effectiveness and timeliness of a variety of construction methods, it was determined that a combination of contract and in-house inmate labor would be the most advantageous. The construction of the two new facilities in the Greenville/Spartanburg area would be done under contract and with inmates. Inmates

would be utilized by the contractor under the work release program and the Employment program. Additionally the inmate labor construction program would construct the industries, multi-purpose and educational buildings at the facilities. The remainder of the construction in Phase I would be with the in-house inmate labor construction program. It is estimated the savings will be in excess of \$1,000,000 during this phase by utilizing inmates as opposed to traditional contract construction techniques.

A thorough review was made of construction materials and techniques such as modular, pre-cast, tilt-up, poured-in-place, and brick & block. Discussions were held with contractors and industry representatives, and a thorough cost analysis was completed. At present, pre-cast and brick & block are the alternative building systems being considered. Both costs, time for construction and applicability to an inmate labor program are being considered in determining final selection.

Phase I Projects

Of the \$19,720,760 released at its February meeting, the Budget & Control Board has authorized the expenditure of funds for a construction manager and for architectural fees for Wilbur Smith & Associates. These costs are reflected in the projects listed below which represent Phase I of the Ten Year Growth and Capital Improvements Plan.

<u>PROJECT</u>	<u>METHOD</u>	<u>BED SPACE</u>	<u>COSTS**</u>
Architectural Fees	Contract		\$ 1,050,000
Oaklawn Type I	Contract/Inmate	576	\$ 9,328,720*
Industries Type I	Inmate		\$ 400,000
Spartanburg Type II	Contract/Inmate	528	\$ 6,385,040*
Industries Type II	Inmate		\$ 400,000
Multipurpose Type II	Inmate		\$ 380,000
Ed/Voc Type II	Inmate		\$ 320,000
Abattoir	Inmate		\$ 250,000
Wateree Addition	Inmate	96	\$ 460,000
Roof Repair	Inmate		\$ 40,000

<u>PROJECT</u>	<u>METHOD</u>	<u>BED SPACE</u>	<u>COSTS**</u>
Renovations WRCI	Inmate		\$ 377,000
Renovations State Park Hospital	Inmate		\$ 180,000
Renovations KCI Infirmary	Inmate		\$ 150,000
TOTALS		<u>1,200</u>	<u>\$19,720,760</u>

* Costs include land purchase

** All costs include:

1. Construction (material, labor & equipment)
2. Fees
3. Basic Equipment & Supplies
4. Insurance
5. Contingencies

Budget & Control Board Approval

Approval is requested of the Budget & Control Board for the following items:

- A. Construction of the projects listed under Phase I Projects.
- B. The architectural contract to design working drawings, oversee bidding, and supervise construction of the two new facilities in the Greenville/Spartanburg area.
- C. The utilization of capital improvement funds for the employment of civilian staff and purchase of equipment for the inmate labor program.

ADDENDUM NO. 1 OF SEPTEMBER 7, 1977

To Standard Form of Agreement between Owner and Architect dated April, 1977 between the South Carolina Department of Corrections and Wilbur Smith and Associates, Inc. in association with Hellmuth, Obata and Kassabaum, Associated Architects.

In the terms and conditions of the above agreement, add to or delete from as follows:

1. The Scope of the work will be:
Prototypical and Site-specific drawings and specifications, and bidding and construction administration of the following projects:
 - A. A Medium/Maximum Correctional Facility
 - B. A Minimum Security Correctional Facility
 - C. A Work-Release Center
 - D. A Pre-Release Center
2. Delete Pages 2 and 2.1 titled "Professional Fee Plus Expenses"
3. Add new Page 2 titled "Fixed Fee".
4. The Scope of the work to be furnished under the Schematic Design phase will be limited to the services as stated in paragraphs 1.1.1 through 1.1.3 and the additional basic services stated in Paragraph 14.4.
Site evaluations will be limited to these sites studied prior to the date of this addendum.
Visits to other correctional facilities will be limited to In-State institutions.

	FIXED FEE
--	-----------

- Computation for such services shall be negotiated prior to furnishing such services by the Architect.

[illegible]

- [illegible]

- B. IF THE SERVICES covered by this Agreement have not been completed within Forty Eight (48) months of the date hereof, the amounts of compensation, rates and multiples set forth in Paragraph II shall be subject to renegotiation.

NOTE: The Fixed Fee of \$1,050,000.00 includes a fee of \$150,000.00 for work through the Schematic Phase and a Fee of \$900,000.00 for Design Development, Construction Documents, Bidding and Award and Construction Administration.

①

Form E-11
(Rev. 7-72)
Submit in Duplicate

REVISION OF PROJECT COST ESTIMATE

Date SEPTEMBER 8 19 77Institution or Agency SOUTH CAROLINA DEPARTMENT OF CORRECTIONSName of Project PROTOTYPE FACILITIES (I, II & III) - DESIGN FEES No. N04-001To: State Budget and Control Board
Columbia, South Carolina

Your approval of the following revised cost estimate on the above project is requested.

A statement is attached indicating the necessity of these revisions. *

Item	Last Estimate	Revised Estimate	Change
Site	\$ _____	\$ _____	\$ _____
Grading	_____	_____	_____
Construction	_____	_____	_____
Fees	<u>235,800</u>	<u>1,050,000</u>	<u>814,200</u>
Renovation	_____	_____	_____
Basic Equipment and Supplies	_____	_____	_____
Landscaping	_____	_____	_____
Builder's Risk Insurance	_____	_____	_____
Other <u>TEST BORINGS, BOUNDARY SURVEY,</u>	<u>25,000</u>	<u>- 0 -</u>	<u>(25,000)</u>
<u>TOPOGRAPHIC SURVEY</u>	_____	_____	_____
Contingencies	_____	_____	_____
Total Estimated Cost	<u>\$ 260,800</u>	<u>\$ 1,050,000</u>	<u>\$ 789,200</u>

(Signed) Wil D. Locke

Title _____

* If the total estimated cost of the project has been increased, the source of the additional funds required should be indicated also.

APPROVED: _____
State Auditor

DATE: _____

2

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE SEPTEMBER 8, 1977

Institution or Agency SOUTH CAROLINA DEPARTMENT OF CORRECTIONS

Name of Project TYPE I MEDIUM SECURITY CORRECTIONAL FACILITY (OAKLAWN)

Total Estimated Cost \$9,328,720

To: State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

NEW BUILDINGS - COMBINATION OF SINGLE AND TWO LEVEL STRUCTURES

B. Intended Use: CORRECTIONAL FACILITY FOR MEDIUM CUSTODY INMATES

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.): COMPLETE FACILITIES TO INCLUDE EDUCATIONAL, VOCATIONAL, PROGRAM SERVICES, DINING, KITCHEN, ADMINISTRATIVE, MULTIPURPOSE, AND HOUSING UNITS.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site	\$	
Grading		
Construction		8,441,700
Fees		
Renovation		
Basic Equipment and Supplies		409,000
Landscaping		
Builder's Risk Insurance		5,000
Other (Specify) <u>WATER & SEWAGE TREATMENT SYSTEMS</u>		90,000
<u>LAND PURCHASE</u>		130,000
Contingencies		253,020
TOTAL ESTIMATED COST		\$ 9,328,720

It is further estimated that this project will add \$ 2,304,000 per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	\$	9,328,720
Source: <u>CAPITAL IMPROVEMENTS BONDS 1976 ISSUE</u>		
B. Proposed Bond Issue		
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).		
C. Other (describe)		
TOTAL		\$ 9,328,720

Has your governing board taken formal action authorizing the submission of this application?

(Signed)

W. O. Leake

Title

BOARD'S ACTION

APPROVED

State Auditor

DATE:

3

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 19 77

Institution or Agency S. C. Department of Corrections

Name of Project Industries Building - Type I Facility (Oaklawn)

Total Estimated Cost \$ 400,000

To: State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

New Building

B. Intended Use: Provide space for Department industries program

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Single level structure containing offices and production areas

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site - - - - -	\$	
Grading - - - - -		
Construction - - - - -		243,700
Fees - - - - -		
Renovation - - - - -		
Basic Equipment and Supplies - - - - -		
Landscaping - - - - -		
Builder's Risk Insurance - - - - -		
Other (Specify) <u>Equipment</u>		40,540
<u>Labor</u>		115,720
Contingencies - - - - -		
TOTAL ESTIMATED COST - - - - -	\$	<u>400,000</u>

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand - - - - - \$ 400,000

Source: Capital Improvements Bonds 1976 Issue

B. Proposed Bond Issue - - - - -

(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).

C. Other (describe) _____

TOTAL - - - - - \$ 400,000

Has your governing board taken formal action authorizing the submission of this application? _____

(Signed) Will D. Leake

Title _____

BOARD'S ACTION

APPROVED: _____
State Auditor

DATE: _____

4

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE SEPTEMBER 8, 19 77

Institution or Agency SOUTH CAROLINA DEPARTMENT OF CORRECTIONS

Name of Project TYPE II MINIMUM SECURITY CORRECTIONAL FACILITY (SPARTANBURG)

Total Estimated Cost \$6,385,040

To: State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

NEW BUILDINGS - COMBINATION OF SINGLE AND TWO LEVEL STRUCTURES

B. Intended Use: CORRECTIONAL FACILITY FOR MINIMUM CUSTODY INMATES

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) COMPLETE FACILITIES TO INCLUDE
PROGRAM SERVICES, DINING, KITCHEN, ADMINISTRATIVE AND HOUSING
UNITS.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site	\$
Grading	
Construction	5,530,000
Fees	
Renovation	
Basic Equipment and Supplies	400,000
Landscaping	
Bidder's Risk Insurance	5,000
Other (Specify): WATER & SEWER TREATMENT SYSTEMS	53,564
LAND PURCHASE	196,476
Contingencies	200,000
TOTAL ESTIMATED COST	6,385,040

It is further estimated that this project will add \$ 975,000 per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	\$ 6,385,040
Source: CAPITAL IMPROVEMENTS BONDS 1976 ISSUE	
B. Proposed Bond Issue	
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).	
C. Other (describe)	
TOTAL	6,385,040

Has your governing board taken formal action authorizing the submission of this application?

(Signed)

Title

BOARD'S ACTION

APPROVED

State Auditor

DATE:

5

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 1977

Institution or Agency South Carolina Department of Corrections

Name of Project Industries Building - Type II Facility (Spartanburg)

Total Estimated Cost \$ 400,000

To: State Budget and Control Board
Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

New Building

B. Intended Use: Provide space for Department industries program

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Single level structure containing offices
and production areas

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site	-----	\$	-----
Grading	-----		-----
Construction	-----		243,700
Fees	-----		-----
Renovation	-----		-----
Basic Equipment and Supplies	-----		-----
Landscaping	-----		-----
Builder's Risk Insurance	-----		-----
Other (Specify) <u>Equipment</u>	-----		40,540
<u>Labor</u>	-----		115,720
Contingencies	-----		-----
TOTAL ESTIMATED COST	-----	\$	400,000

It is further estimated that this project will add \$----- per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand ----- \$ 400,000

Source: Capital Improvements Bonds 1976 Issue

B. Proposed Bond Issue -----

(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).

C. Other (describe) -----

TOTAL ----- **\$ 400,000**

Has your governing board taken formal action authorizing the submission of this application? -----

(Signed) Wil D. Luke

Title -----

BOARD'S ACTION

APPROVED: -----
State Auditor

DATE: -----

6

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7 1977

Institution or Agency S. C. Department of Corrections

Name of Project Multipurpose Building - Type II Facility (Spartanburg)

Total Estimated Cost \$ 380,000

To: State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

New Building

B. Intended Use: Provide recreational and multipurpose space for inmates

C. If New Construction is involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.): Single level structure containing offices, music rooms, exercise rooms, stage and combination auditorium/gymnasium

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site	\$
Grading	
Construction	231,500
Fees	
Renovation	
Basic Equipment and Supplies	
Landscaping	
Builder's Risk Insurance	
Other (Specify: <u>Equipment</u>)	36,500
<u>Labor</u>	110,000
Contingencies	
TOTAL ESTIMATED COST	\$ 380,000

It is further estimated that this project will add \$ _____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	\$ 380,000
Source: <u>Capital Improvements Bonds 1976 Issue</u>	
B. Proposed Bond Issue	
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).	
C. Other (describe)	
TOTAL	\$ 380,000

Has your governing board taken formal action authorizing the submission of this application? _____

(Signed) W. D. Luke

Title _____

BOARD'S ACTION

APPROVED: _____ DATE: _____

State Auditor

7

Form F-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 1977

Institution or Agency South Carolina Department of Corrections

Name of Project Educational/Vocational Building - Type II Facility (Spartanburg)

Total Estimated Cost \$ 320,000

To: State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

New Building

B. Intended Use: Provide academic and vocational training space for inmates.

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Single level structure containing offices, classrooms, and vocational shops

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For an unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site	
Grading	
Construction	195,000
Fees	
Renovation	
Basic Equipment and Supplies	
Landscaping	
Builder's Risk Insurance	
Other (Specify) <u>Equipment</u>	32,000
<u>Labor</u>	93,000
Contingencies	
TOTAL ESTIMATED COST	\$ 320,000

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	\$ 320,000
Source: <u>Capital Improvements Bonds 1976 Issue</u>	
B. Proposed Bond Issue	
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).	
C. Other (describe)	
TOTAL	\$ 320,000

Has your governing board taken formal action authorizing the submission of this application?

(Signed) Will D. Luke

Title _____

BOARD'S ACTION

APPROVED: _____

State Auditor

DATE: _____

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 19 77

Institution or Agency South Carolina Department of Corrections

Name of Project Construct New Abattoir

Total Estimated Cost - - - - - \$ 250,000

To:-- State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

New Building

B. Intended Use: To conduct training for inmates in meat processing, and to process meat for Department use.

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Single level structure containing kill floor, refrigeration, processing and administrative areas.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site - - - - -	\$	
Grading - - - - -		
Construction - - - - -		155,000
Fees - - - - -		
Renovation - - - - -		
Basic Equipment and Supplies - - - - -		
Landscaping - - - - -		
Builder's Risk Insurance - - - - -		
Other (Specify) <u>Equipment</u>		25,000
<u>Labor</u>		70,000
Contingencies - - - - -		<u>250,000</u>
TOTAL ESTIMATED COST - - - - -	\$	<u>70,000</u>

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand - - - - -	\$	<u>250,000</u>
Source: <u>Capital Improvements Bond 1976 Issue</u>		<u>70,000</u>
B. Proposed Bond Issue - - - - -		
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).		
C. Other (describe) _____		
TOTAL - - - - -	\$	<u>250,000</u>

Has your governing board taken formal action authorizing the submission of this application? _____

(Signed) W. D. Leake

Title _____

BOARD'S ACTION

APPROVED: _____ DATE: _____
State Auditor

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 1977

Institution or Agency South Carolina Department of Corrections

Name of Project Dormitory Addition - Wateree River Correctional Institution

Total Estimated Cost - - - - - \$ 460,000

To:—State Budget and Control Board
Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", our approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

New Building

B. Intended Use: House minimum custody inmates

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Facility consists of one (1) 96 bed prototype minimum security housing unit (two level structure)

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site		\$
Grading		
Construction		278,920
Fees		
Renovation		
Basic Equipment and Supplies		
Landscaping		
Builder's Risk Insurance		
Other (Specify) <u>Equipment</u>		47,420
<u>Labor</u>		133,660
Contingencies		
TOTAL ESTIMATED COST		\$ 460,000

It is further estimated that this project will add \$ 336,000 per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand		\$ 460,000
Source: <u>Capital Improvements Bonds 1976 Issue</u>		
B. Proposed Bond Issue		
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).		
C. Other (describe)		
TOTAL		\$ 460,000

Has your governing board taken formal action authorizing the submission of this application?

(Signed) W. D. Luke

Title _____

BOARD'S ACTION

APPROVED:

State Auditor

DATE:

10

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 1977

Institution or Agency South Carolina Department of Corrections

Name of Project Roof Repair - MacDougall Youth Correction Center

Total Estimated Cost - - - - - \$ 40,000

To: State Budget and Control Board
Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

Repair

B. Intended Use:

C. If New Construction is Involved:

- 1. Attach (a) Architect's schematic drawing with facilities labeled.
 - (b) Outline specifications.
 - (c) Small scale locality map.
 - (d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Project includes repair of roof deck and roofing membrane on existing dormitories.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site	- - - - -	\$	
Grading	- - - - -		
Construction	- - - - -		24,400
Fees	- - - - -		
Renovation	- - - - -		
Basic Equipment and Supplies	- - - - -		
Landscaping	- - - - -		
Builder's Risk Insurance	- - - - -		
Other (Specify)	Equipment		4,000
	Labor		11,600
Contingencies	- - - - -		
TOTAL ESTIMATED COST		\$	40,000

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand - - - - - \$ 40,000

Source: Capital Improvements Bond 1976 Issue

B. Proposed Bond Issue - - - - -

(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).

C. Other (describe) _____

TOTAL - - - - - \$ 40,000

Has your governing board taken formal action authorizing the submission of this application? _____

(Signed) Wil D. Leake

Title _____

BOARD'S ACTION

APPROVED: _____ DATE: _____

State Auditor



Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 19 77

Institution or Agency South Carolina Department of Corrections

Name of Project Renovations - Wateree River Correctional Institution

Total Estimated Cost - - - - - \$ 377,000

To:--State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

Renovation

B. Intended Use:

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Project consists of expansion and modification of dining, administrative and support facilities, and upgrade of water supply and sewage treatment systems.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site		\$
Grading		
Construction		229,000
Fees		
Renovation		
Basic Equipment and Supplies		
Landscaping		
Builder's Risk Insurance		
Other (Specify) <u>Equipment</u>		38,500
<u>Labor</u>		109,500
Contingencies		
TOTAL ESTIMATED COST		\$ 377,000

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand		\$ 377,000
Source: <u>Capital Improvements Bonds 1976 Issue</u>		
B. Proposed Bond Issue		
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).		
C. Other (describe)		
TOTAL		\$ 377,000

Has your governing board taken formal action authorizing the submission of this application? _____

(Signed) Will D. Leeke

Title _____

BOARD'S ACTION

APPROVED: _____ DATE: _____
State Auditor

12

Form E-1
(Revised 7-1-61)
Submit in Duplicate

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE September 7, 19 77

Institution or Agency South Carolina Department of Corrections

Name of Project Renovations - State Park Hospital

Total Estimated Cost - - - - - \$ 180,000

To: State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

Renovation

B. Intended Use: Hospital facility for Department of Corrections' inmates

C. If New Construction is Involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications.
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stories, rooms, offices, etc.) Project consists of modification of the 4th floor of the State Park Hospital to house hospitalized inmates.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site - - - - -	\$	
Grading - - - - -		
Construction - - - - -		109,000
Fees - - - - -		
Renovation - - - - -		
Basic Equipment and Supplies - - - - -		
Landscaping - - - - -		
Builder's Risk Insurance - - - - -		
Other (Specify) <u>Equipment</u>		18,500
<u>Labor</u>		52,500
Contingencies - - - - -		
TOTAL ESTIMATED COST - - - - -	\$	<u>180,000</u>

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand - - - - -	\$	<u>180,000</u>
Source: <u>Capital Improvements Bonds 1976 Issue</u>		
B. Proposed Bond Issue - - - - -		
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).		
C. Other (describe) _____		
TOTAL - - - - -	\$	<u>180,000</u>

Has your governing board taken formal action authorizing the submission of this application?

(Signed) Will D. Leake

Title _____

BOARD'S ACTION

APPROVED: _____

State Auditor

DATE: _____

APPLICATION FOR APPROVAL OF A PERMANENT IMPROVEMENT PROJECT

DATE

September 7

1977

Institution or Agency South Carolina Department of Corrections

Name of Project Renovation - Kirkland Correctional Institution Infirmary

Total Estimated Cost \$ 150,000

To:--State Budget and Control Board

Columbia, South Carolina

In accord with procedures outlined in your "Manual for the Planning and Execution of State Permanent Improvement Projects", your approval of the project described herein is requested.

I. JUSTIFICATION

(The Owner should attach hereto a full and complete resume of facts contributing to the need of this proposed project. The objective should be to provide sufficient information to fully acquaint the Board with conditions, prospective growth and/or other circumstances that led the Owner to propose this particular project.

Copies of studies or surveys, made either by the Owner or by an outside commercial or other firm, should be made available to the Board. Comments should be included concerning any alternative proposals, if any, considered by the Owner).

II. DESCRIPTION OF PROJECT

A. Type (New building, addition to existing building, renovation, alteration, etc.):

Renovation

B. Intended Use: Infirmary for all Department facilities

C. If New Construction is involved:

1. Attach (a) Architect's schematic drawing with facilities labeled.
(b) Outline specifications
(c) Small scale locality map.
(d) Analysis of Architect's Preliminary Construction Estimate.

2. No. Square Feet:

3. Principal Facilities (No. of stores, rooms, offices, etc.) Project consists of modifications required to meet current Department of Health and Environmental Control health care facility standards.

D. If renovation and/or alteration of an existing building is involved, attach a statement outlining generally the principal work to be done.

E. If land acquisition is involved, attach a plat of the property, showing general location and acreage. Comment on any problems of acquisition or title that may exist.

F. For any unusual type project, the Owner should confer with the Board in the preparation of this Request, and attach such descriptive data as the Board may require in this particular instance.

III. ESTIMATED COST

Site		\$
Grading		
Construction	91,500	
Fees		
Renovation		
Basic Equipment and Supplies		
Landscaping		
Builder's Risk Insurance		
Other (Specify) Equipment	15,000	
Labor	43,500	
Contingencies		
TOTAL ESTIMATED COST	\$ 150,000	

It is further estimated that this project will add \$_____ per year to operation and maintenance costs of this agency.

IV. FINANCING PLAN

A. Funds already in Hand	\$ 150,000
Source: Capital Improvements Bonds 1976 Issue	
B. Proposed Bond Issue	
(If a bond issue is proposed, the Board should be consulted prior to preparation of this application, to determine the details to be submitted herewith).	
C. Other (describe)	
TOTAL	\$ 150,000

Has your governing board taken formal action authorizing the submission of this application?

(Signed)

Will D. Luke

Title

BOARD'S ACTION

APPROVED:

State Auditor

DATE:

INMATE AND CONTRACT CONSTRUCTION PROGRAM

PHASE I

LABOR, MATERIALS AND EQUIPMENT SUMMARY

A. Inmate Labor Program - Personnel

1. Civilian Labor Force

<u>Position</u>	<u>Grade</u>	<u>Salary</u>
1 - Construction Manager		\$ 30,000
1 - Secretary	14	8,000
1 - Architect/Engineer	28	18,000
1 - Draftsman	23	12,000
1 - Construction Superintendent	28	18,000
1 - Scheduler/Estimator	26	14,000
1 - Expediter	24	12,000
1 - Bookkeeper	21	10,000
<u>8</u>		
	SUBTOTAL	\$122,000 per year
2 - Construction Supervisors		36,000
1 - Plumbing Foreman		17,000
2 - Masonry Foremen		26,000
1 - Carpenter Foreman		15,000
1 - Electrical Foreman		16,000
1 - Mechanical Foreman		17,000
<u>8</u>		
		\$127,000 per year

2. Inmate Labor Force

Approximately 6100 inmate man months will be expended over the duration of the total project.

<u>PROJECT</u>	<u>NUMBER MAN MONTHS</u>	<u>AVERAGE COST PER MAN MONTH</u>	<u>TOTAL COST</u>
Abattoir	480	\$40.00	\$ 19,200
Roof Repair	90	40.00	3,600
New Dorm WRCI	720	40.00	28,800
Industries Type I	900	40.00	36,000
Industries Type II	900	40.00	36,000
Multipurpose Type II	900	40.00	36,000
Ed/Voc. Type II	900	40.00	36,000
Renovations WRCI	360	40.00	14,400

<u>PROJECT</u>	<u>NUMBER MAN MONTHS</u>	<u>AVERAGE COST PER PER MAN MONTH</u>	<u>TOTAL COST</u>
Renovations State Park Hospital	60	\$ 40.00	\$ 2,400
Renovations KCI Infirmary	<u>100</u>	40.00	<u>4,000</u>
TOTALS	5,410		\$216,400
Less Inmate Pay From Operating Budget @ average of \$20.00 per month			<u>108,200</u> \$108,200

3. Total Labor Cost - Project Duration

Permanent Civilian Employees	122,000/yr @ 3 yrs.	\$366,000
Contract Civilian Employees	127,000/yr @ 3 yrs.	381,000
Inmate Labor		<u>108,200</u>
		\$855,200

B. Equipment Required for Inmate Construction Program

Equipment Allowance - \$ 300,000

A detailed list of required equipment cannot be prepared at this time since type of building construction is not known.

C. Total Labor, Materials, and Equipment

Labor	\$ 855,200
Materials	1,901,800
Equipment	<u>300,000</u>
	\$2,957,000

STATE BUDGET AND CONTROL BOARD

EXHIBIT II
9/14/77

POLL OF September 14, 1977

POLL ITEM NUMBER 1

Agency: State Housing Authority

Subject: Authorization to Execute Construction Contracts

State Housing Authority Executive Director Steve Mayfield requests authorization to execute construction contracts covering the following projects:

- (1) Walterboro, 68 units: Dicks & Gillam Construction Co., \$1,195,000; and
- (2) Ridgeland, 40 units: Dicks & Gillam Construction Co., \$715,000.

Board Action Requested:

Authorize the State Housing Authority to execute the two referenced construction contracts, as requested by Executive Director Mayfield.

Vote Of Board Member: (Please indicate by initialing appropriate line below.)

- ☐ I approve of the above action.
- ☐ I disapprove of the above action.
- ☐ Hold for regular meeting.

Attachments:

Mayfield 9/8/77 memo to B&CB plus attachments



SOUTH CAROLINA STATE HOUSING AUTHORITY

Suite 1101, 1122 Lady Street, Columbia, S. C. 29201
(803) 758-2844

September 8, 1977

RECEIVED

SEP 8 1977

MEMO TO: S. C. State Budget & Control Board
FROM: L. Steve Mayfield *L. Steve Mayfield*
SUBJECT: Approval to Construct Two Housing Developments

S. C. BUDGET AND
CONTROL BOARD

This memorandum is a formal request for the S. C. State Housing Authority to enter into construction contracts for Farmers Home Administration Section 515/HUD Section 8-assisted multifamily developments in Walterboro and Ridgeland.

This request will constitute your final approval as per previous actions taken to advertise for architects, select architects, and advertise for bids on the dates of September 29, 1976, and May 4, 1977. The Authority advertised and received construction bids and have negotiated approved construction bids with the low bidder under the supervision of the Chief Engineer, Engineering Construction Division, State Auditor's Office, John McPherson.

These developments and specific construction contract amounts have been approved by the two Federal agencies involved, Farmers Home Administration and the Department of Housing and Urban Development. The Farmers Home Administration is providing 100% of the permanent mortgage funds. Construction funds will be obtained by the Authority from a consortium of commercial banks, comprised of South Carolina National Bank, Bankers Trust, First National Bank, and the Citizens & Southern Bank at less than prime interest rate, according to the Authority's Bond Counsel, Huger Sinkler of Charleston, S. C.

Your approval is required for the Authority to instruct the private contractor to begin construction on these developments and thus utilize the Federal funds and subsidies available to the Authority.

The Authority, within the next several months, will also be presenting a similar request for approval for developments in Loris and Cowpens, S. C., as soon as bids are received and details finalized and approved by the State Auditor's Engineering Chief, John McPherson.

Enclosed are the following requirements as specified in the "Manual for Planning and Execution of State Permanent Improvements:"

REQUEST FOR AUTHORITY TO EXECUTE A CONSTRUCTION
CONTRACT

Date September 8 1977

Institution or Agency South Carolina State Housing Authority

Name of Project Walterboro Apts. No. 28-4

To: State Budget and Control Board
Columbia, South Carolina

Attached herewith is a tabulation of bids received on the above named
project on June 22 1977.

Your authorization is requested to enter into a contract with the follow-
ing bidder, whose bid has been determined to be the most ad-
vantageous:

Name of Bidder Dicks & Gillam Construction Company

*Amount of Bid - - - - - \$ 1,195,000.

Amount Estimated Prior to Receipt of Bids - \$ 975,352.

(Signed) _____

L. Steve Mayfield

Title Executive Director

APPROVED: _____

State Auditor

DATE: _____

Note:—Two copies of Form E-11, "Revision of Project Cost Estimate"
should be submitted with this Request.

*If alternates were involved in the call for bids, it should be clearly shown
how the proposed contract figure was determined.

RECEIVED

SEP 18 1977

S. C. BUDGET AND
CONTROL BOARD

Loan Number _____ Fund ID# _____

2.2. THE OBLIGATION OF FUNDS

OF H - 21/04/01 ONLY

24. DISASTER CODE

14-MULTIPLE HOUSING ONLY

FORM V - ADDITIONAL INFORMATION (Sec FMI)

PART VI - CERTIFICATION APPROVAL

36. I HEREBY CERTIFY that I am unable to obtain sufficient credit elsewhere to finance my actual needs at reasonable rates and terms, taking into consideration prevailing private and cooperative rates and terms in or near my community for loans for similar purposes and periods of time. I agree to use, subject to and in accordance with regulations applicable to the type of assistance indicated above, and request payment of the sum specified herein. I certify that no part of said sum has been received.

WARNING: Section 1091 of Title 18, United States Code provides: "Whoever, in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals or covers up . . . a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than 5 years, or both."

S. C. STATE HOUSING AUTHORITY

Date August 30, 1977

HUGH C. LANE, CHAIRMAN
(Signature of Applicant)

37. COMMENTS AND REQUIREMENTS OF CERTIFYING OFFICIAL

L. Steve Mayfield
L. STEVE MAYFIELD
EXECUTIVE DIRECTOR

38. I HEREBY CERTIFY that all of the committee and administrative determinations and certifications required by Farmers Home Administration regulations prerequisite to providing assistance of the type indicated above have been made and that evidence of same is in the record, and that all requirements of pertinent regulations have been complied with. I hereby approve the above requested assistance in the amount set forth above, and by this document, subject to the availability of funds, the Government agrees to advance such amount to the applicant for the purposes of and subject to the conditions prescribed by Farmers Home Administration regulations applicable to this type of assistance.

(Signature of Approving Official)

Date Approved: _____

Title: _____

39. TO THE APPLICANT: As of this date _____, this is notice that your application for the above financial assistance from the Farmers Home Administration has been approved, subject to availability of funds and conditions required by the Farmers Home Administration. If you have any questions contact the County Supervisor.



REGION IV
Pershing Point Plaza
1371 Peachtree Street, N. E.
Atlanta, Georgia 30309

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AREA OFFICE
1801 MAIN STREET, JEFFERSON SQUARE
COLUMBIA, SOUTH CAROLINA 29201

SECTION 8 HOUSING ASSISTANCE PAYMENTS PROGRAM
NEW CONSTRUCTION
NOTIFICATION OF APPROVAL OF FINAL PROPOSAL

IN REPLY REFER TO:
4.3FM(Kirkwood)

Date: June 8, 1977

Re: Proposal/Project No. SC16-H055-012

Mr. L. Steve Mayfield
Executive Director
S. C. State Housing Authority
Suite 1101, 1122 Lady Street
Columbia, South Carolina 29201

RECEIVED

JUN 9 1977

S. C. STATE
HOUSING AUTHORITY

Dear Mr. Mayfield:

You are hereby notified that your Final Proposal, dated October 22, 1976, to provide 68 units of housing at Green Pond Road, near Walterboro, South Carolina, has been approved subject to submission and HUD approval of exhibits, identifying a builder, and compliance with Addendum A. Annual contributions authority in the amount of \$213,792 has been reserved for this project. The number, size and approved Contract Rents of units to be contracted for are as follows:

<u>Unit Size</u> (No. of bedrooms)	<u>No. of Units</u> Family Elderly	<u>Contract/Gross</u> Rents
1 Bedroom		\$213
2 Bedroom	34	\$258
3 Bedroom	16	\$309
4 Bedroom	2	\$346

The project shall be financed by the owner through the Farmers Home Administration Rural Rental Housing Loan Program for 40 years at an interest rate of 8%. Proceeds due under a Housing Assistance Payments Contract may be pledged, provided HUD has reviewed and approved the related documents in advance, pursuant to Section 880.113(b) of HUD regulations.

If you accept this Notification, you should submit to this office, not later than August 15, 1977, the architect's certification required by 24 CFR, Part 880, Section 211. Such certification must be made by the architect responsible for the preparation of the working drawings and specifications. One copy of the certified working drawings and specifications must be submitted with the architect's certification. Receipt and retention by HUD of these working drawings and specifications shall not denote or constitute HUD review or approval of such drawings and specifications.

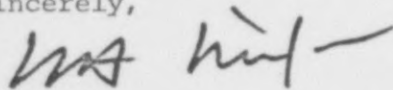
After receipt of the certification and accompanying working drawings and specifications, an Agreement to Enter into Housing Assistance Payments Contract will be prepared by HUD and forwarded to you for execution.

Your acceptance of this Notification constitutes a certification and agreement that (a) there will not be made any sale, assignment, conveyance, or any other form of transfer of this Notification, the property, or any interest therein, without the prior consent of HUD (an assignment to a limited partnership of which you are the general partner shall not be considered an assignment for this purpose), and (b) there will not be any pledge, or offer as security for any loan or obligation, an Agreement to Enter into Housing Assistance Payments Contract or Housing Assistance Payments Contract for this project without prior written approval by HUD of the instrument of pledge, offer or other assignment.

Please indicate your acceptance of this Notification by signing in the space provided and returning two copies to this office within ten days after the date of this Notification.

If you do not accept this Notification by the specified date, or if you accept but fail to submit the architect's certification by August 15, 1977, HUD may rescind this Notification and cancel the annual contributions authority reserved.

Sincerely,



for Franklin H. Corley, Jr.
Director

Accepted: L. Stue Mayfield
Executive Director
Title
June 9, 1977
Date

cc:
Honorable H. Wallace Dean
Mayor, City of Walterboro
Post Office Box 717
Walterboro, South Carolina 29488

COST BREAK-DOWN FOR RHH PROJECT

WALTERBORO, S. C.

- | | | |
|-----|---|---------------|
| 1. | Land acquisition | \$ 60,000. |
| 2. | Site development - including landscaping | _____ |
| 3. | Construction cost - include equipment financed
with loan funds | \$1,195,000. |
| 4. | Sewer and water system | _____ |
| 5. | / \$9753./ / \$53,775./ / Soil \$2995./ / Topo \$2536./ / Survey:
Fees (lawyer, architect, engineer, etc.) | \$952 70,011. |
| 6. | (Compaction Testing \$2000. Adv. \$184.
/ Other related cost (identify). 2% Operational \$26,800. | 28,984. |
| 7. | Amount, if any, to pay interest during construction | 33,905. |
| 8. | Total investment cost | _____ |
| 9. | Amount of loan requested | \$1,387,900. |
| 10. | Amount of investment furnished by applicant (3 minus 9) | _____ |
| 11. | Amount of initial operating expenses furnished
by applicant | _____ |
| 12. | Total furnished by applicant (10 plus 11) | _____ |
| 13. | Total investment cost per unit (Do not include
operating expenses | _____ |
| 14. | Total loan per unit | _____ |

Also list any items of value to be supplied such as furnishings
and fixtures not financed with loan funds.

8-12-77

WALTERBORO

(Project Name)

L. Steve Masfield

SOUTH CAROLINA STATE HOUSING AUTHORITY

(Applicant's Name)

CHANGES FOR DEDUCTIONS IN COST AT WALTERBORO AND RIDGELAND, S. C.

	<u>Walterboro</u>	<u>Ridgeland</u>
1. Reduce size in one- and two-BR units I.A.W. modified floor plan. (Note: changes concrete, masonry, carpentry, sheetrock, VAT, and roofing only. There is no change to plumbing, electrical, HAC, ceramic, cabinets, appliances, doors, windows, hardware, bath accessories, or stairs.) Amount left after refiguring job and allocating individual credits shown here. Consider profits or miscellaneous deducts. Trusses and wall panels to be most efficient design of manufacturer. Manufacturer to supply engineering data to substantiate compliance with M.P.S. and design to loads specified. Omit furring at cabs. Use standard eve vent and wood stair rail. Use standard size brick w/allowance of \$84. per thousand. Change entrance door frames to standard wood frames.	\$ 56,863.	\$ 26,100
2. Omit sheetrock dividing apartments and attic access scuttles, maintain fire code divisions and access per M.P.S.	3,000.	1,700.
3. Change refrigeration to G.E. SSD 12C and range to RB-524.	2,800.	1,600.
4. Delete compact kitchen unit, folding partition and laundry table at Comm. Bldg.	1,680.	1,680.
5. Omit washer connections and change plumbing fixtures and pipe insulation to minimum required by M.P.S.	12,800.	7,500.
6. Use entrance cable in lieu of conduit.	1,025.	600.
7. Change brand of general light fixtures.	920.	500.
8. Omit through-wall unit at Comm. Bldg. Provide duct from main unit.	300.	300.
9. Delete entrance sign, fences, patio with brick wall, tot lot, and equipment. Change mailbox cluster to standard arrangement.	3,700.	3,000.
10. Site: Minor modifications to site requirements including compaction procedures as approved by Soil Engineer and inclusion of master water meter.	11,000.	8,000.
11. Arbitrary deduction in pricing based upon 2 project bids.	9,312.	11,420.
TOTAL RECOMMENDED DEDUCTS	\$ 103,400.	\$ 62,400.
ORIGINAL BID FOR EACH JOB	\$1,298,400.	\$777,400.
NEW TOTALS AFTER ABOVE DEDUCTIONS	\$1,195,000.	\$715,000.

**INVITATION
FOR BIDS**

The South Carolina State Housing Authority, Owner, will receive sealed bids until 3:00 P.M. Eastern Time on June 22, 1977, at the C&S Trust Department, Second Floor, 50 Broad Street, Charleston, S.C. for the Ridgeland Apartments, Ridgeland, South Carolina, and the Walterboro Apartments, Walterboro, South Carolina. Immediately thereafter, bids will be publicly opened and read aloud.

Bidders may submit proposals to build either project separately and/or jointly. Bids shall be for all labor, materials, and equipment required to fully complete the facilities in accordance with the contract documents.

The Ridgeland Apartment project consists of 40 apartment units, a community building and related site work; the Walterboro Apartment Project consists of 68 apartment units, a community building, and related site work.

The project(s) shall be completed as listed in the proposal form.

The buildings are one and two story wood frame brick veneer construction on a concrete foundation with masonry, brick, and drywall. The first floor is slab on grade. The second floor is wood construction. The interior partitions are drywall. The roof is shingles. Heating, air conditioning, plumbing and electrical systems are included in the building.

Bidding documents will be on file during the bidding period and may be reviewed at the office of Lucas and Stubbs Associates Ltd., 255 East Bay Street, Charleston, South Carolina, at offices of the Associated General Contractors of America in Charleston and Columbia, South Carolina, and at the Dodge Plan Room in Charleston and Columbia, South Carolina, and SCAN in Atlanta.

Bidding documents may be obtained on or after May 23, 1977, at the office of the Architect. Each General Contractor will receive a complete set of bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars (\$50) per project set. The deposit will be refunded to each bidder, who having submitted a bona fide bid, returns to the architect the bidding documents complete and in good order on or before the expiration of ten days after the award of the contract or the rejection of all bids. All other deposits will be refunded with deductions for cost of reproduction provided documents are returned as above.

Subcontractors, material suppliers, and others may obtain bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars per project set. The deposit will be refunded, less the cost of reproduction and transmittal, upon return to the architect the bidding documents complete and in good order on or before the designated time stated above. Partial sets may be obtained as above upon request for specific drawing sheets and/or specification sections for the cost of reproduction which is not refundable.

Each bidder must deposit with his bid, bid security equal to 5% of the gross base bid by certified check or a 5% bid bond in the form and subject to the conditions provided in the instructions to bidders. The successful bidder will be required to give a performance and payment each bond in the amount of the contract price.

SOUTH CAROLINA
STATE HOUSING AUTHORITY
COLUMBIA, SOUTH CAROLINA

COLUMBIA NEWSPAPERS, INC.

Publishers of

The State
Mornings and Sunday

AND

The Columbia Record
Evenings

STATE OF SOUTH CAROLINA
COUNTY OF RICHLAND

Personally appeared before me Carl M. Regal, Retail Advertising Manager
of THE STATE, and makes oath that the advertisement,

Invitation for Bids - South Carolina State Housing Authority

a clipping of which is attached hereto, was printed in THE STATE,
a daily newspaper of general circulation published in the City
of Columbia, State and County aforesaid, in the issues of

May 22, 29, 1977 and June 5, 1977

Carl M. Regal

Subscribed and sworn to before me
this 6th day of June 1977.

Emma Lee Saudlin Notary Public

RECEIVED

AUG 11 1977

S. C. STATE
HOUSING AUTHORITY

PERSONALLY appeared before me Estelle S. Smoak who, being duly sworn, says that ~~XX~~/she is the Publisher of The Press and Standard, a newspaper, published on Thursday of each week in Walterboro, County of Colleton, State of South Carolina; that the notice of which a printed copy is hereby attached was published in The Press and Standard for three (3) issues, said publication commencing on May 26 1977, and ending on June 9, 1977.

Estelle S. Smoak

SWORN to before me

this 19th day of July 1977.

Julia H. Gillipie (L. S.)
Notary Public for South Carolina

Commission Expires Jan. 2 1980.

RECEIVED

JUL 21 1977

S. C. STATE
HOUSING AUTHC

Section A - Invitation For Bids

The South Carolina State Housing Authority, Owner, will receive sealed bids until 3:00 p.m. Eastern Time on June 22, 1977, at the C&S Trust Department, Second Floor, 50 Broad Street, Charleston, S. C. for the Ridgeland Apartments, Ridgeland, South Carolina, and the Walterboro Apartments, Walterboro, South Carolina. Immediately thereafter, bids will be publicly opened and read aloud.

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Bidding documents will be on file during the bidding period and may be reviewed at the office of Lucas and Stubbs Associates Ltd., 255 East Bay Street, Charleston, South Carolina, at offices of the Associated General Contractors of America in Charleston and Columbia, South Carolina, and at the Dodge Plan Rooms in Charleston and Columbia, South Carolina, and SCAN in Atlanta.

Bidding documents may be obtained on or after May 23, 1977, at the office of the Architect. Each General Contractor will receive a complete set of bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars (\$50) per project set. The deposit will be refunded to each bidder, who having submitted a bona fide bid, returns to the architect the bidding documents complete and in good order on or before the expiration of ten days after the award of the contract or the rejection of all bids. All other deposits will be refunded with deductions for cost of reproduction provided documents are returned as above.

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Each bidder must deposit with his bid, bid security equal to 5% of the gross base bid by certified check or a 5% bid bond in the form and subject to the conditions provided in the instructions to bidders. The successful bidder will be required to give a performance and payment each bond in the amount of the contract price.

South Carolina State Housing Authority, Columbia, S. C. 5-26-3t

RIDGELAND & WALTERBORO APARTMENTS
SOUTH CAROLINA STATE HOUSING AUTHORITY

LUCAS & STUBBS ASSOCIATES LTD.
ARCHITECTS PLANNERS, CHARLESTON, S.C.

BID TABULATIONS

JUNE 22, 1977

Mel Melton
S.C. State Housing Authority

GENERAL CONTRACTORS	BASE BID	A D D I T I V E A L T E R N A T E S			COST/LF
		ALT. #1	ALT. #2	ALT. #3	UNDER DRAIN SYSTEM
BID ITEM NO. 1: For Ridgeland Apartments only.					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
<i>Am</i> South Construction Company	\$ <u>801,396</u>	\$ <u>+ 4500</u>	\$ <u>+ 13,000</u>	\$ <u>+ 20,000</u>	\$ <u># 7.50</u>
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
<i>Paul Hunter</i> Dicks & Gillam, Inc.	\$ <u>777,400</u>	\$ <u>+ 4,000</u>	\$ <u>+ 7,000</u>	\$ <u>+ 7,000</u>	\$ <u># 9.50</u>
BID ITEM NO. 2: For Walterboro Apartments only.					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	NOT APPLICABLE
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
South Construction Company	\$ <u>1,357,811.</u>	\$ <u>+ 6,500.</u>	\$ <u>+ 22,000.</u>	\$ <u>+ 30,000.</u>	
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	
Dicks & Gillam, Inc.	\$ <u>1,298,400</u>	\$ <u>+ 6,000.</u>	\$ <u>+ 8,500.</u>	\$ <u>+ 10,000</u>	

BID TABULATION (CONTINUED)
 RIDGELAND & WALTERBORO APARTMENTS
 SOUTH CAROLINA STATE HOUSING AUTHORITY

PAGE TWO
 JUNE 22, 1977

GENERAL CONTRACTORS	BASE BID	ADDITIVE ALTERNATES			COST/LF UNDER DRAIN SYSTEM
		ALT. #1	ALT. #2	ALT. #3	
BID ITEM NO. 3: Combined bid for Ridgeland and Walterboro Apartments					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
South Construction Company	\$ <u>2,139,207</u>	\$ _____	\$ _____	\$ _____	\$ _____
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Dicks & Gillam, Inc.	\$ <u>2,075,800</u>	\$ _____	\$ _____	\$ _____	\$ _____
(BID ITEM NO. 3 CONTINUED)		PORTION OF BASE BID			
A. RIDGELAND APARTMENTS:					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
South Construction Company	\$ <u>791,396</u>	\$ <u>+ 4500</u>	\$ <u>+ 13000</u>	\$ <u>+ 20,000</u>	\$ <u>\$ 7.50</u>
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Dicks & Gillam, Inc.	\$ <u>777,400</u>	\$ <u>+ 4,000</u>	\$ <u>+ 7,000</u>	\$ <u>+ 7,000</u>	\$ <u>\$ 9.50</u>

BID TABULATION (CONTINUED)
 RIDGELAND & WALTERBORO APARTMENTS
 SOUTH CAROLINA STATE HOUSING AUTHORITY

PAGE THREE
 JUNE 22, 1977

GENERAL CONTRACTORS	PORTION OF BASE BID	ADDITIVE ALTERNATES			COST/LF UNDER DRAIN SYSTEM
		ALT. #1	ALT. #2	ALT. #3	
B. <u>Walterboro Apartments:</u>					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
South Construction Company	\$ <u>1,347,811</u>	\$ <u>+ 6,500</u>	\$ <u>+ 22,000.</u>	\$ <u>+ 30,000</u>	NOT APPLICABLE
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	
Dicks & Gillam, Inc.	\$ <u>1,298,400</u>	\$ <u>+ 6,000</u>	\$ <u>+ 8,500</u>	\$ <u>+ 10,000</u>	

South. - *Plumbing* *mechanic* *Elec.* *Roofing*
Sub Contractors *Sig Cox* *Sig Cox* *Basic* *Gen Cont.*
D & G. *Thomas* *HARRISON + Young* *Basic* *Gen. Cont.*

REQUEST FOR AUTHORITY TO EXECUTE A CONSTRUCTION
CONTRACT

Date September 8 1977

Institution or Agency South Carolina State Housing Authority

Name of Project Ridgeland Apartments No. 28-3

To: State Budget and Control Board
Columbia, South Carolina

Attached herewith is a tabulation of bids received on the above named
project on June 22 1977.

Your authorization is requested to enter into a contract with the follow-
ing bidder, whose bid has been determined to be the most ad-
vantageous:

Name of Bidder Dicks & Gillam Construction Company

*Amount of Bid - - - - - \$ 715,000.

Amount Estimated Prior to Receipt of Bids - \$ 594,870.

(Signed) L. Steve Mayfield
L. Steve Mayfield

Title Executive Director

APPROVED: _____
State Auditor

DATE: _____

RECEIVED
SEP 8 1977

Note:—Two copies of Form E-11, "Revision of Project Cost Estimate"
should be submitted with this Request.

S. C. BUDGET AND
CONTROL BOARD

*If alternates were involved in the call for bids, it should be clearly shown
how the proposed contract figure was determined.

REQUEST FOR OBLIGATION OF FUNDS

FINANCE OFFICE ONLY

Loan Number

Fiscal Year

PART I - COMPLETE FOR ALL LOANS AND GRANTS:

1. DATE OF APPROVAL		ST	CO	BORROWER IDENTIFICATION	
0 9 0 7 7 7		4 6	0 2 7	0 5 7 6 0 0 0 2 8 6	
2. <input checked="" type="checkbox"/> 3 NO. NAME FIELDS (1,2, or 3 from below)					
BORROWER'S NAME					
1	S O U T H C A R O L I N A				
2	S T A T E H O U S I N G				
3	A U T H O R I T Y				
STATE NAME					
4. SOUTH CAROLINA					
COUNTY NAME					
5. JASPER					
6. TYPE ACTION <input checked="" type="checkbox"/> 1 - Obligation Only <input type="checkbox"/> 2 - Oblig. & Check Request <input type="checkbox"/> 3 - Correction of Oblig.					
7. TYPE OF ASSISTANCE <input checked="" type="checkbox"/> 2 1 (See FMI)		8. TYPE OF LOAN FUNDS <input type="checkbox"/> 1-Guaranteed <input checked="" type="checkbox"/> 2-Insured		9. TYPE OF SUBMISSION <input checked="" type="checkbox"/> 1-Initial <input type="checkbox"/> 2-Subsequent	
10. REPAYMENT TERMS <input checked="" type="checkbox"/> 5 0 Years		11. DIRECT PAYMENT TO: <input type="checkbox"/> 1-Cty Off <input type="checkbox"/> 2- Fin Off <input checked="" type="checkbox"/> 3-No		12. CREDIT REPORT <input type="checkbox"/> 1-Yes <input type="checkbox"/> 2-No	
				13. VETERAN <input type="checkbox"/> 1-Yes <input type="checkbox"/> 2-No	
FOR OFFICIAL USE ONLY OR 15. TYPE OF ENTITY CODE (Association Organizations, etc. only)					
14. Complete for Individuals Only <input type="checkbox"/> 1-W <input type="checkbox"/> 2-N/B <input type="checkbox"/> 3-AI <input type="checkbox"/> 4-SA <input type="checkbox"/> 5-O <input checked="" type="checkbox"/> 6-Tax Exempt <input type="checkbox"/> 7-Non-Tax Exempt <input type="checkbox"/> 8-N/A					
16. SEX OF BORROWER(S) (Individuals Only): <input type="checkbox"/> 1-M <input type="checkbox"/> 2-F					
17. BORROWER(S) MARITAL STATUS (Individuals Only): <input type="checkbox"/> 1-Married <input type="checkbox"/> 2-Separated <input type="checkbox"/> 3-Unmarried (including widowed or divorced)					
18. COMPLETE IF APPLICABLE (See FMI) <input type="checkbox"/> 1-Credit Sale <input type="checkbox"/> 2-Assumption					
19. INTEREST RATE 0 8 . 0 0 0 0 %					
20. PAYMENTS: <input checked="" type="checkbox"/> 1-Monthly <input type="checkbox"/> 2-Annually <input type="checkbox"/> 3-Semiannually <input type="checkbox"/> 4-Quarterly					
21. AMOUNT OF LOAN		22. AMOUNT OF GRANT		23. AMOUNT OF IMMEDIATE ADVANCE	
, 8 0 6 , 1 0 0		, , , , 0		, , , , 0	

PART II - EM LOANS ONLY

24. DISASTER CODE

PART III - MULTIPLE HOUSING ONLY

25. INTEREST CREDIT <input type="checkbox"/> 1-Yes <input checked="" type="checkbox"/> 2-No		26. PROFIT TYPE <input type="checkbox"/> 1-Full Profit <input type="checkbox"/> 2-Limited Profit <input checked="" type="checkbox"/> 3-Non-Profit	
17. TYPE OF APPLICANT: <input type="checkbox"/> 1-Individual <input type="checkbox"/> 2-Partnership <input type="checkbox"/> 3-Corporation <input checked="" type="checkbox"/> 4-Public Body <input type="checkbox"/> 5-Assn. of Farmers <input type="checkbox"/> 6-Organ. of Farmworkers <input type="checkbox"/> 7-Other			

PART IV - INDIVIDUAL HOUSING LOANS

28. TYPE OF UNIT: <input type="checkbox"/> 1-Farm Tract <input type="checkbox"/> 2-Non-Farm Tract		29. ADJUSTED FAMILY INCOME	
30. DWELLING: <input type="checkbox"/> 1-Build <input type="checkbox"/> 2-Purchase-New <input type="checkbox"/> 3-Purchase-Old <input type="checkbox"/> 4-Refinance <input type="checkbox"/> 5-Repair			
31. INTEREST CREDIT <input type="checkbox"/> 1-Yes <input type="checkbox"/> 2-No		32. TAXES AND INSURANCE	
		Insurance Taxes-1st. yr. Taxes-2nd yr.	
33. COMMUNITY SIZE <input checked="" type="checkbox"/> 1-10,000 or less <input type="checkbox"/> 2-over 10,000		34. FEE INSPECTION <input type="checkbox"/> 1-Yes <input type="checkbox"/> 2-No	
		35. SQUARE FOOTAGE OF LIVING AREA	

PART V - ADDITIONAL INFORMATION (See FMI)

PART VI - CERTIFICATION APPROVAL

36. I HEREBY CERTIFY that I am unable to obtain sufficient credit elsewhere to finance my actual needs at reasonable rates and terms, taking into consideration prevailing private and cooperative rates and terms in or near my community for loans for similar purposes and periods of time. I agree to use, subject to and in accordance with regulations applicable to the type of assistance indicated above, and request payment of the sum specified herein. I certify that no part of said sum has been received.

WARNING: Section 1001 of Title 18, United States Code provides: "Whoever, in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals or covers up . . . a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than 5 years, or both."

SOUTH CAROLINA STATE HOUSING AUTHORITY

Date September 7, 19 77

Hugh C. Lane
Hugh C. Lane, Chairman (Signature of Applicant)

37. COMMENTS AND REQUIREMENTS OF CERTIFYING OFFICIAL

L. Steve Mayfield
L. Steve Mayfield, Executive Director

Approval subject to National Office action, loan resolution, loan closing instructions of the Regional Attorney (OGC), and the State Office letter of conditions for closing.

38. I HEREBY CERTIFY that all of the committee and administrative determinations and certifications required by Farmers Home Administration regulations prerequisite to providing assistance of the type indicated above have been made and that evidence thereof is in the docket, and that all requirements of pertinent regulations have been complied with. I hereby approve the above-described assistance in the amount set forth above, and by this document, subject to the availability of funds, the Government agrees to advance such amount to the applicant for the purposes of and subject to the conditions prescribed by Farmers Home Administration regulations applicable to this type of assistance.

K. G. Smith (Signature of Approving Official)

Date Approved: September 7, 1977

Title: State Director

39. TO THE APPLICANT: As of this date _____, this is notice that your application for the above financial assistance from the Farmers Home Administration has been approved, as indicated above, subject to availability of funds and other conditions required by the Farmers Home Administration. If you have any questions contact the County Supervisor.



REGION IV
Pershing Point Plaza
1371 Peachtree Street, N. E.
Atlanta, Georgia 30309

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AREA OFFICE
1801 MAIN STREET, JEFFERSON SQUARE
COLUMBIA, SOUTH CAROLINA 29201

SECTION 8 HOUSING ASSISTANCE PAYMENTS PROGRAM
NEW CONSTRUCTION
NOTIFICATION OF APPROVAL OF FINAL PROPOSAL

IN REPLY REFER TO:
4.3FR

Date: March 22, 1977

Re: Proposal/Project No. SC16-H055-017

Mr. L. Steve Mayfield, Executive Director
S. C. State Housing Authority
1122 Lady Street - Suite 1101
Columbia, S. C. 29201

Dear Mr. Mayfield:

You are hereby notified that your Final Proposal, dated October 22, 1976 to provide 40 units of housing at First Avenue, Ridgeland, South Carolina has been approved subject to submission and HUD approval of exhibits identifying a builder, and compliance with Addendum A. Annual contributions authority in the amount of \$119,376 has been reserved for this project. The number, size and approved Contract Rents of units to be contracted for are as follows:

<u>Unit Size</u> (No. of Bedrooms)	<u>No. of Units</u>		<u>Contract</u> <u>Rents</u>
	<u>Family</u>	<u>Elderly</u>	
1-BR		12	\$219
2-BR	18	--	240
3-BR	8	--	290
4-BR	2	--	340

The project shall be financed by the owner through the Farmers Home Administration Rural Rental Housing Loan Program for 40 years at an interest rate of 8%. Proceeds due under a Housing Assistance Payments Contract may be pledged, provided HUD has reviewed and approved the related documents in advance, pursuant to Section 880.113(b) of HUD Regulations.

If you accept this Notification, you should submit to this Office, not later than June 15, 1977, the architect's certification required by 24CFR, Part 880, Section 211. Such certification must be made by the architect responsible for the preparation of the working drawings and specifications. One copy of the certified working drawings and specifications must be submitted with the architect's certification. Receipt and retention by HUD of these working drawings and specifications shall not denote or constitute HUD review or approval of such drawings and specifications.

After receipt of the certification and accompanying working drawings and specifications, an Agreement to Enter Into Housing Assistance Payments Contract will be prepared by HUD and forwarded to you for execution.

Your acceptance of this Notification constitutes a certification and agreement that (a) there will not be made any sale, assignment, conveyance, or any other form of transfer of this Notification, the property, or any interest therein, without the prior consent of HUD (an assignment to a limited partnership of which you are the general partner shall not be considered an assignment for this purpose), and (b) there will not be any pledge, or offer as security for any loan or obligation, an Agreement to Enter Into Housing Assistance Payments Contract or Housing Assistance Payments Contract for this project without prior written approval by HUD of the instrument of pledge, offer or other assignment.

Please indicate your acceptance of this Notification by signing in the space provided and returning two copies to this office within ten (10) days after the date of this Notification.

If you do not accept this Notification by the specified date, or if you accept but fail to submit the architect's certification by June 15, 1977, HUD may rescind this Notification and cancel the annual contributions authority reserved.

Sincerely,



Franklin H. Corley, Jr.

Enclosures

Accepted: L. Steve Mayfield
 L. Steve Mayfield
 Executive Director
 Title
 April 1, 1977
 Date

cc: The Honorable W. D. Blackmon, Jr.
 Mayor of the City of Ridgeland
 Mr. Elmer Whitten, State Clearinghouse
 Mr. N. S. Thompson, Lowcountry
 Regional Planning Council
 Mr. Austin Edwards, FmHA
 Mr. Percy Connelly, County Supervisor

COST BREAK-DOWN FOR PRH PROJECT

Ridgeland

1.	Land acquisition	\$ 11,500
2.	Site development - including landscaping	
3.	Construction cost - include equipment financed with loan funds	715,000
4.	Sewer and water system	
5.	Fees (lawyer, architect, engineer, etc.)	42,631
6.	Other related cost (identify).....	17,284
7.	Amount, if any, to pay interest during construction	19,685
8.	Total investment cost	
9.	Amount of loan requested	806,100
10.	Amount of investment furnished by applicant (3 minus 9)	
11.	Amount of initial operating expenses furnished by applicant	
12.	Total furnished by applicant (10 plus 11)	
13.	Total investment cost per unit (Do not include operating expenses	
14.	Total loan per unit	

Also list any items of value to be supplied such as furnishings
and fixtures not financed with loan funds,

Ridgeland
(Project Name)

S. C. State Housing Authority
(Applicant's Name)

L. Steve Mayfield
L. Steve Mayfield, Executive Director

REVISED: 8/12/77

CHANGES FOR DEDUCTIONS IN COST AT WALTERBORO AND RIDGELAND, S. C.

	<u>Walterboro</u>	<u>Ridgeland</u>
1. Reduce size in one- and two-BR units I.A.W. modified floor plan. (Note: changes concrete, masonry, carpentry, sheetrock, VAT, and roofing only. There is no change to plumbing, electrical, HAC, ceramic, cabinets, appliances, doors, windows, hardware, bath accessories, or stairs.) Amount left after refiguring job and allocating individual credits shown here. Consider profits or miscellaneous deducts. Trusses and wall panels to be most efficient design of manufacturer. Manufacturer to supply engineering data to substantiate compliance with M.P.S. and design to loads specified. Omit furring at cabs. Use standard eve vent and wood stair rail. Use standard size brick w/allowance of \$84. per thousand. Change entrance door frames to standard wood frames.	\$ 56,863.	\$ 26,100
2. Omit sheetrock dividing apartments and attic access scuttles, maintain fire code divisions and access per M.P.S.	3,000.	1,700.
3. Change refrigeration to G.E. SSD 12C and range to RB-524.	2,800.	1,600.
4. Delete compact kitchen unit, folding partition and laundry table at Comm. Bldg.	1,680.	1,680.
5. Omit washer connections and change plumbing fixtures and pipe insulation to minimum required by M.P.S.	12,800.	7,500.
6. Use entrance cable in lieu of conduit.	1,025.	600.
7. Change brand of general light fixtures.	920.	500.
8. Omit through-wall unit at Comm. Bldg. Provide duct from main unit.	300.	300.
9. Delete entrance sign, fences, patio with brick wall, tot lot, and equipment. Change mailbox cluster to standard arrangement.	3,700.	3,000.
10. Site: Minor modifications to site requirements including compaction procedures as approved by Soil Engineer and inclusion of master water meter.	11,000.	8,000.
11. Arbitrary deduction in pricing based upon 2 project bids.	9,312.	11,420.
TOTAL RECOMMENDED DEDUCTS	\$ 103,400.	\$ 62,400.
ORIGINAL BID FOR EACH JOB	\$1,298,400.	\$777,400.
NEW TOTALS AFTER ABOVE DEDUCTIONS	\$1,195,000.	\$715,000.

INVITATION FOR BIDS

The South Carolina State Housing Authority, Owner, will receive sealed bids until 3:00 P.M. Eastern Time on June 22, 1977, at the C&S Trust Department, Second Floor, 50 Broad Street, Charleston, S.C. for the Ridgeland Apartments, Ridgeland, South Carolina, and the Walterboro Apartments, Walterboro, South Carolina. Immediately thereafter, bids will be publicly opened and read aloud.

Bidders may submit proposals to build either project separately and/or jointly. Bids shall be for all labor, materials, and equipment required to fully complete the facilities in accordance with the contract documents.

The Ridgeland Apartment project consists of 40 apartment units, a community building and related site work; the Walterboro Apartment Project consists of 65 apartment units, a community building, and related site work.

The project(s) shall be completed as listed in the proposal form.

The buildings are one and two story wood frame brick veneer construction on a concrete foundation with masonry, brick, and drywall. The first floor is slab on grade. The second floor is wood construction. The interior partitions are drywall. The roof is shingles. Heating, air conditioning, plumbing and electrical systems are included in the building.

Bidding documents will be on file during the bidding period and may be reviewed at the office of Lucas and Stubbs Associates Ltd., 255 East Bay Street, Charleston, South Carolina, at offices of the Associated General Contractors of America in Charleston and Columbia, South Carolina, and at the Dodge Plan Room in Charleston and Columbia, South Carolina, and SCAN in Atlanta.

Bidding documents may be obtained on or after May 23, 1977, at the office of the Architect. Each General Contractor will receive a complete set of bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars (\$50) per project set. The deposit will be refunded to each bidder, who having submitted a bona fide bid, returns to the architect the bidding documents complete and in good order on or before the expiration of ten days after the award of the contract or the rejection of all bids. All other deposits will be refunded with deductions for cost of reproduction provided documents are returned as above.

Subcontractors, material suppliers, and others may obtain bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars per project set. The deposit will be refunded, less the cost of reproduction and transmittal, upon return to the architect the bidding documents complete and in good order on or before the designated time stated above. Partial sets may be obtained as above upon request for specific drawing sheets and/or specification sections for the cost of reproduction which is not refundable.

Each bidder must deposit with his bid, bid security equal to 5% of the gross base bid by certified check or a 5% bid bond in the form and subject to the conditions provided in the instructions to bidders. The successful bidder will be required to give a performance and payment each bond in the amount of the contract price.

SOUTH CAROLINA
STATE HOUSING AUTHORITY
COLUMBIA, SOUTH CAROLINA

COLUMBIA NEWSPAPERS, INC.

Publishers of

The State
Mornings and Sunday

AND

The Columbia Record
Evenings

STATE OF SOUTH CAROLINA
COUNTY OF RICHLAND

Personally appeared before me Carl M. Regal, Retail Advertising Manager
of THE STATE, and makes oath that the advertisement,

Invitation for Bids - South Carolina State Housing Authority

a clipping of which is attached hereto, was printed in THE STATE,
a daily newspaper of general circulation published in the City
of Columbia, State and County aforesaid, in the issues of

May 22, 29, 1977 and June 5, 1977

Carl M. Regal

Subscribed and sworn to before me
this 6th day of June 1977.

Emna Lee Saudlin Notary Public



AUG 11 1977

S. C. STATE
HOUSING AUTHORITY

JASPER PRINTING COMPANY

RIDGELAND, SOUTH CAROLINA

STATE OF SOUTH CAROLINA.

COUNTY OF JASPER

Personally appeared before me, M. Geneva Smith a Notary Public in and for said State and County St. Julien G.

Rivers, who being duly sworn according to law, deposes and says that he is the publisher of The Jasper County News, a newspaper published each week in said County, and who further deposes that the Notice, a true copy of which is hereto affixed was published for 5-26-77, 6-2-77, 6-9-77

in

The Jasper County News in the issues dated

Subscribed and sworn to before me this 6/9/77

St. Julien G. Rivers

Publisher

M. Geneva Smith

MY COMMISSION EXPIRES MARCH 10, 1987

Notary Public

SECTION A

INVITATION FOR BIDS

The South Carolina State Housing Authority, Owner, will receive sealed bids until 3:00 p.m. Eastern Time on June 22, 1977, at the C & S Trust Department, Second Floor, 50 Broad Street, Charleston, S. C. for the Ridgeland Apartments, Ridgeland, South Carolina and the Walterboro Apartments, Walterboro, South Carolina. Immediately thereafter, bids will be publicly opened and read aloud.

Bidders may submit proposals to build either project separately and/or jointly. Bids shall be for all labor, materials and equipment required to fully complete the facilities in accordance with the contract documents.

The Ridgeland Apartment project consists of 40 apartment units, a community building and related sitework; the Walterboro Apartment Project consists of 68 apartment units, a community building and related sitework.

The project (s) shall be completed as listed in the proposal form.

The buildings are one and two story wood frame brick veneer construction on a concrete foundation with masonry, brick, and drywall. The first floor is slab on grade. The second floor is wood construction. The interior partitions are drywall. The roof is shingles. Heating, air conditioning, plumbing and electrical systems are included in the building.

Bidding documents will be on file during the bidding period and may be reviewed at the office of Lucas and Stubbs Associates Ltd., 255 East Bay Street, Charleston, South Carolina, at offices of the Associated General Contractors of America in Charleston and Columbia, South Carolina, and at the Dodge Plan Rooms

in Charleston and Columbia, South Carolina, and SCAN in Atlanta.

Bidding documents may be obtained on or after May 23, 1977, at the office of the Architect. Each General Contractor will receive a complete set of bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars (\$50) per project set. The deposit will be refunded to each bidder, who having submitted a bona fide bid, returns to the architect the bidding documents complete and in good order on or before the expiration of ten days after the award of the contract or the rejection of all bids. All other deposits will be refunded with deductions for cost of reproduction provided documents are returned as above.

Subcontractors, material suppliers, and others may obtain bidding documents upon deposit of a check payable to the architect in the amount of fifty dollars per project set. The deposit will be refunded, less the cost of reproduction and transmittal, upon return to the architect the bidding documents complete and in good order on or before the designated time stated above. Partial sets may be obtained as above upon request for specific drawing sheets and/or specification sections for the cost of reproduction which is not refundable.

Each bidder must deposit with his bid, bid security equal to 5% of the gross base bid by certified check or a 5% bid bond in the form and subject to the conditions provided in the instructions to bidders. The successful bidder will be required to give a performance and payment each bond in the amount of the contract price.

South Carolina State
Housing Authority
Columbia, South Carolina
3 to 6-9-77

RIDGELAND & WALTERBORO APARTMENTS
SOUTH CAROLINA STATE HOUSING AUTHORITY

LUCAS & STUBBS ASSOCIATES LTD.
ARCHITECTS PLANNERS, CHARLESTON, S.C.

BID TABULATIONS

JUNE 22, 1977

GENERAL CONTRACTORS	BASE BID	ADDITIVE ALTERNATES			COST/LF
		ALT. #1	ALT. #2	ALT. #3	UNDER DRAIN SYSTEM
BID ITEM NO. 1: For Ridgeland Apartments only.					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
<i>Tom</i> South Construction Company	\$ <u>801,396</u>	\$ <u>+ 4500</u>	\$ <u>+ 13,000</u>	\$ <u>+ 20,000</u>	\$ <u>* 7.50</u>
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
<i>Paul Hunter</i> Dicks & Gillam, Inc.	\$ <u>777,400</u>	\$ <u>+ 4,000</u>	\$ <u>+ 7,000</u>	\$ <u>+ 7,000</u>	\$ <u>* 9.50</u>
BID ITEM NO. 2: For Walterboro Apartments only.					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	NOT APPLICABLE
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
South Construction Company	\$ <u>1,357,811.</u>	\$ <u>+ 6,500.</u>	\$ <u>+ 22,000.</u>	\$ <u>+ 30,000.</u>	
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	
Dicks & Gillam, Inc.	\$ <u>1,298,400</u>	\$ <u>+ 6,000.</u>	\$ <u>+ 8,500.</u>	\$ <u>+ 10,000</u>	

BID TABULATION (CONTINUED)
 RIDGELAND & WALTERBORO APARTMENTS
 SOUTH CAROLINA STATE HOUSING AUTHORITY

PAGE TWO
 JUNE 22, 1977

GENERAL CONTRACTORS	BASE BID	A D D I T I V E A L T E R N A T E S			COST/LF
		ALT. #1	ALT. #2	ALT. #3	UNDER DRAIN SYSTEM
BID ITEM NO. 3: Combined bid for Ridgeland and Walterboro Apartments.					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
South Construction Company	\$ <u>2,139,207</u>	\$ _____	\$ _____	\$ _____	\$ _____
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Dicks & Gillam, Inc.	\$ <u>2,075,800</u>	\$ _____	\$ _____	\$ _____	\$ _____
(BID ITEM NO. 3 CONTINUED)		PORTION OF BASE BID			
A. RIDGELAND APARTMENTS:					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
South Construction Company	\$ <u>791,396</u>	\$ <u>+ 4500</u>	\$ <u>+ 13000</u>	\$ <u>+ 20,000</u>	\$ <u>\$ 7.50</u>
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	\$ _____
Dicks & Gillam, Inc.	\$ <u>777,400</u>	\$ <u>+ 4000</u>	\$ <u>+ 7000</u>	\$ <u>+ 7,000</u>	\$ <u>\$ 9.50</u>

BID TABULATION (CONTINUED)
 RIDGELAND & WALTERBORO APARTMENTS
 SOUTH CAROLINA STATE HOUSING AUTHORITY

PAGE THREE
 JUNE 22, 1977

GENERAL CONTRACTORS	PORTION OF BASE BID	A D D I T I V E A L T E R N A T E S			COST/LF UNDER DRAIN SYSTEM
		ALT. #1	ALT. #2	ALT. #3	
B. <u>Walterboro Apartments:</u>					
Sentry Engineering & Construction	\$ _____	\$ _____	\$ _____	\$ _____	
Brantley Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	
South Construction Company	\$ <u>1,347,811</u>	\$ <u>+ 6,500</u>	\$ <u>+ 22,000.</u>	\$ <u>+ 30,000</u>	NOT
Richardson Construction Company	\$ _____	\$ _____	\$ _____	\$ _____	APPLICABLE
Raleigh R. Williams	\$ _____	\$ _____	\$ _____	\$ _____	
Dicks & Gillam, Inc.	\$ <u>1,298,400</u>	\$ <u>+ 6,000</u>	\$ <u>+ 8,500</u>	\$ <u>+ 10,000</u>	

South. - Plumbing mechanic Elec. Roofing
Sub Contractors Sig Coy Sig Coy Basic Gen Cont.

D+G Thomas Harrison + Young Basic Gen. Cont.

EXHIBIT III
9/14/77

STATE BUDGET AND CONTROL BOARD

POLL OF September 14, 1977

POLL ITEM NUMBER 2

Agency: Finance Division - Grants & Contracts Review Unit (GCRU)

Subject: Funding Awards Made Prior to GCRU Procedure

No Board action on the attached listing of 144 awards made prior to the establishment of the GCRU was taken at the 8/22/77 meeting pending the preparation of a summary analysis of the long-term impacts of these awards on the State budget.

A brief analysis of the impacts of these previously-received awards is attached.

Board Action Requested:

Approve the list of funding awards received prior to the establishment of the GCRU procedure.

Vote Of Board Member: (Please indicate by initialing appropriate line below.)

_____ I approve of the above action.
_____ I disapprove of the above action.
_____ Hold for regular meeting.

Attachments:

Oliver analysis of impacts of listed awards; Oliver 8/22/77 memo to Putnam; listing of 144 awards.

MEMORANDUM

TO: Mr. William T. Putnam
State Auditor

FROM: George F. Oliver *G. Oliver*
Grants Services Administrator

DATE: August 22, 1977

SUBJECT: Federal Awards to State Agencies Currently in Effect

The attached is a list of Federal program awards which were received from Federal agencies prior to the establishment of the Grants and Contracts Review Unit in the State Auditor's Office.

These awards do not represent all the programs that have been funded for FY 77-78 by various Federal agencies. It is, however, necessary to seek Budget and Control Board approval so that the Comptroller General can authorize the use of these Federal funds by the State agencies in accordance with Section 127 of the 1977 Appropriation Act.

The total impact of these awards to the State is:

Federal Funds	\$216,277,723
State Funds	5,144,546
Other Funds (Local funds and revenue)	23,618,280

dc

Attachment

MEMORANDUM

TO: Mr. William T. Putnam
State Auditor

FROM: George F. Oliver *G. Oliver*
Grants Services Administrator
Grants and Contracts Review Unit

DATE: September 9, 1977

SUBJECT: Impact Review of Federal Funds to State Agencies Currently in Effect

In response to Governor Edwards' comments regarding the attached list of federal awards made to state agencies prior to the establishment of the Grants and Contracts Review Unit, an impact study has been conducted regarding these federal awards, and the following is submitted:

1. The state and other funds which are indicated as matching amounts on Attachment 1 are all budgeted in the current 1977-78 budget and are currently committed to these federal programs. Many of these programs have already completed their first quarter of operations and federal reimbursements either have been requested or have already been received by these agencies. No adverse impact on state financing is anticipated.
2. All programs are awarded by the various federal agencies through June 30, 1978, or less with the exception of the seven projects. An individual impact review is submitted on Attachment 2 for these awards.
3. There is an indication that employees hired on these federal programs may create an adverse impact on the Grievance System in the state if these state agencies do not have an understanding with each federally funded employee. This understanding should be in writing and should be signed by each employee, indicating that the job is contingent upon the receipt of federal money for this program, and that if this funding stops, the job will be deleted and there would not be any claim against the state for a continuation of this position on state appropriated funds.

It is requested that the Budget and Control Board recommend approval of these awards in order to comply with the provisions of Section 127 of the 1977-78 Appropriation Act.

dc
Attachments

Attachment 1

STATE AGENCY	FEDERAL FUNDS	STATE FUNDS	OTHER FUNDS
I. Division of Administration (MP--31,573,164)	33,352,177	319,987	252,474
II. Budget and Control Board Personnel Division	65,000	55,000	---
III. Department of Education	87,334,157	---	---
IV. Wildlife and Marine Resources	2,282,638	689,055	242,500
V. Colleges and Universities			
1. S. C. State	187,145	---	21,962
2. Winthrop College	55,200	---	---
3. Medical University	3,950	---	---
4. College of Charleston	66,113	---	---
5. University of South Carolina	1,505,461	32,866	133,715
6. Clemson University	492,506	8,650	---
VI. Highway Department	39,027,942	---	---
VII. Commission on Aging	80,055	---	---
VIII. Department of Social Services	38,523,689	---	16,293,279
IX. Employment Security Commission	165,000	---	---
X. Department of Corrections	274,893	27,773	---
XI. Parks, Recreation and Tourism	47,500	99,157	---
XII. Commission for the Blind	464,854	---	---
XIII. Vocational Rehabilitation	3,920,651	29,978	---
XIV. Youth Services	67,558	---	---
XV. Mental Retardation	459,698	---	---
XVI. Health & Environmental Control	7,368,950	3,827,030	6,081,877
XVII. Arts Commission	532,586	55,000	592,473
Grand Total	\$216,277,723	\$5,144,546	\$23,618,280

FEDERAL PROGRAMS AWARDED FOR MORE THAN ONE YEAR

<u>Page</u>	<u>Item Number</u>	<u>State Agency</u>	<u>Title</u>	<u>Amount</u>	<u>Impact</u>
1	I4	Governor's Office Highway Safety	Highway Safety Fund, 1978	Federal \$319,501 State -0- Other -0-	This is a fully funded federal program. The funds are awarded for the 1st year of a 4-year program. No state financing impact anticipated.
4	IV1	Wildlife & Fresh- water Fisheries	Game Management	Federal \$367,500 State \$122,500 Other -0-	First year of a five-year program. State licensing fees are utilized as match. Future license and excise tax fees are committed to these programs in same approximate amount for the next 4 years.
7	V5h	University of South Carolina	Linguistic Atlas Middle & South Atlantic States	Federal \$149,341 State -0- Other \$133,175	Program is fully federally funded for 3 years. Matching funds must come from private donations and NEH will provide additional funds on a 50/50 match based on private funds generated during the course of the project. No impact on state funding.
7	V5i	University of South Carolina	Pharmaceutical Service Program	Federal \$294,724 State -0- Other -0-	Program has been fully federally funded for five years. No state fund impact anticipated.
9	V6p	Clemson University	Implant Fixation in Bone	Federal \$14,893 State -0- Other -0-	Program fully federally funded for 2 years. No state financial impact anticipated.
10	VI1	Dept. of Highways & Public Trans.	FY 78 Interstate, Forest & Safety Construction Projects	Federal \$38,827,942 State -0- Other -0-	Five-year project. First year award fully federally funded. No state funding impact.
10	VI2	Highways and Public Trans.	Rural Highway Program	Federal \$200,000 State -0- Other -0-	Two-year award fully federally funded. No state funding impact.

STATE AUDITOR'S OFFICE

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
I. GOVERNOR'S OFFICE									
1. Division of Economic Opportunity	Community Services Administration	1977 Summer Youth Recreation Program	175,780	---	---	7-1-77 9-30-77	Approve		
2. Division of Economic Opportunity	Action--Region IV	Volunteer Service Project	30,000	33,142	---	12-15-76 12-14-77	Approve		
3. Office of Community Development	Housing & Urban Development	FY 77 Planning Assistance	803,530	171,791	229,974	7-1-77 6-30-78	Approve		
4. Office of Highway Safety	Federal Highway Administration	Highway Safety Funds FY 78	319,501	---	---	10-1-77 9-30-81	Approve		
5. Office of Criminal Justice	LEAA	Planning Grant	11,000	---	---	10-1-76 12-31-77	Approve		
6. Division of Administration	Urban Mass Transportation Administration	FY 78 Unified Planning Work Program	90,000	---	22,500	7-5-77 6-30-78	Approve		
7. Division of Administration	Federal Railroad Administration	S. C. Rail Services Plan	100,000	2,222	---	6-1-76 10-1-77	Approve		
8. Office of Rural Development	Action, Region IV	Greater Achievement Through Involvement Now	119,524	53,326		2-1-77 1-31-78	Approve		
9. Disaster Preparedness Agency	Federal Disaster Assistance Admin.	Disaster Preparedness Program	25,000	26,828	---	7-1-77 6-30-78	Approve		
10. Disaster Preparedness Agency	Radiological Systems Maintenance	Disaster Preparedness Program	7,000	---	---	10-1-76 9-30-77	Approve		
11. Disaster Preparedness	Defense Civil Preparedness Agency	Personnel and Administrative Expenses for State of South Carolina and 43 Political Subdivisions	32,678	32,678	---	10-1-76 9-30-77	Approve		
12. Office of Manpower & Coordination	Department of Labor	CETA, Title I	3,338,834	---	---	4-1-77 9-30-77	Approve		
13. Office of Manpower & Coordination	Department of Labor	CETA, Title II	4,621,443	---	---	4-4-77 9-30-77	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
15. Office of Manpower & Coordination	U.S. Department of Labor	CETA, Title IV	7,826,305			10-1-76 11-2-77	Approve		
16. Office of Manpower & Coordination	U.S. Department of Labor	CETA, Title II	4,621,443	---	---	10-1-76 9-30-77	Approve		
17. Office of Manpower & Coordination	U.S. Department of Labor	CETA, Title VI	7,826,305	---	---	10-1-76 11-2-77	Approve		
18. Office of Manpower & Coordination	U.S. Department of Labor	CETA, Title I	3,338,834	---	---	10-1-76 9-30-77	Approve		
II. BUDGET & CONTROL BOARD									
1. State Personnel Division	U. S. Civil Service Commission	Devel. Comput. Health Insurance System	45,000	45,000	---	4-1-77 8-31-77	Approve		
2. State Personnel Division	Housing and Urban Development	HUD 701--Work Study Program	20,000	10,000	---	7-1-77 6-30-78	Approve		
III. DEPARTMENT OF EDUCATION									
1. Department of Education	U. S. Office of Education	Career Education Program	48,519	---	---	7-1-77 6-30-78	Approve		
2. Office for the Handicapped	U. S. Office of Education	Handicapped--Personnel Preparation	85,000	---	---	6-1-77 5-31-78	Approve		
3. Office of General Education	U. S. Office of Education	State Leadership & Training Project DTD 3-14-77	67,990	---	---	7-1-77 6-30-78	Approve		
4. Office of General Education	DHEW/Office of Education	State Technical Assistance	16,527	---	---	7-1-77 6-30-78	Approve		
5. Office of School Food Services	U. S. Department of Agriculture	Special Milk	1,045,000	---	---	10-1-76 9-30-77	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
6. Office of School Food Services	U. S. Department of Agriculture	School Breakfast	3,890,000	---	---	10-1-76 9-30-77	Approve		
7. Office of School Food Services	U. S. Department of Agriculture	Nonfood Assistance	371,005	---	---	10-1-76 9-30-77	Approve		
8. Office of School Food Services	U. S. Department of Agriculture	School Lunch	36,805,000	---	---	10-1-76 9-30-77	Approve		
9. Office of Vocational Education	DHEW, OE Office of Management & Adminis.	Vocational Education Personnel Development	110,000	---	---	7-1-77 9-30-78	Approve		
10. Office of Technical Assistance & Surveys	DHEW, OE Office of Management & Adminis.	Desegregation of Public Education	101,747	---	---	7-1-77 6-30-78	Approve		
11. State Superintendent of Education	U. S. Office of Education	Libraries and Learning Resources, ESEA Title IV	2,088,006	---	---	7-1-77 6-30-78	Approve		
12. State Superintendent of Education	U. S. Office of Education	Educational Innovation and Support	2,496,488	---	---	7-1-77 6-30-78	Approve		
13. Department of Education	U. S. Office of Education	Educationally Deprived Children--Adult Correctional Institut. ESEA Title I	378,345	---	---	7-1-77 6-30-78	Approve		
14. Department of Education	DHEW--Office of Education	Educationally Deprived Children--Neglected ESEA Title I	88,450	---	---	7-1-77 6-30-78	Approve		
15. Department of Education	U. S. Office of Education	Educationally Deprived Children--State Admin. ESEA Title I	413,751	---	---	7-1-77 6-30-78	Approve		
16. Department of Education	U. S. Office of Education	Educationally Deprived Children--Delinquent ESEA Title I	301,472	---	---	7-1-77 6-30-78	Approve		
17. Department of Education	U. S. Office of Education	Educationally Deprived Children--Local Educational Agencies ESEA Title I	38,748,406	---	---	7-1-77 6-30-78	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
IV. WILDLIFE AND MARINE RESOURCES DEPARTMENT									
1. Wildlife and Freshwater Fisheries	U. S. Department of the Interior	Game Management Area Prog. Income Project--Utilization of Program Income	367,500	122,500	---	7-26-77 6-30-82	Approve		State funds Licensing fees
2. Wildlife & Marine Resources Department	U. S. Department of the Interior	Statewide Wildlife Management & Technical Assistance	839,485	279,828	---	7-1-77 6-30-78	Approve		
3. Wildlife & Marine Resources Department	U. S. Department of the Interior	Fisheries Investigations in Lakes & Streams, District I	44,876	14,958	---	7-1-77 6-30-78	Approve		State money Licensing fees
4. Wildlife & Marine Resources Department	U. S. Department of the Interior	Fisheries Investigations in Lakes & Streams, District II	37,763	12,587	---	7-1-77 6-30-78	Approve		State funds Licensing fees
5. Wildlife & Marine Resources Department	U. S. Department of the Interior	Fisheries Investigations in Lakes & Streams, District III	39,991	13,330	---	7-1-77 6-30-78	Approve		State funds Licensing fees
6. Wildlife & Marine Resources Department	U. S. Department of the Interior	Fisheries Investigations in Lakes & Streams, District IV	67,262	22,420	---	7-1-77 6-30-78	Approve		State funds Licensing fees
7. Wildlife & Marine Resources Department	U. S. Department of the Interior	Fisheries Investigations in Lakes & Streams, District V	63,942	21,314	---	7-1-77 6-30-78	Approve		State funds Licensing fees
8. Wildlife & Marine Resources Department	U. S. Department of the Interior	Enhancement of Striped Bass Population in Santee River	50,000	50,000	---	7-1-77 6-30-78	Approve		State funds Licensing fees
9. Wildlife & Marine Resources Department	U. S. Department of the Interior	Cooperative Wildlife Disease Studies	3,750	1,250	---	7-1-77 6-30-78	Approve		State funds Licensing fees

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
10. Wildlife & Marine Resources Department	U.S. Department of the Interior	Wildlife Management Coordination	55,278	18,426	---	7-1-77 6-30-78	Approve		State funds Licensing fees
11. Wildlife & Marine Resources Department	U. S. Department of the Interior	Fisheries Management Coordination	45,000	29,478	---	7-1-77 6-30-78	Approve		State funds Licensing fees
12. Wildlife & Marine Resources Department	U. S. Department of the Interior	Statewide Wildlife Research Project--Deer and Turkeys	44,226	14,742	---	7-1-77 6-30-78	Approve		State funds Licensing fees
13. Wildlife & Marine Resources Department	U. S. Department of the Interior	Public Dove Fields	5,250	1,750	---	7-1-77 6-30-78	Approve		State funds Licensing fees
14. Wildlife & Marine Resources Department	U. S. Department of the Interior	Turkey Investigations	54,188	18,062	---	7-1-77 6-30-78	Approve		State funds Licensing fees
15. Wildlife & Marine Resources Department	U. S. Department of the Interior	Cooperative Fish Parasite & Disease Project	6,000	2,000	---	7-25-77 6-30-78	Approve		State funds Licensing fees
16. Wildlife & Marine Resources Department	U. S. Department of the Interior	Pre-diversion Studies of the Santee & Cooper Rivers	30,304	30,303	---	7-1-77 6-30-78	Approve		State funds Licensing fees
17. Wildlife & Marine Resources Department	U. S. Department of the Interior	Hunter Education	108,323	36,107	---	7-1-77 6-30-78	Approve		
18. Marine Resources Center	U. S. Department of Commerce--NOAA	Research and Education	419,500	---	242,500	7-1-77 6-30-78	Approve		Applicant funds, provided by sub-grantee

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
V. COLLEGES & UNIVERSITIES									
1. <u>South Carolina State</u>									
a. Rehabilitation Counselor Training Prog.	Health, Education, and Welfare	Long-term Graduate Training in Rehabilitation Counseling	26,843	---	21,962	7-1-77 6-30-78	Approve		Applicant funds
b. Habilitative Science Special Education Program	U. S. Office of Education	Handicapped--Personnel Preparation	30,000	---	---	6-1-77 5-31-78	Approve		
c. Department of Habilitative Science	U. S. Office of Education	Undergraduate & Graduate Training Program in Speech Pathology	45,000	---	---	6-1-77 5-31-78	Approve		
d. Dean of the Faculty	DHEW/OE	Special Services	62,000	---	---	7-1-77 6-30-78	Approve		
e. Department of Habilitative Science	Health, Education, and Welfare	Teaching Grant and Traineeships in Speech Pathology and Audiology	23,302	---	---	9-1-77 8-31-78	Approve		
2. <u>Winthrop College</u>									
a. Department of Sociology	Health, Education, and Welfare	Training Grant in the Field of Child Welfare	15,200	---	---	7-1-77 6-30-78	Approve		
b. Department of Special Education	U. S. Office of Education	Handicapped--Personnel Preparation	40,000	---	---	6-1-77 5-31-78	Approve		
3. <u>Medical University</u>	National Endowment for the Humanities	Education Consultant Grant	3,950	---	---	4-15-77 11-30-77	Approve		
4. <u>College of Charleston</u>									
a. Community Services	DHEW/OE	Upward Bound	62,000	---	---	7-1-77 6-30-78	Approve		
b. College of Charleston	National Endowment for the Humanities	Education Consultant Grant	4,113	---	---	6-1-77 1-31-78	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
5. University of S. C. a. Social Problems Research Institute	DHEW/OE	Trio Program	267,303	---	---	7-1-77 6-30-78	Approve		
b. Geology Department	Environmental Protection Agency	Evaluation of Sediment and Erosion Control Techniques	80,991	27,446	---	7-1-75 6-30-78	Approve		In kind funds
c. University of S. C.	National Endowment for the Arts	Writer in Residence	5,000	5,360	---	8-1-77 12-31-78	Approve		In kind funds.
d. Program for Exceptional Children	U. S. Office of Education	Handicapped--Personnel Preparation	45,000	---	---	6-1-77 5-31-78	Approve		
e. Speech Pathology and Audiology	U. S. Office of Education	Handicapped--Personnel Preparation	25,000	---	---	6-1-77 5-31-78	Approve		
f. College of Education	U. S. Office of Education	Metric Education	25,035	---	---	7-1-77 6-30-78	Approve		
g. College of Social Work	DHEW, Office of Human Development	Project AHEAD	40,390	---	---	7-1-77 6-30-78	Approve		
h. Department of English	National Foundation on the Arts and the Humanities	Linguistic Atlas of the Middle and Southern Atlantic States	149,341	---	133,715	4-1-77 3-31-80	Approve		Private donations
i. College of Pharmacy	Veterans Administration	Pharmaceutical Service Programs--Inpatient Care, Ambulatory Care	294,724	---	---	7-1-77 6-30-81	Approve		
j. College of Education	DHEW/OE	General Assistance Center--Type A	344,949	---	---	7-1-77 6-30-78	Approve		
k. UAF Program	Developmental Disabilities Office Region IV	Statewide Technical Assistance & Training Project in Developmental Disabilities	157,728	---	---	9-30-77 9-29-78	Approve		
l. Speech Pathology and Audiology	US Office of Education	Early Childhood Prog. for Hearing Handicapped	70,000	---	---	7-1-77 6-30-78	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
6. <u>Clemson University</u>									
a. <u>Clemson University</u>	S. C. Department of Education	Skill Development Workshop	12,033	---	---	7-1-77 9-30-77	Approve		
b. <u>Clemson University</u>	United Engr. Trustees	Period/Amplitude/ Pattern Analysis	10,000	---	---	7-1-77 6-30-78	Approve		
c. <u>Clemson University</u>	American Heart Assoc.	ECG Monitoring	4,950	---	---	7-1-77 6-30-78	Approve		
d. <u>Clemson University</u>	S. C. Heart Association	Portable Cardiac Monitor Design	4,500	---	---	7-1-77 6-30-78	Approve		
e. <u>Clemson University</u>	Duke Power Company	Dispersion & Transport of Liquid Waste	20,642	---	---	7-1-77 3-1-78	Approve		
f. <u>Clemson University</u>	Sandia Laboratories	Semiconductor Devices at Elevated Temps.	29,944	---	---	6-28-77 9-30-77	Approve		
g. <u>Clemson University</u>	Warner-London, Inc.	Optimization of the J.B. Warner Process	18,515	---	---	7-15-77 10-14-77	Approve		
h. <u>Clemson University</u>	SC Water Resources Com.	Assignment Agreement	19,500	---	---	8-15-77 5-16-78	Approve		
i. <u>Clemson University</u>	SC Tax Commission	Use Value Contract	113,750	---	---	7-1-77 6-30-78	Approve		
j. <u>Clemson University</u>	DHEW/PHS	Professional Nurse Traineeship Program	20,000	---	---	8-1-77 7-31-78	Approve		
k. <u>Clemson University</u>	DHEW/PHS	PMMA Strength, Surgical Tech. & Implant Frac.	29,916	---	---	8-1-77 7-31-78	Approve		
l. <u>Clemson University</u>	U.S. Dept. of Commerce	Technical Assistance in the Uses of Seaweed	107,303	---	---	9-30-77 1-1-78	Approve		
m. <u>Clemson University</u>	National Science Found.	Quadrupolar Coupling Constants	35,000	---	---	7-15-77 12-31-78	Approve		
n. <u>Clemson University</u>	National Science Found.	Pre-college Teacher Development	20,225	---	---	7-5-77 9-30-78	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
VI. DEPARTMENT OF HIGHWAYS AND PUBLIC TRANSPORTATION									
1. Highways and Public Transportation	Federal Highway Administration	FY 1978 funds for Forest Highways, Non-Interstate Federal Aid Highway, Safety Construction, Safer Off-system Roads, and FY79 Interstate Resurfacing	38,827,942	---	---	10-01-77 9-30-81	Approve		
2. Highways and Public Transportation	Federal Highway Administration	Rural Highway Public Transportation Program	200,000	---	---	4-27-77 9-30-79	Approve		
VII. S. C. COMMISSION ON AGING	Administration on Aging	S. C. Employment in Aging Services, CETA Title X	80,059	---	---	1-1-76 9-30-77	Approve		
VIII. DEPARTMENT OF SOCIAL SERVICES									
1. Social Services	Health, Education, and Welfare	Work Incentives Program Including Child Care & Other Employment Related Supportive Services	229,146	---	---	7-1-77 9-30-77	Approve		
2. Social Services	Health, Education, and Welfare	Child Welfare Services	257,010	---	---	7-1-77 9-30-77	Approve		
3. Social Services	Health, Education, and Welfare	Grants to States for Public Assistance to Provide Federal Financial Participation in payment for Medical Assistance	27,526,580	---	11,816,159	7-1-77 9-30-77	Approve		Applicant funds
4. Social Services	Health, Education, and Welfare	Public Assistance-- Maintenance Assistance (State Aid) AFDC & Administration	10,510,953	---	4,477,120	7-1-77 9-30-77	Approve		Applicant funds

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
IX. EMPLOYMENT SECURITY COMMISSION	U. S. Department of Labor	Work Incentive Program	165,000	---	---	10-1-76 9-30-77	Approve		
X. DEPARTMENT OF CORRECTIONS									
1. Department of Corrections	U.S. Dept. of Justice, Law Enforcement Assist. Admin.	Implementation of a CIS	175,000	27,773	---	1-1-77 12-31-77	Approve		
2. Department of Corrections	National Institute of Corrections	A Participant-Designed Program for Training & Developing Correctional Managers	99,893	---	---	5-2-77 5-1-78	Approve		
XI. PARKS, RECREATION, AND TOURISM	U. S. Department of the Interior	John D. Long Lake Fund	47,500	99,157	---	9-18-75 12-31-77	Approve		
XII. COMMISSION FOR THE BLIND									
1. Commission for the Blind	Office of Human Develop.	State-Federal Vocational Rehabilitation Program and Activities	364,465	---	---	10-1-76 9-30-77	Approve		
2. Commission for the Blind	Office of Human Develop.	Return disabled Social Security Beneficiaries to Employment by Providing Vocational Rehabilitation Services (Trust Fund Rehabilitation)	44,114	---	---	10-1-76 9-30-77	Approve		
3. Commission for the Blind	Office of Human Develop.	Supplemental Security Income	44,161	---	---	10-1-76 9-30-77	Approve		
4. Commission for the Blind	Office of Human Develop.	State VR Agencies for the Expansion & Improvement of Services	12,114	---	---	10-1-76 9-30-77	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
XIII. VOCATIONAL REHABILITATION									
1. Vocational Rehabilitation	Health, Education, and Welfare	Comprehensive Adjustment Service Program for the Severely Handicapped	62,911	15,728	---	9-1-77 8-31-78	Approve		
2. Vocational Rehabilitation	Office of Human Development	Federal Share--Vocational Rehabilitation Program & Activities	3,080,815	---	---	10-1-76 9-30-77	Approve		
3. Vocational Rehabilitation	Office of Human Development	Return Disabled Social Security Beneficiaries to Employment by Providing Vocational Rehabilitation Services (Trust Fund Rehabilitation)	295,227	---	---	10-1-76 9-30-77	Approve		
4. Vocational Rehabilitation	Office of Human Development	To Provide Supplemental Income to Persons Aged 65 & Over & to Persons Blind or Disabled	221,195	---	---	10-1-76 9-30-77	Approve		
5. Vocational Rehabilitation	Office of Human Development	Innovation & Expansion	47,289	---	---	10-1-76 9-30-77	Approve		
6. Vocational Rehabilitation	DHEW/OHD	Increased Service Delivery for Severely Handicapped Served in Community Based VR Workshop Centers	56,639	14,250	---	6-30-77 6-29-78	Approve		
6. Vocational Rehabilitation	Health, Education, and Welfare	Special Vocational Training Program for the Handicapped	156,575	---	25,489	6-30-77 6-29-78	Approve		Applicant funds
XIV. DEPARTMENT OF YOUTH SERVICES									
	Office of Human Development	Charleston Regional Runaway Project	67,558	---	---	6-30-77 6-29-78	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
XV. MENTAL RETARDATION									
1. Mental Retardation	Office of Human Develop.	Developmental Disabled	382,698	---	---	10-1-76 9-30-77	Approve		
2. Mental Retardation	US Office of Education	Early Education for Multihandicapped Childre Children/Project Out- reach	77,000	---	---	7-1-77 6-30-78	Approve		
XVI. HEALTH AND ENVIRON- MENTAL CONTROL									
1. Family Planning and Maternal Care	DHEW/PHS	Improved Pregnancy Outcome	400,000	---	---	5-1-77 4-30-78	Approve		
2. Environmental Health and Safety	DHEW/PHS	Midlands & Lowcountry Establishment & Initial Operation Project-- 1203	397,823	---	4,914,962	7-1-77 6-30-78	Approve		Applicant funds
3. Family Planning and Maternal Care	DHEW/PHS	S.C. Statewide Family Planning Program	3,230,115	---	815,278	7-1-77 6-30-78	Approve		Applicant funds
4. Health & Environmental Control	DHEW/PHS	Disease Control-- Immunization Project Grant	182,083	---	212,000	7-1-77 6-30-78	Approve		Applicant funds
5. Health & Environmental Control	DHEW--Public Health Service	S.C. Migrant Health Project	141,766	---	139,637	5-1-77 4-30-78	Approve		Applicant funds
6. Health & Environmental Control	U.S. Environmental Pro- tection Agency	Solid Waste Planning Grant	111,000	129,873	---	11-1-76 10-31-77	Approve		
7. Air Quality Control	U.S. Environmental Pro- tection Agency	Continuation of an Air Pollution Control Prog.	661,500	880,495	---	7-1-76 9-30-77	Approve		
8. Water Supply Division	U.S. Environmental Pro- tection Agency	State Public Water Sys- tem Supervision Program	292,300	499,954	---	10-1-76 9-30-77	Approve		
9. Environmental Quality Control	U.S. Environmental Pro- tection Agency	Wastewater Treatment Management Plan for the State of South Carolina	500,000	166,666	---	6-4-76 11-1-78	Approve		

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
10. Health and Environmental Control	U.S. Environmental Protection Agency	Water Pollution Control State and Interstate Program Grant	1,057,790	2,116,752	---	10-1-76 9-30-77	Approve		
11. Environmental Control	U.S. Environmental Protection Agency	Manpower & Development Grant	22,952	10,275	---	5-1-74 9-30-77	Approve		
12. Health and Environmental Control	U.S. Environmental Protection Agency	Land Flow R & D Grant	293,778	23,065	---	12-1-75 11-30-77	Approve		
13. Health and Environmental Control	S.C. Coastal Plains Regional Commission	S.C. Shallow Aquifer Study	77,843	---	---	10-19-76 9-18-77	Approve		
XVII. S. C. ARTS COMMISSION									
1. Arts Commission	National Endowment for the Arts	Artists-in-Schools Programs	127,400	55,000	259,447	6-1-77 6-30-78	Approve		Local and Other funds
2. Arts Commission	U.S. Office of Education	ESAA--Special Projects--Arts & Humanities	100,000	---	---	7-1-77 6-30-78	Approve		
3. Arts Commission	National Endowment for the Arts	Crafts Services to the Field Project	14,000	---	---	7-1-77 6-30-78	Approve		
4. Arts Commission	National Endowment for the Arts	Basic State Agency Grant--Federal-State Partnership Program	215,000	---	249,087	7-1-77 6-30-78	Approve		Applicant and other funds

State Agency	Funding Source	Project Title	Funding			Effective Date	GCR Unit Recommendation	B & C Board Recommendation	Remarks
			Federal	State	Other				
5. Arts Commission	National Endowment for the Arts	Federal/State Partnership--Program Develop.	20,000	---	27,434	7-1-77 6-30-78	Approve		Applicant funds
6. Arts Commission	National Endowment for the Arts	Promotion of the Arts Special Projects--Charleston Communication Center of Materials	20,000	---	24,321	8-15-77 8-14-78	Approve		Applicant and other funds
7. Arts Commission	National Endowment for the Arts	To Support the Mounting of Two Touring Productions by Stage South	5,000	---	---	7-1-77 6-30-78	Approve		
8. Arts Commission	National Endowment for the Arts	Support for S. C. Regional Editing Studio	12,000	---	12,000	6-1-77 12-31-77	Approve		Applicant and other funds
9. Arts Commission	National Endowment for the Arts	Grants-in-aid Program to Community Arts Agencies	15,000	---	15,000	7-1-77 6-30-78	Approve		
10. Arts Commission	National Endowment for the Arts	Non-theatrical Films by Independent Filmmakers Exhibit & Assistance to Young SC Filmmakers	4,186	---	5,184	7-1-77 10-31-77	Approve		Applicant funds

EXHIBIT IV
9/14/77

STATE BUDGET AND CONTROL BOARD

POLL OF September 14, 1977

POLL ITEM NUMBER 3

Agency:

Francis Marion College

Subject: Campus Development Account

President Walter D. Smith reports that the State College Board has authorized Francis Marion College to assess a \$25 per semester campus development fee. Revenues from this fee are to be deposited in a special "Campus Development Account" and used to complete various campus development and landscaping projects.

This proposal is essentially the same as the "Renovation Fee Reserve" approved by the Budget and Control Board for USC. (see attached extract from Minutes of 7/14/77 Meeting).

Board Action Requested:

Approve the establishment of a "Campus Development Account," to be funded by a \$25 per semester student fee, as requested by Francis Marion College.

Vote Of Board Member: (Please indicate by initialing appropriate line below.)

_____ I approve of the above action.

_____ I disapprove of the above action.

_____ Hold for regular meeting.

Attachments:

President Smith's 8/16/77 letter to Putnam; and extract from 7/14/77 Budget and Control Board minutes.



Office of the President

Francis Marion College

FLORENCE, SOUTH CAROLINA 29501

(803) 669-4121

August 16, 1977

Mr. William T. Putnam
State Auditor
Post Office Box 11333
Columbia, South Carolina 29211

Dear Bill:

I wish to bring to your attention a matter which may be of interest to the Budget and Control Board members. Last January the State College Board of Trustees authorized Francis Marion College to assess our students a Campus Development Fee of \$25.00 each semester. The full amount of the fee is to be used to complete a variety of campus development and landscaping projects for which money is not currently available. The fee would be deposited in a special account, Campus Development Account, established with the State Treasurer's Office. Monies would be requisitioned and transferred to an approved and established capital account, Project 42-16-Phase III, as required.

Sincerely yours,

Walter D. Smith
President

WDS/ema

cc: Mr. N. Casey Frederick
Vice President Business and Finance
Mr. F. Mitchell Johnson, Chairman
State College Board of Trustees
Mr. John C. McMaster, Chairman, Board
FMC Planning Committee

7/14/77

UNIVERSITY OF SOUTH CAROLINA - ESTABLISHMENT OF RENOVATION FEE

RESERVE - University of South Carolina Vice President for Finance B. A.

Daetwyler appeared before the Budget and Control Board to request approval of the establishment of a "Renovation Fee Reserve" which would be financed by a ten dollar per semester student fee. Vice President Daetwyler proposed that this Reserve be a special, continuing account and that all receipts from the ten dollar per semester student fee be deposited in that account for the funding of various renovation projects.

State Auditor Putnam noted that, in making this proposal, the University is acknowledging a recurring problem associated with agencies which have extensive physical facilities.

In the ensuing discussion, Mr. Daetwyler stated that the referenced student fee would generate between \$300,000 and \$350,000 annually and that a continuing need for funds in excess of this amount for minor renovation projects will be required.

Following this discussion, upon a motion by Senator Dennis, seconded by Mr. Morris, the Budget and Control Board approved the establishment of the Renovation Fee Reserve, as proposed by the University of South Carolina.

Information relating to this matter has been retained in these files and is identified as Exhibit II.

EXHIBIT V

9/14/77

4

STATE BUDGET AND CONTROL BOARD

POLL OF September 14, 1977

POLL ITEM NUMBER

Agency: University of South Carolina - Aiken

Subject: Selection of A&E Firm

Dean of Administration George A. Gibson, USC-A, reports that the following firms, listed in preference order, have been selected, after following the required procedure, to provide the services required in connection with the construction of a Maintenance and Supply Building; a master campus electrical distribution system; a master campus storm and sanitary sewage system; well, water storage and law sprinkler system; and roads and sidewalks:

- (1) Hallman and Weems;
- (2) Alexander Moorman and Associates; and
- (3) Wells and Fleetwood.

Board Action Requested:

Approve the selection of Hallman and Weems to provide the services required for the referenced projects, as requested by the USC - Aiken.

Vote Of Board Member: (Please indicate by initialing appropriate line below.)

_____ I approve of the above action.

_____ I disapprove of the above action.

_____ Hold for regular meeting.

Attachments:

Gibson 9/1/77 letter plus attachments



UNIVERSITY OF SOUTH CAROLINA
AT AIKEN
171 UNIVERSITY PARKWAY
AIKEN, SOUTH CAROLINA 29801

RECEIVED

SEP 2 1977

BUDGET AND
CONTROL BOARD

BUSINESS AFFAIRS
(803) 648-6851

September 1, 1977

Mr. John A. McPherson, Jr. P.E., State Engineer
Office of the State Auditor
P. O. Box 11333
Columbia, South Carolina 29211

Subject: Request for Budget and Control Board Approval of
Architectural Contract for State Project H31-001
(Campus Development Planning - Aiken)

Dear Mr. McPherson:

As advertised in the STATE newspaper, resumes from Architects and Engineers for subject services were received during the period June 26, 1977 - July 13, 1977. Resumes were received from twenty-two firms resulting in interviews with thirteen firms. In this connection, it is to be noted that the interviews were conducted on-site at each firm's location which allowed close scrutiny of facilities and capabilities. A list of firms interviewed is enclosed as Attachment A.

Based upon the interviews, further analysis of the local situation on-campus and awareness of previous projects which have been completed by the Aiken County Commission for Higher Education, a preferential listing of three firms (in order of preference) is as follows:

1. Hallman and Weems
2. Alexander Moorman and Associates
3. Wells and Fleetwood

It is noted that Hallman and Weems (Classroom Building, State Project #27-107) and Alexander-Moorman (Multipurpose Building State Project #27-101 and Conversion of the Existing Student Lounge, State Project #27-114) are currently employed for jobs at the Aiken Campus. These jobs, plus the Library (LBC&W, local funds), are the only major construction projects on the Aiken Campus within the last three years. Hallman and Weems have had only one State Project within the last two years (USC-A Classroom Building, as noted previously). Alexander-Moorman and Associates have had three State Projects within the last two years (USC-A Multipurpose Building and Conversion of the Existing Student Lounge, as previously noted, and PRT Renovation of the Barnwell State Park). Wells and Fleetwood have had one State Project within the last two years (Organizational Maintenance Shop for the

South Carolina National Guard at Edgefield).

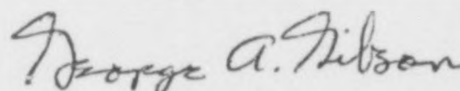
One other point -- the Aiken County Commission for Higher Education (which will deed the land to the State) and the University of South Carolina Board of Trustees concur with selection of Hallman and Weems as the Architectural firm to do this very critical work in tying together the Aiken Campus.

Also enclosed are the following:

1. Copy of contract negotiated with Hallman and Weems (Attachment B)
2. Copy of newspaper advertisement (Attachment C)
3. List of all firms responding to the advertisement with total amount of contracts they have executed on State work in the past two years (Attachment D)
4. List of all A & E contracts awarded for USC-A in the past three years (Attachment E).

It is requested that the aforestated information be transmitted to the Budget and Control Board for Approval.

Very respectfully,



George A. Gibson
Dean of Administration, USC-A
and Management Officer for
State and County Projects at
the Aiken Campus

GAG/ke

Attachments (5)

ATTACHMENT A

FIRMS INTERVIEWED FOR USC-A CAMPUS DEVELOPMENT PROJECTS

FIRM

Alexander-Moorman and Associates-Architects
218 Newberry Street, S.W.
Aiken, South Carolina 29801

Hallman and Weems
Architects and Landscape Architects
143 Laurens Street, S.W.
Aiken, South Carolina 29801

Wells and Fleetwood, Architects
235 Richland Avenue
Aiken, South Carolina 29801

Piedmont Engineers, Architects, & Planners
420 Park Avenue, P. O. Box 1717
Greenville, South Carolina 29602

MBTB, Architects & Engineers
669 North Academy Street, P. O. Box 1508
Greenville, South Carolina 29602

J. E. Sirrine Company
Architects, Engineers, Planners
216 South Pleasantburg Drive
Greenville, South Carolina 29606

Gilliland-Bell Associates, Inc.
101 Grace Street, P. O. Box Drawer 3048
Greenwood, South Carolina 29646

W. E. Gilbert & Associates
U. S. Highway 25 North, P. O. Box 1042
Greenwood, South Carolina 29642

Design Collaborative Inc.
Architects/Planners
2818 Devine Street
Columbia, South Carolina 29205

Leon Campbell and Associates
Consulting Engineers and Planners
2817 Millwood Avenue
Columbia, South Carolina 29205

R. M. Gaddy & Associates, Consulting Engineers
1900 Broad River Road
Columbia, South Carolina 29210

Bultman Coulter Gasque Associates
6941 North Trenholm Road Suite Q2
Columbia, South Carolina 29206

James C. Smith & Joseph S. Holladay, Consulting Engineers
815 Twelfth Street
Augusta, Georgia 30901

RECEIVED

SEP 8 1971

BIG BUDGET AND
CONTROL BOARD

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document B141

Standard Form of Agreement Between Owner and Architect

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH
AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION

AGREEMENT

made this **First** day of **September** in the year of Nineteen
Hundred and **Seventy-seven**

BETWEEN the Owner: **THE BOARD OF TRUSTEES OF
THE UNIVERSITY OF SOUTH CAROLINA**

and the Architect: **HALLMAN AND WEEMS
ARCHITECTS AND LANDSCAPE ARCHITECTS**

For the following Project:

(Include detailed description of Project location and scope)

Construction of Campus Development Projects for the University of South Carolina - Aiken Campus at Aiken, South Carolina. For a construction budget of \$675,000 under two separate contracts the work included will be (1) a Campus Electrical Distribution System (2) a Maintenance and Supply Building with offices, shops, receiving, storage and issuing areas - together with walled vehicle compound; additions to storm drains, sanitary sewers, water mains and paved roads.

The Owner and the Architect agree as set forth below.

FIXED FEE

- I. THE ARCHITECT shall provide professional services for the Project in accordance with the Terms and Conditions of this Agreement.
- II. THE OWNER shall compensate the Architect, in accordance with the Terms and Conditions of this Agreement.
- A. FOR BASIC SERVICES, as described in Paragraph 1.1, Basic Compensation shall be computed on the basis of a FIXED FEE of \$Forty Seven Thousand dollars (\$ 47,000). *
- B. FOR ADDITIONAL SERVICES, as described in Paragraph 1.3, compensation computed as follows:
1. Principals' time at the fixed rate of **thirty-five** dollars (\$ 35.00) per hour.
For the purpose of this Agreement, the Principals are:

W. Edwin Hallman
John W. Weems, Jr.

2. Employees' time (other than Principals) at a multiple of **two and one-half** (2.5) times the employees' Direct Personnel Expense as defined in Article 4.
3. Services of professional consultants at a multiple of **one and one-quarter** (1.25) times the amount billed to the Architect for such services.
- C. AN INITIAL PAYMENT of **None** dollars (\$ -----) shall be made upon the execution of this Agreement and credited to the Owner's account.
- D. FOR REIMBURSABLE EXPENSES, amounts expended as defined in Article 5.
- III. THE OWNER AND ARCHITECT agree in accordance with the Terms and Conditions of this Agreement that:
- A. IF SCOPE of the Project is changed materially, compensation shall be the subject to renegotiation.
- B. IF THE SERVICES covered by this Agreement have not been completed within **eighteen** (18) months of the date hereof, the amounts of compensation, rates and multiples set forth in Paragraph II shall be subject to renegotiation.
- *Architect agrees to produce construction documents by February 1, 1978 for partial fee of \$24,000 until project is fully funded in the amount of \$675,000 construction costs with an overall project funding of \$800,000.

TERMS AND CONDITIONS OF AGREEMENT BETWEEN OWNER AND ARCHITECT

ARTICLE 1

ARCHITECT'S SERVICES

1.1 BASIC SERVICES

The Architect's Basic Services consist of the five phases described below and include normal structural, mechanical and electrical engineering services and any other services included in Article 14 as Basic Services.

SCHEMATIC DESIGN PHASE

1.1.1 The Architect shall review the program furnished by the Owner to ascertain the requirements of the Project and shall confirm such requirements to the Owner.

1.1.2 Based on the mutually agreed upon program, the Architect shall prepare Schematic Design Studies consisting of drawings and other documents illustrating the scale and relationship of Project components for approval by the Owner.

1.1.3 The Architect shall submit to the Owner a Statement of Probable Construction Cost based on current area, volume or other unit costs.

DESIGN DEVELOPMENT PHASE

1.1.4 The Architect shall prepare from the approved Schematic Design Studies, for approval by the Owner, the Design Development Documents consisting of drawings and other documents to fix and describe the size and character of the entire Project as to structural, mechanical and electrical systems, materials and such other essentials as may be appropriate.

1.1.5 The Architect shall submit to the Owner a further Statement of Probable Construction Cost.

CONSTRUCTION DOCUMENTS PHASE

1.1.6 The Architect shall prepare from the approved Design Development Documents, for approval by the Owner, Drawings and Specifications setting forth in detail the requirements for the construction of the entire Project including the necessary bidding information, and shall assist in the preparation of bidding forms, the Conditions of the Contract, and the form of Agreement between the Owner and the Contractor.

1.1.7 The Architect shall advise the Owner of any adjustments to previous Statements of Probable Construction Cost indicated by changes in requirements or general market conditions.

1.1.8 The Architect shall assist the Owner in filing the required documents for the approval of governmental authorities having jurisdiction over the Project.

BIDDING OR NEGOTIATION PHASE

1.1.9 The Architect, following the Owner's approval of the Construction Documents and of the latest Statement

of Probable Construction Cost, shall assist the Owner in obtaining bids or negotiated proposals, and in awarding and preparing construction contracts.

CONSTRUCTION PHASE — ADMINISTRATION OF THE CONSTRUCTION CONTRACT

1.1.10 The Construction Phase will commence with the award of the Construction Contract and will terminate when the final Certificate for Payment is issued to the Owner.

1.1.11 The Architect shall provide Administration of the Construction Contract as set forth in AIA Document A201, General Conditions of the Contract for Construction, and the extent of his duties and responsibilities and the limitations of his authority as assigned thereunder shall not be modified without his written consent.

1.1.12 The Architect, as the representative of the Owner during the Construction Phase, shall advise and consult with the Owner and all of the Owner's instructions to the Contractor shall be issued through the Architect. The Architect shall have authority to act on behalf of the Owner to the extent provided in the General Conditions unless otherwise modified in writing.

1.1.13 The Architect shall at all times have access to the Work wherever it is in preparation or progress.

1.1.14 The Architect shall make periodic visits to the site to familiarize himself generally with the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. On the basis of his on-site observations as an architect, he shall endeavor to guard the Owner against defects and deficiencies in the Work of the Contractor. The Architect shall not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect shall not be responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, and he shall not be responsible for the Contractor's failure to carry out the Work in accordance with the Contract Documents.

1.1.15 Based on such observations at the site and on the Contractor's Applications for Payment, the Architect shall determine the amount owing to the Contractor and shall issue Certificates for Payment in such amounts. The issuance of a Certificate for Payment shall constitute a representation by the Architect to the Owner, based on the Architect's observations at the site as provided in Subparagraph 1.1.14 and the data comprising the Application for Payment, that the Work has progressed to the point indicated; that to the best of the Architect's knowledge, information and belief, the quality of the Work is in accordance with the Contract Documents (subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to the results of any subsequent tests required by the Contract

Documents, to minor deviations from the Contract Documents correctable prior to completion, and to any specific qualifications stated in the Certificate for Payment); and that the Contractor is entitled to payment in the amount certified. By issuing a Certificate for Payment, the Architect shall not be deemed to represent that he has made any examination to ascertain how and for what purpose the Contractor has used the moneys paid on account of the Contract Sum.

1.1.16 The Architect shall be, in the first instance, the interpreter of the requirements of the Contract Documents and the impartial judge of the performance thereunder by both the Owner and Contractor. The Architect shall make decisions on all claims of the Owner or Contractor relating to the execution and progress of the Work and on all other matters or questions related thereto. The Architect's decisions in matters relating to artistic effect shall be final if consistent with the intent of the Contract Documents.

1.1.17 The Architect shall have authority to reject Work which does not conform to the Contract Documents. Whenever, in his reasonable opinion, he considers it necessary or advisable to insure the proper implementation of the intent of the Contract Documents, he will have authority to require special inspection or testing of any Work in accordance with the provisions of the Contract Documents whether or not such Work be then fabricated, installed or completed.

1.1.18 The Architect shall review and approve shop drawings, samples, and other submissions of the Contractor only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents.

1.1.19 The Architect shall prepare Change Orders.

1.1.20 The Architect shall conduct inspections to determine the Dates of Substantial Completion and final completion, shall receive and review written guarantees and related documents assembled by the Contractor, and shall issue a final Certificate for Payment.

1.1.21 The Architect shall not be responsible for the acts or omissions of the Contractor, or any Subcontractors, or any of the Contractor's or Subcontractors' agents or employees, or any other persons performing any of the Work.

1.2 PROJECT REPRESENTATION BEYOND BASIC SERVICES

1.2.1 If more extensive representation at the site than is described under Subparagraphs 1.1.10 through 1.1.21 inclusive is required, and if the Owner and Architect agree, the Architect shall provide one or more Full-Time Project Representatives to assist the Architect.

1.2.2 Such Full-Time Project Representatives shall be selected, employed and directed by the Architect, and the Architect shall be compensated therefor as mutually agreed between the Owner and the Architect as set forth in an exhibit appended to this Agreement.

1.2.3 The duties, responsibilities and limitations of authority of such Full-Time Project Representatives shall be set forth in an exhibit appended to this Agreement.

1.2.4 Through the on-site observations by Full-Time Project Representatives of the Work in progress, the Architect shall endeavor to provide further protection for the Owner against defects in the Work, but the furnishing of such project representation shall not make the Architect responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs, or for the Contractor's failure to perform the Work in accordance with the Contract Documents.

1.3 ADDITIONAL SERVICES

The following Services shall be provided when authorized in writing by the Owner, and they shall be paid for by the Owner as hereinbefore provided.

1.3.1 Providing analyses of the Owner's needs, and programming the requirements of the Project.

1.3.2 Providing financial feasibility or other special studies.

1.3.3 Providing planning surveys, site evaluations, environmental studies or comparative studies of prospective sites.

1.3.4 Providing design services relative to future facilities, systems and equipment which are not intended to be constructed as part of the Project.

1.3.5 Providing services to investigate existing conditions or facilities or to make measured drawings thereof, or to verify the accuracy of drawings or other information furnished by the Owner.

1.3.6 Preparing documents for alternate bids or out-of-sequence services requested by the Owner.

1.3.7 Providing Detailed Estimates of Construction Cost or detailed quantity surveys or inventories of material, equipment and labor.

1.3.8 Providing interior design and other services required for or in connection with the selection of furniture and furnishings.

1.3.9 Providing services for planning tenant or rental spaces.

1.3.10 Making revisions in Drawings, Specifications or other documents when such revisions are inconsistent with written approvals or instructions previously given and are due to causes beyond the control of the Architect.

1.3.11 Preparing supporting data and other services in connection with Change Orders if the change in the Basic Compensation resulting from the adjusted Contract Sum is not commensurate with the services required of the Architect.

1.3.12 Making investigations involving detailed appraisals and valuations of existing facilities, and surveys or inventories required in connection with construction performed by the Owner.

1.3.13 Providing consultation concerning replacement of any Work damaged by fire or other cause during construction, and furnishing professional services of the type

set forth in Paragraph 1.1 as may be required in connection with the replacement of such Work.

1.3.14 Providing professional services made necessary by the default of the Contractor or by major defects in the Work of the Contractor in the performance of the Construction Contract.

1.3.15 Preparing a set of reproducible record prints of drawings showing significant changes in the Work made during the construction process, based on marked-up prints, drawings and other data furnished by the Contractor to the Architect.

1.3.16 Providing extensive assistance in the utilization of any equipment or system such as initial start-up or testing, adjusting and balancing, preparation of operation and maintenance manuals, training personnel for operation and maintenance, and consultation during operation.

1.3.17 Providing services after issuance to the Owner of the final Certificate for Payment.

1.3.18 Preparing to serve or serving as an expert witness in connection with any public hearing, arbitration proceeding or legal proceeding.

1.3.19 Providing services of professional consultants for other than the normal structural, mechanical and electrical engineering services for the Project.

1.3.20 Providing any other services not otherwise included in this Agreement or not customarily furnished in accordance with generally accepted architectural practice.

ARTICLE 2

THE OWNER'S RESPONSIBILITIES

2.1 The Owner shall provide full information, including a complete program, regarding his requirements for the Project.

2.2 The Owner shall designate, when necessary, a representative authorized to act in his behalf with respect to the Project. The Owner shall examine documents submitted by the Architect and shall render decisions pertaining thereto promptly, to avoid unreasonable delay in the progress of the Architect's services.

2.3 The Owner shall furnish a certified land survey of the site giving, as applicable, grades and lines of streets, alleys, pavements and adjoining property; rights-of-way, restrictions, easements, encroachments, zoning, deed restrictions, boundaries and contours of the site; locations, dimensions and complete data pertaining to existing buildings, other improvements and trees; and full information concerning available service and utility lines both public and private, above and below grade, including inverts and depths.

2.4 The Owner shall furnish the services of a soils engineer or other consultant when such services are deemed necessary by the Architect, including reports, test borings, test pits, soil bearing values, percolation tests, air and water pollution tests, ground corrosion and resistivity tests and other necessary operations for determining subsoil, air and water conditions, with appropriate professional recommendations.

2.5 The Owner shall furnish structural, mechanical, chemical and other laboratory tests, inspections and reports as required by law or the Contract Documents.

2.6 The Owner shall furnish such legal, accounting, and insurance counseling services as may be necessary for the Project, and such auditing services as he may require to ascertain how or for what purposes the Contractor has used the moneys paid to him under the Construction Contract.

2.7 The services, information, surveys and reports required by Paragraphs 2.3 through 2.6 inclusive shall be furnished at the Owner's expense, and the Architect shall be entitled to rely upon the accuracy and completeness thereof.

2.8 If the Owner becomes aware of any fault or defect in the Project or non-conformance with the Contract Documents, he shall give prompt written notice thereof to the Architect.

2.9 The Owner shall furnish information required of him as expeditiously as necessary for the orderly progress of the Work.

ARTICLE 3

CONSTRUCTION COST

3.1 If the Construction Cost is to be used as the basis for determining the Architect's Compensation for Basic Services, it shall be the total cost or estimated cost to the Owner of all Work designed or specified by the Architect. The Construction Cost shall be determined as follows, with precedence in the order listed:

3.1.1 For completed construction, the cost of all such Work, including costs of managing construction;

3.1.2 For Work not constructed, (1) the lowest bona fide bid received from a qualified bidder for any or all of such Work, or (2) if the Work is not bid, the bona fide negotiated proposal submitted for any or all of such Work; or

3.1.3 For Work for which no such bid or proposal is received, (1) the latest Detailed Estimate of Construction Cost if one is available, or (2) the latest Statement of Probable Construction Cost.

3.2 Construction Cost does not include the compensation of the Architect and his consultants, the cost of the land, rights-of-way, or other costs which are the responsibility of the Owner as provided in Paragraphs 2.3 through 2.6 inclusive.

3.3 The cost of labor, materials and equipment furnished by the Owner for the Project shall be included in the Construction Cost at current market rates including a reasonable allowance for overhead and profit.

3.4 Statements of Probable Construction Cost and Detailed Cost Estimates prepared by the Architect represent his best judgment as a design professional familiar with the construction industry. It is recognized, however, that neither the Architect nor the Owner has any control over the cost of labor, materials or equipment, over the contractors' methods of determining bid prices, or over competitive bidding or market conditions. Accordingly, the

Architect cannot and does not guarantee that bids will not vary from any Statement of Probable Construction Cost or other cost estimate prepared by him.

3.5 When a fixed limit of Construction Cost is established as a condition of this Agreement, it shall be in writing signed by the parties and shall include a bidding contingency of ten percent unless another amount is agreed upon in writing. When such a fixed limit is established, the Architect shall be permitted to determine what materials, equipment, component systems and types of construction are to be included in the Contract Documents, and to make reasonable adjustments in the scope of the Project to bring it within the fixed limit. The architect may also include in the Contract Documents alternate bids to adjust the Construction Cost to the fixed limit.

3.5.1 If the Bidding or Negotiating Phase has not commenced within six months after the Architect submits the Construction Documents to the Owner, any fixed limit of Construction Cost established as a condition of this Agreement shall be adjusted to reflect any change in the general level of prices which may have occurred in the construction industry for the area in which the Project is located. The adjustment shall reflect changes between the date of submission of the Construction Documents to the Owner and the date on which proposals are sought.

3.5.2 When a fixed limit of Construction Cost, including the Bidding contingency (adjusted as provided in Subparagraph 3.5.1, if applicable), is established as a condition of this Agreement and is exceeded by the lowest bona fide bid or negotiated proposal, the Detailed Estimate of Construction Cost or the Statement of Probable Construction cost, the Owner shall (1) give written approval of an increase in such fixed limit, (2) authorize rebidding the Project within a reasonable time, or (3) cooperate in revising the Project scope and quality as required to reduce the Probable Construction Cost. In the case of (3) the Architect, without additional charge, shall modify the Drawings and Specifications as necessary to bring the Construction Cost within the fixed limit. The providing of such service shall be the limit of the Architect's responsibility in this regard, and having done so, the Architect shall be entitled to compensation in accordance with this Agreement.

ARTICLE 4

DIRECT PERSONNEL EXPENSE

Direct Personnel Expense is defined as the salaries of professional, technical and clerical employees engaged on the Project by the Architect, and the cost of their mandatory and customary benefits such as statutory employee benefits, insurance, sick leave, holidays, vacations, pensions and similar benefits.

ARTICLE 5

REIMBURSABLE EXPENSES

5.1 Reimbursable Expenses are in addition to the Compensation for Basic and Additional Services and include actual expenditures made by the Architect, his employ-

ees, or his professional consultants in the interest of the Project for the expenses listed in the following Subparagraphs:

5.1.1 Expense of transportation and living when traveling in connection with the Project; long distance calls and telegrams; and fees paid for securing approval of authorities having jurisdiction over the Project.

5.1.2 Expense of reproductions, postage and handling of Drawings and Specifications excluding duplicate sets at the completion of each Phase for the Owner's review and approval.

5.1.3 If authorized in advance by the Owner, expense of overtime work requiring higher than regular rates and expense of renderings or models for the Owner's use.

5.1.4 Expense of computer time for professional services when included in Paragraph II.

5.1.5 Expense of computer time when used in connection with Additional Services.

ARTICLE 6

PAYMENTS TO THE ARCHITECT

6.1 Payments on account of the Architect's Basic Services shall be made as follows:

6.1.1 An initial payment as set forth in Paragraph II is the minimum payment under this Agreement.

6.1.2 Subsequent payments for Basic Services shall be made monthly in proportion to services performed so that the compensation at the completion of each Phase, except when the compensation is on the basis of a Multiple of Direct Personnel Expense, shall equal the following percentages of the total Basic Compensation:

Schematic Design Phase	15%
Design Development Phase	35%
Construction Documents Phase ...	75%
Bidding or Negotiation Phase	80%
Construction Phase	100%

6.1.3 If the Contract Time initially established in the Construction Contract is exceeded by more than thirty days through no fault of the Architect, compensation for Basic Services performed by Principals, employees and professional consultants required to complete the Administration of the Construction Contract beyond the thirtieth day shall be computed as set forth in Paragraph II for Additional Services.

6.2 Payments for Additional Services of the Architect as defined in Paragraph 1.3, and for Reimbursable Expenses as defined in Article 5, shall be made monthly upon presentation of the Architect's statement of services rendered.

6.3 No deductions shall be made from the Architect's compensation on account of penalty, liquidated damages, or other sums withheld from payments to contractors.

6.4 If the Project is suspended for more than three months or abandoned in whole or in part, the Architect

shall be paid his compensation for services performed prior to receipt of written notice from the Owner of such suspension or abandonment, together with Reimbursable Expenses then due and all termination expenses as defined in Paragraph 8.3 resulting from such suspension or abandonment. If the Project is resumed after being suspended for more than three months, the Architect's compensation shall be subject to renegotiation.

6.5 Payments due the Architect under this Agreement shall bear interest at the legal rate commencing sixty days after the date of billing.

ARTICLE 7

ARCHITECT'S ACCOUNTING RECORDS

Records of Reimbursable Expenses and expenses pertaining to Additional Services on the Project and for services performed on the basis of a Multiple of Direct Personnel Expense shall be kept on a generally recognized accounting basis and shall be available to the Owner or his authorized representative at mutually convenient times.

ARTICLE 8

TERMINATION OF AGREEMENT

8.1 This Agreement may be terminated by either party upon seven days' written notice should the other party fail substantially to perform in accordance with its terms through no fault of the party initiating the termination.

8.2 In the event of termination due to the fault of parties other than the Architect, the Architect shall be paid his compensation for services performed to termination date, including Reimbursable Expenses then due and all termination expenses.

8.3 Termination Expenses are defined as Reimbursable Expenses directly attributable to termination, plus an amount computed as a percentage of the total compensation earned to the time of termination, as follows:

- 20 percent if termination occurs during the Schematic Design Phase; or
- 10 percent if termination occurs during the Design Development Phase; or
- 5 percent if termination occurs during any subsequent phase.

ARTICLE 9

OWNERSHIP OF DOCUMENTS

W.E. Hallman, Partner
Architect
~~Drawings and Specifications as instruments of service are and shall remain the property of the Architect whether the Project for which they are made is executed or not. They are not to be used by the Owner on other projects or extensions to this Project except by agreement in writing and with appropriate compensation to the Architect.~~

ARTICLE 10

SUCCESSORS AND ASSIGNS

The Owner and the Architect each binds himself, his partners, successors, assigns and legal representatives to

the other party to this Agreement and to the partners, successors, assigns and legal representatives of such other party with respect to all covenants of this Agreement. Neither the Owner nor the Architect shall assign, sublet or transfer his interest in this Agreement without the written consent of the other.

ARTICLE 11

ARBITRATION

11.1 All claims, disputes and other matters in question between the parties to this Agreement, arising out of, or relating to this Agreement or the breach thereof, shall be decided by arbitration in accordance with the Construction Industry Arbitration Rules of the American Arbitration Association then obtaining unless the parties mutually agree otherwise. No arbitration, arising out of, or relating to this Agreement, shall include, by consolidation, joinder or in any other manner, any additional party not a party to this Agreement except by written consent containing a specific reference to this Agreement and signed by all the parties hereto. Any consent to arbitration involving an additional party or parties shall not constitute consent to arbitration of any dispute not described therein or with any party not named or described therein. This Agreement to arbitrate and any agreement to arbitrate with an additional party or parties duly consented to by the parties hereto shall be specifically enforceable under the prevailing arbitration law.

11.2 Notice of the demand for arbitration shall be filed in writing with the other party to this Agreement and with the American Arbitration Association. The demand shall be made within a reasonable time after the claim, dispute or other matter in question has arisen. In no event shall the demand for arbitration be made after the date when institution of legal or equitable proceedings based on such claim, dispute or other matter in question would be barred by the applicable statute of limitations.

11.3 The award rendered by the arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

ARTICLE 12

EXTENT OF AGREEMENT

This Agreement represents the entire and integrated agreement between the Owner and the Architect and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both Owner and Architect.

ARTICLE 13

GOVERNING LAW

Unless otherwise specified, this Agreement shall be governed by the law of the principal place of business of the Architect.

ARTICLE 14
OTHER CONDITIONS OR SERVICES

Paragraphs 14.1 through 14.20 are attached to this Agreement on pages 8-1 through 8-4.

W.E. Hallman, Partner
B.A. Daetwyler
14.21 In the event that the State Budget and Control Board and/or the State Attorney General, during the life of this Agreement, should agree with the South Carolina Chapter, The American Institute of Architects to modify or delete paragraphs 14.5, 14.7, 14.7.1, 14.14 and 14.18, it is agreed that such modifications and/or deletions will be incorporated into this Agreement.

14.22 Reference paragraph 1.2 PROJECT REPRESENTATION BEYOND BASIC SERVICES, it is agreed that a Full-Time Project Representative will not be required.

This Agreement executed the day and year first written above.

THE BOARD OF TRUSTEES OF THE
OWNER UNIVERSITY OF SOUTH CAROLINA ARCHITECT HALLMAN AND WEEMS

B.A. Daetwyler
BY B. A. Daetwyler, Vice President
(signature) (title)

John W. Weems, Jr.
BY John W. Weems, Jr., Partner
(signature) (title)

Witnesses:

D. A. Gibson

Richard D. Parshur

Witnesses:

D. C. Faglin

Thomas W. McMillan

ARTICLE 14

OTHER CONDITIONS OR SERVICES

In the TERMS AND CONDITIONS OF AGREEMENT BETWEEN OWNER AND ARCHITECT add to or delete from as follows:

14.1 Add new Subparagraph 1.1.3.1 as follows:

"1.1.3.1 Upon completion of SCHEMATIC DESIGN PHASE, the DESIGN, OUTLINE SPECIFICATIONS, and PROBABLE CONSTRUCTION COST shall be approved by the OWNER in writing."

14.2 Add new Subparagraph 1.1.5.1 as follows:

"1.1.5.2 Upon completion of DESIGN DEVELOPMENT PHASE, the DESIGN and PROBABLE CONSTRUCTION COST shall be approved by the OWNER in writing."

14.3 Add new Subparagraph 1.1.8.1 as follows:

"Prior to advertising for bids for construction, the Architect shall obtain written approval from the OWNER of the PROJECT DESIGN AND PROBABLE CONSTRUCTION COST."

14.4 Following Subparagraph 1.1.9 add the following:

"ADDITIONAL BASIC SERVICES

"1.1.9 Review Documents as required by OWNER in Subparagraphs 1.1.3.1, 1.1.5.1 and 1.1.8.1 shall also be submitted to the State Engineer's Office for approval.

"1.1.9.1 Prior to advertising for bids for construction, the Architect shall obtain written approval of the PROJECT DESIGN from the STATE ENGINEER.

"1.1.9.2 Ownership of Documents shall be as described in Article 9.

"1.1.9.2.1 Upon completion of the CONSTRUCTION PHASE, the ARCHITECT shall correct the original drawing to "record drawings" showing all significant changes in the Work made during construction. Such changes to the drawings shall be made in a professional manner, and drawings shall be stamped and signed by the ARCHITECT as said drawings being "record drawings", based on marked-up prints, drawings and other data furnished by the CONTRACTOR and/or representative of the OWNER and/or ARCHITECT.

"1.1.9.3 One month prior to the expiration of the one-year warranty period as called for in the CONSTRUCTION DOCUMENTS, the ARCHITECT shall inspect the project for any deficiencies that may have developed under the one-year warranty. Upon completion of inspection a written report shall be furnished to the OWNER, the STATE ENGINEER and the CONTRACTOR, and the ARCHITECT shall assist the OWNER in taking necessary action to see that the deficiencies are corrected."

- 14.5 In Subparagraph 1.1.14, fifth line following "---on site" delete "observations" and substitute therefor "inspections".
- 14.6 Add new Subparagraph 1.1.14.1 and 1.1.14.2 as follows:
- "1.1.14.1 Periodic visit by the ARCHITECT may vary with the progress of the work and other conditions, but shall average not less than one visit by the ARCHITECT or his representative to the project per week during the course of construction. The ARCHITECT shall submit to the OWNER a written report of his periodic visit, at least once a month, of his findings and progress of the project.
- "1.1.14.2 Should the CONTRACTOR fall behind in his construction schedule by more than four weeks, the ARCHITECT shall notify the OWNER in writing.
- 14.7 In Subparagraph 1.1.15, first line following "based on such" delete "observations" and substitute therefor "inspections".
- 14.7.1 In Subparagraph 1.1.15, seventh line following "Architects" delete "observations" and substitute therefor "inspections".
- 14.8 Delete Subparagraph 1.1.16 in its entirety and substitute therefor new Subparagraph 1.1.16 as follows:
- "1.1.16 The ARCHITECT shall be the interpreter of the requirements of the CONTRACT DOCUMENTS and judge of the performance thereunder by both the OWNER and the CONTRACTOR. The ARCHITECT shall render written interpretations necessary for the proper execution or progress of the work within fifteen days on written request of either the OWNER or the CONTRACTOR, unless such interpretation can only be made after testing, in which case an interpretation must be rendered within fifteen days after completion of such test, and shall render written decisions, within fifteen days, on all claims, disputes and other matters in question between the OWNER and the CONTRACTOR relating to the execution or progress of the work or the interpretation of the CONTRACT DOCUMENTS.
- 14.9 In Subparagraph 1.1.18, following "---Contract Documents" add new sentence:
- "Such action shall be taken with reasonable promptness so as not to delay the project.
- 14.10 Under Paragraph 1.3, delete Sub-paragraph 1.3.15 in its entirety.
- 14.11 Delete Subparagraph 1.3.11 in its entirety and substitute therefor new Subparagraph 1.3.11 as follows:
- "1.3.11 Preparing supporting data, and other services in connection with change orders requested by the OWNER. Where a change order is required because of a design error, the change order shall be prepared at no cost to the OWNER.
- 14.12 Add new Subparagraph 3.1.3.1 as follows:
- "3.1.3.1 Compensation to the Architect shall be as set forth in Paragraph II. When compensation is based on "Percentage of Construction Cost," the establishing of the percentage shall be the amount of the Construction Contract

awarded. Once established, the same percentage figure shall apply to any recomputation of fee occasioned by Change Order, and only Change Orders that increase the contract amount shall be used in recomputation. Where a Change Order is required because of a design error, the amount of that Change Order shall not apply toward computation of Architect's compensation".

14.13 Add new Subparagraph 3.5.0.1. as follows:

"Fixed limit of Construction Cost shall be the Probable Construction Cost as defined in Subparagraph 1.1.8.1"

14.14 In Subparagraph 6.1.3 add new sentence as follows:

"No additional compensation will be paid for services in connection with semi-final and final inspections"

14.15 In Paragraph 6.4, page 7, fourth line following-----then due add "." (period) and delete remaining of sentence.

14.15.1 In Paragraph 6.4, page 7, delete last line in its entirety and substitute therefor the following:

"Compensation may be subject to negotiation."

14.16 Delete Paragraph 6.5 in its entirety and substitute new Paragraph 6.5 as follows:

"6.5 Payment due the Architect under this Agreement shall bear interest at six percent per annum commencing forty-five days after date of billing.

14.17 In Paragraph 8.2 in fourth line following Reimbursable Expense add "." (period) and delete remaining of sentence.

14.18 In Article 9 - OWNERSHIP OF DOCUMENTS delete in its entirety and add new Article 9 as follows:

" ARTICLE 9 "

"OWNERSHIP OF DOCUMENTS"

"9.1 The Owner shall have unlimited rights in the ownership of all drawings, designs, specifications, notes and other work developed in the performance of the AGREEMENT, including the right to use same on any other Owner's projects without additional cost to the Owner, and with respect thereto the Architect agrees to and does hereby grant to the Owner a royalty-free license to all such data which he may cover by copy right and to all designs as to which he may assert any rights or establish any claim under the design patent or copyright laws.

"9.2 In the case of future reuse of the documents the Owner reserves the right to negotiate with the Architect for compensation for the acceptance of any professional liability."

"9.3 In the event the Owner does not exercise the option to negotiate with the Architect for the Architect's acceptance of any professional liability, it is understood that the Architect is no longer liable in the reuse of the documents.

14.19 Delete Article 11 - Arbitration in its entirety.

14.20 Under Article 13 - Governing Laws second line following "----law of", delete remaining of sentence and substitute therefor the following:

"-----South Carolina."

RESUMES FOR
PROFESSIONAL SERVICES

Resumes from Architects and Engineers interested in performing services for any or all the following projects are solicited by the Board of Trustees of the University of South Carolina, c/o University of South Carolina - Aiken Campus, 171 University Parkway, Aiken, South Carolina 29801 until Wednesday, July 13, 1977. Planned projects include: (a) Maintenance and Supply building of approximately 12,000 sq. ft. with an adjacent vehicle compound with partial shedding; (b) Master campus electrical distribution system; (c) Master campus storm & sanitary sewage system; (d) Well, water storage & lawn sprinkler system; (e) Roads and sidewalks. Firms submitting resumes must include a list of all contracts executed on State work in the past two years. Additional information available from Dean of Administration, USC-Aiken Campus.

William H. Patterson
President
University of
South Carolina

ATTACHMENT C

COLUMBIA NEWSPAPERS, INC.

Publishers of

The State
Mornings and Sunday

AND

The Columbia Record
Evenings

STATE OF SOUTH CAROLINA
COUNTY OF RICHLAND

Personally appeared before me Carl M. Regal, Retail Advertising Manager
of THE STATE, and makes oath that the advertisement,

Request for Resumes - For Professional services

a clipping of which is attached hereto, was printed in THE STATE,
a daily newspaper of general circulation published in the City
of Columbia, State and County aforesaid, in the issues of

The State - June 26, 1977

Carl M. Regal

Subscribed and sworn to before me
this 27 day of June 1977.

Emma Lee Smith Notary Public

1977
RECEIVED AND
RECORDED

ATTACHMENT D

List of Firms Responding to Advertisement with total amount
of State Contract executed in the Past Two Years

<u>FIRM</u>	<u>PROJECTS</u>	<u>AMOUNT</u>
Alexander-Moorman and Associates-Architects 218 Newberry Street, S.W. Aiken, South Carolina 29801	3	1,861,000
Hallman and Weems Architects and Landscape Architects 143 Laurens Street, S.W. Aiken, South Carolina 29801	1	1,330,180
Wells and Fleetwood, Architects 234 Richland Avenue Aiken, South Carolina 29801	1	168,000
Piedmont Engineers, Architects & Planners 420 Park Avenue, P. O. Box 1717 Greenville, South Carolina 29602	2	5,500,000
MBTB, Architects & Engineers 669 North Academy Street, P. O. Box 1508 Greenville, South Carolina 29602	No Listing on Resume	
J. E. Sirrine Company Architects, Engineers, Planners 216 South Pleasantburg Drive Greenville, South Carolina 29606	1	54,000
Gilliland-Bell Associates, Inc. 101 Grace Street, P. O. Box Drawer 3048 Greenwood, South Carolina 29646	No Listing on Resume	
W. E. Gilbert & Associates, Inc. U. S. Highway 25 North, P. O. Box 1042 Greenwood, South Carolina 29646	1	No Listing on Resume
Design Collaborative Inc. Architects/Planners 2818 Devine Street Columbia, South Carolina 29205	1	548,000
Leon Campbell and Associates Consulting Engineers and Planners 2817 Millwood Avenue Columbia, South Carolina 29205	1	No Listing on Resume
R. M. Caddy & Associates, Consulting Engineers 1900 Broad River Road Columbia, South Carolina 29210	No Listing on Resume	
Kenneth B. Simmons Associates Landscape Architects-Planning Consultants 3135 Millwood Avenue Columbia, South Carolina 29205	1	4,000,000

<u>FIRM</u>	<u>PROJECTS</u>	<u>AMOUNT</u>
Bultman Coulter Gasque Associates 2941 North Trenholm Road Suite Q2 Columbia, South Carolina 29206	2	6,756,000
James C. Smith & Joseph S. Holladay, Consulting Engineers 815 Twelfth Street Augusta, Georgia 30901	0	0
Carson and Williams Architects and Planners, Inc. 3145 Carlisle Street Columbia, South Carolina 29205	No Listing on Resume	
Stephen A. Usry, Architect Inc. Suite 12, Flatiron Building 831 Broadway, P. O. Box 2464 Myrtle Beach, South Carolina 29577	No Listing on Resume	
Architects Boudreaux 2221 Devine Street Columbia, South Carolina 29205	No Listing on Resume	
Enwright Associates, Engineers Suite 210, 2000 Park Street Columbia, South Carolina 29201	1	10,000
William Anderson/William O. Fuller Associated Architects 1518 Richland Street Columbia, South Carolina 29201	2	550,000
MGJ & K Bankers Trust Tower, P. O. Box 84 Columbia, South Carolina 29202	No Listing on Resume	
Lockwood Greene, Architects & Engineers P. O. Box 491 Spartanburg, South Carolina 29301	No Listing on Resume	
Russell & Axon Engineers, Planners, Architects, Inc. P. O. Box 1305 Anderson, South Carolina 29621	1	6,000

ATTACHMENT E

List of A & E Contracts awarded for USC-A in the Past three years

<u>Project No.</u>	<u>Project Name</u>	<u>Year</u>	<u>Firm</u>	<u>Construction Cost</u>
Local Funds	Library	1973	LBC & W	609,232
27-101	Multipurpose			
	Building	1974	Alexander-Moorman	1,570,000
27-107	Classroom			
	Building	1976	Hallman and Weems	1,330,180
27-114	Conversion of			
	Student Lounge	1977	Alexander-Moorman	49,253

STATE BUDGET AND CONTROL BOARD

EXHIBIT VI

9/14/77

5

POLL OF September 14, 1977

POLL ITEM NUMBER

Agency: Department of Mental Health

Subject: Selection of A&E Firm

The Department of Mental Health advises that, after following the required selection procedure, the following firms, listed in preference order, have been selected to provide the services required in connection with the renovation and air conditioning of the Thompson Building, SCSH:

- (1) Reed-Shealy & Associates;
- (2) Energy Conservation Systems; and
- (3) Durlach, O'Neal, Jenkins & Associates

Board Action Requested:

Approve the selection of Reed-Shealy & Associates for the referenced project, as recommended by the Department of Mental Health.

Vote Of Board Member: (Please indicate by initialing appropriate line below.)

_____ I approve of the above action.

_____ I disapprove of the above action.

_____ Hold for regular meeting.

Attachments:

Price 9/6/77 letter to McPherson, plus attachments.



South Carolina Department of Mental Health

P.O. Box 485 / 2414 Bull Street / Columbia, South Carolina 29202 / (803) 758-8090

William S. Hall, M.D.
State Commissioner of Mental Health

September 6, 1977

Mr. John A. McPherson, Jr., P. E.
State Engineer
S. C. State Budget and Control Board
P. O. Box 11333
Columbia, South Carolina 29211

Re: Project #26-97
Thompson Building Renovation &
Air Conditioning, SCSH

Dear Mr. McPherson:

I am enclosing for your review and recommendation to the Budget and Control Board the Engineering-Architectural Consultant for the above referenced project in accordance with Section 10, Part II, Permanent Provision of the Appropriation Act for 1974-1975 and your Memorandum of August 10, 1977.

The following three firms were interviewed by the Department's Professional Consultant Review Committee and submitted in the order of their preference for consideration and appropriate action by the Mental Health Commission:

1. Reed-Shealy & Associates
2. Energy Conservation Systems
3. Durlach, O'Neal, Jenkins & Associates

The Mental Health Commission approved the recommendation of the Selection Committee to employ the services of Reed-Shealy & Associates. It is requested that the State Budget and Control Board approve the action of the Mental Health Commission.

Three basic factor were used in the determination of this consultant.

1. The professional personnel.
2. Availability to proceed with the project.
3. The amount of previous State work.

RECEIVED

SEP 8 1977

S. C. BUDGET AND
CONTROL BOARD

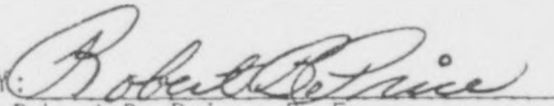
Mr. John A. McPherson, Jr., P. E.
Page 2
September 6, 1977

I am enclosing as required:

1. a copy of the contract with the selected firm.
2. copy of the newspaper advertisement.
3. a list of firms responding to the advertisement.
4. a list of Engineering-Architectural contracts the Mental Health Commission have awarded in the past three years.
5. a list of resumes retained on file.

Sincerely,

WILLIAM S. HALL, M. D.
STATE COMMISSIONER OF MENTAL HEALTH

BY: 
Robert B. Price, P. E.
Chief of Engineering and Planning

RBP/rsh

Enclosures



South Carolina Department of Mental Health

P.O. Box 485 / 2414 Bull Street / Columbia, South Carolina 29202 / (803) 758-8090

William S. Hall, M.D.
State Commissioner of Mental Health

September 8, 1977

Mr. John A. McPherson, Jr., P. E.
State Engineer
S. C. State Budget and Control Board
P. O. Box 11333
Columbia, S. C. 29211

RE: Thompson Building
Project #26-97

Dear Mr. McPherson:

In reference to our telephone conversation today, the following additional information is furnished regarding the selection of the Architect/Engineer for the above referenced project.

Reed-Shealy & Associate - no prime contract for State project within the last 2 years. However, they are presently working on a contract that was effective sometime previous to this.

Energy Conservation - no State work as a prime contract.

Durlach, O'Neal, Jenkins & Associates - one opening contract with University of South Carolina for a maintenance and renovative work for a two year period. This contract was signed during the year of 1977.

If you should need any additional information, please feel free to call my office.

Sincerely,

WILLIAM S. HALL, M. D.
STATE COMMISSIONER OF MENTAL HEALTH

RECEIVED
SEP 8 1977

S. C. BUDGET AND
CONTROL BOARD

BY Robert B. Price
Robert B. Price, P. E.
Chief of Engineering and Planning

/rsh

NOTICE
ENGINEERING
AND/OR
ARCHITECTURAL
SERVICE
QUALIFICATION
REQUEST

The South Carolina Department of Mental Health requests submission of resumes of qualifications from engineering and/or architectural firms interested in providing professional architectural and/or engineering services for the Air Conditioning and Renovation design of the Thompson Building located on the S.C. State Hospital Campus, Columbia, S.C. Replies for consideration should be received by Mr. Robert B. Price, Chief of Engineering and Planning, S.C. Department of Mental Health, P.O. Box 143, Columbia, S.C. 29202, no later than May 31, 1977.

ARCHITECT LIST

1. Carlisle Associates, Inc., Architects and Engineers
2. Durlach, O'Neal, Jenkins & Associates, Consultant Engineers
3. Drafts & Jumper/Architects, P. A.
4. Buford Goff & Associates, Engineers and Planners
5. LaFaye Associates, Inc., Architects and Planners
6. Reed-Shealy and Associates, Engineers and Planners
7. Energy Conservation Systems
8. Blume, Cannon and Ott, Architects
9. Bruce Flemming & Associates, Inc. Engineering and Planners
10. William Bailey Kauric, Architect

LIST OF ALL A/E CONTRACTS AWARDED IN
THE PAST THREE YEARS

YEAR	NAME OF FIRM	CONSTRUCTION COST
July 15, 1975	William Bailey Kauric	\$ 456,992.00
April 14, 1976	Tectonics Engineering Consultant, Inc.	120,660.00
May 4, 1976	Bruce Flemming Associates	7,310.00
	Jackson, Miller, Wilds & Assoc.	1,172,352.00
September 9, 1976	McMillan, Bunes, Townsend & Bowen Associates	400.00.00 (A&E Fee Est.)
May 29, 1974	McMillan, Bunes, Townsend & Bowne Associates	1,372,158.00
January 31, 1975	Bruce Flemming & Associates	475,000.00
May 29, 1974	McMillan, Bunes, Townsend & Bowen Associates	439,563.00

ARCHITECTURAL

Alexander-Moormann & Associates
Paul E. Allen
Associated Architects
Blume, Cannon and Ott
Columbia Architectural Group
Carlisle Associates
Christian, Miller, Wallace
Craig and Gaulden
Demosthenes, McCreight and Riley
Design Collaborative, Inc.
William S. Dowis, Jr.
Drafts & Jumper/Architect, P.A.
William O. Fulmer
Freeman, Wells, and Major
Geiger, McElveen, Kennedy
Gordon & Meltzer
John T. Heyward, Jr.
Jackson, Miller, Wilds & Associates
William Baily Kauric
Kiff, Voss, and Franklin
LaFaye Associates
Lambert and Yates
J. Alison Lee
Lockwood Greene
Love, Cobb and McElveen
Lucas, Stubbs and Associates
James H. Lupton, Jr.
Frank J. Lundy
J. Harold Mack & Associates
McMillan, Bunes, Townsend & Bowen
Neal Architects
R. S. Noonan, Inc. of S. C.
A. G. Odell, Jr.
Opsahl and Pate
Patchen, Mingledoff & Associates
Pearlstine/Anderson
Prather, Thomas, Campbell, Pridegin
Price and Campbell
Jeffrey M. Rosenblum
Russell and Axon
J. E. Sirrine
Wilbur Smith & Associates
Synerty
The Tarleton-Tankersley Architectural Group, Inc.
Vickery, Allen, Basher
John Well
Wright Associates
Arrowstreet, Inc.
Carl G. Baker, Architect
Bultman, Coulter, Gasque, Associates
Metcalf & Associates
Sweet Associates
W-K Associates

COPY

Engineers

Durlach, O'Neal, Jenkins & Associates
Buford Goff & Associates
Reed-Shealy & Associates
Energy Conservation Systems
Bruce Flemming & Associates
R. K. Demarest
Eastern Engineering Co.
Enwright Associates
John C. Evans
R. M. Gaddy
Atlantic International
Tectonic Engineering Consultants, Inc.

COPY

AUG 22 1977

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document B141

Standard Form of Agreement Between Owner and Architect *

* For the purpose of this agreement the work Architect shall be defined as Architect and/or Engineer.

THIS DOCUMENT HAS IMPORTANT LEGAL CONSEQUENCES; CONSULTATION WITH
AN ATTORNEY IS ENCOURAGED WITH RESPECT TO ITS COMPLETION OR MODIFICATION

AGREEMENT

made this 22nd day of August in the year of Nineteen
Hundred and Seventy Seven

BETWEEN the Owner: South Carolina Department of Mental Health
Wm. S. Hall, M.D.
State Commissioner of Mental Health

and the Architect: Reed-Shealy & Associates
3145 Carlisle Street
Columbia, S.C. 29205

For the following Project:

(Include detailed description of Project location and scope)

Thompson Building Renovation and Air Conditioning Project #26-97
South Carolina State Hospital
Columbia, South Carolina
(Preliminary Scope of Construction estimated to be approximately \$600,000.00)

RECEIVED
A. C. BUDGET AND
CONTROL BOARD

The Owner and the Architect agree as set forth below, and as modified in "MODIFICATIONS TO AGREEMENT" as attached hereto.

PERCENTAGE OF CONSTRUCTION COST

- I. THE ARCHITECT shall provide professional services for the Project in accordance with the Terms and Conditions of this Agreement.
- II. THE OWNER shall compensate the Architect, in accordance with the Terms and Conditions of this Agreement.
 - A. FOR BASIC SERVICES, as described in Paragraph 1.1, Basic Compensation shall be computed on the basis of one of the following PERCENTAGES OF CONSTRUCTION COST, as defined in Article 3, for portions of the Project to be awarded under

A Single Stipulated Sum Construction Contract	percent (7.5 %)
Separate Stipulated Sum Construction Contracts	percent (%)
A Single Cost Plus Fee Construction Contract	percent (%)
Separate Cost Plus Fee Construction Contracts	percent (%)
 - B. FOR ADDITIONAL SERVICES, as described in Paragraph 1.3, compensation computed as follows:
 1. Principals' time at the fixed rate of thirty-five dollars (\$ 35.00 per hour.
For the purpose of this Agreement, the Principals are:

Harold K. Reed, Jr.
Ralph L. Shealy
 2. Employees' time (other than Principals) at a multiple of two and a quarter
(2.25) times the employees' Direct Personnel Expense as defined in Article 4.
 3. Services of Professional consultants at a multiple of
(1.2) times the amount billed to the Architect for such services.
 - C. AN INITIAL PAYMENT of No dollars (\$ 0)
shall be made upon the execution of this Agreement and credited to the Owner's account.
 - D. FOR REIMBURSABLE EXPENSES, amounts expended as defined in Article 5.
- III. THE OWNER AND ARCHITECT agree in accordance with the Terms and Conditions of this Agreement that:
 - A. IF SCOPE of the Project is changed materially, compensation shall be subject to renegotiation.
 - B. IF THE SERVICES covered by this Agreement have not been completed within twenty four
(24) months of the date hereof, the amounts of compensation, rates and multiples set forth in Paragraph II shall be subject to renegotiation.

TERMS AND CONDITIONS OF AGREEMENT BETWEEN OWNER AND ARCHITECT

ARTICLE 1

ARCHITECT'S SERVICES

1.1 BASIC SERVICES

The Architect's Basic Services consist of the five phases described below and include normal structural, mechanical and electrical engineering services and any other services included in Article 14 as Basic Services.

SCHEMATIC DESIGN PHASE

1.1.1 The Architect shall review the program furnished by the Owner to ascertain the requirements of the Project and shall confirm such requirements to the Owner.

1.1.2 Based on the mutually agreed upon program, the Architect shall prepare Schematic Design Studies consisting of drawings and other documents illustrating the scale and relationship of Project components for approval by the Owner.

1.1.3 The Architect shall submit to the Owner a Statement of Probable Construction Cost based on current area, volume or other unit costs.

DESIGN DEVELOPMENT PHASE

1.1.4 The Architect shall prepare from the approved Schematic Design Studies, for approval by the Owner, the Design Development Documents consisting of drawings and other documents to fix and describe the size and character of the entire Project as to structural, mechanical and electrical systems, materials and such other essentials as may be appropriate.

1.1.5 The Architect shall submit to the Owner a further Statement of Probable Construction Cost.

CONSTRUCTION DOCUMENTS PHASE

1.1.6 The Architect shall prepare from the approved Design Development Documents, for approval by the Owner, Drawings and Specifications setting forth in detail the requirements for the construction of the entire Project including the necessary bidding information, and shall assist in the preparation of bidding forms, the Conditions of the Contract, and the form of Agreement between the Owner and the Contractor.

1.1.7 The Architect shall advise the Owner of any adjustments to previous Statements of Probable Construction Cost indicated by changes in requirements or general market conditions.

1.1.8 The Architect shall assist the Owner in filing the required documents for the approval of governmental authorities having jurisdiction over the Project.

BIDDING OR NEGOTIATION PHASE

1.1.9 The Architect, following the Owner's approval of the Construction Documents and of the latest Statement

of Probable Construction Cost, shall assist the Owner in obtaining bids or negotiated proposals, and in awarding and preparing construction contracts.

CONSTRUCTION PHASE — ADMINISTRATION OF THE CONSTRUCTION CONTRACT

1.1.10 The Construction Phase will commence with the award of the Construction Contract and will terminate when the final Certificate for Payment is issued to the Owner.

1.1.11 The Architect shall provide Administration of the Construction Contract as set forth in AIA Document A201, General Conditions of the Contract for Construction, and the extent of his duties and responsibilities and the limitations of his authority as assigned thereunder shall not be modified without his written consent.

1.1.12 The Architect, as the representative of the Owner during the Construction Phase, shall advise and consult with the Owner and all of the Owner's instructions to the Contractor shall be issued through the Architect. The Architect shall have authority to act on behalf of the Owner to the extent provided in the General Conditions unless otherwise modified in writing.

1.1.13 The Architect shall at all times have access to the Work wherever it is in preparation or progress.

1.1.14 The Architect shall make periodic visits to the site to familiarize himself generally with the progress and quality of the Work and to determine in general if the Work is proceeding in accordance with the Contract Documents. On the basis of his on-site observations as an architect, he shall endeavor to guard the Owner against defects and deficiencies in the Work of the Contractor. The Architect shall not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect shall not be responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, and he shall not be responsible for the Contractor's failure to carry out the Work in accordance with the Contract Documents.

1.1.15 Based on such observations at the site and on the Contractor's Applications for Payment, the Architect shall determine the amount owing to the Contractor and shall issue Certificates for Payment in such amounts. The issuance of a Certificate for Payment shall constitute a representation by the Architect to the Owner, based on the Architect's observations at the site as provided in Subparagraph 1.1.14 and the data comprising the Application for Payment, that the Work has progressed to the point indicated; that to the best of the Architect's knowledge, information and belief, the quality of the Work is in accordance with the Contract Documents (subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to the results of any subsequent tests required by the Contract

Documents, to minor deviations from the Contract Documents correctable prior to completion, and to any specific qualifications stated in the Certificate for Payment); and that the Contractor is entitled to payment in the amount certified. By issuing a Certificate for Payment, the Architect shall not be deemed to represent that he has made any examination to ascertain how and for what purpose the Contractor has used the moneys paid on account of the Contract Sum.

1.1.16 The Architect shall be, in the first instance, the interpreter of the requirements of the Contract Documents and the impartial judge of the performance thereunder by both the Owner and Contractor. The Architect shall make decisions on all claims of the Owner or Contractor relating to the execution and progress of the Work and on all other matters or questions related thereto. The Architect's decisions in matters relating to artistic effect shall be final if consistent with the intent of the Contract Documents.

1.1.17 The Architect shall have authority to reject Work which does not conform to the Contract Documents. Whenever, in his reasonable opinion, he considers it necessary or advisable to insure the proper implementation of the intent of the Contract Documents, he will have authority to require special inspection or testing of any Work in accordance with the provisions of the Contract Documents whether or not such Work be then fabricated, installed or completed.

1.1.18 The Architect shall review and approve shop drawings, samples, and other submissions of the Contractor only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents.

1.1.19 The Architect shall prepare Change Orders.

1.1.20 The Architect shall conduct inspections to determine the Dates of Substantial Completion and final completion, shall receive and review written guarantees and related documents assembled by the Contractor, and shall issue a final Certificate for Payment.

1.1.21 The Architect shall not be responsible for the acts or omissions of the Contractor, or any Subcontractors, or any of the Contractor's or Subcontractors' agents or employees, or any other persons performing any of the Work.

1.2 PROJECT REPRESENTATION BEYOND BASIC SERVICES

1.2.1 If more extensive representation at the site than is described under Subparagraphs 1.1.10 through 1.1.21 inclusive is required, and if the Owner and Architect agree, the Architect shall provide one or more Full-Time Project Representatives to assist the Architect.

1.2.2 Such Full-Time Project Representatives shall be selected, employed and directed by the Architect, and the Architect shall be compensated therefor as mutually agreed between the Owner and the Architect as set forth in an exhibit appended to this Agreement.

1.2.3 The duties, responsibilities and limitations of authority of such Full-Time Project Representatives shall be set forth in an exhibit appended to this Agreement.

1.2.4 Through the on-site observations by Full-Time Project Representatives of the Work in progress, the Architect shall endeavor to provide further protection for the Owner against defects in the Work, but the furnishing of such project representation shall not make the Architect responsible for construction means, methods, techniques, sequences or procedures, or for safety precautions and programs, or for the Contractor's failure to perform the Work in accordance with the Contract Documents.

1.3 ADDITIONAL SERVICES

The following Services shall be provided when authorized in writing by the Owner, and they shall be paid for by the Owner as hereinbefore provided.

1.3.1 Providing analyses of the Owner's needs, and programming the requirements of the Project.

1.3.2 Providing financial feasibility or other special studies.

1.3.3 Providing planning surveys, site evaluations, environmental studies or comparative studies of prospective sites.

1.3.4 Providing design services relative to future facilities, systems and equipment which are not intended to be constructed as part of the Project.

1.3.5 Providing services to investigate existing conditions or facilities or to make measured drawings thereof, or to verify the accuracy of drawings or other information furnished by the Owner.

1.3.6 Preparing documents for alternate bids or out-of-sequence services requested by the Owner.

1.3.7 Providing Detailed Estimates of Construction Cost or detailed quantity surveys or inventories of material, equipment and labor.

1.3.8 Providing interior design and other services required for or in connection with the selection of furniture and furnishings.

1.3.9 Providing services for planning tenant or rental spaces.

1.3.10 Making revisions in Drawings, Specifications or other documents when such revisions are inconsistent with written approvals or instructions previously given and are due to causes beyond the control of the Architect.

1.3.11 Preparing supporting data and other services in connection with Change Orders if the change in the Basic Compensation resulting from the adjusted Contract Sum is not commensurate with the services required of the Architect.

1.3.12 Making investigations involving detailed appraisals and valuations of existing facilities, and surveys or inventories required in connection with construction performed by the Owner.

1.3.13 Providing consultation concerning replacement of any Work damaged by fire or other cause during construction, and furnishing professional services of the type

set forth in Paragraph 1.1 as may be required in connection with the replacement of such Work.

1.3.14 Providing professional services made necessary by the default of the Contractor or by major defects in the Work of the Contractor in the performance of the Construction Contract.

1.3.15 Preparing a set of reproducible record prints of drawings showing significant changes in the Work made during the construction process, based on marked-up prints, drawings and other data furnished by the Contractor to the Architect.

1.3.16 Providing extensive assistance in the utilization of any equipment or system such as initial start-up or testing, adjusting and balancing, preparation of operation and maintenance manuals, training personnel for operation and maintenance, and consultation during operation.

1.3.17 Providing services after issuance to the Owner of the final Certificate for Payment.

1.3.18 Preparing to serve or serving as an expert witness in connection with any public hearing, arbitration proceeding or legal proceeding.

1.3.19 Providing services of professional consultants for other than the normal structural, mechanical and electrical engineering services for the Project.

1.3.20 Providing any other services not otherwise included in this Agreement or not customarily furnished in accordance with generally accepted architectural practice.

ARTICLE 2

THE OWNER'S RESPONSIBILITIES

2.1 The Owner shall provide full information, including a complete program, regarding his requirements for the Project.

2.2 The Owner shall designate, when necessary, a representative authorized to act in his behalf with respect to the Project. The Owner shall examine documents submitted by the Architect and shall render decisions pertaining thereto promptly, to avoid unreasonable delay in the progress of the Architect's services.

2.3 The Owner shall furnish a certified land survey of the site giving, as applicable, grades and lines of streets, alleys, pavements and adjoining property; rights-of-way, restrictions, easements, encroachments, zoning, deed restrictions, boundaries and contours of the site; locations, dimensions and complete data pertaining to existing buildings, other improvements and trees; and full information concerning available service and utility lines both public and private, above and below grade, including inverts and depths.

2.4 The Owner shall furnish the services of a soils engineer or other consultant when such services are deemed necessary by the Architect, including reports, test borings, test pits, soil bearing values, percolation tests, air and water pollution tests, ground corrosion and resistivity tests and other necessary operations for determining subsoil, air and water conditions, with appropriate professional recommendations.

2.5 The Owner shall furnish structural, mechanical, chemical and other laboratory tests, inspections and reports as required by law or the Contract Documents.

2.6 The Owner shall furnish such legal, accounting, and insurance counseling services as may be necessary for the Project, and such auditing services as he may require to ascertain how or for what purposes the Contractor has used the moneys paid to him under the Construction Contract.

2.7 The services, information, surveys and reports required by Paragraphs 2.3 through 2.6 inclusive shall be furnished at the Owner's expense, and the Architect shall be entitled to rely upon the accuracy and completeness thereof.

2.8 If the Owner becomes aware of any fault or defect in the Project or non-conformance with the Contract Documents, he shall give prompt written notice thereof to the Architect.

2.9 The Owner shall furnish information required of him as expeditiously as necessary for the orderly progress of the Work.

ARTICLE 3

CONSTRUCTION COST

3.1 If the Construction Cost is to be used as the basis for determining the Architect's Compensation for Basic Services, it shall be the total cost or estimated cost to the Owner of all Work designed or specified by the Architect. The Construction Cost shall be determined as follows, with precedence in the order listed:

3.1.1 For completed construction, the cost of all such Work, including costs of managing construction;

3.1.2 For Work not constructed, (1) the lowest bona fide bid received from a qualified bidder for any or all of such Work, or (2) if the Work is not bid, the bona fide negotiated proposal submitted for any or all of such Work; or

3.1.3 For Work for which no such bid or proposal is received, (1) the latest Detailed Estimate of Construction Cost if one is available, or (2) the latest Statement of Probable Construction Cost.

3.2 Construction Cost does not include the compensation of the Architect and his consultants, the cost of the land, rights-of-way, or other costs which are the responsibility of the Owner as provided in Paragraphs 2.3 through 2.6 inclusive.

3.3 The cost of labor, materials and equipment furnished by the Owner for the Project shall be included in the Construction Cost at current market rates including a reasonable allowance for overhead and profit.

3.4 Statements of Probable Construction Cost and Detailed Cost Estimates prepared by the Architect represent his best judgment as a design professional familiar with the construction industry. It is recognized, however, that neither the Architect nor the Owner has any control over the cost of labor, materials or equipment, over the contractors' methods of determining bid prices, or over competitive bidding or market conditions. Accordingly, the

Architect cannot and does not guarantee that bids will not vary from any Statement of Probable Construction Cost or other cost estimate prepared by him.

3.5 When a fixed limit of Construction Cost is established as a condition of this Agreement, it shall be in writing signed by the parties and shall include a bidding contingency of ten percent unless another amount is agreed upon in writing. When such a fixed limit is established, the Architect shall be permitted to determine what materials, equipment, component systems and types of construction are to be included in the Contract Documents, and to make reasonable adjustments in the scope of the Project to bring it within the fixed limit. The architect may also include in the Contract Documents alternate bids to adjust the Construction Cost to the fixed limit.

3.5.1 If the Bidding or Negotiating Phase has not commenced within six months after the Architect submits the Construction Documents to the Owner, any fixed limit of Construction Cost established as a condition of this Agreement shall be adjusted to reflect any change in the general level of prices which may have occurred in the construction industry for the area in which the Project is located. The adjustment shall reflect changes between the date of submission of the Construction Documents to the Owner and the date on which proposals are sought.

3.5.2 When a fixed limit of Construction Cost, including the Bidding contingency (adjusted as provided in Subparagraph 3.5.1, if applicable), is established as a condition of this Agreement and is exceeded by the lowest bona fide bid or negotiated proposal, the Detailed Estimate of Construction Cost or the Statement of Probable Construction cost, the Owner shall (1) give written approval of an increase in such fixed limit, (2) authorize rebidding the Project within a reasonable time, or (3) cooperate in revising the Project scope and quality as required to reduce the Probable Construction Cost. In the case of (3) the Architect, without additional charge, shall modify the Drawings and Specifications as necessary to bring the Construction Cost within the fixed limit. The providing of such service shall be the limit of the Architect's responsibility in this regard, and having done so, the Architect shall be entitled to compensation in accordance with this Agreement.

ARTICLE 4

DIRECT PERSONNEL EXPENSE

Direct Personnel Expense is defined as the salaries of professional, technical and clerical employees engaged on the Project by the Architect, and the cost of their mandatory and customary benefits such as statutory employee benefits, insurance, sick leave, holidays, vacations, pensions and similar benefits.

ARTICLE 5

REIMBURSABLE EXPENSES

5.1 Reimbursable Expenses are in addition to the Compensation for Basic and Additional Services and include actual expenditures made by the Architect, his employ-

ees, or his professional consultants in the interest of the Project for the expenses listed in the following Subparagraphs:

5.1.1 Expense of transportation and living when traveling in connection with the Project; long distance calls and telegrams; and fees paid for securing approval of authorities having jurisdiction over the Project.

5.1.2 Expense of reproductions, postage and handling of Drawings and Specifications excluding duplicate sets at the completion of each Phase for the Owner's review and approval.

5.1.3 If authorized in advance by the Owner, expense of overtime work requiring higher than regular rates and expense of renderings or models for the Owner's use.

5.1.4 Expense of computer time for professional services when included in Paragraph II.

5.1.5 Expense of computer time when used in connection with Additional Services.

ARTICLE 6

PAYMENTS TO THE ARCHITECT

6.1 Payments on account of the Architect's Basic Services shall be made as follows:

6.1.1 An initial payment as set forth in Paragraph II is the minimum payment under this Agreement.

6.1.2 Subsequent payments for Basic Services shall be made monthly in proportion to services performed so that the compensation at the completion of each Phase, except when the compensation is on the basis of a Multiple of Direct Personnel Expense, shall equal the following percentages of the total Basic Compensation:

Schematic Design Phase	15%
Design Development Phase	35%
Construction Documents Phase ...	75%
Bidding or Negotiation Phase	80%
Construction Phase	100%

6.1.3 If the Contract Time initially established in the Construction Contract is exceeded by more than thirty days through no fault of the Architect, compensation for Basic Services performed by Principals, employees and professional consultants required to complete the Administration of the Construction Contract beyond the thirtieth day shall be computed as set forth in Paragraph II for Additional Services.

6.2 Payments for Additional Services of the Architect as defined in Paragraph 1.3, and for Reimbursable Expenses as defined in Article 5, shall be made monthly upon presentation of the Architect's statement of services rendered.

6.3 No deductions shall be made from the Architect's compensation on account of penalty, liquidated damages, or other sums withheld from payments to contractors.

6.4 If the Project is suspended for more than three months or abandoned in whole or in part, the Architect

shall be paid his compensation for services performed prior to receipt of written notice from the Owner of such suspension or abandonment, together with Reimbursable Expenses then due and all termination expenses as defined in Paragraph 8.3 resulting from such suspension or abandonment. If the Project is resumed after being suspended for more than three months, the Architect's compensation shall be subject to renegotiation.

6.5 Payments due the Architect under this Agreement shall bear interest at the legal rate commencing sixty days after the date of billing.

ARTICLE 7

ARCHITECT'S ACCOUNTING RECORDS

Records of Reimbursable Expenses and expenses pertaining to Additional Services on the Project and for services performed on the basis of a Multiple of Direct Personnel Expense shall be kept on a generally recognized accounting basis and shall be available to the Owner or his authorized representative at mutually convenient times.

ARTICLE 8

TERMINATION OF AGREEMENT

8.1 This Agreement may be terminated by either party upon seven days' written notice should the other party fail substantially to perform in accordance with its terms through no fault of the party initiating the termination.

8.2 In the event of termination due to the fault of parties other than the Architect, the Architect shall be paid his compensation for services performed to termination date, including Reimbursable Expenses then due and all termination expenses.

8.3 Termination Expenses are defined as Reimbursable Expenses directly attributable to termination, plus an amount computed as a percentage of the total compensation earned to the time of termination, as follows:

- 20 percent if termination occurs during the Schematic Design Phase; or
- 10 percent if termination occurs during the Design Development Phase; or
- 5 percent if termination occurs during any subsequent phase.

ARTICLE 9

OWNERSHIP OF DOCUMENTS

Drawings and Specifications as instruments of service are and shall remain the property of the Architect whether the Project for which they are made is executed or not. They are not to be used by the Owner on other projects or extensions to this Project except by agreement in writing and with appropriate compensation to the Architect.

ARTICLE 10

SUCCESSORS AND ASSIGNS

The Owner and the Architect each binds himself, his partners, successors, assigns and legal representatives to

the other party to this Agreement and to the partners, successors, assigns and legal representatives of such other party with respect to all covenants of this Agreement. Neither the Owner nor the Architect shall assign, sublet or transfer his interest in this Agreement without the written consent of the other.

ARTICLE 11

ARBITRATION

11.1 All claims, disputes and other matters in question between the parties to this Agreement, arising out of, or relating to this Agreement or the breach thereof, shall be decided by arbitration in accordance with the Construction Industry Arbitration Rules of the American Arbitration Association then obtaining unless the parties mutually agree otherwise. No arbitration, arising out of, or relating to this Agreement, shall include, by consolidation, joinder or in any other manner, any additional party not a party to this Agreement except by written consent containing a specific reference to this Agreement and signed by all the parties hereto. Any consent to arbitration involving an additional party or parties shall not constitute consent to arbitration of any dispute not described therein or with any party not named or described therein. This Agreement to arbitrate and any agreement to arbitrate with an additional party or parties duly consented to by the parties hereto shall be specifically enforceable under the prevailing arbitration law.

11.2 Notice of the demand for arbitration shall be filed in writing with the other party to this Agreement and with the American Arbitration Association. The demand shall be made within a reasonable time after the claim, dispute or other matter in question has arisen. In no event shall the demand for arbitration be made after the date when institution of legal or equitable proceedings based on such claim, dispute or other matter in question would be barred by the applicable statute of limitations.

11.3 The award rendered by the arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

ARTICLE 12

EXTENT OF AGREEMENT

This Agreement represents the entire and integrated agreement between the Owner and the Architect and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both Owner and Architect.

ARTICLE 13

GOVERNING LAW

Unless otherwise specified, this Agreement shall be governed by the law of the principal place of business of the Architect.

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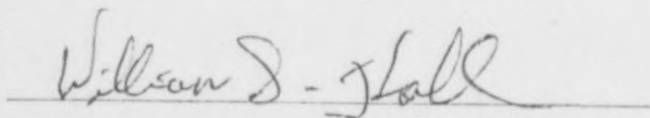
ARTICLE 14
OTHER CONDITIONS OR SERVICES

14.1 See MODIFICATIONS TO AGREEMENT

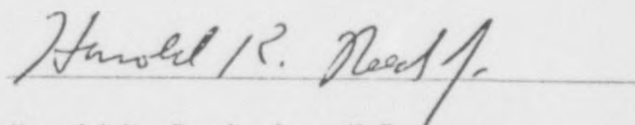
This Agreement executed the day and year first written above.

OWNER

ARCHITECT



William S. Hall, M.D.
State Commissioner of
Mental Health
2414 Bull Street
Columbia, South Carolina 29202



Harold K. Reed, Jr., M.E.
Partner
Reed-Shealy & Associates
3145 Carlisle Street
Columbia, South Carolina 29205

MODIFICATION TO AGREEMENT

ARTICLE 14

OTHER CONDITIONS OR SERVICES

In the TERMS AND CONDITIONS OF AGREEMENT BETWEEN OWNER AND ARCHITECT add to or delete from as follows:

14.1 Add new Subparagraph 1.1.3.1 as follows:

"1.1.3.1 Upon completion of SCHEMATIC DESIGN PHASE, the DESIGN, OUTLINE SPECIFICATIONS, and PROBABLE CONSTRUCTION COST shall be approved by the OWNER in writing."

14.2 Add new Subparagraph 1.1.5.1 as follows:

"1.1.5.2 Upon completion of DESIGN DEVELOPMENT PHASE, the DESIGN and PROBABLE CONSTRUCTION COST shall be approved by the OWNER in writing."

14.3 Add new Subparagraph 1.1.8.1 as follows:

"Prior to advertising for bids for construction, the Architect shall obtain written approval from the OWNER of the PROJECT DESIGN AND PROBABLE CONSTRUCTION COST."

14.4 Following Subparagraph 1.1.9 add the following:

"ADDITIONAL BASIC SERVICES

"1.1.9 Review Documents as required by OWNER in Subparagraphs 1.1.3.1, 1.1.5.1 and 1.1.8.1 shall also be submitted to the State Engineer's Office for approval.

"1.1.9.1 Prior to advertising for bids for construction, the Architect shall obtain written approval of the PROJECT DESIGN from the STATE ENGINEER.

"1.1.9.2 Ownership of Documents shall be as described in Article 9.

"1.1.9.2.1 Upon completion of the CONSTRUCTION PHASE, the ARCHITECT shall correct the original drawing to "record drawings" showing all significant changes in the Work made during construction. Such changes to the drawings shall be made in a professional manner, and drawings shall be stamped and signed by the ARCHITECT as said drawings being "record drawings", based on marked-up prints, drawings and other data furnished by the CONTRACTOR and/or representative of the OWNER and/or ARCHITECT.

"1.1.9.3 One month prior to the expiration of the one-year warranty period as called for in the CONSTRUCTION DOCUMENTS, the ARCHITECT shall inspect the project for any deficiencies that may have developed under the one-year warranty. Upon completion of inspection a written report shall be furnished to the OWNER, the STATE ENGINEER and the CONTRACTOR, and the ARCHITECT shall assist the OWNER in taking necessary action to see that the deficiencies are corrected."

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- 14.5 In Subparagraph 1.1.14, fifth line following "---on site" delete "observations" and substitute therefor "inspections".
- 14.6 Add new Subparagraph 1.1.14.1 and 1.1.14.2 as follows:
- "1.1.14.1 Periodic visit by the ARCHITECT may vary with the progress of the work and other conditions, but shall average not less than one visit by the ARCHITECT or his representative to the project per week during the course of construction. The ARCHITECT shall submit to the OWNER a written report of his periodic visit, at least once a month, of his findings and progress of the project.
- "1.1.14.2 Should the CONTRACTOR fall behind in his construction schedule by more than four weeks, the ARCHITECT shall notify the OWNER in writing.
- 14.7 In Subparagraph 1.1.15, first line following "based on such" delete "observations" and substitute therefor "inspections".
- 14.7.1 In Subparagraph 1.1.15, seventh line following "Architects" delete "observations" and substitute therefor "inspections".
- 14.8 Delete Subparagraph 1.1.16 in its entirety and substitute therefor new Subparagraph 1.1.16 as follows:
- "1.1.16 The ARCHITECT shall be the interpreter of the requirements of the CONTRACT DOCUMENTS and judge of the performance thereunder by both the OWNER and the CONTRACTOR. The ARCHITECT shall render written interpretations necessary for the proper execution or progress of the work within fifteen days on written request of either the OWNER or the CONTRACTOR, unless such interpretation can only be made after testing, in which case an interpretation must be rendered within fifteen days after completion of such test, and shall render written decisions, within fifteen days, on all claims, disputes and other matters in question between the OWNER and the CONTRACTOR relating to the execution or progress of the work or the interpretation of the CONTRACT DOCUMENTS.
- 14.9 In Subparagraph 1.1.18, following "---Contract Documents" add new sentence:
- "Such action shall be taken with reasonable promptness so as not to delay the project.
- 14.10 Under Paragraph 1.3, delete Sub-paragraph 1.3.15 in its entirety.
- 14.11 Delete Subparagraph 1.3.11 in its entirety and substitute therefor new Subparagraph 1.3.11 as follows:
- "1.3.11 Preparing supporting data, and other services in connection with change orders requested by the OWNER. Where a change order is required because of a design error, the change order shall be prepared at no cost to the OWNER.
- 14.12 Add new Subparagraph 3.1.3.1 as follows:
- "3.1.3.1 Compensation to the Architect shall be as set forth in Paragraph II. When compensation is based on "Percentage of Construction Cost," the establishing of the percentage shall be the amount of the Construction Contract

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awarded. Once established, the same percentage figure shall apply to any recomputation of fee occasioned by Change Order, and only Change Orders that increase the contract amount shall be used in recomputation. Where a Change Order is required because of a design error, the amount of that Change Order shall not apply toward computation of Architect's compensation".

14.13 Add new Subparagraph 3.5.0.1. as follows:

"Fixed limit of Construction Cost shall be the Probable Construction Cost as defined in Subparagraph 1.1.8.1"

14.14 In Subparagraph 6.1.3 add new sentence as follows:

"No additional compensation will be paid for services in connection with semi-final and final inspections"

14.15 In Paragraph 6.4, page 7, fourth line following-----then due add "." (period) and delete remaining of sentence.

14.15.1 In Paragraph 6.4, page 7, delete last line in its entirety and substitute therefor the following:

"Compensation may be subject to negotiation."

14.16 Delete Paragraph 6.5 in its entirety and substitute new Paragraph 6.5 as follows:

"6.5 Payment due the Architect under this Agreement shall bear interest at six percent per annum commencing forty-five days after date of billing.

14.17 In Paragraph 8.2 in fourth line following Reimbursable Expense add "." (period) and delete remaining of sentence.

14.18 In Article 9 - OWNERSHIP OF DOCUMENTS delete in its entirety and add new Article 9 as follows:

" ARTICLE 9 "

"OWNERSHIP OF DOCUMENTS"

"9.1 The Owner shall have unlimited rights in the ownership of all drawings, designs, specifications, notes and other work developed in the performance of the AGREEMENT, including the right to use same on any other Owner's projects without additional cost to the Owner, and with respect thereto the Architect agrees to and does hereby grant to the Owner a royalty-free license to all such data which he may cover by copy right and to all designs as to which he may assert any rights or establish any claim under the design patent or copyright laws.

"9.2 In the case of future reuse of the documents the Owner reserves the right to negotiate with the Architect for compensation for the acceptance of any professional liability."

"9.3 In the event the Owner does not exercise the option to negotiate with the Architect for the Architect's acceptance of any professional liability, it is understood that the Architect is no longer liable in the reuse of the documents.

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- 14.19 Delete Article 11 - Arbitration in its entirety.
- 14.20 Under Article 13 - Governing Laws second line following "----law of", delete remaining of sentence and substitute therefor the following:
"-----South Carolina."

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STATE BUDGET AND CONTROL BOARD

EXHIBIT VII

9/14/77

6

POLL OF September 14, 1977

POLL ITEM NUMBER

Agency: Various

Subject: Consultant Services Contracts

(Please refer to Attachments for details.)

Board Action Requested:

Approve listed consultant services contracts, pursuant to proviso in Part I, Section 13, Page 47 of the 1977-78 Appropriation Act.

Vote Of Board Member: (Please indicate by initialing appropriate line below.)

_____ I approve of the above action.

_____ I disapprove of the above action.

_____ Hold for regular meeting.

Attachments:

Listing of 8 consultant services contracts plus attachments.

CONSULTANT SERVICE CONTRACTS - \$ 10,000 AND OVER

September 14, 1977

AGENCY/CONSULTANT	MAXIMUM DOLLARS	SOURCE OF FUNDS	TIME PERIOD	PURPOSE
(1) DEPT. OF CORRECTIONS: (a) Dr. John F. Kososki Columbia, South Carolina	\$36,000 (\$150 per day)	State	15 months 10/1/77- 12/78	To assist in the development and implementation of a modified cash accrual accounting system, a decentralized budget development and management system, and the integration of operations data into the fiscal planning & management system.
(b) Vismor, McGill and Bell, Inc. Columbia, South Carolina	\$38,900 + \$5,000 option	Federal	9/15/77 - 2/15/78	To develop implementation plans to expand SCDC efforts to divert costs of confinement from the taxpayer to the offender by increasing opportunities for inmates to work for competitive wages in private industry.
(2) DIVISION OF ADMINISTRATION: (a) Stephen Carter & Associates Columbia, South Carolina	\$18,600	1/3 State 2/3 Federal	7/1/77 6/30/78	To prepare the S. C. State Housing Element, Phase II
(b) Thompson, Mann and Hudson Greenville, S. C.	\$20,000	State	7/1/77 - 6/30/78	To provide assistance to the Governor regarding personnel policies, procedures and practices.
(c) Wilbur Smith & Associates Columbia, South Carolina	\$27,500	1/3 State 2/3 Federal	8/17/77 - 3/15/78	To prepare required S. C. State Land Use Element
(d) Stephen Carter & Associates Columbia, South Carolina	\$53,328	12½ State 87½ Federal	9/1/77 - 6/30/78	To develop a model social services transportation provider agency; negotiate any necessary Federal waivers; to develop operating manuals for the agency.
X (e) CORBIA Greenville, South Carolina <i>carried over</i>	\$134,375	Federal	9/26/77 - 9/1/78	To develop and implement a strategy to promote CETA OJT program to employers; to develop and test procedures to be used by all CETA contractors; and to develop monitoring and evaluation mechanisms.
X (3) DEPARTMENT OF SOCIAL SERVICES: Robert A. Frederick, M.D. <i>carried over</i>	\$12,000 (\$25 per hour)	30% State 70% Federal	7/77 - 6/30/78	To advise on level of care determinations (1,500 monthly); to review special drug requests (500 monthly); to approve applications for medical equipment, prosthetic and orthotic appliances (200 monthly).

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

Name of State Agency: South Carolina Department of Corrections

Date of Report: August 2, 1977 Prepared by: Charles M. Case

Name of Consultant or Firm: Dr. John F. Kososki

Address of Consultant or Firm: 6080 Crabtree Road, Columbia, S. C.

Terms of Consultant Contract:

Beginning Date: October 1, 1977 Ending Date December, 1978

Rate of Pay: \$ 150.00 per day; Maximum under this contract: \$ 36,000

Source of Funds: State (100%); (%); (%).

Purpose or Goal of Consultant:

To assist the Department of Corrections in development and implementation of a modified cash accrual accounting system, a decentralized budget development and budget management system and effective integration of operations data into fiscal planning and effective fiscal management reporting system.

Dr. Kososki will work directly with and under the direction of the Deputy Commissioner for Administration and Charles M. Case, Division Director, Finance and Budget.

Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes _____ No X

If yes, How many Bids or Proposals were Received? _____

Dr. Kososki participated in the development of the first Ten-Year Growth and Capital Improvements Plan for the SCDC and in a subsequent fiscal management assistance contract; consequently, he is already quite knowledgeable of our existing fiscal planning and management capabilities. Because of this experience and his demonstrated capabilities, he is uniquely qualified to assist in the above.

1(a)



south carolina department of corrections

P. O. BOX 21787/4444 BROAD RIVER ROAD/COLUMBIA, SOUTH CAROLINA 29221
TELEPHONE (803) 758-6444
WILLIAM D. LEEKE, Commissioner

August 26, 1977

Mr. Walter R. Pettiss
Administrative Assistant
Office of the Governor
State House
P. O. Box 11450
Columbia, South Carolina 29211

Dear Walt:

RE: John F. Kososki - Consultant Contract

This is to confirm our telephone conversation on August 24, 1977, regarding our requested approval of a consultant contract with John F. Kososki. The following points of clarification are offered:

1. The contract period is 15 months -- October, 1977 - December, 1978;
2. It is a fee for service contract not to exceed \$36,000 during the 15 month period; and
3. Payments will be made for work done upon submission of invoices specifying dates, hours worked, and tasks.

The scope of Dr. Kososki's services were detailed in materials sent to the State Auditor's Office with the initial request for approval (Attachment 1). Basically, he will provide the Department of Corrections with complete specifications for an automated financial management system built on a modified cash accrual accounting component which facilitates and supports decentralized budget development and management.

A modified cash accrual accounting component for the Department of Corrections has been strongly urged by the State Auditor's Office for several years. A decentralized budget development and management system was one of the primary recommendations of the Budget and Control Review Committee appointed by Governor Edwards to review our fiscal management practices.

Dr. Kososki has been doing some work for us since last March and has been instrumental in resolving several long standing fiscal management problems. One of these, our classmaster fiscal coding system, will result in at least a \$20,000 per year savings in computer time and paper, in addition to saving personnel time and providing a more workable system.

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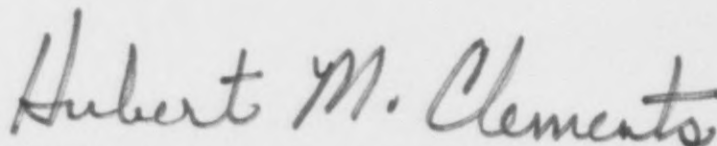
GOV. JAMES B. EDWARDS, Member, Ex-Officio, Columbia, S. C.

Letter to Walter R. Pettiss
August 26, 1977
Page Two

Dr. Kososki does have some limited - less than \$2,000 - indirect contracts with the State, however, I can assure you that he will not receive compensation under this requested contract during any time that he is working for anyone else.

Thank you for your attention in this matter. If you have any further questions, please let me know.

Sincerely,

A handwritten signature in cursive script that reads "Hubert M. Clements". The signature is written in dark ink and is positioned above the typed name and title.

Hubert M. Clements, Ed.D.
Deputy Commissioner/Administration

HMC:cn

cc: Mr. William T. Putnam
Mr. William D. Leeke
Mr. Charles M. Case

Attachment

PROPOSED SCOPE OF SERVICES

1. Gather information and develop flow diagrams on all paper and information processing of all financial and financial-related activities (including personnel, inventory, purchasing).
2. Review, analyze, and document all of the current automated fiscal system components and support procedures to include detail at the program logic level. The identification of all ineffective and inefficient elements of the automated system's program logic will be the primary forms as will the identification of particular effective and efficient elements.
3. Review and develop all recording and reporting specifications to satisfy State law, the State Auditor's Office, the State Comptroller General's Office, Division of State Personnel, the Legislative Audit Council, and the State Reorganization Commission as well as the management needs for operational budgeting, planning, and control.
4. Develop new automated fiscal system concept, reporting, and process flow with supporting descriptions and test it with key Agency and non-Agency personnel; modify as appropriate.
5. From modified system concept, develop detailed automated reporting and central fiscal system building in those effective and efficient components of the current system. The development will include documents from which programming logic can be specified.
6. Determine time/cost estimates of various component developments and recommend priorities for actual programming effort. Priorities to be based on need and time and cost for development. Assist with supervision of programming effort and provide static test of completed programs.
7. Develop detailed procedures and instructions for system's use and interpretation.
8. Develop and provide staff training in the use of the systems and procedures as each major component is finished and ready for use.
9. Summarize efforts and accomplishment with recommendations in a report to Management.



south carolina department of corrections

P. O. BOX 21787/4444 BROAD RIVER ROAD/COLUMBIA, SOUTH CAROLINA 29221
TELEPHONE (803) 758-6444
WILLIAM D. LEEKE, Commissioner

August 2, 1977

Mr. William T. Putnam
State Auditor
Post Office Box 11333
Columbia, South Carolina 29211

Dear Mr. Putnam:

The Department of Corrections has been striving for two years to modernize and completely computerize its fiscal system in order to allow it to better control its financial resources. Due to inmate growth and increases in the number of institutions under agency control, we have been forced to try to make the improvements with our existing staff. Needless to say, we have made little progress, and it has been piecemeal at that. Because of the unabated inmate growth and an acceleration in statutory reporting requirements, we believe that external aid is necessary to assist the Department in this endeavor.

On February 22, 1977, you approved a consultant agreement between the Department of Corrections and Dr. John F. Kososki for assistance in the development and implementation of a fiscal planning capacity and decentralized budgetary and management system. Effective integration of operational data into fiscal planning and decision making was included. Since February, Dr. Kososki's efforts have been of tremendous help as he has identified a number of weaknesses in the development of our Fiscal accounting, reporting and management systems and in the way decisions are made. The Department now knows that the piecemeal approach will be inadequate and the development will never catch up to the ever expanding Agency work load related to the inmate increases. Attached is a request for approval of consultant services to be provided by Dr. John F. Kososki in the development of the Department's computerized fiscal system. Enclosed is a proposed Scope of Services that we believe will provide us with a modified cash accrual accounting system with which our decentralized budget development and budget management system can be integrated and implemented. Of particular interest is the ability for the Agency to compute, report and control costs on an inmate and institutional basis that will be an outgrowth of this system.

Dr. Kososki's present contract will expire on or about the first of October, 1977, and we would very much like to retain his services for an additional year on what would amount to an approximate full-time basis. The Department has been very satisfied with Dr. Kososki's work efforts to date that were provided in the initial service contract. Several samples of his work are attached as

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GOV. JAMES B. EDWARDS, Member, Ex-Officio, Columbia, S. C.

Mr. William T. Putnam
August 2, 1977
Page 2

examples of his involvement and the benefits accrued from it. Based on this experience, the Department is confident in Dr. Kososki's ability to provide the additional and more extensive services as specified and requested herein.

We certainly hope for and would be appreciative of favorable action by the Budget and Control Board on this request. As indicated, the Department's Division of Finance and Budget, Management Information Services, Personnel Administration, and Planning and Research Division staff have been able to devote very little time to this important effort of the development and implementation of our desired cash accrual accounting system. Favorable action on this request would be of benefit to all concerned. This contract, if approved, will be paid from existing funds and does not require additional funding authorization.

Sincerely,



Charles M. Case
Director, Division of Finance
and Budget

CMC:hh

Attachments

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

Name of State Agency: South Carolina Department of Corrections

Date of Report: August 31, 1977 Prepared By: Robert L. Sanders, Jr.

Name of Consultant or Firm: Vismor, McGill and Bell, Inc.

Address of Consultant or Firm: 3501 North Main Street, Columbia, S. C. 29203

Terms of Contract:

Beginning Date: September 15, 1977 Ending Date: February 15, 1978

Rate of Pay: \$38,900-Federal Funds-LEAA Grant #76-ED-04-0009

Purpose or Goal of Consultants: The purpose of this project is to develop detailed implementation plans to expand our efforts in industrial development. All avenues that will provide remunerative employment should be investigated so that the Department can implement programs throughout our system that will allow inmates to become economically productive. It is anticipated that this project will develop a five year forecast to establish timetables and objectives of an Industrial Development Program. Additionally, this forecast should show the impact of the recommendations on the existing entities and set priorities for the Department. The Consultant will be required to develop a system which the Department can utilize to identify prospects that might be interested in participating with the Department in this concept. Additionally, Consultant agrees to develop, at the option of the Department, promotional materials to attract prospects and to provide a low-key public information program for the Department. A decision as to these options should be made after various work tasks are accomplished and are budgeted at \$5,000 additional cost.

Was this Individual or Firm selected through the Submission of Bids or Proposals?

Yes x

No _____

If yes, How many Bids or Proposals were Received? 3

- 1) Vismor, McGill and Bell, Inc.
- 2) Stephen Carter and Associates
- 3) LBC&W Consultants, Inc.

1(b)



south carolina department of corrections

P. O. BOX 21787/4444 BROAD RIVER ROAD/COLUMBIA, SOUTH CAROLINA 29221
TELEPHONE (803) 758-6444
WILLIAM D. LEEKE, Commissioner

September 2, 1977

Mr. William T. Putnam
State Auditor
Office of State Auditor
Post Office Box 11333
Columbia, South Carolina 29201

Dear Mr. Putnam:

The South Carolina Department of Corrections, with the assistance of marketing research professionals, has developed a concept to divert the increasingly high cost of crime from the taxpayer to the offender. Our concept will allow an inmate to assume a portion of his financial responsibility for the support of his dependents, the expenses of his incarceration, and the payment of federal, state and social security taxes.

To accomplish these objectives, an inmate will need to have the opportunity to work for remunerative wages. With private industry already having the necessary expertise to manufacture and merchandise products successfully, and the Department of Corrections having the necessary manpower available for production, it is hoped that we can cooperate with each other for the benefit of the taxpayer, the victim, the South Carolina Department of Corrections, and last, but not least, private industry. Of course, the inmates will also benefit by acquiring the necessary skills demanded in the civilian labor market.

Currently, the Department is cooperating with Daniel International, Inc. at the V. C. Summer Nuclear Power Station near Jenkinsville, South Carolina, by providing laborers and other skilled craftsmen. Daniel is employing qualified inmates who have 18 to 24 months to serve before their minimum release date. They are being handled exactly like any other employee and have been hired in positions that match their skills and abilities. These inmates are required to pay a substantial portion of the costs of their confinement; help support their dependents, taking many off welfare; pay federal, state and social security taxes; and if practical, making restitution to the victims of their crime.

We are requesting that the State Budget and Control Board allow the Department of Corrections to develop detailed implementation plans to expand our efforts. This Agreement for Services requires the Consultant to:

--continued

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Mr. William T. Putnam
September 2, 1977
Page Two

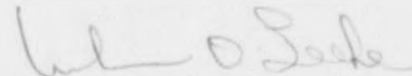
- 1) Document the results of a pilot program with Daniel International, Inc.
- 2) Gather external and internal information that will allow the Department to expand our efforts, and
- 3) Develop a five (5) year forecast, to include, timetables and objectives, plus the impact of these recommendations on the existing entities and set priorities.

The Consultant will investigate all avenues that will provide remunerative employment so that the Department can implement programs throughout our system that will allow inmates to become economically productive. An overall incentive program will be developed to coordinate the various existing and planned for work programs within the Department.

The Department would like to eventually attract private industry to build or lease plants near our correctional facilities. The South Carolina State Board for Technical and Comprehensive Education could assist us in training, to private industries' specifications, inmates carefully selected for this program. These inmates will be paid competitive wages to work in the nearby plants with all security duties and expenses being the responsibility of the Department of Corrections.

Along with the State Budget and Control Board's review and approval, the Department is required to obtain approval from the Law Enforcement Assistance Administration, for the proposed contract. I would appreciate your reviewing and approving this proposal as soon as possible, so that we can consummate a contract and get the project underway. If there are any questions concerning this matter, please feel free to contact me.

Sincerely yours,



William D. Leeke

WDL:bs

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

#80501

Name of State Agency: Office of Community Development

Date of Report: 7/27/77 Prepared by: Paul Tsalapatas

Name of Consultant or Firm: Stephen Carter and Associates

Address of Consultant or Firm: 2221 Devine Street, Columbia, S.C. 29205

Terms of Consultant Contract:

Beginning Date: 7-1-77 Ending Date: 6-30-78

Rate of Pay: \$ per ; Maximum under this contract: \$ 18,600.00

Source of Funds: 6,200 (1/3 %); 12,400 (2/3 %); (%)
(State) (Federal) (Other)

Purpose or Goal of Consultant:

South Carolina State Housing Element, Phase II

Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes X

No

If yes, How many Bids or Proposals were Received? 4

2(a)

STATE AUDITOR'S OFFICE

Project #80903

REPORT ON CONSULTANTS

Name of State Agency: Division of Administration, Office of the GovernorDate of Report: August 8, 1977 Prepared by: Jerry BranhamName of Consultant or Firm: Thompson, Mann and HudsonAddress of Consultant or Firm: 222 Daniel Building, Greenville, South Carolina 29602

Terms of Consultant Contract:

Beginning Date: July 1, 1977 Ending Date: June 30, 1978Rate of Pay: \$ per ; Maximum under this contract: \$ 20,000Source of Funds: \$ 20,000 (100 %); (%); (%).
(State) (Federal) (Other)

Purpose or Goal of Consultant:

Provide to the Governor of South Carolina Personnel assistance regarding policies, procedures and practices.

Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes No x If yes, How many Bids or Proposals were Received?

REPORT ON CONSULTANTS

Name of State Agency: Office of Community Development

Date of Report: August 30, 1977

Prepared by: Joe Wickel

Name of Consultant or Firm: Wilbur Smith & Associates

Address of Consultant or Firm: Bankers Trust Tower, Columbia, S. C.

Terms of Consultant Contract:

Beginning Date: August 17, 1977

Ending Date: March 15, 1978

Rate of Pay: \$ per ; Maximum under this contract: \$ 27,500.00

Source of Funds: 9,075.00 (33.3%); 18,425.00 (66.6%); (Other)
(State) (Federal)

Purpose or Goal of Consultant:

South Carolina State Land Use Element

Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes x

No

If yes, How many Bids or Proposals were Received? 4

AGREEMENT

#80504

THIS AGREEMENT, entered into as of this 17th day of August, 1977, by and between Wilbur Smith and Associates (herein called the "Contractor") and the Division of Administration, Office of the Governor (herein called the "Planning Agency"),

WITNESSETH THAT:

WHEREAS, the Planning Agency desires to engage the Contractor to render certain technical and professional services hereafter described in connection with an undertaking which is expected to be partially financed under Section 701 of the Housing Act of 1954, as amended:

NOW THEREFORE, the parties hereto do mutually agree as follows:

Section 1. Employment of Contractor. The Planning Agency hereby agrees to engage the Contractor and the Contractor hereby agrees to perform the services hereinafter set forth in connection with the project of the Planning Agency under Comprehensive Planning Grant Contract No. CPA-SC-04-00-1010.

Section 2. Scope of Services. The Contractor shall do, perform and carry out, in a satisfactory and proper manner, as determined by the Planning Agency, the following services for the State of South Carolina:

- Task 1: Determine Current Status of Land Use Planning Within the Districts
- Task 2: Establish Common Parameters for Synthesizing Land Use Elements
- Task 3: Revise Projections and Needs
- Task 4: Analyze Land Resource Capability for Individual Regions
- Task 5: Integrate Land Use Goals, Objectives, and Policies
- Task 6: Rationalize District Land Use Elements
- Task 7: Prepare Development Plan
- Task 8: Formulate Procedures and Policies for Implementing the Development Plan
- Task 9: Submit Final Study Products

All work activities undertaken pursuant to the provisions of this Section shall benefit residents of the planning area on a non-discriminatory basis. The above work elements shall be performed in accordance with the following detailed work descriptions:

Third Party Contract No. 1010-11-E

Page 2 of 15 Pages

Details on following pages (3-10) only

STATE AUDITOR'S OFFICE

80505

REPORT ON CONSULTANTS

Name of State Agency: Office of Community DevelopmentDate of Report: August 31, 1977 Prepared by: Paul TsalapatasName of Consultant or Firm: Stephen Carter & AssociatesAddress of Consultant or Firm: 2221 Devine Street, Columbia, S. C. 29205

Terms of Consultant Contract:

Beginning Date: September 1, 1977 Ending Date: June 30, 1978Rate of Pay: \$ per ; Maximum under this contract: \$ 53,328.00

	(DOT-UMTA)	(HUD)	
Source of Funds: \$6,666.00 (12.5%);	\$26,662.00 (50.0%);	\$20,000.00	(37.5%)
(State)	(Federal)	(Other)	

Purpose or Goal of Consultant:

Develop model Social Services Transportation provider agency;
negotiate necessary Federal waivers; develop operating manuals.

*(Full Scope of Services from Contract
attached for your assistance.)*
my

Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes X No If yes, How many Bids or Proposals were Received? 4

2(d)

Scope of Service

Task 1.0 - Analysis of Existing Systems

A systematic compilation of existing data will be made. Each survey instrument will be reviewed and categories of data identified. At a minimum the following data groups will be separated

- a. Type of Service Provided (e.g., fixed, demand response)
- b. Type and Number of Equipment
- c. Type of Reimbursement
- d. Number of Clients Served
- e. Total Cost of Services
- f. Source of Funds
- g. Total Miles Operated

In addition to this quantitative data, a qualitative analysis will be conducted through a sample of 10-15 providers in the State. A telephone interview will be conducted to gain information regarding the restrictions implied by the funding agencies, operational and equipment difficulties, reporting and accounting problems, relationship between staff and the governing board, among other issues. This more subjective information will be used to provide an issues and problems component of this analysis.

The measurable outcome of this task will be a milestone report of the adequacy and capacity of existing transportation systems. From this analysis the existing resource base will be documented and enough support data compiled to determine the effective utilization of existing resources. In addition to the quantitative portion of this report of findings, at least one section will present the major issues and problems which will be identified in the survey and other data sources available.

Task 2.0 - Identification of Regulatory, Procedural, and Attitudinal Constraints

Maximum use will be made of available data concerning the federal restrictions involving vehicle use and depreciation, client certification, and reimbursement and accounting procedures. Supplementing this data will be a more thorough evaluation of local providers' perception of these federal regulations and an analysis of restrictions and limitations placed on providers by State, county, or municipal agencies. Attitudes of local providers should also be evaluated to attempt to measure local willingness to develop coordinated planning or service delivery with other agencies.

This information will be gained through the sample telephone interview in Task 1.0. Information gained from the telephone survey and the analysis of existing barriers information will be presented in a matrix format. The program will be arrayed along the vertical axis with the potential limitation set out along the horizontal axis. At the intercept points specific information regarding the nature and severity of the barrier will be illustrated. A determination will then be made as to whether a waiver will require a statutory, regulatory, procedural, or attitudinal change.

The Contractor will then prepare a comprehensive program for approaching the appropriate federal agencies with requests for waivers in specific program areas. The Contractor will accompany officials of the State of South Carolina to various meetings to be held with Region IV Federal Regional Council representatives. The Contractor will prepare all documentation necessary to present requests for waivers to appropriate federal agencies and will assist the State in the presentation of requests.

A milestone report of the extent of the barriers problem will be presented. as required in the RFP, this analysis will be concerned primarily with the UMTA (16) (b) (2), HEW titles XIX and XX, DOL-CETA programs. Additional consideration will be given to programs sponsored by Vocational Rehabilitation and the Commission on Aging. The barriers milestone report will identify the nature and severity of the limitation and a specific procedure for requesting a waiver from the appropriate agency.

Task 3.0 - Evaluation of Umbrella Transportation Provider Options

An analysis shall be made of the benefits, problems, cost and procedures of at least six institutional structures. We expect that the analysis of these six structures will yield other options or combinations. The following is a brief description of the basic six structures to be evaluated.

1. Regional Transportation Authority (RTA) Model - the primary emphasis in this agency service will be conflicts between RTA and PSC legislation, with the identification of any limitations to the RTA jurisdictional prerogatives as a major issue.
2. Private Contractor Provider Model - This evaluation will concentrate upon the financial and political basis for operation. The legal basis exists through the PSC regulations, but the ability or private operators to be responsive to federal guidelines for subsidized riders must be closely scrutinized.
3. The Community Actions Agency (CAA) Model - This model currently has widespread applicability in South Carolina. Its most severe limitations are related to the image transition from social service (subsidized) clients to general public riders.
4. Regional Council of Governments (COG) Model - This untested transportation coordination model deserves a comprehensive evaluation. The COG's were established to coordinate multi-jurisdictional planning activities.

Some COG directors philosophically object to Council's becoming service delivery agencies. However, transportation planning and service coordination can be a viable function of the COG model regardless of whether service delivery becomes a final product.

5. Multi-jurisdictional Utility Cooperative Model - Two utility companies currently operate four of the seven urban transportation systems in the State. Additional consideration should be given to the potential expansion of this model and the inclusion of rural cooperatives in the options analysis.

6. Combined Agency Model - A great deal of potential exists for a hybrid administrative and operational structure which combines a public agency coordinator with a private service provider. Special emphasis should be devoted to identifying the administrative and financial arrangements which should be inherent in this public/private "marriage."

The Contractor will evaluate each institutional option through a second tier of variables which are more qualitative and perhaps even subjective in nature. Some of these second tier variables include the following:

- Political receptivity by public and private groups
- Length of time to implement initial coordination
- Length of time to implement operational goals
- Proclivity for future local funding assistance
- Probability of achieving a public transportation image
- Estimated acceptability by general public (the latent demand population) and the subsidized client group

As with the initial evaluation matrix, a series of weights and ratings will be combined to provide a total "score" of an institutional option relative to each variable. These analytical measures should not be a substitute for common sense concerning which options represent the most feasible alternatives given our political and economic climate in the State. The result will be a ranking of the most feasible institutional structures designed to accomplish specific administrative and operational goals and objectives.

A third milestone report will be prepared which fully documents the evaluation methodology and results. The procedure and outcome will be reviewed in detail with the Technical Oversight Committee to be convened by the Planning Agency and revisions made according to a consensus rating technique. Each option will be simulated in an existing setting (most probably the Catawba Region) and the results closely analyzed. The anticipated results are sufficiently broad so as to include the possibility of multiple structures being applied in different geographical locations. The problems associated with a multi-structure approach versus a single agency umbrella applied statewide

ALTERNATIVE MANAGEMENT STRUCTURE

	Alternative 1	Alternative 2	Alternative 3	Alternative 4	Alternative 5	Alternative 6
Positive Standards and Weights	RTA	Private Carriers	CAA's	Regional COG's	Utility Cooperatives	Combined Agencies
Capital Availability						
Revenue generation						
Experience available						
Programs coordination						
Comprehensive services						
Personalized service						
Areawide service						
Incentive to serve						
Flexibility						
Reliability						
Marketability						
Model coordination						
Ease of implementation						
Local public control						
Continuity of service						
Stability of employment						
Benefit to local economy						
Direct cost per pass.mi.						
Overhead costs						
Unserved demand						
Start-up cost						
Institutional barriers						
Political boundaries						
Labor unionization						
Public/private competition						
Total trip time (w/wait)						
Advance trip planning						
Safety/liability prob.						
Service duplication						
Environmental abuse						
Fleet abuse						
Down fleet time						

will be carefully examined. The conclusion of this task will yield sufficient information to proceed with the development of legislation, as required, to ensure its legal basis.

Task 4.0 - Development of the Legislative Framework for Implementation

Based upon the recommended institutional structure which emerges from Task 3.0, draft legislation will be developed for presentation to the various appropriate committees of the General Assembly. The Contractor will utilize the legal resources which are available to the Study Committee on Public Transportation to review the initial draft legislation and assist in the development of final legislation. Considerable research effort will be devoted to eliminating any conflicts between the proposed legislation and all existing statutes.

The guaranteed result of this task will be draft legislation designed for action by the various committees of the General Assembly. The Contractor will prepare presentations to be given in concert with the Office of Community Development and the Technical Oversight Committee to appropriate committees of the General Assembly.

Task 5.0 - Development of the Technical Manuals

The approach will be to initially assemble all of the relevant data sources which exist from various agencies, in addition to in-house information. This information will be divided into categories and reviewed as to its applicability to the proposed institutional structure. The available resource base will then be analyzed according to its compliance with the specific requirements of Titles XIX and XX, the CETA program and 16 (b) (2) program, the Vocational Rehabilitation program, and various aging programs. Potential conflicts between technical procedures and federal guidelines will be identified. Only after these conflicts have been thoroughly analyzed will we proceed with the manual development.

The manual is proposed to contain a minimum five basic sections with numerous subsections. The following is a general outline of the proposed sections and subsections:

Planning

- Goals Development
- Needs Assessment
- Demand Estimation
- Trip Generation
- Service Criteria
- Route Planning
- Service Scheduling
- Fare Collection
- Benefit/Cost Analysis

Managing

- Budget Development
- Management Framework
- Chart of Accounts

Managing (con'd)

- Audit Procedures
- Personnel Needs
- Policy Manual

Equipping

- Equipment Selection Criteria
- Operating Standards
- Cost Comparison Analysis
- Maintenance Schedules and Policy
- Driver Specifications
- Bid Procedures
- Replacement and Depreciation Schedules

Marketing

- User Group Identification
- Graphics and Logo Design
- Media Program
- Public Appearances Format
- Citizen Involvement Programs
- Industry Assistance Techniques
- Cost and Budget Criteria

Evaluating

- Reporting Procedures
- Evaluation Criteria
- Performance Standards
- Cybernetic Methods
- Rating Methods

Detailed information will be developed in each of these categories and others as the study effort dictates. The technical information will be based upon the synthesis of existing resources supplemented by primary research by the Contractor.

The final product of this work task will be a separately bound three-ring notebook depicting the various technical components previously described.

Task 6.0 - Gain Assurances of Manual from Appropriate Agencies

In an effort to ensure that the manual is responsive to varying provider needs and levels of sophistication, the Planning Agency will convene a Provider Committee including operators from RTA's, CAA's and private bus and taxi companies. As the manual data is developed by the Contractor, it will be reviewed in draft form with this committee.

An additional work task will run concurrently with the entire ten-month study effort. The Contractor's technical staff, including special consultants, will be available to the staff of the Catawba Council of Governments' staff for an average of two man-days per month. The Contractor will establish a regular review and reporting procedure with the staff and provide technical assistance on an as-needed basis. The Catawba COG will be utilized as a test case for the material developed in the technical manual. This will provide additional consultation time with the COG staff.

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

#80403

Name of State Agency: Division of Administration - Manpower DivisionDate of Report: 9-9-77 Prepared by: Mary ChoateName of Consultant or Firm: CORBIA (Council of Resources, Business, Institutional, Academic)Address of Consultant or Firm: 210 W. Stone Avenue, Greenville, South Carolina 26609

Terms of Consultant Contract:

Beginning Date: 9-26-77 Ending Date: 9-1-78Rate of Pay: \$ 25.00 per hour; Maximum under this contract: \$ 134,375Source of Funds: (); \$134,375 (100 %); ().
(State) (Federal) (Other)

Purpose or Goal of Consultant:

To develop and implement a comprehensive marketing strategy to promote the CETA On-the-Job Training Program to employers. This task includes a substantial public relations program. Secondly, to develop and test standardized procedures to be utilized by all OJT contractors. Lastly, to develop appropriate monitoring and evaluation mechanisms which the prime sponsor can use to gauge the effectiveness of the program.

Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes X No If yes, How many Bids or Proposals were Received? Eight

11-11-72

SCOPE OF WORK

1. Measure and assess present level of awareness of OJT program among State's employers.
2. Survey the support among trade organizations and associations to determine their willingness to promote OJT.
3. Measure market potential for OJT by industry type and job type.
4. Develop a target list of potential OJT employers.
5. Develop a training program to train OJT contractors how to market OJT. (Including visual aids, brochures, etc.)
6. Develop media campaign to increase public awareness of CETA and OJT particularly press releases, advertising, radio spots, etc.
7. Work with the Manpower Division to establish acceptable standards and levels of wage rates, occupations suitable for training, training content and length, and reimbursement policy for OJT training.
8. Develop a monitoring program to ensure full compliance with the stated policy and procedures for OJT.
9. Develop an effective presentation model to be used to sell OJT to an employer including a simplified contract document and procedures, slide presentations depicting the operation of OJT and creation of an information packet outlining what OJT is.
10. Assist in developing linkages between OJT and other CETA training as well as HEW and other local programs which could enhance the services of both programs and eliminate duplication.
11. Develop an evaluation procedure to determine the effectiveness of OJT programs.

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

Name of State Agency: S. C. Department of Social Services

Date of Report: 9-6-77 Prepared by: John T. Molan

Name of Consultant or Firm: Robert A. Frederick, M. D.

Address of Consultant or Firm: N/A

Terms of Consultant Contract:

Beginning Date: July, 1977 Ending Date June 30, 1977

Rate of Pay: \$ 25 per hour ; Maximum under this contract: \$ 12,000

Source of Funds: Federal (70 %); State (30 %); (%).

Purpose or Goal of Consultant:

All level of care determinations, either prior to DSS sponsorship or continued sponsorship, must be reviewed and acted upon by a consultant for program compliance, medical necessity and appropriate care. An average of 1,500 monthly.

All special drug requests must be physician reviewed for appropriateness, medical necessity and assurance that a comparable drug on the Formulary could not be substituted. The average monthly number of requests is 500.

All applications for Durable Medical Equipment, Prosthetic, and Orthotic Appliances must be physician approved for medical necessity and appropriateness of request. These applications average 200 monthly.

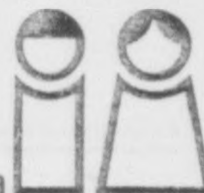
Was this Individual or Firm Selected through the Submission of Bids or Proposals?

Yes _____ No X _____

If yes, How many Bids or Proposals were Received? _____

ROBERT D. FLOYD
INTERIM COMMISSIONER

South Carolina
Department of Social Services



August 26, 1977

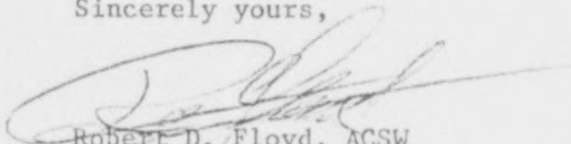
Mr. William T. Putnam
State Auditor
P. O. Box 11333
Columbia, South Carolina 29211

Dear Mr. Putnam:

The attached report on consultants pertaining to Dr. R. A. Frederick was put on an annual basis. This request is for renewal for the current year. Need of Dr. Fredericks' services are a vital part of our Medicaid Program.

Favorable determination at your earliest convenience is requested.

Sincerely yours,



Robert D. Floyd, ACSW
Interim Commissioner

RDF/moml

Enclosure



STATE OF SOUTH CAROLINA)
COUNTY OF RICHLAND)

AGREEMENT & AMENDMENT TO RIGHT OF WAY AND EASEMENT
Amended 3/5/79

Board Meeting
9/14/77 p.8
Initial Approval

THIS AGREEMENT AND AMENDMENT TO RIGHT OF WAY AND EASEMENT is made and entered into this 5th day of March 1979 between and among the State of South Carolina Budget and Control Board (here and after referred to as the "State"), Leroy Strasburger (here and after referred to as "Strasburger") and Edward Rose Associates, Inc. (here and after referred to as "Rose").

WITNESSETH:

WHEREAS, on or about November 16, 1977, the State did grant, bargain, sell, convey and deliver unto Strasburger a certain right of way and easement by document entitled Grant of Right of Way and Easement the same being recorded in the office of RMC for Richland County, South Carolina in Deed Book D-445 at Page 140 reference to which is _____ for the terms of said conveyance and the description of the right of way and easement therein conveyed; and

WHEREAS, Strasburger did assign to Rose all of his right title and interest in and to the aforesaid grant of right of way and easement by document entitled "Assignment of Easement" dated November 16, 1977, recorded in the office of the RMC for Richland County, South Carolina in Deed Book D-445 at Page 138 and

WHEREAS, center line of the right of way described in the aforesaid grant of right of way and easement were inaccurately described therein and

WHEREAS, the parties hereto desire and intend by these presence to accurately describe and delineate the right of way and easement referenced to herein above and to reaffirm and ratify the aforesaid grant of right of way and easement, as herein amended; now, therefore,

KNOW ALL MEN BY THESE PRESENCE, that in consideration of the mutual benefits conferred upon each of the within parties by virtue of this agreement, the receipt and sufficiency of which is acknowledged by each of the parties hereto, the undersigned agree to and do hereby amend and modify that certain grant of right of way and easement dated November 16, 1977, recorded in the office of RMC for Richland County, South Carolina in Deed Book D-445, at Page 140, by deleting the second paragraph therefrom and by substituting in lieu thereof the following:

"The lands and right of way herein concerned are located in the County of Richland, State of South Carolina, and the center line of the said right of way is described as follows:

Beginning at a point on the northeastern boundary 188.16 feet northwesterly of the most easterly corner of a parcel of property known as tract "D" on a plat prepared by Douglas E. Platt, Sr., RFS, dated January 8, 1974, and recorded in the office of the RMC for Richland County in the Plat Book "X," at page 3318; thence across the property of the State of South Carolina as shown on a plat prepared for Leroy Strasburger, dated January 30, 1979, as attached hereto and made a part hereof and having the following bearings and distances as shown on said plat, to wit: North 79 degrees 14 minutes 14 seconds East, 46.51 feet; North 58 degrees 3 minutes 55 seconds East, 399.68 feet; North 71 degrees 46 minutes 40 seconds East, 409.37 feet; North 48 degrees 56 minutes 26 seconds East, 205.37 feet; North 51 degrees 11 minutes 12 seconds, 183.92 feet; North 52 degrees 31 minutes 12 seconds East, 400.64 feet; and North 62 degrees 10 minutes 38 seconds East, 91.48 feet to an existing manhole and the point of ending.

Every term and provision of the grant of right of way and easement recorded in the office of RMC in Deed Book D-445 at Page 140 and of the assignment of easement recorded in said RMC's Office in Deed Book D-445 at Page 138, except as modified and amended herein, are hereby ratified and affirmed.

AND WITNESSED THEREOF, the undersigned parties, intending to be legally bound, have here unto set their respective hands and seals the day and year of first above written.

Signed, Sealed and Delivered in the Presence of:

Donna L. Williams

W. I. Lutnam

STATE OF SOUTH CAROLINA BUDGET AND CONTROL BOARD

BY Richard W. Riley
ITS CHAIRMAN

BY: William A. McJunkin
ITS SECRETARY

APPROVED: STATE OF SOUTH CAROLINA DEPARTMENT
OF CORRECTIONS

BY:

William D. Leeke
WILLIAM D. LEEKE, COMMISSIONER

Leroy Strassburger
LEROY STRASSBURGER

WITNESSES:

Sandra S. Jeffcoat

Daniel L. Patrick

EDWARD ROSE ASSOCIATES, INC.,

BY:

ITS PRESIDENT

BY:

ITS SECRETARY

WITNESSES:

APPROVED

South Carolina Department of Corrections

LEGAL ADVISOR'S OFFICE

Date: LCB 27 Feb 79

STATE OF SOUTH CAROLINA)
COUNTY OF RICHLAND)

PROBATE

PERSONALLY APPEARED before me DONNA K. WILLIAMS

who first being duly sworn, deposes and says that (s)he saw the STATE OF SOUTH CAROLINA BUDGET AND CONTROL BOARD by its chairman, RICHARD RILEY, and its secretary, WILLIAM A. McINNIS ~~WILLIAM T. PUTNAM~~, sign, seal and as its act in deed deliver the written AGREEMENT AND AMENDMENT TO RIGHT OF WAY AND EASEMENT, and that (s)he, witness the execution thereof

Donna K. Williams

SWORN TO before me this 5th day of March 1979
GEORGE N. DORN, JR. Notary Public for South Carolina
My Commission Expires: 17 NOV 1982

STATE OF SOUTH CAROLINA)
COUNTY OF RICHLAND)

PROBATE

PERSONALLY APPEARED before me Sandra S. Jeffcoat who

first being duly sworn, deposes and says that (s)he saw Leroy Strasburger sign, seal and as his act and deed deliver within written AGREEMENT AND AMENDMENT TO RIGHT OF WAY AND EASEMENT, and that (s)he with Daniel L. Patrick witnessed the execution thereof

Sandra S. Jeffcoat

SWORN TO before me this 27 day of Feb 1979
James E. Allmonore Notary Public for South Carolina
My Commission Expires: 2/11/84

STATE OF _____)
COUNTY OF _____)

P R O B A T E

PERSONALLY APPEARED before me _____ who first
being duly sworn deposes and says that (s)he saw EDWARD ROSE ASSOCIATES, INC.
by its President's _____ and its Secretary's _____,
sign, seal and as its act and deed deliver the within written AGREEMENT AND
AMENDMENT TO RIGHT OF WAY AND EASEMENT, and that (s)he with _____
witnessed the execution thereof

SWORN TO before me this _____ day of _____ 1979

My Commission Expires: _____
Notary Public for South Carolina

STATE OF SOUTH CAROLINA)
COUNTY OF RICHLAND)

AGREEMENT & AMENDMENT TO RIGHT OF WAY AND EASEMENT

As initialed 3/26/79
WAM

THIS AGREEMENT AND AMENDMENT TO RIGHT OF WAY AND EASEMENT is made and entered into this 5th day of March 1979 between and among the State of South Carolina Budget and Control Board (here and after referred to as the "State"), Leroy Strasburger (here and after referred to as "Strasburger") and Edward Rose Associates, Inc. (here and after referred to as "Rose").

WITNESSETH:

WHEREAS, on or about November 16, 1977, the State did grant, bargain, sell, convey and deliver unto Strasburger a certain right of way and easement by document entitled Grant of Right of Way and Easement the same being recorded in the office of RMC for Richland County, South Carolina in Deed Book D-445 at Page 140 reference to which is prayed ^{S.R.W. Urban} for the terms of said conveyance and the description of the right of way and easement therein conveyed; and

WHEREAS, Strasburger did assign to Rose all of his right title and interest in and to the aforesaid grant of right of way and easement by document entitled "Assignment of Easement" dated November 16, 1977, recorded in the office of the RMC for Richland County, South Carolina in Deed Book D-445 at Page 138 and

WHEREAS, center line of the right of way described in the aforesaid grant of right of way and easement were inaccurately described therein and

WHEREAS, the parties hereto desire and intend by these presence to accurately describe and delineate the right of way and easement referenced to herein above and to reaffirm and ratify the aforesaid grant of right of way and easement, as herein amended; now, therefore,

KNOW ALL MEN BY THESE PRESENCE, that in consideration of the mutual benefits conferred upon each of the within parties by virtue of this agreement, the receipt and sufficiency of which is acknowledged by each of the parties hereto, the undersigned agree to and do hereby amend and modify that certain grant of right of way and easement dated November 16, 1977, recorded in the office of RMC for Richland County, South Carolina in Deed Book D-445, at Page 140, by deleting the second paragraph therefrom and by substituting in lieu thereof the following:

"The lands and right of way herein concerned are located in the County of Richland, State of South Carolina, and the center line of the said right of way is described as follows:

Beginning at a point on the northeastern boundary 188.16 feet northwesterly of the most easterly corner of a parcel of *S. R. m. W. H. m.* property known as tract "B" on a plat prepared by Douglas E. Platt, Sr., R.L.S.. *S. R. m. W. H. m.* dated January 8, 1974, and recorded in the office of the RMC for Richland County in the Plat Book "X," at page 3318; thence across the property of the State of South Carolina as shown on a plat prepared for Leroy Strasburger, dated January 30, 1979, as attached hereto and made a part hereof and having the following bearings and distances as shown on said plat, to wit: North 79 degrees 14 minutes 14 seconds East, 46.51 feet; North *S. R. m. W. H. m.* 58 degrees 3 minutes 55 seconds East, 397.88 feet; North 53°23'10"E., 399.68 feet; North 71 degrees 46 minutes 40 seconds East, 409.37 feet; North 48 degrees 56 minutes 26 seconds East, 205.37 feet; North 51 degrees 11 minutes 12 seconds, 183.92 feet; North 52 degrees 31 minutes 12 seconds East, 400.64 feet; and North 62 degrees 10 minutes 38 seconds East, 91.48 feet to an existing manhole and the point of ending.

*O.K. AS COLLECTED
6/1/79*

Every term and provision of the grant of right of way and easement recorded in the office of RMC in Deed Book D-445 at Page 140 and of the assignment of easement recorded in said RMC's Office in Deed Book D-445 at Page 138, except as modified and amended herein, are hereby ratified and affirmed.

AND WITNESSED THEREOF, the undersigned parties, intending to be legally bound, have here unto set their respective hands and seals the day and year of first above written.

Signed, Sealed and Delivered in the Presence of:

Donna K. Williams

W. T. Lutnam

STATE OF SOUTH CAROLINA BUDGET AND CONTROL BOARD

BY *Richard W. Riley*
ITS CHAIRMAN

BY: *William A. McJannet*
ITS SECRETARY

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14, 1977

AGENDA ITEM NUMBER

EXHIBIT VIII

9/14/77

2

Agency:

Francis Marion College

Subject:

Proposed President's House on Campus

President Walter D. Smith requests authorization to proceed with the actions necessary to construct a house for the College President on the campus of the College. The house, as proposed, would contain some 4,500 to 5,000 square feet of floor space (including kitchen facilities for handling large receptions or smaller dinner groups, floor space to accommodate 150-250 people at large receptions, guest bedroom space, parking facilities for 150-200 vehicles) and would cost in the range of \$175,000 to \$190,000.

President Smith notes that the General Assembly has authorized the sale of the existing President's house which is estimated to sell for \$80,000 to \$90,000 at current prices. These sale proceeds plus some \$150,000 from the central Pee Dee Education Foundation are the proposed sources of funds for the new house.

Board Action Requested:

Authorize Francis Marion College (1) to sell the existing, off-campus President's house and apply the sale price to the cost of the house on campus; (2) advertise for and employ an architect to prepare plans for the new house; and (3) use, as may be needed, funds from the Central Pee Dee Education Foundation to initiate the project and to provide the amount needed to finance the project which is in excess of the sale price of the existing house.

Staff Comment:

Attachments:

President Smith 8/25/77 letter to Putnam



Office of the President

Francis Marion College

FLORENCE, SOUTH CAROLINA 29501
(803) 669-4121

August 25, 1977

Mr. William T. Putnam
State Auditor
Post Office Box 11333
Columbia, South Carolina 29211

Dear Bill:

A few days ago our chief business officer, Mr. N. Casey Frederick, brought to your attention our plans to construct a president's home on the Francis Marion College campus. It would appear that the development of the campus is far enough along that we are now in a position to complete that phase of the College's building program.

Because the matter will probably call for consideration by the State Budget and Control Board, the following details may be of use:

- 1) The 1977-78 Appropriation Act includes authorization for the College to sell the house currently used as the president's house, and to apply the proceeds to constructing a president's house on the College campus. The house to be sold is located some ten miles from the campus.

The State purchased the house in 1970 for \$68,500. In 1974 the property was appraised at \$77,500 by Mr. Robert M. Hulsart, MAI, real estate appraiser. At today's prices it is estimated that the same property will bring \$80-90,000.

- 2) At present, the house located off campus is adequate for the the personal family needs of the president of the College. However, it is not adequate for the requirements a college president usually has in hosting faculty and other official gatherings (150-250 people). Neither parking space nor floor space can accommodate large groups, and the distance from the campus is often a negative factor because strengthening community relations with the College is frequently the purpose of the event.

Mr. William T. Putnam
Page Two
August 25, 1977

- 3) Based on experience over the first seven years of the College's existence, it appears that the president's house should include normal living space for a family which might include several children, and additionally,
 - a. Parking facilities for 150-200 vehicles.
 - b. Kitchen space adequate for food preparation for large receptions or smaller dinner groups.
 - c. Floor space to accommodate 150-250 people at large receptions.
 - d. Guest bedroom space for various official campus visitors.

The estimated total cost of building and furnishing a house of some 4,500-5,000 square feet, which appear necessary to accommodate the stated needs, and in keeping with other College construction is in the range of \$175,000-190,000.

- 4) It is assumed, therefore, that in addition to the \$80-90,000 expected from the sale of the current College property, that additional funds up to \$110,000 may be needed.

In 1970 the Central Pee Dee Education Foundation which previously had shared with the University of South Carolina financial responsibility for its Florence regional campus, turned over \$110,000 to Francis Marion College. This represented all of its existing funds "to be used in the best interest of Francis Marion College as determined by the President and the Board of Trustees". Additional instructions accompanying the \$110,000 check were:

- a. that the money be invested and used by the College,
- b. and that funds (principal and interest) "be used for expenditures not normally paid from the State appropriation to the College, but the use of the funds does not preclude payment of such items."

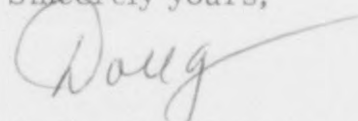
Mr. William T. Putnam
Page Three
August 25, 1977

On instruction by the State College Board of Trustees the money was invested by the College officers in bank certificates. Earnings and principal of those funds now amount to \$150,616.96.

- 5) The College is now requesting authorization to:
- a. use, as needed, those funds described above now held by the College to initiate the project;
 - b. employ an architect to draw plans for a president's home on the Francis Marion College campus (construction bids would be called for when plans are complete);
 - c. sell the property currently owned by the College off campus and to apply the full sale price to the cost of the home on campus. (As indicated, the Central Pee Dee Fund is to provide the amount needed in excess of the sale price of the present property.)

It is anticipated that there will be a number of advantages in having the President's home located on the College campus. I hope this matter may be placed on the agenda for the Budget and Control Board in the near future. Mr. F. Mitchell Johnson, Chairman of the State College Board of Trustees, and I will be happy to appear before the Board to respond to any questions members may have.

Sincerely yours,



Walter D. Smith
President

WDS/ema

cc: Mr. Johnson
Mr. Frederick

MEETING OF September 14, 1977

AGENDA ITEM NUMBER

EXHIBIT IX
3 9/14/77

Agency:

State Personnel Division

Subject:

State Board for Technical and Comprehensive Education Compensation Plan for Faculty Personnel

Board Action Requested:

Approval of subcommittee report.

Staff Comment:

After meeting with a subcommittee of the Board and Tec's staff, a compromise plan has been agreed on by the State Personnel Division staff, Tec's staff and approved by a Board subcommittee.

Attachments:

1. Plan of Compensation for Faculty Personnel
2. Pay schedule

SUGGESTED REVISIONS TO PROPOSED TEC COMPENSATION PLAN FOR FACULTY PERSONNEL

Item 1, line 3. Substitute "six" for "nine."

Item 9, line 3. Delete "Educational Counselors."

Item 10. TEC proposed revision: "All individuals employed after the implementation of this Compensation Plan for Faculty Personnel shall normally be appointed within the salary range for the appropriate salary schedule. Salaries shall be determined by required position qualifications and applicant availability. The salary of the new employee will be determined by the institutional chief executive officer with the approval of the Area Commission, and review by the State Board for Technical and Comprehensive Education and the State Budget and Control Board."

STAFF proposed revision: "All individuals employed after the implementation of this Compensation Plan for Faculty Personnel shall be appointed within the salary range for the appropriate salary schedule. Under justifiable circumstances, persons may be hired above the maximum salary range with the approval of the State Budget and Control Board, upon the recommendation of the State Board for Technical and Comprehensive Education. Salaries shall be determined by required position qualifications and applicant availability. The salary of the new employee will be determined by the institutional chief executive officer with the concurrence of the Area Commission, the State Board for Technical and Comprehensive Education and the State Budget and Control Board."

NOTE: Key words that merit particular attention by the Board are underlined for emphasis.

STATE BOARD FOR TECHNICAL AND COMPREHENSIVE EDUCATION

COMPENSATION PLAN FOR FACULTY PERSONNEL

77-78

Salary Schedule	Salary Ranges		Minimum Qualifications
	Nine Months	Twelve Months	
1	Going Rate to \$9,187	Going Rate to \$12,249	Any combination of applicable work experience, teaching experience, or post-secondary education which totals at least 2 years.
2	\$6,000 to 12,075	\$ 8,000 to 16,170	Any combination of applicable work experience, teaching experience, or post-secondary education which totals at least 4 years.
3	\$7,000 to 13,860	\$ 9,333 to 18,480	Any combination of applicable work experience, teaching experience, or post-secondary education which totals at least 8 years. In addition, there must be at least 2 years of teaching experience in the subject field unless waived by the Area Commission upon recommendation of the President/Director.
4	\$8,500 to 16,170	\$11,333 to 21,560	Any combination of applicable work experience, teaching experience, or post-secondary education which totals at least 12 years. In addition, there must be at least 3 years of teaching experience in the subject field unless waived by the Area Commission upon recommendation of the President/Director.
5	\$10,000 to 18,480	\$13,333 to 24,639	Any combination of applicable work experience, teaching experience, or post-secondary education which totals at least 16 years. In addition, there must be at least 5 years of teaching experience in the subject field unless waived by the Area Commission upon recommendation of the President/Director.
6	\$12,000 to 20,790	\$16,000 to 27,720	Any combination of applicable work experience, teaching experience, or post-secondary education which totals at least 20 years. In addition, there must be at least 7 years of teaching experience in the subject field unless waived by the Area Commission upon recommendation of the President/Director.

The State of South Carolina



Office of the Attorney General

NATHAN KAMINSKI, JR.
ASSISTANT ATTORNEY GENERAL

WADE HAMPTON OFFICE BUILDING
POST OFFICE BOX 11549
COLUMBIA, S. C. 29211
TELEPHONE 803-758-3970

DANIEL R. McLEOD
ATTORNEY GENERAL

September 12, 1977

RECEIVED
SEP 13 1977
S. C. STATE
PERSONNEL DIVISION

Dr. Jack S. Mullins, Director
State Personnel Division
Budget and Control Board
1205 Pendleton Street
Columbia, South Carolina 29201

Dear Dr. Mullins:

You have requested an opinion from this office concerning the meaning of the words "approval" and "review" as used in a draft Faculty Compensation Plan for the State Board for Technical and Comprehensive Education. You have asked me to provide an opinion concerning the meaning of these words within the context of the following sentence:

"The salary of the new employee will be determined by the institutional chief executive officer with the approval of the Area Commission, and review by the State Board for Technical and Comprehensive Education and the State Budget and Control Board."

I can find no case law in this state, or elsewhere, which provides any guidance with regard to this question. In the absence of such, the words "approval" and "review", as used in the language quoted above, should be understood in their plain and ordinarily accepted sense. In this light, the language "with the approval of the area commission" connotes that the area commission must act affirmatively signifying its assent to any salary for a new employee recommended by the institutional chief executive officer, prior to that salary becoming effective. On the other hand, the phrase "review by the State Board for Technical and Comprehensive Education and the State Budget and Control Board", as used in the context


Page 2
Dr. Jack S. Mullins, Director
September 12, 1977

of this sentence, would mean that the salary determined by the institutional chief executive officer would become effective unless the State Board for Technical and Comprehensive Education and the State Budget and Control Board acted to disapprove the salary. Thus, on one hand, with the use of the word "approval", the salary becomes effective only after positive approval is given; on the other hand, the use of the word "review" implies that the salary becomes effective unless positive disapproval is given.

Also, it is not clear without further modifying language whether the use of the word "review" means that the reviewing agencies can examine in full the reasons why a salary is set at a certain level, or whether the language means that the reviewing agencies can examine only the paper work to determine if it is in proper order.

I hope this provides sufficient information. If anything further is required, please advise. With kind regards, I remain

Very truly yours,


Nathan Kaminski, Jr.
Assistant Attorney General

NK/bh



State of South Carolina
BUDGET AND CONTROL BOARD
PERSONNEL DIVISION

1205 Pendleton Street
Columbia, South Carolina 29201

Jack S. Mullins, Ph.D.
Director

September 16, 1977

803-758-3334

Mr. G. William Dudley
Executive Director
State Board for Technical and
Comprehensive Education
1429 Senate Street
Columbia, South Carolina 29201

Dear Mr. Dudley:

At its meeting on September 14, 1977, the Budget and Control Board approved the Tec Compensation Plan for Faculty Personnel. Modifications to your draft as approved by the Board are:

Item 1, line 3. Substitute "six" for "nine."

Item 9, line 3. Delete "Educational Counselors."

Item 10. "All individuals employed after the implementation of this Compensation Plan for Faculty Personnel shall be appointed within the salary range for the appropriate salary schedule. Under justifiable circumstances, persons may be hired above the maximum salary range with the approval of the State Budget and Control Board, upon the recommendation of the State Board for Technical and Comprehensive Education. Salaries shall be determined by required position qualifications and applicant availability. The salary of the new employee will be determined by the institutional chief executive officer with the approval of the Area Commission and concurrence of the State Board for Technical and Comprehensive Education and the State Budget and Control Board.

Please let me know if the modifications detailed above do not concur with your recollection of what the action of the Board was.

I regret the length of time it required to get the plan approved.

Sincerely,

Jack S. Mullins
Director

JSM/omc

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14, 1977

AGENDA ITEM NUMBER

EXHIBIT X
9/14/77
16

Agency: Auctioneers Commission

Subject: Civil Contingent Fund Advance Request

Commission Chairman J. L. Pinckney has requested an advance of \$13,540 from the Civil Contingent Fund to provide "start-up" funds. This Commission will generate fee revenue to support its activities but, until these monies can be collected, is now without the resources to become operational.

It is expected that this advance would be repaid on or before 2/1/78.

Board Action Requested:

Authorize advance of \$13,540 from the Civil Contingent Fund to the Auctioneers Commission, with the understanding that the advance will be repaid on or before 2/1/78.

Staff Comment:

Attachments:

Pinckney 9/1/77 letter to Putnam plus attachments.

Revised to \$15,000

South Carolina Auctioneers Commission
P. O. Box 4867
Florence S. C. 29502

September 1 1977

Mr. William T. Putnam
State Auditor
State of South Carolina
P. O. Box 11333
Columbia, S. C. 29211

Re: South Carolina Auctioneers Commission

Dear Mr. Putnam:

Reference is made to the aforementioned Commission authorized by bill R-180 (H-2455) signed into law, May 24, 1977.

The Commission is presently organizing and getting ready to begin the enforcement of this law as of October 1, 1977. We are working with Mr. George Dorn, Jr., Budget Analyst, of your office in preparing our present budget for the balance of FY 1977-78 and also for FY 1978-79.

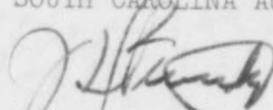
Arrangements have been made for us to operate from an "earmarked account" for the balance of 1977-78. Since we are not included in the appropriation for this fiscal year; consequently, we need funds to set up our operation until we begin taking in revenue. In view of this, we would like to apply for an advance loan from the contingency fund to be paid back at the earliest possible time, or when we will have enough revenue on hand to do so. Based on our projected income, we feel this could be accomplished by February 1, 1978.

Attached you will find a projected budget for the first four months of our operation. You will also find attached a projected cost breakdown with reference to office equipment needed to begin operation. We would appreciate your reviewing this request and advise us as soon as possible as to your opinion, and if this advance can be made from the contingency fund.

Should a hearing before the board be necessary, I would appreciate your earliest reply as time is of the essence in setting up this operation as we have a deadline to meet, as of October 1, 1977. If this is necessary, the Commission will be happy to meet with you at your regularly scheduled board meeting.

Cordially yours,

SOUTH CAROLINA AUCTIONEERS COMMISSION


J. L. Pinckney
Commission Chairman

JLP/mw

Enclosures

OFFICE EQUIPMENT SCHEDULE - 1977-78 STARTUP
SOUTH CAROLINA AUCTIONEERS COMMISSION

ONE IBM TYPEWRITER	\$ 600.00
ONE ADDING MACHINE	200.00
ONE DESK	200.00
ONE CHAIR	75.00
GUEST CHAIRS	300.00
ONE TABLE	100.00
LAMPS	150.00
CONFERENCE TABLE AND CHAIRS	500.00
MISCELLANEOUS OFFICE EQUIPMENT	<u>375.00</u>
	\$2,500.00

CONTINGENCY—FOUR MONTHS BUDGET
SOUTH CAROLINA AUCTIONEERS COMMISSION

PERSONNEL SERVICES 1977-78:

Administrative Assistant	\$ 2,600.00
Per Dem	<u>1,000.00</u>
Total Personnel Services	\$ 3,600.00

OTHER OPERATING EXPENSES:

Travel	\$ 1,700.00
Telephone	675.00
Printing, Binding, & Advertising	1,000.00
Other Contractural Services	1,000.00
Office Supplies	500.00
Postage	1,000.00
Rent (State owned property)	865.00
Insurance	200.00
Office Equipment	<u>2,500.00</u>
Total Other Operating Expense	\$ 9,440.00

EMPLOYEE BENEFITS:

Contributions	\$ <u>500.00</u>
Total Employee Benefits	\$ 500.00

TOTAL PROJECTED BUDGET FOR FOUR MONTHS TO SET UP OPERATION	\$13,540.00
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ACT 111 OF 1977

An Act To Regulate Auctions And Auctioneers And To Provide Penalties For Violations; To Provide That Municipal Corporations May Not Enact Ordinances Relating To Licensing Of Auctioneers; And To Repeal Section 56-206, Code Of Laws Of South Carolina, 1962, Relating To Auction Sales Of Certain Farm Animals In Certain Counties, And Article 6 Of Chapter 12 Of Title 65, Relating To Licensing Of Nonresident Land Auctioneers.

Be it enacted by the General Assembly of the State of South Carolina:

Definitions

SECTION 1. For the purposes of this act the following definitions shall apply:

(1) "Auction" means the sale of goods or real estate by means of exchanges between an auctioneer and members of his audience, the exchanges consisting of a series of invitations for offers made by the auctioneer, offer by members of the audience, and the acceptance by the auctioneer of the highest or most favorable offer.

(2) "Auctioneer" means any person who conducts or offers his service to conduct auctions and includes apprentice auctioneers except as stricter standards are specified by this act for apprentice auctioneers.

Exceptions

SECTION 2. This act shall apply to all auctions held in this State except the following:

(1) Sales at auction conducted by the owner of all or any part of the goods being offered, or his attorney, unless the owner originally acquired the goods for the purpose of resale at auction.

(2) Sales at auction conducted by or under the direction of any public authority.

(3) Sales at auction pursuant to a judicial order or in the settlement of a decedent's estate.

(4) Any sale required by law to be at auction.

(5) Sales conducted by and on behalf of any charitable or religious organization if the person conducting the sale receives no compensation therefor.

(6) Sales conducted by a trustee pursuant to a valid power of sale.

S. C. Auctioneers' Commission created

SECTION 3. There is hereby created the South Carolina Auctioneers' Commission which shall be composed of five members to be appointed by the Governor. At least three of the members shall be appointed from nominations submitted by the South Carolina Auctioneers Association. The terms of the members shall be for three years and until their successors are appointed and qualify. Any vacancy shall be filled in the manner of the original appointment for the unexpired portion of the term only. If the vacancy is to be filled by a member to be nominated by the South Carolina Auctioneers Association, the association shall submit within forty-five days of the occurrence of the vacancy at least three names and the Governor shall appoint one of the three named persons to fill the vacancy.

Membership—officers—compensation

SECTION 4. Of the initial five members, one member shall be appointed to serve for a term of one year, two members shall be appointed to serve for a term of two years, and two members shall be appointed to serve for a term of three years. At least three of the initial five members of the commission shall be experienced auctioneers and shall be active in the auction profession. Beginning July 1, 1977, at least three members of the Commission must be licensed auctioneers. Any action taken by the commission may be taken by a vote of any three of its members. A quorum shall consist of three members. The members shall elect from among themselves a chairman who shall serve for a term of one year and until his successor is elected and qualifies. No member shall serve more than two successive terms as chairman. The members of the commission shall receive such per diem, mileage and subsistence as is provided by law for members of state boards, committees and commissions. The commission shall employ a secretary-treasurer, an investigator or investigators and such other employees as it deems necessary to carry out the duties prescribed by this act. All employees shall serve at the pleasure of the commission. The commission shall issue, suspend and revoke licenses for auctioneers and apprentice auctioneers as provided in this act and may promulgate such rules and regulations as may be necessary to carry out the provisions of this act.

Licenses required

SECTION 5. No person shall sell or offer his service to sell goods or real estate at auction unless he holds a currently valid auctioneer or apprentice auctioneer license.

Requirements for licenses

SECTION 6. No person shall be licensed under the provisions of this act unless he is at least eighteen years of age and a resident of this State. No person shall be licensed if he has within the preceding five years pleaded guilty to or been convicted of any felony or has had an auctioneer or apprentice auctioneer license revoked.

Applicants for apprentice auctioneer licenses

SECTION 7. Each applicant for an apprentice auctioneer license shall submit a written application on a form approved by the commission and containing statements by at least two licensed auctioneers of South Carolina attesting to the applicant's good moral character. To receive a license, the applicant shall take an examination approved by the commission and perform on it to the satisfaction of the commission. The examination shall test the applicant's understanding of the law relating to auctioneers and auctions, ethical practices for auctioneers, and the mathematics applicable to the auctioneer business. The examination shall be given at least twice each year in Columbia and at such other times and places as the commission designates, but no person shall be allowed to take the examination within six months after having failed it a second time. Each apprentice auctioneer application and license shall name a licensed auctioneer to serve as the supervisor of the apprentice. No apprentice auctioneer may enter into an agreement to conduct an auction, or conduct an auction, without the express approval of his supervisor. The supervisor shall regularly review the records his apprentice is required to maintain, and see that they are accurate and current.

Requirements for auctioneer licenses

SECTION 8. No person shall be licensed as an auctioneer unless he has held an apprentice auctioneer license and served as an apprentice auctioneer for the two preceding years, and has taken an examination approved by the commission and performed on it to the satisfaction of the commission. The examination shall test the applicant's understanding of the law relating to auctioneers and auctions, ethical practices for auctioneers, the mathematics applicable to the auctioneer business, and such other matters relating to auctions

as the commission considers appropriate. The examination shall be given at least twice each year in Columbia, and at such other times and places as the commission designates, but no person shall be allowed to take the examination within six months after having failed it a second time.

Notwithstanding any other provision of this Act, any person who has been in the auctioneer business in this State on the effective date of this act who makes proper application to the Commission within ninety days after July 1, 1977, may be licensed as an auctioneer without holding an apprentice license for two years and without taking the examination required by this section.

Any person who has successfully completed the equivalent of at least eighty hours of classroom instruction in a course in auctioneering at an institution approved by the commission may be licensed as an auctioneer without holding an apprentice license and serving as an apprentice for two years. He shall take the examination required by this section and perform on it to the satisfaction of the commission.

Each applicant for an auctioneer license shall submit a written application on a form approved by the commission. If the applicant has been previously licensed as an apprentice auctioneer, the application shall contain an evaluation by the applicant's supervisor of his performance as an apprentice auctioneer. If the applicant is exempted from apprenticeship because he has completed the equivalent of at least eighty hours of classroom instruction in auctioneering, the application shall contain a transcript of his course work in auctioneering. Each application shall be accompanied by statements of at least two residents of South Carolina attesting to the applicant's good moral character. The commission may require verification of any information included in an application for an auctioneer license.

Licenses to be renewed annually

SECTION 9. The initial apprentice auctioneer and auctioneer licenses shall be valid for one year and may be renewed annually except that an apprentice auctioneer license may not be renewed more than three times. No examination shall be required for renewal of an apprentice auctioneer license if the application for renewal is made within ninety days of the expiration of the previous license.

Bond required

SECTION 10. No person shall be issued an auctioneer license until he has filed with the commission an approved bond with the applicant as principal, payable to the State of South Carolina, in the amount

of five thousand dollars. No person shall be issued an apprentice auctioneer license until he has filed with the commission an approved bond with the applicant as principal, payable to the State of South Carolina, in the amount of one thousand dollars. Each bond shall have as surety a surety company authorized to do business in this State. The bond shall be conditioned for the faithful performance of all contracts relating to sale at auction, and shall be made available for the benefit of any person contracting with the named principal for the holding of sale at auction for damages suffered by reasons of the misconduct of the principal in failing to hold or in holding the sale. Claim shall be made to the commission on its form and payment shall be made by the surety company on order of the commission.

Partnerships and corporations

SECTION 11. A partnership or corporation, upon application in a form approved by the commission, may be designated a "licensed auctioneer partnership" or a "licensed auctioneer corporation" if one or more partners or officers, respectively, is licensed as an auctioneer. Any promotional material of the partnership or corporation which refers to its designation as a licensed auctioneer business must also give the name of each person associated with that business who is a licensed apprentice auctioneer or auctioneer.

List to be published

SECTION 12. The commission shall publish at least once a year a list of names and address of all persons, partnerships and corporations holding valid apprentice auctioneer or auctioneer licenses or designated as licensed auctioneer partnerships or corporations.

Reciprocity

SECTION 13. Any person who holds a valid auctioneer license in another state may apply for and be granted a South Carolina license if the state in which he is licensed provides similar recognition to a license granted by this State. An applicant under this section shall not be required to take the examination required under Section 8 but shall pay the appropriate fee as shown in Section 14, and shall file with the commission an irrevocable consent that service on the secretary of the commission shall be sufficient service or process for actions against the applicant by a resident of this State arising out of his auctioneering activities.

An applicant under this section shall not be required to file the bond required under Section 10 if he is currently bonded as an

auctioneer or apprentice auctioneer in his home state. Any license issued under this section shall be marked to indicate that its holder is a nonresident.

License fees

SECTION 14. Each person licensed as an auctioneer or apprentice auctioneer shall pay an annual license fee to the commission which shall be used to pay the cost of administering the provisions of this act. The commission at the end of each fiscal year shall remit any excess or surplus fees to the State Treasurer. The fees shall be as follows:

- (1) for application for an apprentice auctioneer license and examination—\$50.00;
- (2) for an apprentice auctioneer license for one year—\$25.00;
- (3) for an auctioneer license for one year—\$100.00;
- (4) for a license as an auctioneer partnership or corporation for one year—\$100.00 for each practicing auctioneer and \$25.00 for each practicing apprentice auctioneer.

No municipal or county government or agency thereof shall charge any auctioneer fees or require any auctioneer license in addition to those provided for in this act.

Agreements required

SECTION 15. No person shall conduct an auction in this State without first having a written agreement with the owner of any property to be sold. The agreement shall contain the terms and conditions upon which the auctioneer received the goods for sale. The auctioneer shall provide the owner with one copy of the agreement and shall keep at least one copy for his own records for two years from the date of the agreement. A written agreement shall not be required for a sale at auction if the sale is made at an auction house or similar place where members of the public are generally offered the opportunity to present goods for sale.

Each auctioneer shall maintain accurate records upon receipt of goods for auction and before their sale, which shall include the name and address of the person who employed him to sell the goods at auction and the name and address of the owner of the goods to be sold.

Each auctioneer shall have his license conspicuously displayed at each auction he conducts.

Suspension or revocation of licenses

SECTION 16. The following shall be grounds for suspension or revocation of an auctioneer or apprentice auctioneer license:

- (1) Any violation of this act or any rule or regulation promulgated by this commission.
- (2) A continued and flagrant course of misrepresentation or making false promises, either by the auctioneer or by someone acting in his behalf and with his consent.
- (3) Any failure to account for or to pay over within a reasonable time, not to exceed thirty days, money belonging to another which has come into the auctioneer's possession through an auction sale.
- (4) Any misleading or untruthful advertising.
- (5) Any act of conduct in connection with a sales transaction which demonstrates bad faith or dishonesty.
- (6) Knowingly using false bidders, cappers or pullers, or making a material false statement for license purposes.

Penalties

SECTION 17. Any person violating the provisions of this act shall be deemed guilty of a misdemeanor and upon conviction of a first offense shall be fined an amount not to exceed five hundred dollars or imprisoned for a term not to exceed six months; for a second offense shall be fined an amount not to exceed one thousand dollars or imprisoned for a term not to exceed one year and for a third or subsequent offense shall be fined an amount not to exceed two thousand five hundred dollars or imprisoned for a term not to exceed five years.

Certain notices required

SECTION 18. No license shall be denied, suspended or revoked until after fifteen days notice has been given in writing to the licensee or applicant stating the ground of the proposed action and until a public hearing has been held at which he shall have opportunity to be heard, present testimony in his behalf and be confronted by witnesses against him, if he requests such hearing. The commission, in its discretion, may grant the accused a temporary permit to auction pending such hearing and determination. Determinations shall be made and the licensee or applicant notified thereof within five days after the hearing. Any auctioneer notified of a suspension may request a rehearing within twenty days from the date of notification.

of determination. Upon a rehearing and continued denial, suspension or revocation of license, or upon a refusal for rehearing, the party shall be entitled to appeal his case to the court of common pleas of the county of his residence or in the case of a nonresident to the court of common pleas for Richland County. The commission or its authorized representatives may subpoena witnesses and documents for any hearing and may administer oaths to such witnesses.

Municipal ordinances prohibited

SECTION 19. Notwithstanding the provisions of Act 283 of 1975, or any other provision of law, municipal corporations may not enact ordinances to provide for the licensing of auctioneers.

Repeal

SECTION 20. Section 56-206 of the 1962 Code and Article 6 of Chapter 12 of Title 65 of the 1962 Code are repealed

Time effective

SECTION 21. This act shall take effect upon approval by the Governor.

In the Senate House the 18th day of May

In the Year of Our Lord One Thousand Nine Hundred and Seventy-seven.

L. MARION GRESSETTE,

President Pro Tempore of the Senate.

REX L. CARTER,

Speaker of the House of Representatives.


Approved the 24th day of May, 1977.

JAMES B. EDWARDS,

Governor.

MEMORANDUM

TO: W. T. Putnam
E. A. Vaughn, Jr.
E. C. Garvin

FROM: G. N. Dorn, Jr. 

DATE: September 2, 1977

SUBJECT: Attached letter to Mr. Putnam - From Auctioneers' Commission
dated September 1, 1977

FUNDS ADVANCE FROM CIVIL CONTINGENT FUND

I have studied the law referenced in the attached and have met with the Auctioneers' Commission Board members on two occasions. A follow-up meeting relative to this subject was held on Tuesday, August 30, 1977, with Mr. Younce, Secretary-Treasurer.

The information contained in Mr. Pinckney's letter is correct as far as I can determine. His request is valid in my opinion, therefore, I recommend approval.

/fhb

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14 , 1977

AGENDA ITEM NUMBER 6

Agency: Finance Division, Grants & Contracts Review Unit (GCRU)

Subject: Federal and Other Grant and Contract Proposals

(Please refer to 9-page summary of the referenced proposals and GCRU recommendations. Accompanying the summary is an individual review of the 54 proposals listed. These individual review sheet numbers correspond to the proposal numbers shown on the summary.)

Board Action Requested:

GCRU recommends that the Board approve all proposals listed.

Staff Comment:

Attachments:

9-page summary of 54 grant and contract proposals plus individual review sheet on each proposal.

Approved 14 Sept. 1977

MEMORANDUM

TO: Mr. W. T. Putnam
State Auditor

FROM: George F. Oliver
Grants Services Administrator
Grants and Contracts Review Unit

DATE: September 8, 1977

SUBJECT: Federal Grants and Contracts Recommendations to the Budget and Control Board on September 14, 1977

The attached summary analysis of federal grants and contracts requests from state agencies is submitted for Budget and Control Board action on September 14, 1977, at 3:00 p.m.

There are 54 analysis sheets for various state agencies which request the following total amounts for federal, state, and other sources as follows:

Federal Requests	\$12,490,040
State Match (includes \$8,217,379 for Tuition Grants)	12,732,073
Other Funds Match	<u>3,364,645</u>
Total	<u>\$28,586,758</u>

The analysis sheets further indicate the following number of federally funded personnel involved in these programs.

Full-time Employees	81
Temporary Employees	<u>2</u>
Total	<u>83</u>

Health and Social Development, D25-002, has been deleted due to federal agency's disapproval of the proposal. The Governor has designated the Advocacy for Handicapped Citizens (a non-profit organization) to be the responsible agency for the state. They will resubmit the application.

Also, the Museum Commission, 8-H95-003, has been withdrawn and will be resubmitted for Board approval at the next meeting.

All programs are recommended for approval.

- dc-
- Attachment -
- - -

FEDERAL
GRANTS AND CONTRACTS

Item 6
Regular Session
9/14/77

EXHIBIT XI
9/14/77

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
1. Judicial Department	8-B04-001	31,300	10-1-77 9-30-78	28,170 (90%)	3,130 (10%)	-0-	-0-	To establish an ongoing planning capability for the Judiciary; develop an annual plan and in addition special planning efforts to magistrates and judicial facilities	Approve	
2. Div. of Administration Highway Safety	8-D15-003	40405,028	10-1-77 9-30-78	1,820,728 (5%)	36,881,135 (90%)	1,703,165 (5%)	1	To plan and assist in implementing a highway safety program for SC	Approve	\$36,881,135 represents the total highway safety effort in SC and is not required to match the federal money. It is required to be reported as the state contribution to the highway safety program.
3. Division of Administration Local Govts.	8-D15-005	46,666	9-1-77 8-31-78	35,000 (75%)	-0-	11,666 (25%)	-0-	Provide technical assistance to local governments for plans and studies	Approve	
4. Division of Administration Community Dev.	8-D15-007	56,634	8 mo. from award date	25,000 (44%)	31,634 (56%)	-0-	-0-	Develop science and technology resources for use by Governor in policy formation and coordination	Approve	
5. Division of Administration Energy Mgmt.	8-D15-008	63,996.66	8-77 to 2-78	63,996.66 (100%)	-0-	-0-	-0-	1) Evaluation of Home Energy Savers' Workshop contract with USC; 2) On-site home audit evaluation contract with USC, Clemson, & Citadel; 3) Thermographic Infrared Scan of homes, contract with Clemson, USC, Citadel; 4) Purchase Retrofit Films	Approve	This is an amendment to existing contract for new tasks to be performed with unexpended funds.

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
6. Division of Administration Energy Mgmt.	8-D15-009	448,000	9-77 to 2-78	318,000 (71%)	-0-	130,000 (29%)	4	Implementation of the state Energy Conservation Plan to reduce consumption by 5% in 1980	Approve	The Energy Management Office assists SE00 in the weatherization program with evaluation and training
7. Health and Social Development	8-D25-001	632,354	10-1-77 9-30-78	462,698 (73%)	53,886 (9%)	115,770 (18%)	-0-	To plan and advocate for persons with developmental disabilities	Approve	\$463,081 is for provision of services through sub-grants
8. Health and Social Development	8-D25-002	75,757	10-1-77 9-30-78	45,757 (60%)	30,000 (40%)	-0-	2	Advocacy program for developmentally disabled	Approve	2 new personnel are totally federally funded
<i>Federal agency disapproved request from Governor's Office and requested reapplication from Advocacy for Handicapped Citizens (private, non-profit) designated as P-Agency for state.</i>										
9. Economic Opportunity	8-D30-001	798,000	9-1-77 7-31-78	798,000 (100%)	-0-	-0-	-0-	Weatherization Program for low income persons	Approve	Funds: FEA 222,000 CETA 531,000 CSA 45,000 This program is implemented through the Community Action agencies (CAA's)
10. Economic Opportunity	8-D30-002	1,108,154	9-6-77 9-5-78	718,680 (65%)	-0-	389,680 (35%)	-0-	To continue weatherization of low income people's homes	Approve	This program is implemented through the CAA's
11. Economic Opportunity	8-D30-003	76,696	9-1-77 8-31-78	76,696 (100%)	-0-	-0-	5	To establish and expand the energy organization unit to provide program coordination and support to community action agencies throughout the state	Approve	Grants from FEA and CSA will amount to over \$2½ million with little or no administrative money since 1974. There is no intent from SE00 to secure state funding for these positions

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
12. Disaster Preparedness	8-D35-001	120,362	10-1-77 9-30-78	120,362 (100%)	-0-	-0-	-0-	Nuclear Civil Protection Planning	Approve	DPA contracts with the federal agency to provide this service
13. Disaster Preparedness	8-D35-002	101,077	10-1-77 9-30-78	101,077 (100%)	-0-	-0-	-0-	Ensure maximum readiness and reliability of radio-logical defense service at the state and local levels	Approve	DPA contracts with the federal agency to provide this service
14. Disaster Preparedness	8-D35-003	52,041	10-1-77 9-30-78	52,041 (100%)	-0-	-0-	-0-	Survey facilities throughout the state to determine the fallout protection capability	Approve	DPA contracts with the federal agency to provide this service
15. Lieutenant Governor's Office	8-E04-001	22,158	9-1-77 8-31-78	18,763 (85%)	3,395 (15%)	-0-	1.5	To provide the members of the General Assembly information on varied technological subjects. Also, will provide valuable experience to graduate students who will be employed.	Approve	This will be an interesting project and should see positive results
16. B & C Board Motor Vehicle Management	8-F16-001	415,250	9-30-77 9-29-78	332,000 (80%)	250 (.06%)	83,000 (20%)	-0-	Purchase special equipped vehicles for non-profit organizations to transport elderly and handicapped	Approval	
17. Personnel Div.	8-F24-001	385,689	10-1-77 9-30-78	192,279 (50%)	89,848 (23%)	103,562 (27%)	2	Training program for state and local government employees and supervisors	Approval	
13. Higher Education Tuition Grants	8-H06-001	8,948,337	7-1-77 9-30-78	730,958 (8%)	8,217,379 (92%)	-0-	-0-	Tuition grants for students in private colleges	Approval	7,000 students to receive tuition grants 1977-78
19. Vocational & Technical Ed., Advisory Coun.	8-H55-001	205,436	7-1-77 6-30-78	205,436 (100%)	-0-	-0-	.1	Advisory assistance on Vocational & Technical Education for Department of Education, Technical and Comprehensive Education, and Higher Education	Approve	

	State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
20.	Department of Education	8-H63-001	15,000	9-1-77 8-31-78	7,500 (50%)	7,500 (50%) in kind	-0-	-0-	Upgrade training of driver education instructors	Approval	
21.	Department of Education	8-H63-002	663,877	10-1-77	663,877 (100%)	-0-	-0-	-0-	Migratory Education Program (11 school districts)	Approval	\$29,000 is carryover funds 1976-77 program
22.	Department of Education	8-H63-003	126,444	8-16-78 7-15,79	90,000 (71%)	36,444 (29%)	-0-	-0-	Upgrade trades and industrial teachers in six Appalachian counties	Approve	Subcontract from ARC to Dept. of Education; further sub to Clemson University
23.	Department of Education	8-H63-004	31,452	10-1-77 9-30-78	31,452 (100%)	-0-	-0-	-0-	Production of 15 videofilms on driver education	Approve	Continuation Project
24.	Department of Education	8-H63-005	66,693	8-15-77	66,693 (100%)	-0-	-0-	-0-	Assist school districts with Indochinese students enrolled to teach English language, customs, etc.	Approve	Approximately 222 Indo-chinese students enrolled in SC
25.	Department of Education	8-H63-006	334,576	10/77 9/78	113,722 (34%)	220,854 (66%)	-0-	-0- (7 federal funded)	Establish model dissemination and retrieval system	Approval	
26.	Department of Education	8-H63-007	4,500	9-1-77 8-31-78	2,250 (50%)	2,250 (50%)	-0-	-0-	Teacher training for motorcycle safety	Approve	
27.	Educational Television	8-H67-001	9,379	1-1-78 3-31-78	4,500 (48%)	4,879 (52%)	-0-	-0-	Production of 4 radio shows for National Public Radio Network	Approve	Previous series won National Peabody Award

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
28. School for Deaf & Blind	8-H75-001	49,675	7-1-77 6-30-78	48,710	965	-0-	2.5	To integrate the deaf and/or blind into their socio-economic world by providing them accessibility to existing and special new programs to improve their skills	Approve	1,600 deaf and/or blind persons will benefit from this program
29. School for Deaf & Blind	8-H75-002	324,221	7-7-77 7-6-78	324,221 (100%)	-0-	-0-	11	To benefit 585 deaf and/or blind children through supplementing the basic education program through which their independence and social adjustment will be increased	Approve	There will be 11 new positions, but 30½ positions now in effect will be re-funded. (see summary sheet)
30. School for Deaf & Blind	8-H75-003	25,877	8-25-77 5-24-78	25,877 (100%)	-0-	-0-	-0-	To prepare 53 students to become gainfully employed in graphic communications, typewriting, and operation of office machines	Approve	This continuation program seems to be of excellent quality
31. Museum Commission	8-H95-001	17,500	2-1-77 1-31-78	17,500 (100%)	-0-	-0-	.1	This project will fund one position to design a program of assistance and disseminate information to the museums of South Carolina	Approve	
32. Museum Commission	8-H95-002	30,470	12-1-77 11-30-78	9,855 (32%)	20,615 (68%)	-0-	½ Secretarial	Planning for state museum	Approval	Must be approved also for consultant fees in excess of \$10,000
33. Museum Comm.	8-H95-003	27,434	2-1-78 1-30-79	20,990 (76.5%)	6,444 (23.5%)	-0-	To continue salary of a staff member 100% federally funded	To refinance the position of Museum Liaison person who will develop plans of assistance for museums in SC and other duties.	Disapproval	The Budget Section advises that sufficient money is not in agency budget, as cash will be required as a match.
34. Museum Commission	8-H95-004	12,936	8-1-77 8-31-77	-0-	-0-	12,936 (100%)	-0-	To survey and gather fossil material in a section of the Cooper River	Approve	This project relates to Act #1 of the 1977 General Assembly

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
35. Health and Environmental Control	8-J04-001	1,070,385	10/1/77 to 9/30/78	342,300 32%	728,085 68%		4	Public Water Supply Supervision Program Grant	Approve	New positions are federally funded
36. Health and Environmental Control	8-J04-002	1,285,029	10/1/77 to 9/30/78	559,735 44%	725,294 56%		2	Statewide Air Pollution Control Program	Approve	New positions are federally funded
37. Health and Environmental Control	8-J04-003	3,896,996	10/1/77 to 9/30/78	1,180,047 30%	2,252,620 58%	464,329 12%		S.C. Section 106 Water Pollution Control Program	Approve	The \$464,329 of other funds are Section 208 Federal funds.
38. Mental Health State Hospital	8-J12-001	123,914	7-1-78 to 6-30-81	123,914 (100%)	-0-	-0-	6	Develop model program for the communicative rehabilitation for aging hearing impaired	Approve	Federal funding for three years: 2nd yr \$121,380 3rd yr \$126,494
39. Alcohol and Drug Abuse	8-J20-001	171,273	10/1/77 to 9/30/78	160,773 94%		10,500 6%	7 Full 2 Temp.	Development of a Standardized Drug Abuse Profile	Approve	Project is to be a three-year project with no State funds involved.
40. Land Resources Conservation Commission	8-P08-001	100,000	1-25-77 to 6-30-78	100,000 (100%)	-0-	-0-	1 part-time clerical	Preparation of the Water Quality Management Plan for South Carolina	Approval	This is a contract with DHEC using EPA funds awarded to DHEC for this purpose
41. Land Resources Conservation	8-P08-002	61,288	7-1-77 to 6-30-78	61,288 (100%)	-0-	-0-	4	To conduct on-site inspection of existing dams and gather data that is pertinent to the stability of each dam	Approve	Potential hazards to life and property in the event of the failure of a dam will be identified
42. Land Resources	8-P08-003	13,143	10-1-77 to 5-30-78	12,000 (91%)	1,143 (9%)	-0-	-0-	An examination and analysis of Landsat data in the field of surface mining monitoring	Approval	Monitoring surface mining and reclamation activities is vital to the protection of the environment in our state.

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
43. Wildlife and Marine Res.	8-P24-003	16,008	10-1-77 9-30-78	12,006 (75%)	4,002 (25%)	-0-	2	To collect catch, effort and economic data of SC commercial fisheries, compiling, analyzing, and providing results to appropriate users.	Approval	None
44. Wildlife and Marine Resources Marine Res. Div.	8-P24-004	23,592	10-1-77 9-30-78	17,694 (75%)	5,898 (25%)	-0-	2	To conduct a survey of washed shell deposits in coastal Carolina. The survey results will be used for future shellfish management programs.	Approval	This project is directed mainly at the oyster industry in the state and with the problems being experienced, it would seem to be a feasible project.
45. Wildlife & Marine Res. Marine Res. Div.	8-P24-005	43,770.96	9-1-77 10-30-78	29,194.31 (66.7%)	10,199.65 (23.3%)	4,337 (10%)	3	Development of fishing management plans for selected anadromous fishes in SC and Georgia	Approval	Joint project between SC and Georgia with Georgia furnishing other funds
46. Wildlife and Marine Res.	8-P24-006	415,533	10-1-77 9-30-78	324,914 (78%)	90,619 (22%)	-0-	-0-	To conduct MARMAP resource assessment surveys in the waters of SC & adjacent states	Approve	All state match is in kind contributions consisting of personnel and indirect costs
47. Wildlife and Marine Res.	8-P24-007	50,000	10-1-77 9-30-78	25,000 (50%)	25,000 (50%)	-0-	3	To study the life history, ecology and management of the Atlantic sturgeon	Approve	The diminishing sturgeon population would indicate this study is appropriate
48. Wildlife and Marine Res. Marine Res Div	8-P24-008	19,942	9-77 2-78	17,750 (90%)	2,192 (10%)	-0-	1	To map from aerial photos the delineations and classifications of the wetlands of SC (Coastal)	Approval	This is concerned with the habitat of fish which is important to the future of fish life in SC. Land Resources Com. would like to discuss as the project progresses.

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
49. Parks, Recreation, and Tourism	8-P28-001	671,400	n/a	335,700 (50%)	-0-	335,700 (50%)	-0-	To increase recreational facilities in SC	Approve	The federal government will furnish half the money and the seller will donate the other half
50. Parks, Recreation & Tourism	8-P28-002	40,606	7-1-77 9-30-77	40,203 (99%)	403 (1%)	-0-	6	On the job training for potential park rangers	Approve	This seems to be wise from a financial standpoint to have a trained pool of prospective park rangers
51. Patriots Point Development	8-P36-001	2,500	9-77 11-77	2,500 (100%)	-0-	-0-	-0-	To develop an updated study of a market and feasibility with specific reference to convention motel facilities at Patriots Point	Approve	
52. Patriots Point Development	8-P36-002	10,000	9-77 11-77	10,000 (100%)	-0-	-0-	-0-	To perform an updated study for the development of a land use plan with specific reference to convention type hotel facilities at Patriots Point	Approve	
53. Clark Hill Authority	8-P40-001	20,000	4-20-77 3-19-78	10,000 (50%)	10,000 (50%)	-0-	-0-	To study the type of development that will meet the needs of the Clark Hill area--the business and industrial markets	Approve	

State Agency	State Identifier	Amount	Time Period	% of Fed. Funds	% of State Funds	% of Other Funds	No. New Personnel	Program Purpose	GCR Recommendation	Remarks
54. Employment Security Com. (Work Incentive Unit)	8-R60-001	1,769,224	10-1-77 9-30-78	1,592,302 (90%)	176,922 (10%)	-0-	-0-	To provide training and employment for AFDC recipients, jointly administered by Employment Security and Dept. of Social Services	Approve	This is a federally mandated program with an excellent purpose
55. Aeronautics Commission	8-U04-001	26,667	10-1-77 9-30-78	20,000 (75%)	6,667 (25%)	-0-	2	The development of state standards for airport development at general aviation airports	Approve	This would seem to be a most needed study
56. Aeronautics Commission	8-U04-002	6,678.48	10-1-77 9-30-78	6,678.48 (100%)	-0-	-0-	1	To fund a new clerical position for the Commission under CETA Program	Approve	Better service from Commission will result.

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

1

Name of Agency: S. C. Judicial Department (Court Administration)
Title of Project: Judicial Planning Project
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 30, 1977 Prepared by: R. Archie Ellis
Federal Source: Office of Criminal Justice
Control Number: 8-B04-001

I. Program

- a. Purpose: To establish an ongoing planning capability for the judiciary; an annual judicial plan, in addition, special planning efforts re magistrates and judicial facilities
b. Public Benefit: Anything that improves the judicial system benefits the general public
c. Performance as to Federal financing: To be determined

II. Financial

- a. Total Amount: \$ 31,300
b. Amount Federal: \$ 28,170 90% (%) Amount State: \$ 3,130 10% (%)
Amount Other: \$ -0- (%)
c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
d. Fringe Benefits Included: Yes ☐ No ☐ n/a
Total Amount: \$ -0-
e. Indirect Costs: \$ -0-
f. Cost Sharing: In Kind: \$ -0- Cash: \$ -0-
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
b. Number of Personnel shifted to federal funds as a result of new program or service: 0
c. Number of new state funded positions resulting from this program: 0
d. Total amount for new positions: \$ 0
e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

2

Name of Agency: Division of Administration, Highway Safety Program
Title of Project: S. C. Highway Safety Program, 1978 Annual Work Program
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: National Highway Traffic Safety Administration & Federal Highway Administration
Control Number: 8-D15-003

I. Program

- a. Purpose: To plan and assist in implementing a Highway Safety Program for SC
b. Public Benefit: Implementation and evaluation of a Highway Safety Program
c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 40,405,028
b. Amount Federal: \$ 1,820,728 5% (%) Amount State: \$ 36,881,135 90% (%)
Amount Other: \$ 1,703,165 5% (%)
c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 25,000
e. Indirect Costs: \$ 47,000
f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0-
b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
c. Number of new state funded positions resulting from this program: -0-
d. Total amount for new positions: \$ -0-
e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

3

Name of Agency: Division of Administration, Division of Local Government
Title of Project: Technical Assistance to Local/Municipal and County Governments
Proposed Dates: Beginning 9-1-77 Ending 8-31-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Economic Development Administration
Control Number: 8-D15-005

I. Program

- a. Purpose: To provide to local/municipal and county governments assistance in areas such as water and sewer studies, industrial site survey, and plans for economic development.
- b. Public Benefit: Development of programs and studies for economic development for the local governments.
- c. Performance as to Federal financing: Assistance has been provided for plans and studies for economic development

II. Financial

- a. Total Amount: \$ 46,666
- b. Amount Federal: \$ 35,000 75% (%) Amount State: \$ ---- (%)
Amount Other: \$ 11,666 25% (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ -----
- d. Fringe Benefits Included: Yes ☐ No ☒
Total Amount: \$ -----
- e. Indirect Costs: \$ 5,000
- f. Cost Sharing: In Kind: \$ ----- Cash: \$ -----
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

4

Name of Agency: Division of Administration
Title of Project: Developing Science and Technology Resources for the Governor
Proposed Dates: Beginning 8 mo. from date of Ending award
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: National Science Foundation
Control Number: 8-D15-007

I. Program

- a. Purpose: To examine the potential and feasibility for developing science and technology resources for use by the Governor.
- b. Public Benefit: Improved utilization of science and technology in governmental decision-making.
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 56,634
- b. Amount Federal: \$ 25,000 44% (%) Amount State: \$ 31,634 56% (%)
Amount Other: \$ (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 31,614
- d. Fringe Benefits Included: Yes ☐ No ☐
Total Amount: \$ Federal funds are for contract to IEAL
- e. Indirect Costs: \$
- f. Cost Sharing: In Kind: \$ Cash: \$ 31,634
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ *-0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

5

Name of Agency: Division of Administration, Energy Management Office
Title of Project: Home Energy Savers' Workshop, Project Conserve
Proposed Dates: Beginning August 1977 Ending February 1978
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Federal Energy Administration
Control Number: 8-D15-008

I. Program

- a. Purpose: To evaluate Home Energy Savers' Workshop and retrofit programs.
- b. Public Benefit:
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 63,996.66
- b. Amount Federal: \$ 63,996.66 100% (%) Amount State: \$ _____ (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
n/a
- d. Fringe Benefits Included: Yes ☐ No ☐ n/a
Total Amount: \$ n/a
- e. Indirect Costs: \$ n/a amendment
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ _____

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

6

Name of Agency: Energy Management Office
Title of Project: S. C. Energy Conservation Plan
Proposed Dates: Beginning 9-77 Ending 2-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Federal Energy Administration
Control Number: 8-D15-009

I. Program

- a. Purpose: The State Energy Conservation Plan is a consolidation of individual energy programs to achieve a 5% reduction in projected energy consumption by 1980.
- b. Public Benefit: Energy Conservation
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 448,000
- b. Amount Federal: \$ 318,000 71% (%) Amount State: \$ _____ (%)
Amount Other: \$ 130,000 29% (%) Federal money within EMO
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 6,253
- e. Indirect Costs: \$ 12,865
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 4
- b. Number of Personnel shifted to federal funds as a result of new program or service: _____
- c. Number of new state funded positions resulting from this program: _____
- d. Total amount for new positions: \$ 36,000
- e. Temporary Positions: _____ Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

7

Name of Agency: Health and Social Development
Title of Project: 1978 State Plan
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Health, Education and Welfare
Control Number: 8-D25-001

I. Program

- a. Purpose: To plan and advocate for persons with developmental disabilities
- b. Public Benefit: Planning for the developmentally disabled and provision of services to the developmentally disabled
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 632,354
- b. Amount Federal: \$ 462,698 73% (%) Amount State: \$ 53,886 9% (%)
Amount Other: \$ 115,770 18% (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 53,886
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 7,685
- e. Indirect Costs: \$ 14,695
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ 53,886
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

9

Name of Agency: Division of Economic Opportunity
Title of Project: Weatherization Program for Low Income Persons
Proposed Dates: Beginning 9-1-77 Ending 7-31-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Federal Energy Administration
Control Number: 8-D30-001

I. Program

- a. Purpose: Weatherize 475 dwelling units to include 404 elderly, 20 handicapped, 10 native Americans.
- b. Public Benefit: Energy efficiency increase, lower fuel bills for low income people.
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 798,000 FEA 222,000
CETA 531,000
CSA 45,000
- b. Amount Federal: \$ 798,000 100% (%) Amount State: \$ _____ (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☐ No ☒ n/a
Total Amount: \$ _____
- e. Indirect Costs: \$ 5,200
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

10

Name of Agency: Division of Economic Opportunity
Title of Project: Emergency Energy Conservation Program--77½
Proposed Dates: Beginning 9-6-77 Ending 9-5-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Community Services Administration
Control Number: 8-D30-002

I. Program

- a. Purpose: To continue the weatherization of low income people's houses.
- b. Public Benefit: To conserve fuel, increase health and comfort of occupants, and to motivate residents to further improve their dwellings.
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 1,108,154
- b. Amount Federal: \$ 718,680 65% (%) Amount State: \$ (%)
Amount Other: \$ 389,474 35% (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☐ No ☐ n/a
Total Amount: \$
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

11

Name of Agency: Division of Economic Opportunity
Title of Project: Training and Technical Assistance, Energy Program
Proposed Dates: Beginning 9-1-77 Ending 8-31-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Community Services Administration
Control Number: 8-D30-003

I. Program

- a. Purpose: To establish and expand the energy organization unit to provide program coordination and support to community action agencies throughout the state.
- b. Public Benefit: Expertise to the weatherization program which will make it more effective
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 76,696
- b. Amount Federal: \$ 45,500 (%) Amount State: \$ _____ (%)
Amount Other: \$ 31,196 (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 8,217
- e. Indirect Costs: \$ -0- All line item budgeted
- f. Cost Sharing: In Kind: \$ 0 Cash: \$ 0
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 5
- b. Number of Personnel shifted to federal funds as a result of new program or service: _____
- c. Number of new state funded positions resulting from this program: _____
- d. Total amount for new positions: \$ 49,650
- e. Temporary Positions: _____ Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

12

Name of Agency: Disaster Preparedness Agency
Title of Project: Nuclear Civil Protection Planning
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Defense Civil Preparedness Agency
Control Number: 8-D35-001

I. Program

- a. Purpose: Nuclear Civil Protection Planning for potential or actual nuclear attack and identification of requirements for emergency operations in support thereof.
- b. Public Benefit: Portection in the event of a nuclear attack.
- c. Performance as to Federal financing: Lack of performance would lead to cancellation of contract.

II. Financial

- a. Total Amount: \$ 120,362
- b. Amount Federal: \$ 120,362 100(%) Amount State: \$ _____ (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 12,003
- e. Indirect Costs: \$ 16,732
- f. Cost Sharing: In Kind: \$ --- Cash: \$ ---
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ --

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

13

Name of Agency: Disaster Preparedness Agency
Title of Project: Radiological Systems Maintenance Program
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Defense Civil Preparedness Agency
Control Number: 8-D35-002

I. Program

- a. Purpose: Ensure maximum readiness and reliability of the Radiological Defense Service at state and local level through inspection, maintenance, and calibration of equipment
- b. Public Benefit: Planning and protection in the event of a nuclear attack.
- c. Performance as to Federal financing: Lack of performance would lead to cancellation of contract

II. Financial

- a. Total Amount: \$ 101,077
- b. Amount Federal: \$ 101,077 100% (%) Amount State: \$ _____ (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 9,093
- e. Indirect Costs: \$ 12,676
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

14

Name of Agency: Disaster Preparedness Agency
Title of Project: Shelter Survey
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: September 1, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Defense Civil Preparedness Agency
Control Number: 8-D35-003

I. Program

- a. Purpose: Survey facilities throughout the state to determine the fallout protection capability for population of state.
- b. Public Benefit: Protection in the event of nuclear attack.
- c. Performance as to Federal financing: Lack of performance would result in cancellation of contract.

II. Financial

- a. Total Amount: \$ 52,041
- b. Amount Federal: \$ 52,041 100(%) Amount State: \$ _____ (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 4,724
- e. Indirect Costs: \$ 6,584
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ _____

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

15

Name of Agency: Lieutenant Governor's Office
Title of Project: S. C. Science and Technology Intern Program
Proposed Dates: Beginning 9-1-77 Ending 8-31-78
Date of Report: August 30, 1977 Prepared by: R. Archie Ellis
Federal Source: National Science Foundation
Control Number: 8-E04-001

I. Program

- a. Purpose: To provide members of the General Assembly technological information on a wide variety of subjects
b. Public Benefit: The public will benefit through a more thoroughly researched Legislative program
c. Performance as to Federal financing: No experience--must be evaluated later

II. Financial

- a. Total Amount: \$ 22,158
b. Amount Federal: \$ 18,763 85% (%) Amount State: \$ 3,395 15% (%)
Amount Other: \$ -0- (%)
c. Funds available to match program: Yes ☒ in kind No ☐ Amount: \$ _____
d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 913
e. Indirect Costs: \$ 3,395
f. Cost Sharing: In Kind: \$ 3,395 Cash: \$ -0-
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 1.5*
b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
c. Number of new state funded positions resulting from this program: -0-
d. Total amount for new positions: \$ 15,600
e. Temporary Positions: -0- Amount: \$ -0-

*This is computed as follows:

4 interns working 20 hours per week for 26 weeks at \$6.00 per hour
1 consulting intern working 20 hours per week for 26 weeks at \$6.00 per hr.

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

16

Name of Agency: Budget and Control Board, Motor Vehicle Management
Title of Project: UMTA Grant to Purchase Vehicles to Transport Handicapped & Elderly
Proposed Dates: Beginning 9-30-77 Ending 9-29-78
Date of Report: 8-25-77 Prepared by: George F. Oliver
Federal Source: U. S. Department of Transportation
Control Number: 8-F16-001

I. Program

- a. Purpose: Purchase of specially equipped vehicles by the state for use by local private non-profit organizations
- b. Public Benefit: Transportation aid to elderly and handicapped citizens in South Carolina
- c. Performance as to Federal financing: \$250 cost to State--80% federal and 20% local non-profit organizations. Coordinator oversees use and operation of vehicles.

II. Financial

- a. Total Amount: \$ 415,250
- b. Amount Federal: \$ 332,000 80% (%) Amount State: \$ 250 .06% (%)
Amount Other: \$ 83,000 20% (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 83,000
- d. Fringe Benefits Included: Yes ☐ No ☒ No personnel included in request
Total Amount: \$ n/a
- e. Indirect Costs: \$ none
- f. Cost Sharing: In Kind: \$ none Cash: \$ none
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ none

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

NOTE: Audit charges included in federal portion 80% (750) and state match 20% (250)

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

Name of Agency: State Personnel Division

Title of Project: Statewide IPA Plan--12 months--1978

Proposed Dates: Beginning 10-1-77 Ending 9-30-78

Date of Report: August 29, 1977 Prepared by: *George F. Oliver*
George F. Oliver

Federal Source: U. S. Civil Service Commission--27.012

Control Number: 8-F24-001

I. Program

- a. Purpose: Improve management and supervisory skills through training sessions, workshops, in state agencies and local government offices
- b. Public Benefit: Increased efficiency in state and local government by increasing competence of state and local employees
- c. Performance as to Federal financing: This program will provide needed training to state and local government agencies employees with in kind matching and 50% federal participation.

II. Financial

- a. Total Amount: \$ 385,689
- b. Amount Federal: \$ 192,279 50% (%) Amount State: \$ 89,848 23% (%)
Amount Other: \$ 103,562 27% (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 193,410
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 11,027 federal; \$14,174 (16%) state and local government
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ 164,274 Cash: \$ 29,136
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 2
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of state funded positions resulting from this program: 6
- d. Total amount for new positions: \$ 19,953
- e. Temporary Positions: -0- Amount: \$

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

18

Name of Agency: Higher Education Tuition Grants Agency
Title of Project: State Student Incentive Grants
Proposed Dates: Beginning 7-1-77 Ending 9-30-78
Date of Report: August 30, 1977 Prepared by: *George F. Oliver*
Federal Source: DHEW--13.548
Control Number: 8-H06-001

I. Program

- a. Purpose: To fund tuition grants for undergraduate scholarship program
- b. Public Benefit: 7,000 undergraduate students will receive tuition grants for FY 77-78
- c. Performance as to Federal financing: Program is a 50/50 funding program; however, SC has provided well in excess of this 50% match since program inception

II. Financial

- a. Total Amount: \$ 8,948,337
- b. Amount Federal: \$ 730,958 8% (%) Amount State: \$ 8,217,379 92% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 8,217,379
- d. Fringe Benefits Included: Yes ☐ No ☒
Total Amount: \$ -0-
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ -0- Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0-
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ -0-
- e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

19

Name of Agency: Advisory Council on Vocational and Technical Education
Title of Project: State Advisory Council on Vocational Education
Proposed Dates: Beginning 7-1-77 Ending 6-30-78
Date of Report: September 1, 1977 Prepared by: *George F. Oliver*
Federal Source: Office of Education--13.500
Control Number: 8-H55-001

I. Program

- a. Purpose: 21 member board provides advisory function to State Department of Education, Office of Vocational Education; State Board for Technical and Comprehensive Education, and Higher Education Commission
b. Public Benefit: Improves the coordination for vocational and technical education within the state
c. Performance as to Federal financing: Fully funded by federal funds, Council established as a condition for receiving federal funds for vocational schools

II. Financial

- a. Total Amount: \$ 205,436
b. Amount Federal: \$ 205,436 100% () Amount State: \$ -0- ()
Amount Other: \$ -0- ()
c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 10,502
e. Indirect Costs: \$ 324
f. Cost Sharing: In Kind: \$ n/a Cash: \$
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ n/a

III. Personnel

- a. Number of New Federally Funded Personnel: 1
b. Number of Personnel shifted to federal funds as a result of new program or service: none
c. Number of new state funded positions resulting from this program: 1
d. Total amount for new positions: \$ 14,419
e. Temporary Positions: none Amount: \$
Total number federally funded personnel: 4 -- \$82,211

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

Name of Agency: S. C. Department of Education
 Title of Project: Driver Education Training
 Proposed Dates: Beginning 9-1-77 Ending 8-31-78
 Date of Report: August 25, 1977 Prepared by: *George F. Oliver*
 Federal Source: Highway Traffic Safety Administration--Sub-grant through Highway Safety Program to Department of Education
 Control Number: 8-H63-001

I. Program

- a. Purpose: Funds are used for stipends for 50 high school driver training instructors to upgrade credentials
- b. Public Benefit: Increase knowledge of teachers who instruct student drivers
- c. Performance as to Federal financing: \$150 stipend per instructor
50% of funds matched by Highway Safety Program

II. Financial

- a. Total Amount: \$ 15,000
- b. Amount Federal: \$ 7,500 50% (%) Amount State: \$ 7,500 50% (%)
 Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 7,500
- d. Fringe Benefits Included: Yes ☐ No ☒
 Total Amount: \$ _____
- e. Indirect Costs: \$ none
- f. Cost Sharing: In Kind: \$ 7,500 Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
 \$ none

III. Personnel

- a. Number of New Federally Funded Personnel: none
- b. Number of Personnel shifted to federal funds as a result of new program or service: none
- c. Number of new state funded positions resulting from this program: none
- d. Total amount for new positions: \$ none
- e. Temporary Positions: none Amount: \$ _____

NOTE: \$7,500 in kind match is state funds provided for the standard driver instruction program.

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

21

Name of Agency: Department of Education
Title of Project: Migrant Program Education, ESEA Title I
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 26, 1977 Prepared by: George F. Oliver
Federal Source: Office of Education--13.429
Control Number: 8-H63-002

I. Program

- a. Purpose: Provide funds to 11 eligible school districts for children of migrant agricultural workers--migratory fisherman special education
- b. Public Benefit: The funds are used to meet needs of 1850 migrant children including remedial instruction in health, nutrition, psychological services, cultural development, counseling, and pre-vocational training
- c. Performance as to Federal financing: Evaluation processes will record and evaluate progress of children as to objectives established in program guide

II. Financial

- a. Total Amount: \$ 663,877
- b. Amount Federal: \$ 634,877 (%) Amount State: \$ _____ (%)
Amount Other: \$ 29,000 (%) Carry over from FY 76-77
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 2,024
- e. Indirect Costs: \$ 2,874
- f. Cost Sharing: In Kind: \$ n/a Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ none

III. Personnel

- a. Number of New Federally Funded Personnel: _____
- b. Number of Personnel shifted to federal funds as a result of new program or service: _____
- c. Number of new state funded positions resulting from this program: _____
- d. Total amount for new positions: \$ _____
- e. Temporary Positions: _____ Amount: \$ _____

NOTE: No new positions. This is a continuing program which the federal funds pay 50% of the salary for two migrant education supervisors, a migrant student record transfer clerk/secretary, and one temporary instructional summer employee.

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

22

Name of Agency: Department of Education
Title of Project: In-service Institute for Trades and Industries Teachers (Continuation)
Proposed Dates: Beginning 8-16-78 Ending 7-15-79
Date of Report: August 26, 1977 Prepared by: George F. Oliver
Federal Source: South Carolina Appalachian Council of Government
Control Number: 8-H63-003

I. Program

- a. Purpose: Upgrade of Trades and Industries teachers in six appalachian counties
- b. Public Benefit: To increase skill of Trades and Industrial instructors to be better qualified to instruct vocational students
- c. Performance as to Federal financing: Evaluation, pre- and post-testing of students will indicate effectiveness of program.

II. Financial

- a. Total Amount: \$ 126,444
- b. Amount Federal: \$ 90,000 71% (%) Amount State: \$ 36,444 29% (%)
in kind
Amount Other: \$ ---- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$36,444 in kind
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 3,847
- e. Indirect Costs: \$ none
- f. Cost Sharing: In Kind: \$ 36,444 Cash: \$ -----
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ none

III. Personnel

- a. Number of New Federally Funded Personnel: none
- b. Number of Personnel shifted to federal funds as a result of new program or service: none
- c. Number of new state funded positions resulting from this program: none
- d. Total amount for new positions: \$ none
- e. Temporary Positions: none Amount: \$ -----

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

23

Name of Agency: Department of Education
Title of Project: ETV Services for Driver Education
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: 8-29-77 Prepared by: George F. Oliver
Federal Source: SC Office of Highway Safety (FHA) 20.600
Control Number: 8-H63-004

I. Program

- a. Purpose: To complete 15 driver training video-films and teachers' guides to replace obsolete driver education films
- b. Public Benefit: Improve driver safety education for all school districts
- c. Performance as to Federal financing: Reduces the cost of the instructional television facilities in the production of driver education films

II. Financial

- a. Total Amount: \$ 62,907
- b. Amount Federal: \$ 31,452 50% (%) Amount State: \$ 31,452 50% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 31,452
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 4,552
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ 1,452 Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

24

Name of Agency: Department of Education
Title of Project: Indochina Refugee Children Assistance Program
Proposed Dates: Beginning 8-15-77 Ending 8-14-78
Date of Report: August 26, 1977 Prepared by: *George F. Oliver*
Federal Source: U. S. Office of Education
Control Number: 8-H63-005

I. Program

- a. Purpose: Assist school districts with enrolled Indochinese refugee children to teach English language, customs, etc.
- b. Public Benefit: Assist Indochinese to phase into American lifestyle and learn customs, language
- c. Performance as to Federal financing: \$300 per Indochinese student enrolled in a district to assist with extra instruction necessary to teach language, customs, etc. Department of Education provides grants to districts and monitors programs and costs.

II. Financial

- a. Total Amount: \$ 66,693
- b. Amount Federal: \$ 66,693 100%(%) Amount State: \$ none (%)
Amount Other: \$ none (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☐ No ☒
Total Amount: \$ n/a
- e. Indirect Costs: \$ no
- f. Cost Sharing: In Kind: \$ no Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ none

III. Personnel

- a. Number of New Federally Funded Personnel: none
- b. Number of Personnel shifted to federal funds as a result of new program or service: none
- c. Number of new state funded positions resulting from this program: none
- d. Total amount for new positions: \$ none
- e. Temporary Positions: none Amount: \$

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

25

Name of Agency: Department of Education
Title of Project: Dissemination Capacity Building Project, NIE
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 25, 1977 Prepared by: George F. Oliver
Federal Source: National Institute of Education
Control Number: 8-H63-006

I. Program

- a. Purpose: Project is designed to establish a model for information retrieval and dissemination for school districts
- b. Public Benefit: To build a statewide coordinated information and dissemination system to increase the knowledge
- c. Performance as to Federal financing: Program is operated at the state level with federal funds and using in-kind matching from other Department of Education divisions matching the federal funds.

II. Financial

- a. Total Amount: \$ 334,576
- b. Amount Federal: \$ 113,722 34%(%) Amount State: \$ 220,854 66%(%)
Amount Other: \$ --- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 220,854
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 12,446 16%
- e. Indirect Costs: \$ 12,905 13%
- f. Cost Sharing: In Kind: \$ 220,854 Cash: \$ n/a
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ none

III. Personnel

- a. Number of New Federally Funded Personnel: 7
- b. Number of Personnel shifted to federal funds as a result of new program or service: none
- c. Number of new state funded positions resulting from this program: none
- d. Total amount for new positions: \$ none
- e. Temporary Positions: none Amount: \$ none

NOTE: Program will require \$85,000 in state funds in 1979-80 if program is to continue when federal funding is completed.

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

26

Name of Agency: Department of Education
Title of Project: Teacher Training for Motorcycle Safety
Proposed Dates: Beginning 9-1-77 Ending 8-31-78
Date of Report: August 29, 1977 Prepared by: George F. Oliver
Federal Source: Sub-contract from SC Highway Safety Program Office--20.600
Control Number: 8-H63-007

I. Program

- a. Purpose: To train 15 teachers in driver education in motorcycle safety to present approved courses in motorcycle safety education
- b. Public Benefit: Teachers will be selected on an equitable basis; geographic distribution and reasonable likelihood that training in motorcycle safety is required in order to further train students in this program
- c. Performance as to Federal financing: No course is currently offered in the state at this time. This will be the first attempt; if successful, it will be requested again under the Highway Safety Plan for 1978-79

II. Financial

- a. Total Amount: \$ 4,500
- b. Amount Federal: \$ 2250 50% (%) Amount State: \$ 2250 50% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 2,250
- d. Fringe Benefits Included: Yes ☐ No ☒ stipend only
Total Amount: \$ -0-
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ 2,250 Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

27

Name of Agency: Educational Television Commission
Title of Project: American Popular Song
Proposed Dates: Beginning 1-1-78 Ending 3-31-78
Date of Report: August 29, 1977 Prepared by: George F. Oliver
Federal Source: SC Arts Commission (National Endowment of Arts--45.006)
Control Number: 8-H67-001

I. Program

- a. Purpose: To inform public of their American heritage by production of 4 radio shows on popular music
- b. Public Benefit: Increase public awareness of American popular songs as performed by various artists along with discussions of origin of popular music
- c. Performance as to Federal financing: This is an extremely austere finance package for providing national prestige to SCETV. Previous program won National Peabody Award in 1976. This is a continuation of this series.

II. Financial

- a. Total Amount: \$ 9,379
- b. Amount Federal: \$ 4,500 48% (%) Amount State: \$ 4,879 52% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 4,879
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 168
- e. Indirect Costs: \$ 2,029 (matching)
- f. Cost Sharing: In Kind: \$ 4,879 Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

28

Name of Agency: School for the Deaf and the Blind
Title of Project: Continuing Vocational Education for the Adult Blind and/or Deaf
Proposed Dates: Beginning 7-1-77 Ending 6-30-78
Date of Report: August 31, 1977 Prepared by: R. Archie Ellis
Federal Source: S. C. Appalachian Council of Governments
Control Number: 8-H75-001

I. Program

- a. Purpose: To integrate deaf and/or blind into their socio-economic world by providing them accessibility to existing programs and special new programs in the areas of job skills improvement, job up-grading, etc.
- b. Public Benefit: Through the above activities, the economic status and productivity of 1600 deaf and/or blind persons will be improved, thereby improving the community in which they reside.
- c. Performance as to Federal financing:
To be determined--demonstration project

II. Financial

- a. Total Amount: \$ 49,675
- b. Amount Federal: \$ 48,710 98.1% (%) Amount State: \$ 965 1.9% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 965
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 3,250
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ -0- Cash: \$ 965
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 2.5
- b. Number of Personnel shifted to federal funds as a result of new program or service: .5
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 25,100
- e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

29

Name of Agency: School for the Deaf and the Blind
Title of Project: Communication and Environment Adjustment Program
Proposed Dates: Beginning 7-7-77 Ending 7-6-78
Date of Report: August 31, 1977 Prepared by: R. Archie Ellis
Federal Source: Title I, ESEA--Educationally Deprived Children--State Administered
Control Number: 8-H75-002

I. Program

- a. Purpose: To provide supplementary basic educational programs for blind and/or deaf
- b. Public Benefit: 585 blind and/or deaf can hopefully achieve a greater degree of independence and can better socially adjust.
- c. Performance as to Federal financing: This is a continuation request and it seems that positive results have been achieved.

II. Financial

- a. Total Amount: \$ 324,221
- b. Amount Federal: \$ 324,221 100% (%) Amount State: \$ -0- (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 35,841
- e. Indirect Costs: \$ 9,347
- f. Cost Sharing: In Kind: \$ -0- Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ indefinite

III. Personnel

- a. Number of New Federally Funded Personnel: 11
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 39,716
- e. Temporary Positions: -0- Amount: \$ -0-

This is a continuation funding and 30½ federally funded positions will be re-funded at an amount of \$193,968, giving a total for fully federally funded positions of 41½ or a dollar total of \$233,684.

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

30

Name of Agency: S. C. School for the Deaf and the Blind
Title of Project: Vocational Education for the Handicapped
Proposed Dates: Beginning 8-25-77 Ending 5-24-78
Date of Report: September 1, 1977 Prepared by: R. Archie Ellis
Federal Source: Vocational Education--Basic Grants to States
State Department of Vocational Education
Control Number: 8-H75-003

I. Program

- a. Purpose: To prepare students to become gainfully employed in graphic communications, typewriters, and office machines.
- b. Public Benefit: 53 deaf and/or blind will be benefitted on a personal basis.
- c. Performance as to Federal financing: A continuation program--seems to have been quite successful in the past.

II. Financial

- a. Total Amount: \$ 25,877
- b. Amount Federal: \$ 25,877 100% (%) Amount State: \$ -0- (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 3,284
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ ----- Cash: \$ -----
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0-
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 21,983 *
- e. Temporary Positions: -0- Amount: \$ -0-

*To re-fund present personnel

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

31

Name of Agency: South Carolina Museum Commission
Title of Project: To Fund the Position of Museum Liaison Person
Proposed Dates: Beginning 2-1-77 Ending 1-31-78
Date of Report: August 31, 1977 Prepared by: R. Archie Ellis
Federal Source: National Endowment for the Arts
Control Number: 8-H95-001

I. Program

- a. Purpose: The State Museum Commission is charged with planning for the State Museum and to assist community museums.
- b. Public Benefit: This project will fund one person to design a program of assistance to those museums and disseminate information to them.
- c. Performance as to Federal financing: Yet to be determined.

II. Financial

- a. Total Amount: \$ 17,500
- b. Amount Federal: \$ 17,500 100%(%) Amount State: \$ -0- (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 1,500
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ -0- Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 1
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 12,500
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

32

Name of Agency: S. C. Museum Commission
Title of Project: Planning for a State Museum for South Carolina
Proposed Dates: Beginning 12-1-77 Ending 11-30-78
Date of Report: _____ Prepared by: R. Archie Ellis
Federal Source: National Endowment for the Humanities
Control Number: 8-H95-002

I. Program

- a. Purpose: To provide consultative assistance to the Commission in its planning efforts.
- b. Public Benefit: Increased awareness of the need of a museum for South Carolina and to gather information for public presentation.
- c. Performance as to Federal financing: To be determined.

II. Financial

- a. Total Amount: \$ 30,470
- b. Amount Federal: \$ 9,855 32% (%) Amount State: \$ 20,615 68% (%)
Amount Other: \$ ----- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 20,615
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 1,485
- e. Indirect Costs: \$ -----
- f. Cost Sharing: In Kind: \$ 5,885 Cash: \$ 13,700 (state money)
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -----

III. Personnel

- a. Number of New Federally Funded Personnel: 1/2 (secretary)
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 2,600
- e. Temporary Positions: 1/2 (clerical) Amount: \$ 2,600

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

34

Name of Agency: S. C. Museum Commission
Title of Project: Cooper River Fossil Materials Harvesting
Proposed Dates: Beginning 8-1-77 Ending 8-31-77
Date of Report: August 31, 1977 Prepared by: R. Archie Ellis
~~Excluded~~ Source: Amoco Chemical Corp.
Control Number: 8-H95-004

I. Program

- a. Purpose: To harvest fossil materials from a section of Cooper River prior to the area being dredged.
- b. Public Benefit: Little is now known about the amount of fossil material available beneath the waters of SC. With this project and others, a determination can be made and the fossil material becomes the property of the state.
- c. Performance as to Federal financing:
A private corporation (Amoco) made a donation to the State Museum Commission for this project.

II. Financial

- a. Total Amount: \$ 12,936
- b. Amount Federal: \$ ---- (%) Amount State: \$ ---- (%)
Amount Other: \$ 12,936 100% (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☐ No ☐
Total Amount: \$ n/a
- e. Indirect Costs: \$ 357
- f. Cost Sharing: In Kind: \$ --- Cash: \$ ---
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ ---

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

35

Name of Agency: Department of Health and Environmental Control
Title of Project: Public Water Supply Supervision Program Plan
Proposed Dates: Beginning 10/1/77 Ending 9/30/78
Date of Report: September 5, 1977 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Environmental Protection Agency
Control Number: 8-J04-001

I. Program

- a. Purpose: Administration of a Public Water Supply Supervision Program through plan review, construction inspection, operation and maintenance inspection, technical assistance, etc. The State has completed prerequisites for obtaining
b. Public Benefit: / primary enforcement authority under Safe Drinking Water Act.
Availability of safe drinking water.
c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 1,070,385
b. Amount Federal: \$ 342,300 (32) (%) Amount State: \$ 728,085 (68) (%)
Amount Other: \$ _____ (%)
c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 27,899
e. Indirect Costs: \$ 0
f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 0
b. Number of Personnel shifted to federal funds as a result of new program or service: 0
c. Number of new state funded positions resulting from this program: 0
d. Total amount for new positions: \$ 0
e. Temporary Positions: 0 Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

36

Name of Agency: Department of Health and Environmental Control
Title of Project: Statewide Air Pollution Control Program
Proposed Dates: Beginning 10/1/77 Ending 9/30/78
Date of Report: 9/5/77 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Environmental Protection Agency
Control Number: 8-J04-002

I. Program

- a. Purpose: Air Pollution Control Program to provide for the attainment and maintenance of both primary and secondary national air quality standards.
- b. Public Benefit: Clean air
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 1,285,029
- b. Amount Federal: \$ 559,735 (44) (%) Amount State: \$ 725,294 (56) (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 61,607
- e. Indirect Costs: \$ 0 Note: All cost budgeted.
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 2
- b. Number of Personnel shifted to federal funds as a result of new program
or service: _____
- c. Number of new state funded positions resulting from this program: _____
- d. Total amount for new positions: \$ 31,264
- e. Temporary Positions: _____ Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

37

Name of Agency: Department of Health and Environmental Control
Title of Project: South Carolina Section 106 Water Pollution Control Program
Proposed Dates: Beginning 10/1/77 Ending 9/30/78
Date of Report: 9/5/77 Prepared by: Elmer C. Whitten, Jr.
Federal Source: Environmental Protection Agency
Control Number: 8-J04-003

I. Program

- a. Purpose: A Program to control and abate water pollution in order to maintain and enhance the quality of the waters of South Carolina
- b. Public Benefit: Clean water
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 3,896,996
- b. Amount Federal: \$ 1,180,047 30% (%) Amount State: \$ 2,252,620 58% (%)
Amount Other: \$ 464,329 12% (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 102,336
- e. Indirect Costs: \$ 0
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 4
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 62,528
- e. Temporary Positions: 0 Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

38

Name of Agency: Department of Mental Health, Crafts-Farrow State Hospital
Title of Project: Communicative Rehabilitation for Aging Hearing Impaired
Proposed Dates: Beginning 7/1/78 Ending 6/30/81
Date of Report: 9/6/77 Prepared by: Elmer C. Whitten Jr.
Federal Source: Department of Health, Education, and Welfare
Control Number: 8-J12-001

I. Program

- a. Purpose: To develop a model which will provide information to trainees in audiological evaluation procedures and other implications for the geropsychiatric population.
b. Public Benefit: Increased communication with deaf residents, thereby improving service delivery.
c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 150,432
b. Amount Federal: \$ 150,432 (100) (%) Amount State: \$ _____ (%)
Amount Other: \$ _____ (%)
c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 10,368
e. Indirect Costs: \$ 26,518
f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ _____

III. Personnel

- a. Number of New Federally Funded Personnel: 6
b. Number of Personnel shifted to federal funds as a result of new program or service: _____
c. Number of new state funded positions resulting from this program: _____
d. Total amount for new positions: \$ 69,846
e. Temporary Positions: _____ Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

39

Name of Agency: Commission on Alcohol and Drug Abuse
Title of Project: Standardized Drug Abuse Profile Development Project
Proposed Dates: Beginning 10/1/77 Ending 9/30/78
Date of Report: 9/5/77 Prepared by: Elmer C. Whitten, Jr.
Federal Source: National Institute on Drug Abuse, HEW
Control Number: 8-J20-001

I. Program

- a. Purpose: The development and validation of useful standard measures in the form of a test battery profile.
- b. Public Benefit: Treatment success will have a standard definition and the basis for comparing treatment methods or programs will exist and accountability will become a meaningful pursuit.
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 171,273
- b. Amount Federal: \$ 160,773 (94) (%) Amount State: \$ _____ (%)
Amount Other: \$ 10,500 (6) (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 18,233
- e. Indirect Costs: \$ 0
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 7
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 99,750
- e. Temporary Positions: 2 Amount: \$ 7,500

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

40

Name of Agency: S. C. Land Resources Conservation Commission
Title of Project: Water Quality Management Plan for South Carolina
Proposed Dates: Beginning Jan. 25, 1977 Ending June 30, 1978
Date of Report: _____ Prepared by: R. Archie Ellis
Federal Source: EPA funds awarded to DHEC
Control Number: 8-P08-001

I. Program

- a. Purpose: Land Resources has been awarded a contract from DHEC to take the leadership role in preparation of the Water Quality Management Plan in the agricultural, mining, and construction activities.
- b. Public Benefit:
The entire state will benefit with a higher quality of water when the plan is implemented.
- c. Performance as to Federal financing:
To be determined

II. Financial

- a. Total Amount: \$ 100,000
- b. Amount Federal: \$ 100,000 100% (%) Amount State: \$ -0- (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☐ No ☐
Total Amount: \$ _____
- e. Indirect Costs: \$ _____
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0-
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 3,500
- e. Temporary Positions: 1 half-time Amount: \$ 3,500
clerical

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

41

Name of Agency: Land Resources Conservation Commission
Title of Project: Dam and Reservoir Safety
Proposed Dates: Beginning 7-1-77 Ending 6-30-78
Date of Report: August 30, 1977 Prepared by: R. Archie Ellis
Federal Source: Governor's Office--Manpower Division (CETA)
Control Number: 8-P08-002

I. Program

- a. Purpose: Conduct on-site inspection of existing dams and gather data that is pertinent to the stability of each dam
- b. Public Benefit: Potential hazards to life and property in the event of the failure of a dam will be identified
- c. Performance as to Federal financing: To be determined, but the methods of evaluation are good

II. Financial

- a. Total Amount: \$ 61,288
- b. Amount Federal: \$ 61,288 100% (%) Amount State: \$ -0- (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 3,428
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ -0- Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 4
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 36,980
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

42

Name of Agency: S. C. Land Resources Conservation Commission
Title of Project: Application of Satellite Data to Surface Mine Monitoring in SC
Proposed Dates: Beginning 10-1-77 Ending 5-30-78
Date of Report: August 26, 1977 Prepared by: R. Archie Ellis
Federal Source: U. S. Bureau of Mines
Control Number: 8-P08-003

I. Program

- a. Purpose: An examination and analysis of Landsat data in the field of surface mine monitoring
- b. Public Benefit: Monitoring surface mining and reclamation activities is vital to the protection of the environment in SC
- c. Performance as to Federal financing: To be determined

II. Financial

- a. Total Amount: \$ 13,143
- b. Amount Federal: \$ 12,000 91% (%) Amount State: \$ 1,143 9% (%)
Amount Other: \$ _____ (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☐ No ☐
Total Amount: \$ _____
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ 1,143 Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0-
- b. Number of Personnel shifted to federal funds as a result of new program or service: _____
- c. Number of new state funded positions resulting from this program: _____
- d. Total amount for new positions: \$ _____
- e. Temporary Positions: _____ Amount: \$ _____

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

43

Name of Agency: Wildlife and Marine Resources, Marine Resources Division
Title of Project: S. C. Fisheries Statistics Program
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 26, 1977 Prepared by: R. Archie Ellis
Federal Source: Department of Commerce
Control Number: 8-P24-003

I. Program

- a. Purpose: To collect catch, effort and economic data of SC commercial fisheries.
- b. Public Benefit: Compile, analyze and provide information for the project to appropriate users.
- c. Performance as to Federal financing: To be determined

II. Financial

- a. Total Amount: \$ 16,008
- b. Amount Federal: \$ 12,006 75% (%) Amount State: \$ 4,002 25% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 4,002
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 1,656
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 2
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 14,352
- e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

44

Name of Agency: Wildlife and Marine Resources Department--Marine Resources Division
Title of Project: Survey of Washed Shell Deposits in South Carolina
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: _____ Prepared by: R. Archie Ellis
Federal Source: Department of Commerce--NMFS
Control Number: 8-P24-004

I. Program

- a. Purpose: To conduct a survey of washed sheel deposits in coastal SC
- b. Public Benefit: S. C. will utilize this information for future shellfish management programs.
- c. Performance as to Federal financing: To be determined

II. Financial

- a. Total Amount: \$ 23,592
- b. Amount Federal: \$ 17,694 75% (%) Amount State: \$ 5,898 25% (%)
Amount Other: \$ ---- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 3,171 (16%)
- e. Indirect Costs: \$ -----
- f. Cost Sharing: In Kind: \$ ----- Cash: \$ -----
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 2
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 19,820 + 3,171 = \$22,991
- e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

45

Name of Agency: S. C. Wildlife and Marine Resources (Marine Resources Division)
Title of Project: Fishing Management Plans for Anadromous Fish in SC and Georgia
Proposed Dates: Beginning 9-1-77 Ending 10-30-78
Date of Report: August 24, 1977 Prepared by: R. Archie Ellis
Federal Source: National Marine Fisheries Services
Control Number: 8-P24-005

I. Program

- a. Purpose: Development of Fishery Management Plans for selected anadromous fishes in South Carolina and Georgia
- b. Public Benefit: The preparation of a technical report which will be disseminated to administrators, managers, and user groups to enable them to manage better the anadromous fisheries in SC and Georgia
- c. Performance as to Federal financing:
To be determined

II. Financial

- a. Total Amount: \$ 43,770.96
- b. Amount Federal: \$ 29,194.31 66.7 (%) Amount State: \$ 10,199.65 23.3 (%)
all but \$628.65 in kind
Amount Other: \$ 4,377.00 10% (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ _____
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 4,137.00
- e. Indirect Costs: \$ 7,912 (in kind)
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 9,965.00

III. Personnel

- a. Number of New Federally Funded Personnel: 3
- b. Number of Personnel shifted to federal funds as a result of new program or service: 1
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 22,401
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

46

Name of Agency: Wildlife and Marine Resources Department
Title of Project: Conduct MARMAP Resource Assessment Survey in the Waters of SC
and Adjacent States
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 26, 1977 Prepared by: R. Archie Ellis
Federal Source: U. S. Department of Commerce
Control Number: 8-P24-006

I. Program

- a. Purpose: This project has been funded each year since 1973. It monitors annual fluctuation in the ground fish stocks of the southeastern U.S.
- b. Public Benefit: The ultimate benefit will apparently be to alleviate adverse conditions that diminish ground fish stock
- c. Performance as to Federal financing: Evidently it has been satisfactory in the past since it has been funded and refunded since 1973.

II. Financial

- a. Total Amount: \$ 415,533
- b. Amount Federal: \$ 324,914 78% (%) Amount State: \$ 90,619 22% (%)
Amount Other: \$ ---- (%) in kind
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ -----
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 27,734
- e. Indirect Costs: \$ 58,726--to be used as in kind for state match
- f. Cost Sharing: In Kind: \$ 90,619 Cash: \$ ----
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0- Those already employed will be continued--no new hires
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ -0-
- e. Temporary Positions: -0- Amount: \$ -----

However, the personnel breakdown as it now exists:

a.	13 positions	\$143,792
d.	4 positions	5,600
	17 positions	\$149,392

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

47

Name of Agency: S. C. Wildlife and Marine Resources Division
Title of Project: Life History, Ecology, Culture and Management of the Atlantic Sturgeon
Proposed Dates: Beginning 10-1-77 Ending 9-30-78 (4 subsequent yrs)
Date of Report: August 29, 1977 Prepared by: R. Archie Ellis
Federal Source: US Fish and Wildlife Service
Control Number: 8-P24-007

I. Program

- a. Purpose: To study the life history, ecology and management of the Atlantic sturgeon
- b. Public Benefit: Due to the fact that the sturgeon are becoming extinct, this study will seek methods of artificially spawning and then releasing the fish
- c. Performance as to Federal financing: The methodology is quite complex, but it seems that proper controls are built in

II. Financial

- a. Total Amount: \$ 50,000
- b. Amount Federal: \$ 25,000 50% (%) Amount State: \$ 25,000 50% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 25,000
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 4,179
- e. Indirect Costs: \$ 8,672
- f. Cost Sharing: In Kind: \$ -0- Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 6,650

III. Personnel

- a. Number of New Federally Funded Personnel: 3
- b. Number of Personnel shifted to federal funds as a result of new program or service: 1
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 19,086
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

48

Name of Agency: Wildlife and Marine Resources
Title of Project: Delineation and Classification of Wetlands of Coastal SC on
Aerial Photographs
Proposed Dates: Beginning Sept. 1977 Ending February, 1978
Date of Report: August 26, 1977 Prepared by: R. Archie Ellis
Federal Source: US Fish and Wildlife Service, US Department of the Interior
Control Number: 8-P24-008

I. Program

- a. Purpose: To aerially map the wetlands of coastal SC
- b. Public Benefit: The habitat of the fish and the management thereof is of great importance to the people of SC
- c. Performance as to Federal financing: The methodology of evaluation looks good and is consistent with practices in federal projects

II. Financial

- a. Total Amount: \$ 19,942
- b. Amount Federal: \$ 17,750 90% (%) Amount State: \$ 2,192 10% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 2,192
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 1,072
- e. Indirect Costs: \$ 2,225
- f. Cost Sharing: In Kind: \$ 2,192 Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 1,661

III. Personnel

- a. Number of New Federally Funded Personnel: 1
- b. Number of Personnel shifted to federal funds as a result of new program or service: 1
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ 6,703
- e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

49

Name of Agency: Department of Parks, Recreation and Tourism
Title of Project: Jones Gap Additional Land
Proposed Dates: Beginning 10-1-77 Ending n/a
Date of Report: August 30, 1977 Prepared by: R. Archie Ellis
Federal Source: Bureau of Outdoor Recreation
Control Number: 8-P28-001

I. Program

- a. Purpose: For additional recreational facilities, through the acquisition of land
b. Public Benefit: Some 500,000 people will ultimately benefit as the proposed state park is developed
c. Performance as to Federal financing: Excellent

II. Financial

- a. Total Amount: \$ 671,400
b. Amount Federal: \$ 335,700 50% (%) Amount State: \$ -0- (%)
Amount Other: \$ 335,700 50% (%)
c. Funds available to match program: Yes ☒ No ☐ Not state--a donation Amount: \$ _____
d. Fringe Benefits Included: Yes ☐ No ☒
Total Amount: \$ _____
e. Indirect Costs: \$ -0-
f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 0
b. Number of Personnel shifted to federal funds as a result of new program or service: 0
c. Number of new state funded positions resulting from this program: 0
d. Total amount for new positions: \$ 0
e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

50

Name of Agency: Parks, Recreation and Tourism
Title of Project: Public Service Employment--Job Training
Proposed Dates: Beginning 1-1-77 Ending 9-30-77
Date of Report: September 1, 1977 Prepared by: R. Archie Ellis
Federal Source: Governor's Office of Manpower Planning and Coordination
Control Number: 8-P28-002

I. Program

- a. Purpose: To train underemployed to become Park Rangers
b. Public Benefit: Enables PRT to have a pool of experienced people from which to draw for vacancies
c. Performance as to Federal financing: No experience

II. Financial

- a. Total Amount: \$ 40,606
b. Amount Federal: \$ 40,203 99% (%) Amount State: \$ 403 1% (%)
Amount Other: \$ -- (%)
c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 403
d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 5,774
e. Indirect Costs: \$ 403
f. Cost Sharing: In Kind: \$ 403 Cash: \$ -0-
g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 6
b. Number of Personnel shifted to federal funds as a result of new program or service: 0
c. Number of new state funded positions resulting from this program: 0
d. Total amount for new positions: \$ 40,203
e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

51

Name of Agency: Patriots Point Development Authority
Title of Project: Study of Land Use for Construction of Hotel, Motel, Marina and
Recreational Vehicle Park
Proposed Dates: Beginning 9-77 Ending 11-77
Date of Report: September 1, 1977 Prepared by: R. Archie Ellis
Federal Source: EDA
Control Number: 8-P36-001

I. Program

- a. Purpose: To perform an updated study of a market and feasibility study with specific reference to convention hotel facilities at Patriots Point.
- b. Public Benefit: As the tourist attractions of this area increase, it will be an economic benefit to the entire state.
- c. Performance as to Federal financing: To be determined.

II. Financial

- a. Total Amount: \$ 2,500
- b. Amount Federal: \$ 2,500 100(%) Amount State: \$ 0 (%)
Amount Other: \$ 0 (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☐ No ☐
Total Amount: \$ n/a
- e. Indirect Costs: \$ n/a
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____ n/a
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ n/a

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

52

Name of Agency: Patriots Point Development Authority
Title of Project: Land Use Study for Implementation of Construction of Convention Type Hotel, etc.
Proposed Dates: Beginning 9-77 Ending 11-77
Date of Report: September 1, 1977 Prepared by: R. Archie Ellis
Federal Source: Coastal Plains Regional Commission
Control Number: 8-P36-002

I. Program

- a. Purpose: To perform an updated study for the development of a land use plan with specific reference to convention type hotel facilities at Patriots Point
- b. Public Benefit: The entire state will benefit from the economic impact when the implementation of the contemplated study of the land use plan for the location of a convention type hotel is accomplished at Patriots Point.
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 10,000
- b. Amount Federal: \$ 10,000 100(%) Amount State: \$ -0- (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ n/a
- d. Fringe Benefits Included: Yes ☐ No ☐
Total Amount: \$ n/a
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ Cash: \$ n/a
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: -0-
- b. Number of Personnel shifted to federal funds as a result of new program or service: -0-
- c. Number of new state funded positions resulting from this program: -0-
- d. Total amount for new positions: \$ -0-
- e. Temporary Positions: -0- Amount: \$ -0-

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

53

Name of Agency: Clark Hill Authority
Title of Project: Study of Potential Markets for Clark Hill Area
Proposed Dates: Beginning 4-20-77 Ending 3-19-78
Date of Report: August 31, 1977 Prepared by: R. Archie Ellis
Federal Source: Coastal Plains Regional Council
Control Number: 8-P40-001

I. Program

- a. Purpose: To study the type development that will meet the needs of the Clark Hill Area--the leisure and industrial markets
- b. Public Benefit: The direct benefit will be to those living in that area--the employees, employers, and developers
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 20,000
- b. Amount Federal: \$ 10,000 50% (%) Amount State: \$ 10,000 50% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 10,000
- d. Fringe Benefits Included: Yes ☐ No ☐ n/a
Total Amount: \$ _____
- e. Indirect Costs: \$ n/a
- f. Cost Sharing: In Kind: \$ _____ Cash: \$ _____
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 0

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

54

Name of Agency: Employment Security Commission
Title of Project: Work Incentive Program
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 29, 1977 Prepared by: R. Archie Ellis
Federal Source: U.S. Department of Labor
Control Number: 8-R60-001

I. Program

- a. Purpose: To provide training and employment for AFDC recipients
- b. Public Benefit: This is a program mandated by law to be administered jointly by Employment Security Commission and Department of Social Services
- c. Performance as to Federal financing: The past performance has met federal standards

II. Financial

- a. Total Amount: \$ 1,769,224
- b. Amount Federal: \$ 1,592,302 90% (%) Amount State: \$ 176,922 10% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 176,922
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 127,568
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ 69,345 Cash: \$ 107,577
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ No figure can be projected, but the purpose is to get people on public assistance into full employment, thus freeing them from the necessity of public assistance

III. Personnel

- a. Number of New Federally Funded Personnel: 0
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 0
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

55

Name of Agency: S.C. Aeronautics Commission
Title of Project: Development of State Standards for Development at General Aviation Airports
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: August 29, 1977 Prepared by: R. Archie Ellis
Federal Source: Federal Aviation Administration
Control Number: 8-U04-001

I. Program

- a. Purpose: The development of state standards for airport development at general aviation airports
- b. Public Benefit: In reality, all who use the services of an airport, be it passenger or family, will benefit. If this project is completed, it would replace FAA standards for airport development
- c. Performance as to Federal financing: The ultimate performance is yet to be seen, but evaluation methods are practical and good

II. Financial

- a. Total Amount: \$ 26,667
- b. Amount Federal: \$ 20,000 75% (%) Amount State: \$ 6,667 25% (%)
Amount Other: \$ -0- (%)
- c. Funds available to match program: Yes ☒ No ☐ Amount: \$ 6,667
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 3,829
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ -0- Cash: \$
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ 8,040

III. Personnel

- a. Number of New Federally Funded Personnel: 2
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 23,040 (one year)
- e. Temporary Positions: 0 Amount: \$ 0

STATE AUDITOR'S OFFICE
GRANTS AND CONTRACTS REVIEW SUMMARY SHEET

56

Name of Agency: S. C. Aeronautics Commission
Title of Project: New Clerical Position
Proposed Dates: Beginning 10-1-77 Ending 9-30-78
Date of Report: September 1, 1977 Prepared by: R. Archie Ellis
Federal Source: S. C. Manpower Planning and Coordination (CETA)
Control Number: 8-U04-002

I. Program

- a. Purpose: To fund a new clerical position for the Aeronautics Commission under CETA
- b. Public Benefit: The public will have benefit through better service to the commission
- c. Performance as to Federal financing:

II. Financial

- a. Total Amount: \$ 6,678.48
- b. Amount Federal: \$ 6,678.48 100%(%) Amount State: \$ --- (%)
Amount Other: \$ --- (%)
- c. Funds available to match program: Yes ☐ No ☐ Amount: \$ ---
- d. Fringe Benefits Included: Yes ☒ No ☐
Total Amount: \$ 678.48
- e. Indirect Costs: \$ -0-
- f. Cost Sharing: In Kind: \$ -0- Cash: \$ -0-
- g. Amount of State dollars freed up as a result of receipt of Federal dollars:
\$ -0-

III. Personnel

- a. Number of New Federally Funded Personnel: 1
- b. Number of Personnel shifted to federal funds as a result of new program or service: 0
- c. Number of new state funded positions resulting from this program: 0
- d. Total amount for new positions: \$ 6,678.48
- e. Temporary Positions: 0 Amount: \$ 0

EXHIBIT XII

9/14/77

August 22, 1977

MEMORANDUM

TO: William T. Putnam
State Auditor

FROM: E. A. Laurent

SUBJECT: Projected rate of inflation for use in adjusting base student cost figures.

The South Carolina Education Finance Act of 1977 directed the Division to submit to the Legislature an estimate of the projected rate of inflation for the fiscal year to be budgeted. Unfortunately, none of the regularly published, aggregate inflation measures are appropriate for this purpose. As such, we developed the following methodology:

Inflation measures for each of the three components of education expenditures--compensation, purchase of goods and services, and structures--were used in proportion to their weights in the total education expenditures, as given in the Annual Report of the State Superintendent of Education.

The inflation measures of the three components were:

Compensation: A forecast of the SCOPE Econometric Model based on classroom teachers' salaries and related to national movements at similar wage and salary levels.

Purchases of goods and services: A forecast of the implicit price deflator for purchases of goods and services of the State and local governments' component of Gross National Product.

Structures: A forecast of the implicit price deflator for structures of the State and local governments' component of Gross National Product.

The three measures are added to give an estimate of the inflation rate.

On this basis, the rate of inflation for FY 1978 is estimated to be 5.57%. For FY 1979, the rate of inflation is estimated to fall between 5.4% and

2-

Mr. William T. Putnam

August 22, 1977

5.9%. We can finalize this 1979 estimate into a single figure upon request.

I do not know what inflation rate is being used in FY 1979 budget preparations at present. However, it is likely to be substantially higher than these estimates, as it would have to be an aggregate measure and the forecasts of inflation have been lowered from those made six months ago.

If you have any comments or questions concerning this procedure, please let me know.

EAL:rd

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14 , 1977

AGENDA ITEM NUMBER

EXHIBIT XIII
9/14/77
9

Agency: State College Board of Trustees (College of Charleston)

Subject: Resolution approving Issuance of Bond Anticipation Notes

The Budget and Control Board, on March 17, 1977, adopted a Resolution approving the issuance of \$1,000,000 Student and Faculty Housing Revenue Bonds and \$3,300,000 Facility Improvement Bonds by the State College Board of Trustees for the College of Charleston.

The College now requests Budget and Control Board approval of the issuance of (1) \$750,000 Bond Anticipation Notes in anticipation of the issuance of \$1,000,000 Student and Faculty Housing Revenue Bonds; and (2) \$500,000 Bond Anticipation Notes in anticipation of the issuance of \$3,300,000 Facility Improvement Bonds.

Board Action Requested:

Adopt Resolution authorizing the State College Board of Trustees to issue the referenced Bond Anticipation Notes on behalf of the College of Charleston.

Staff Comment:

Attachments:

ORIGINAL

A RESOLUTION

APPROVING THE ISSUANCE BY THE STATE COLLEGE BOARD OF TRUSTEES OF CERTAIN BOND ANTICIPATION NOTES.

Be it duly resolved by the State Budget and Control Board in meeting duly assembled as follows:

That approval is hereby given for the issuance by the State College Board of Trustees of (a) not exceeding Seven Hundred Fifty Thousand Dollars (\$750,000) Bond Anticipation Notes in anticipation of the issuance of \$1,000,000 Student and Faculty Housing Revenue Bonds, Series D, of the College of Charleston; and (b) not exceeding Five Hundred Thousand (\$500,000) Bond Anticipation Notes in anticipation of the issuance of Three Million Three Hundred Thousand Dollars (\$3,300,000) Facility Improvement Bonds of the College of Charleston; the issuance of the said Bonds having been heretofore approved by the State Budget and Control Board by Resolution adopted on March 17, 1977.

GUÉRARD AND APPLGATE

ATTORNEYS AND COUNSELLORS AT LAW

125 CHURCH STREET

CHARLESTON, SOUTH CAROLINA 29401

TELEPHONE (803) 722-7606

Theodore B. Guérard

W. E. Applegate, III

John S. Whaley, Jr.

August 12, 1977

P. O. Box 1119

Zip Code 29402

William T. Putnam, Esq.
State Auditor
Post Office Box 11333
Columbia, South Carolina 29211

Dear Bill:

Re: Bond Anticipation Borrowing for the
College of Charleston

At its meeting on March 17 the Budget and Control Board approved the issuance of the following bonds by the State College Board of Trustees.

One Million Dollars (\$1,000,000)
Student and Facilities Housing
Revenue Bonds, Series D of The
College of Charleston

Three Million Three Hundred Thousand
Dollars (\$3,300,000) Facilities
Improvement Bonds for the College
of Charleston

I believe that once the bonds are approved, no further approval is needed for borrowing in anticipation of the issuance of such bonds, but the statute is not entirely clear on this point and hence I am writing to ask State Board approval of the following Bond Anticipation Notes which the State College Board of Trustees proposes to offer in the near future:

Seven Hundred Fifty Thousand (\$750,000)
Bond Anticipation Notes in anticipation
of the issuance of One Million Dollars
(\$1,000,000) Student and Faculty Housing
Revenue Bonds, Series D, of the College
of Charleston.

Five Hundred Thousand (\$500,000) Bond
Anticipation Notes in anticipation of
the issuance of Three Million Three
Hundred Thousand Dollars (\$3,300,000) Facility
Improvement Bonds of the College of
Charleston.

William T. Putnam, Esq.

Page 2
August 12, 1977

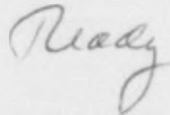
I enclose a suggested resolution for consideration by the Budget and Control Board and ask that you return eight (8) certified copies to me when it has been adopted.

We expect to offer these notes on Wednesday, August 24th and hope that the required approval can be obtained prior to that time.

The proceeds to be derived from the Bond Anticipation Notes are now needed to pay costs which have been incurred on these two projects.

Thanking you for your assistance and with kind, personal regards, I remain,

Sincerely yours,



TBG:jl
Enclosure

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14, 1977

AGENDA ITEM NUMBER

EXHIBIT XIV

9/14/77

11

Agency: Division of Motor Vehicle Management

Subject: Proposed MVMM Chapter 15 (disposal of State-Owned Motor Vehicles)

DMVM Director Allan Spence reports that this proposed Manual chapter has been reviewed by the Division of General Services, the Department of Highways and Public Transportation, and the Department of Education and that their comments have been included in the proposed Chapter. The proposed Chapter combines existing regulations and policies into a single document.

Board Action Requested:

Approve the proposed MVMM Chapter 15 - Disposal of State-Owned Motor Vehicles, as recommended by Mr. Spence.

Staff Comment:

Attachments:

Enclosure 1, Proposed Chapter 15, MVMM

CHAPTER 15 - DISPOSAL OF STATE-OWNED MOTOR VEHICLES

15-1. BACKGROUND.

a. Disposal of property is a very important function in a cost-effective replacement program. This is especially true with respect to the timely disposal of state-owned motor vehicles. If disposal takes place too early, the high, residual front-end cost of the unit will still be present. Conversely, if disposal takes place too late, the total cost to operate and maintain a particular unit will be excessive.

b. The following procedures are designed to take optimum advantage of selective disposal techniques that will ensure the maximum amount of public funds are returned to the State from the sale of such property.

15-2. AUTHORITY.

a. The sale of all state-owned motor vehicles that qualify for disposal or units declared to be excess to needs, shall be conducted as directed by the Division of General Services (DGS) for the State Budget and Control Board (SB&CB), unless otherwise provided for by law. This basic authority is contained in the Code of Laws of South Carolina (1962) as amended, specifically sections 1-357.5, 1-793, 1-795, sections 31 and 117 of the State Appropriations Bill and policy guidance as provided by the SB&CB. (Please refer to Appendix K.)

b. The herein described sales shall be held at such places and in such manner as are deemed to be, in the judgement of the DGS, most advantageous to the State. Unless otherwise deemed advisable, as circumstances may determine, sales shall be conducted at public auction to the highest bidder. Other acceptable methods of vehicle disposal will be discussed later in this chapter.

15-3. DISPOSAL CRITERIA.

a. Normally, all passenger cars, except sub-compact units and small utility vehicles should be retained for a minimum of four (4) years and/or 60,000 miles. However, the deciding factors shall be the overall condition of the unit and the needs of the State.

b. Since desired disposal age and/or mileage will vary widely over the State inventory, Appendix L contains a listing, by vehicle type, with the recommended disposal age and/or mileage. Unit types, not covered in the Appendix, should be referred to the office of DMVM for determination of proper disposal age and/or mileage.

c. In addition to the unit age and mileage factor to be considered regarding disposal, careful consideration should be given before major repairs are made to units approaching the disposal criteria. Also contained in Appendix L is a guide to be used to determine if the unit should be repaired or offered for sale as is.

15-4. DISPOSAL PROCEDURES.

a. When a state-owned motor vehicle has reached or exceeded the recommended disposal criteria and/or is excess to the needs of the owning agency, section II of DMVM Form 6-77 shall be prepared in four (4) copies and forwarded to the State Budget and Control Board, Division of Motor Vehicle Management, P. O. Box 633, Columbia, South Carolina, 29202. Exempt from this requirement are certain motor vehicles on the property accounts of the S. C. Department of Education (SCDE) and the S. C. Highway Department (SCHD). Administrative motor vehicles belonging to SCDE are not exempt and shall be subject to these instructions. Specific disposal instructions concerning SCDE and SCHD units that are exempt and have been covered in other policy manuals and shall not be discussed in this manual. Please refer to Appendix L for additional information.

b. Upon receipt of the request mentioned above, the Director of DMVM shall cause a determination to be made as to the condition of the unit and whether the unit is excess to the needs of the State. If disposal or transfer is recommended, the request shall be approved and returned to the owning agency. A completed copy of this form shall be sent by DMVM to the DGS, Attention: Surplus Property Officer.

c. Upon receipt of completed DMVM Form 6-77, the owning agency should contact the DGS, Surplus Property Officer (SPO), Boston Avenue, West Columbia,

South Carolina, 29169, telephone 758-2626 to obtain information as to the method of disposal and date of the next sale.

d. The owning agency must follow up the initial contact with written correspondence giving a complete description of the unit or units to be offered for sale. Appendix M, Vehicle Condition Report shall be completed and forwarded to the SPO at this time. Data should also include the minimum acceptable price of the unit which will be reviewed and accepted or rejected by the SPO. If no price is given, the SPO will set a price on the unit to be offered. A representative from the owning agency is encouraged to be present at the sale to confirm or reject the bid as appropriate.

e. The Title and Mileage Disclosure Statement shall be mailed to the successful bidder immediately upon receiving notification from the SPO and DGS that the unit has been sold. (Please refer to Appendix N.)

f. Proceeds from sales, less sales expense, will be returned to the owning agency except in cases where the units were sold as surplus. In case of a surplus sale, proceeds shall be placed in the Sinking Fund of the State. Please refer to Appendix K regarding this policy.

15-5. TYPES OF DISPOSAL.

a. Public Auction. This method of disposal is the preferred method of sale. Public auction offers the units to the highest bidder qualified to make such purchases.

b. Sealed Bid. This type of sale is primarily used when the unit does not lend itself to movement and/or cannot be moved due to mechanical condition. Certain agencies may use this method as the preferred method if approved by law and/or the SB&CB.

c. Negotiated Sale. This type of sale is normally conducted when a vehicle has been offered for sale at a price not met by the high bidder or in special cases where price negotiations would serve the best interest of the State. Included

in this type of sale would be sales to political sub-divisions, eleemosynary agencies and trade-ins. Also included would be sales to individuals if approved by the SB&CB.

d. Salvage or Scrap Sale. This sale is normally conducted on units that have been wrecked or the value of the unit has been reduced to scrap value. Please refer to paragraph 15-6.b. below, regarding motor vehicle inspection criteria prior to sale.

e. Transfer to Another State Agency. This type of disposal is normally used when a unit is in excess to the needs of an agency but can be re-assigned within the state fleet. It is noted that funds received from the sale of any unit that has been declared surplus, less the sales expense, shall be deposited in the Sinking Fund of the State rather than being returned to the owning agency. No inter-agency transfer of units shall be accomplished without the approval of the SB&CB, DMVM.

15-6. VEHICLE PREPARATION PRIOR TO DISPOSAL.

a. Vehicle preparation prior to sale is one of the main factors in determining the amount of money that will be received from the sale of a vehicle. Cannibalization, removal or exchange of vehicle components, prior to sale is not authorized unless written approval is obtained from the SB&CB, DMVM. This paragraph does not pertain to certain motor vehicles on the property accounts of SCDE and SCHD as indicated in paragraph 5-4.1. previously.

b. It should be stressed that no motor vehicle shall be sold in South Carolina for continued operation without having a valid South Carolina inspection stamp affixed before delivery to the buyer. Consequently, any unit that does not have such valid inspection must be sold as salvage or scrap. Please refer to Appendix K, applicable portion regarding this requirement.

c. Contained below is a recommended check list that should be completed by

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Please refer to paragraph 15-6.b. below, regarding motor vehicle inspection criteria prior to sale.

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c. Contained below is a recommended check list that should be completed by

the owning agency for the proper preparation of the vehicle prior to sale.

Certain portions of this list obviously do not pertain to units such as trailers or certain specialized equipment. In those cases, all functioning parts should be cleaned and properly serviced according to manufacturers specifications.

- (1) Clean vehicle inside and out.
- (2) Make sure there is a spare tire, lug wrench and jack in the vehicle if purchased with the vehicle.
- (3) Service vehicle to include oil, water, battery and 1/4 tank of gasoline if applicable.
- (4) Check and properly inflate all tires. Tread depth should be at least 2/32 of an inch.
- (5) Remove all State seals, decals, license plates and other state related identification. (See chapter 11, paragraph 11-7, regarding removal instructions.)
- (6) Make sure the vehicle has a current state safety inspection sticker. Paragraph 15-6.b. also applies.
- (7) Replace missing parts and/or repair normal damage if recommended. Refer to Appendix L, paragraph B to determine the "one time" repair criteria.
- (8) Winterize vehicle during cold weather by ensuring proper anti-freeze level.

15-7. MILEAGE DISCLOSURE STATEMENT.

a. Title IV of the Federal Motor Vehicle Information and Cost Saving Act makes it unlawful to tamper with an odometer for the purpose of concealing the actual mileage traveled by a vehicle.

b. The above regulation requires each person transferring ownership of a motor vehicle to furnish a written, signed statement containing the below information:

- (1) The odometer reading at time of transfer.
- (2) The date of the transfer.

(3) Current address of person making sale or transfer.

(4) The identity of the vehicle including make, model, vehicle identification number (VIN), body type and last license plate number.

c. Additionally, if the person selling or transferring the vehicle knows that the odometer reading differs from the number of miles the vehicle has actually traveled, he must include a statement that the mileage is unknown.

15-8. REPORTS REQUIRED.

a. Division of General Services and State Highway Department shall provide to DMVM, a listing of all motor vehicles sold. This listing shall be forwarded within 10 days after the sale and contain, as a minimum, the below listed information:

- (a) Vehicle type
- (b) Vehicle serial number
- (c) Purchaser
- (d) Amount vehicle sold for

Sale of Surplus Personal State Property
and Trade-In Sales

Applicable Statutes: Code of Laws of South Carolina (1962), as amended.

Board may sell Surplus Personal Property: 1-357.5 - "The Budget and Control Board may sell any surplus personal property of the State under such terms and conditions as may be most advantageous to the State."

Board shall sell Personal property not in use: 1-793 - "Sales of property not in actual public use; exception -- The State Budget and Control Board shall sell and convey, for and on behalf of the State, all such real or personal property, assets and effects belonging to the State as are not in actual public use, such sales to be made from time to time in such manner and upon such terms as it may deem most advantageous to the State. This shall not be construed to authorize the sale by the Board of any property held in trust for a specific purpose by the State or the property of the State in the phosphate rocks or phosphatic deposits in the beds of the navigable streams and waters and marshes of the State."

Disposition of Funds to Sinking Fund: 1-795 - "How purchase price payable; disposition -- The purchase money of lands or other property sold under the authority of Section 1-793 shall be paid in the following kinds of funds, and no other, to wit: Gold and silver coin and United States currency. The proceeds of all such sales shall be set aside and awarded to the Sinking Fund of the State."

Sale of Surplus Property: State Appropriation Bill, Section 31, applicable portions.

State Department of Education disposal of Personal State Property: Provided, Further, that the State Board of Education is hereby authorized to sell used school buses that may be determined no longer safe or economical in transporting school children, and the proceeds of such sales may be expended for such additional equipment or the operation thereof.

Sale of Surplus Property: State Appropriation Bill, Section 117, applicable portions.

State Highway Department disposal of Personal State Property: Provided, Further, That the Highway Department may sell any materials, supplies, or equipment classified as obsolete, surplus, or junk for which the Department has no further need, or offer same for trade-in in the purchase of new materials or equipment. All such sales of obsolete, surplus or junk materials or equipment by the Department shall be to the highest bidder not less than 10 days after having been advertised in a newspaper of statewide circulation at least once. Provided, That items having a value of less than \$100.00 may be disposed of by sale in the most advantageous way to the Department, and provided, further, that the State Highway Department may make negotiated sales of surplus materials, equipment and supplies to county, state, and municipal agencies on a mutually agreed upon basis. All proceeds from the sale of such obsolete, surplus or junk material, supplies, and equipment shall be credited to the State Highway Fund.

Inspection of Motor vehicles for sale: Act No. 305, Section 1.(f) - "No motor vehicle shall be sold in South Carolina without having a valid South Carolina inspection stamp affixed before delivery to the buyer."

A.

DISPOSAL CRITERIA

<u>Vehicle Type</u>	<u>Vehicle Description</u>	<u>Age (yrs)</u>		<u>Mileage</u>
		<u>Minimum</u>	<u>Maximum</u>	
1	Subcompact sedans/station wagons	3	4	50,000
2	Compact sedans/station wagons and above	4	5	60,000
3	Trucks, below 10,500 GVW/3/4 ton	4	5	60,000
4	Vans, passenger/cargo	5	6	80,000
5	Trucks, over 10,500 GVW	6	7	70,000
6	Bus, other than school	8	9	80,000
7	Trailers/semitrailers	15	N/A	N/A
8	Trucks, tractors	12	15	80,000
9	Motorcycle	4	5	25,000
10	Scooter, 3 wheel	3	4	12,000
11				
12				

B.

REPAIR CRITERIA

<u>Vehicle Type</u>	<u>Age in Years</u>									
	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>	<u>9</u>	<u>10</u>
1	80	50	30	5						
2	80	65	50	30	10	5				
3	80	65	50	30	10	5				
4	80	65	50	30	20	10	5			
5	80	70	60	30	25	15	10	5		
6	80	70	60	30	25	15	10	5		
7	80	75	70	65	60	55	50	45	40	35
8	80	75	70	65	60	55	50	45	40	35
9	60	40	20	10	5					
10	50	40	20	5						
11										
12										

% of current wholesale value of vehicles that could be spent on major, one-time repairs.

"Vehicle type" code used herein is for brevity and should not be confused with other vehicle codes in this manual.

As indicated in paragraph 5-4.a., certain units on the property accounts of SCDE and SCHD are exempt from this specific disposal criteria insofar as instructions are published in other policy manuals. However, all administrative motor vehicles on the property account of SCDE will require the approval as outlined in this chapter prior to disposal. In cases where several vehicles are available for disposal only one (1) set of DMVM Forms will need to be filled out as long as information required is furnished on additional sheets.

VEHICLE CONDITION REPORT

This report is to be attached to transmittal letter when the State Agency for Surplus Property is responsible for establishing sale price of vehicle.

Name of State Agency _____ Date _____

Department _____

Make Body Style Model Year

Description of Vehicle _____

Mileage _____ Vehicle Serial No. _____

Expiration Date of Inspection Sticker _____

Check All Missing Parts:

Radiator _____ Water Pump _____ Fan Belt _____ Hose _____

Battery _____ Generator _____ Carburetor _____ Starter _____

Regulator _____ Distributor _____ Transmission _____ Radio _____

Spare Tire & Wheel _____ Bumper Jack _____

Condition of: Tires _____ Left Front _____ Right Front _____

Left Front _____ Right Rear _____ Wrecked?: Yes _____ No _____

If Yes, Estimate Damage _____

Extra Clean Good Fair Poor

Body Condition: _____

Excellent Good Fair Poor

Engine Condition: _____

Transmission Cond: _____

Remarks:

Signature of Dept. Head _____

Disclosure Form

ODOMETER MILEAGE STATEMENT

(Federal regulations require you to state the odometer mileage upon transfer of ownership.)

I, _____, state that the odometer mileage indicated on the vehicle is _____ miles, and is correct to the best of my knowledge.

Check the following statement, if applicable

☐

I further state that the actual mileage differs from the odometer reading for readings other than odometer calibration error and that the actual mileage is unknown.

MAKE	BODY TYPE	YEAR	MODEL
VEHICLE IDENTIFICATION NUMBER		LAST LICENSE PLATE NUMBER	

Agency or Institution (Transferor) _____
Transferor's Address _____
Authorized Transferor's Signature _____
Date of this Statement _____
Date of Sale (Auction) _____

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14, 1977

AGENDA ITEM NUMBER

12

Agency: Division of Motor Vehicle Management

Subject: Proposed MVMM Chapter 18 (Reimbursement for use of Privately Owned Vehicles).

DMVM Director Spence reports that this proposed Manual Chapter has been reviewed by various agencies and that their comments have been included in the draft. The proposed chapter combines existing regulations and policies into one document.

Board Action Requested:

Approve the proposed MVMM Chapter 18 - Reimbursement For Use of Privately Owned Vehicles, as recommended by Mr. Spence.

Staff Comment:

Attachments:

Enclosures 2 and 3, Proposed Chapter 18, MVMM.

CHAPTER 18 - REIMBURSEMENT FOR USE OF PRIVATELY OWNED VEHICLES

18-1. POLICY DEFINED.

- a. When an employee of the State shall use his or her personal automobile in traveling on necessary official business, a charge of fourteen (14) cents per mile will be allowed for the use of such automobile, and the employee shall bear the expense of supplies and upkeep thereof.
- b. When such travel is by a state-owned automobile, the State shall bear the expense of supplies and upkeep thereof, but no mileage will be allowed.
- c. When traveling on the business of the State, employees are required to use the most economical mode of transportation, due consideration being given to urgency, schedules and like factors.

18-2. OTHER EXPENSES ALLOWED.

- a. All employees of the State or any agency thereof, while traveling on the business of the State, shall be allowed reimbursement for actual subsistence expenses incurred, to the maximum amount allowed by law.
- b. For the sake of brevity and to avoid duplication, current rates and regulations regarding reimbursement are published annually in the General Appropriations Act and will not be reiterated in this chapter.

General Assembly whose membership consists solely of members of the General Assembly or members of the General Assembly and other personnel who are not employees of the State of South Carolina shall be allowed subsistence expenses of \$35.00 per day.

Whenever officials or employees of the State are required, in the performance of their official duties, to travel to urban areas of two hundred fifty thousand (250,000) or greater population, such official or employee shall be allowed reimbursement for actual expenses incurred not to exceed \$35.00 per day. Except that auditors, investigators and law enforcement officers who travel to urban areas of two hundred fifty thousand (250,000), or greater, population for the specific purpose of conducting an audit, investigation, or the return of prisoners, shall be allowed reimbursement for the actual cost of lodging and reimbursement for the cost of meals not to exceed \$15.00 per day.

Provided, Further, That a State agency may advance travel and subsistence expense monies to employees of that agency for the financing of ordinary and necessary travel required in the conducting of the business of the agency. The Budget and Control Board is directed to develop and publish rules and regulations pertaining to the advancing of travel expenses and no State agency shall make such advances except under the rules and regulations as published.

No expense shall be allowed an employee either at his place of residence or at the official headquarters of the agency by which he is employed, except that the members of the Public Service Commission and the Employment Security Commission may be reimbursed at the regular mileage rate for one round trip each week from their respective homes to Columbia and each member of the Employment Security Commission and each member of the Public Service Commission, regardless of the district he represents, may receive the same subsistence allowance as provided for members of the General Assembly for not exceeding five days in any week while in Columbia on official business.

When an employee is assigned to work a particular territory or district, and such territory or district and his official headquarters are in different counties or sections of the State, expenses may be allowed for necessary travel to his official headquarters.

Members of the State Boards, Commissions or Committees, whose duties are not full time, and who are paid on a per diem basis, shall be allowed reimbursement for actual expenses incurred at the general daily rates provided for in this section while away from their places of residence on official business of the State.

Each judge and clerk of each judge while holding Court within the county in which he resides, and each Justice of the

shall be allowed subsistence expenses in the amount of \$35.00 per day plus such mileage allowance for travel as is provided for other employees of the State. The Chief Justice, or such other person as he designates, while attending the Conference of Chief Justices and one member of the Supreme Court while attending the National Convention of Appellate Court Judges, and three Circuit Judges while attending the National Convention of State Trial Judges shall be allowed actual subsistence and travel expenses.

When an employee of the State shall use his or her personal automobile in traveling on necessary official business, a charge of fourteen cents per mile will be allowed for the use of such automobile, and the employee shall bear the expense of supplies and upkeep thereof. When such travel is by a State-owned automobile, the State shall bear the expense of supplies and upkeep thereof, but no mileage will be allowed. *Provided,* That in traveling on the business of the State, employees are required to use the most economical mode of transportation, due consideration being given to urgency, schedules, and like factors.

No State-owned automobile shall be acquired by any agency of the State without prior approval of the State Budget and Control Board.

The State Budget and Control Board is authorized to promulgate and publish regulations governing the application of the above-provided rates of travel of State employees.

Sec. 132. That the per diem allowance of all boards, commissions and committees shall be at the rate of Thirty-five (\$35.00) Dollars per day. *Provided,* That no full-time officer or employee of the State shall draw any per diem allowance for service on such boards, commissions or committees.

Sec. 133. In addition to the powers and duties devolved upon the Budget and Control Board by the 1962 Code of Laws of this State, the said Board is hereby given full power and authority to make surveys, studies, and examinations of departments, institutions, and agencies of this State, as well as its problems, so as to determine whether there may be an overlapping in the performance of the duties of the several departments, institutions, and agencies of the State, that proper administrative and organizational economy is being observed, and for the purpose of determining whether a proper system of accounting is maintained in such departments, institutions, commissions, and agencies, and to require and enforce the adoption of such policies as are deemed necessary to accomplish these purposes; and to survey, appraise, examine and inspect, and determine the true conditions of all property of the State, and what may be necessary to protect it against fire hazard or deterioration, and to conserve its use for State purposes, and to make and issue and to

Encl. 131

STATE BUDGET AND CONTROL BOARD

MEETING OF September 14, 1977

AGENDA ITEM NUMBER

EXHIBIT XV

9/14/77

14

Agency: Division of General Services

Subject: Medical Liability Insurance

(Please refer to the attachment for background statement by Mr. McEachern.)

Mr. McEachern recommends that the State insurance program join with the Joint Underwriting Association (JUA) and the Hospital Association to provide a loss prevention program for medical facilities insured in the State program which Mr. McEachern estimates will ultimately include 80% of the hospital beds in South Carolina. The loss prevention program is designed to include seminars for hospital employees; prevention and premises liability inspections and engineering; incident reporting review; and operating room environment and equipment risk management assistance.

Mr. McEachern also recommends that the State share of the costs of such a loss prevention program (which he estimates at a maximum of \$75,000) be paid by means of an adjustment of the liability premium structure.

Board Action Requested:

Authorize the State insurance program to join with the JUA and the Hospital Association in providing a loss prevention program with the State share of its costs to be paid by an adjustment of the liability premium structure.

Staff Comment:

Attachments:

McEachern agenda material, Item I.

STATE BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
AGENDA

September 14, 1977

I. Medical Liability Insurance

This insurance presents continuing problems to the industry and to the medical and hospital facilities throughout the nation. The problem became so severe that the General Assembly created the Joint Underwriting Association with the Chief Insurance Commissioner as chairman of the Board of the Association. Also, the General Assembly authorized the Budget and Control Board to write medical liability insurance to cover health facilities created or authorized by the General Assembly. This action created problems of "spread" for the Joint Underwriting Association because the facilities covered by the State Insurance program no longer were involved in the JUA.

One of the important features of the JUA is the provision for a loss prevention program which is to medical coverage what engineering services are to fire and extended coverage protection. Each member of the Board has received a letter addressed to Governor Edwards by John Lindsay in which he strongly recommends that the state insurance program join with the JUA and the Hospital Association in providing a loss prevention program for medical facilities insured in the state program. It is estimated that this program will ultimately include 80% of the hospital beds in South Carolina.

The loss prevention program is designed to include seminars for hospital employees; prevention and premises liability inspections and engineering; incident reporting review; and operating room environment and equipment risk management assistance. The State share of the services will increase to a maximum cost of approximately \$75,000.

It is recommended that the insurance program join JUA and the Hospital Association in providing this service and that the liability premium structure be adjusted to recover the costs of the program. It is believed that the program ultimately will reduce losses in amounts equal to or exceeding cost of the program.

EXHIBIT XVI
9/14/77

Travel Expense Advances

Authority

Section 131 of the General Appropriation Act for 1977-78 which reads as follows:

Provided, Further, That a State agency may advance travel and subsistence expense monies to employees of that agency for the financing of ordinary and necessary travel required in the conducting of the business of the agency. The Budget and Control Board is directed to develop and publish rules and regulations pertaining to the advancing of travel expenses and no State agency shall make such advances except under the rules and regulations as published.

Purpose

The primary purpose for providing cash advances to employees who are traveling on official business of a State agency is to reduce the amount of personal funds required to finance official travel, thereby relieving the financial burden of the traveler.

When the travel assignment is completed, a voucher (payable to the traveler) is prepared for the total amount of allowable expenses incurred and paid. The traveler must repay the cash advance when the voucher is processed for payment and the check issued to the traveler.

Policy

1. No travel advance shall be made to an employee for travel within the State without specific approval of the State Budget and Control Board.
2. No travel advance shall be made for more than 80% of the estimated amount of the total travel expense (excluding airline transportation)
3. No advance shall be made in instances where 80% of the estimated travel expense does not exceed \$200.00.

4. The agency, department or institution making advances shall keep such records of advances made in accordance with rules prescribed by the Comptroller General. If an agency fails to keep proper records, the privilege to make travel advances shall be withdrawn.
5. Requests for travel advances must be submitted to the Comptroller General's Office not later than five (5) business days prior to the beginning of the trip for which the advance is requested.

Procedures

Travel advances will be made on forms prescribed by or approved by the Comptroller General and shall as a minimum include the following information:

1. Name and Social Security Number of the traveler.
2. Name of the department.
3. Account number to which the travel expenses will be charged.
4. The date and hour the traveler is expecting to begin the travel and return to his/her official headquarters.
5. Purpose of trip.
6. No. Days @ /Day = 80% = , (Amount of advance).
7. Approval of traveler's department head or director.
8. The traveler's signature and date of receipts.

GENERAL FUND
 1978-79 PRELIMINARY BUDGET ALLOCATIONS

	1977-78 STATE FUNDS APPROPRIATED	1978-79 PRELIMINARY BUDGET ALLOCATION
Legislative Department		
Senate	2,001,123	2,264,514
House	2,940,582	3,616,708
Special Svcs, Both Houses	107,308	110,108
Cde. of Laws & Legis. Council	707,809	732,488
Legislative Audit Council	394,526	441,211
Legislative Information Systems	400,441	505,906
Total Legislative Department	6,551,789	7,670,935
Judicial Department	8,191,992	8,766,972
Governor's Office		
Executive Control	641,215	648,037
Law Enforcement	6,325,620	6,419,952
Division of Administration	3,246,767	3,147,537
Mansions and Grounds	170,010	170,909
Health & Social Development	574,626	508,735
Division Economic Opportunity	151,491	153,263
Disaster Preparedness	338,013	341,266
Total Governor's Office	11,447,742	11,389,699
Lieutenant Governor	89,720	97,611
Secretary of State	338,495	344,210
Comptroller General	1,849,408	2,066,272
State Treasurer's Office	1,019,094	1,178,648
Attorney General	2,981,721	3,042,400
Adjutant General	1,082,730	1,146,612
Election Commission	697,062	1,607,966
Budget and Control Board		
Finance	3,262,343	3,610,212
Research & Statistical Services	555,137	564,703
General Services	5,080,416	5,142,119
Motor Vehicle Management	104,375	105,500
Retirement	1,326,872	1,366,603
Personnel	1,842,530	1,702,930
Employee Benefits	5,357,936	19,227,000
Total Budget and Control Board	17,529,609	31,719,067
Commission on Higher Education	1,302,344	1,398,224
Higher Education Tuition Grants	8,354,749	8,355,807
The Citadel	6,929,791	6,756,995
Clemson University	29,446,587	29,322,917
College of Charleston	8,684,307	8,543,515
Francis Marion	4,300,621	4,328,903
Lander College	3,163,615	3,112,186
South Carolina State College	8,679,751	8,592,928
University of South Carolina	52,495,552	53,769,694
USC - Aiken Campus	1,875,674	1,855,511
USC - Coastal Campus	2,337,833	2,322,050
USC - Spartanburg Campus	2,565,474	2,541,779
USC - Regional Campuses	3,467,148	3,405,522
Winthrop College	7,831,406	7,641,239
Medical University of S.C.	49,873,796	50,810,305
Adv. Council Voc. & Tech. Ed.	-0-	-0-
Technical & Comprehensive Ed.	34,110,002	34,488,446
Education Department	446,891,603	502,097,583
Educational Television Commission	8,703,646	9,014,961

	1977-78 STATE FUNDS APPROPRIATED	1978-79 PRELIMINARY BUDGET ALLOCATION
Wil Lou Gray Opportunity	791,026	801,815
Deaf & Blind School	4,840,337	5,008,914
Archives & History	1,674,842	1,704,205
Confederate Relic Room	62,060	82,663
State Library	1,695,913	1,707,180
Arts Commission	721,485	728,692
Museum Commission	177,366	177,366
Health & Environmental Control	32,582,362	33,439,173
Nuclear Advisory Council	67,443	54,394
Mental Health Department	57,511,794	59,262,296
Mental Retardation Department	36,428,404	37,060,671
Alcohol & Drug Abuse Commission	1,453,609	1,474,166
Social Services Department	74,176,720	77,621,080
Vocational Rehabilitation	6,932,098	6,999,362
John de la Howe School	912,316	923,561
Foster Care Review Bds. System	185,876	188,241
Children's Bureau	553,021	562,213
Commission for the Blind	1,722,117	1,736,793
Commission on Aging	494,186	499,129
State Housing Authority	329,330	334,777
Commission on Human Affairs	528,696	537,514
Department of Veterans Affairs	733,579	738,100
Department of Corrections	27,984,769	29,923,192
Probation, Parole, & Pardon Board	3,068,665	3,136,875
Department of Youth Services	8,907,026	10,063,367
Juvenile Placement and Aftercare	856,889	872,069
Law Enforcement Training Council	-0-	-0-
Law Officers Hall of Fame	-0-	-0-
Water Resources Commission	726,764	736,307
Land Resources Conversation Commission	1,061,700	1,076,532
Forestry Commission	7,243,565	7,369,938
Department of Agriculture	3,133,976	3,181,460
Clemson Univ. Public Service Activities	16,418,078	16,589,045
Wildlife & Marine Resources	5,923,551	5,923,551
Coastal Council	74,380	99,380
Parks, Recreation & Tourism	5,226,255	5,144,974
Development Board	2,820,379	2,845,707
Patriot's Point Development Authority	388,619	397,437
Clark Hill Authority	88,346	88,705
New Horizons Development Authority	49,872	-0-
Public Service Commission	2,483,251	2,526,469
Industrial Commission	1,159,784	1,170,797
Workmen's Compensation Fund	338,822	345,367
Second Injury Fund	-0-	156,372
Insurance Department	2,828,121	2,864,336
Financial Inst. Bd. Cons. Fin.	760,894	771,436
Consumer Affairs Commission	385,352	392,166
Dairy Commission	184,366	186,131
Labor Department	1,641,676	1,665,464
Tax Commission	11,397,812	11,584,840
Alcoholic Beverage Control Commission	970,878	986,477
State Ethics Commission	67,466	67,921
Employment Security Commission	59,305	60,327
Board Accountancy	60,523	66,311
Architectural Examiners Board	40,850	44,935
Auctioneers' Commission	-0-	80,000

State Auditor's Office
 Budget Development Section
 September 13, 1977

- 3 -

	1977-78 STATE FUNDS APPROPRIATED	1978-79 PRELIMINARY BUDGET ALLOCATION
Board of Barber Examiners	81,646	87,780
Cemetery Board	2,425	2,667
Board of Chiropractic Examiners	9,722	9,722
Contractor's Licensing Board	126,359	128,214
Cosmetic Art Examiner	193,610	210,212
Board of Dentistry	56,795	62,285
Engineering Examiner	136,052	147,537
Certification of Environmental Sys. Ops.	43,337	47,148
Forester's Registration Board	2,448	2,692
Board of Funeral Service	42,257	45,831
Board of Medical Examiners	236,748	244,805
Board of Nursing	336,541	364,404
Nursing Home Administrators	16,533	16,764
Examiners in Optometry & Opticianry	16,238	17,861
Pharmaceutical Examiners	63,903	69,782
Exam. & Regis. of Physical Therapists	7,427	8,000
Board of Podiatry Examiners	980	980
Examiners in Psychology	6,360	6,996
Real Estate Commission	289,086	307,941
Residential Home Builders Comm.	150,123	157,629
Examiners for Regis. Sanitarians	3,875	4,000
Social Workers Registration	3,033	3,336
Speech Pathology & Audiology	6,321	6,830
Veterinary Medical Examiners	7,556	8,120
Aeronautics Commission	1,154,446	1,162,870
Public Railways Commission	315,540	315,540
Highways and Public Transportation	-0-	41,300
Debt Service	82,202,719	86,511,370
Miscellaneous	651,732	651,732
Contributions	497,093	497,093
Aid to Subdivisions	71,077,894	71,827,894
TOTAL	<u>1,221,458,574</u>	<u>1,312,418,485</u>

NOTE:

The above allocations do not include funds for Merit Increments 1978-79.

9/14/77

MEETING OF September 14, 1977

AGENDA ITEM NUMBER _____

Agency: Judicial Department (Court Administration)

Subject: Consultant Services Contracts

Court Administration Director L. Edmund Atwater requests that the Board approve the following consultant services contracts with Mr. Lee M. Thomas. (see attached "Report on Consultants" forms):

(1) (A) Court Facilities Project (analysis of court facilities in half of the counties in the State with recommended improvements); and (B) Lower Court Analysis Project (review and analysis of magistrate courts); Pay Rate: \$135 per day, not to exceed 100 days or \$13,500; Contract Dates: 10/1/77 - 9/30/78; Source of Funds: 90% Federal, 10% State.

(2) Annual State Judicial Plan (Phase I includes the provision of staff support in the development of the annual State Judicial Plan by the Judicial Planning Committee and consists of an analysis of court needs; resources; and the establishment of priorities and programs to implement the Plan.) (Phase II includes the preparation of funding proposals to LEAA to implement the Plan.) Pay Rate: \$9,800 for the end products, payable quarterly; Contract Dates: 10/1/77 - 9/30/78; Source of Funds: 90% Federal, 10% State.

Board Action Requested:

Approve execution of the two referenced contracts with Mr. Lee M. Thomas.

Staff Comment:

Mr. Atwater suggests that these contract proposals may be appropriate to consider along with the proposal for the Federal funds involved (see Agenda Item 6, proposal #1).

Mr. Atwater also calls attention to the overlap of these proposed contracts with a contract Mr. Thomas presently has with the Dept. of Corrections (for the 8/1/77 - 7/31/78 period, rate of pay \$135 per day, not to exceed 200 days or \$27,000; approved by Budget and Control Board on 7/14/77) and assures that Mr. Thomas will be reimbursed for work completed based on a specific invoice stating the hours worked by date and by task.

Attachments:

Atwater 9/7/77 letter to Putnam plus two "Report on Consultants" forms.



South Carolina Court Administration

South Carolina Supreme Court
Columbia, South Carolina

L. EDMUND ATWATER, III
DIRECTOR

September 7, 1977

P. O. BOX 11788
COLUMBIA, S. C. 29211
(803) 758-2961

Mr. William T. Putnam
State Auditor
Post Office Box 11333
Columbia, SC 29211

Dear Bill:

I recently forwarded to Dr. Archie Ellis of the Grants and Contracts Unit of your office a request for approval by the Budget and Control Board of the submission of a grant application by the Office of S. C. Court Administration on behalf of the Judicial Planning Committee. I am writing to request your assistance in obtaining a review by the Budget and Control Board of proposed consultant contracts which would be executed if the project is approved. I realize this is an unusual request; however, in that the major portion of the grant funds will be used for consultant services, it seemed appropriate for the Board to consider the contracts at the same time as the proposed project.

This project is designed to provide ongoing staff support to the Judicial Planning Committee established by the Supreme Court this year. This support will include the initiation of an ongoing judicial planning process as well as indepth reviews of several specific problem areas. It is the intention of Chief Justice Lewis, who serves as Chairman of the Judicial Planning Committee, to obtain the services of Lee M. Thomas under a contractual arrangement. We feel he can provide the services necessary in the most cost effective manner possible.

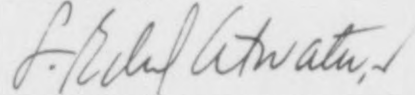
As I am sure you are aware, Mr. Thomas is currently working under contract with the S. C. Department of Corrections. In that there will be some overlap of the contractual periods, I have discussed with Mr. Thomas a billing method to insure that there is no inadvertent duplication of payment for work done. He will be reimbursed for work completed based on a specific invoice stating hours worked by date by task. I have discussed this matter with William D. Leeke, Commissioner of the S. C. Department of Corrections and he feels this arrangement will be most satisfactory to both agencies.

South Carolina Court Administration

Mr. William T. Putnam
September 7, 1977
Page Two

I am attaching copies of the Report on Consultants for the two contracts we hope to execute. I certainly appreciate your assistance in this matter and if you have any questions, please do not hesitate to contact me.

Sincerely,



L. Edmund Atwater, III
Director

vhy
Enclosures

cc: The Honorable J. Woodrow Lewis
Mr. Steven K. Good

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

Name of State Agency: S. C. Judicial Department

Date of Report: 9/7/77 Prepared By: L. Edmund Atwater, III
Court Administration

Name of Consultant or Firm: Lee M. Thomas

Address of Consultant or Firm: 6607 Longbrook Road, Columbia, SC 29206

Terms of Contract:

Beginning Date: October 1, 1977 Ending Date: September 30, 1978

Rate of Pay: \$135 per day - To be reimbursed for work completed,
not to exceed 100 days or \$13,500

Purpose or Goal of Consultants:

During the project period, Lee M. Thomas will provide consulting assistance to the S. C. Office of Court Administration for two court related projects.

- A. Court Facilities Project - An analysis will be made of available court facilities in at least one-half the counties in South Carolina during the project period. This analysis will include the identification and description of existing facilities including their usefulness for various judicial functions with recommendations for improvement of each. Additionally other facilities in the counties will be identified and described. A separate report will be prepared for each of the counties to include an assessment of the actions necessary to insure adequate judicial facilities. This assessment will include the identification of responsible agencies and estimates of costs involved.
- B. Lower Court Analysis Project - A comprehensive staff support will be provided to the Office of Court Administration for a review of magistrate courts in South Carolina. This analysis will be made for the Office of Court Administration in conjunction with the Judicial Planning Committee and the S. C. Supreme Court. It will include the identification and analysis of the existing system of magistrates courts in South Carolina. This will include a review of manpower, organization, and workload. At the conclusion of this analysis a comprehensive master plan for improvement of the magistrates court will be prepared, to include both short-range and long-range goals and objectives.

Was this Individual or Firm selected through the Submission of Bids or Proposals?

Yes

No X *

If yes, How many Bids or Proposals were Received?

*Professional services provided on a fee basis are not customarily secured through competitive bids. Professional services sought herewith require unique assistance from a professional person thoroughly familiar with the S. C. judicial system, criminal justice planning, and the state and local government. Mr. Thomas is such an individual.

STATE AUDITOR'S OFFICE

REPORT ON CONSULTANTS

Name of State Agency: S. C. Judicial Department

Date of Report: 9/7/77 Prepared By: L. Edmund Atwater, III
Court Administration

Name of Consultant or Firm: Lee M. Thomas

Address of Consultant or Firm: 6607 Longbrook Road, Columbia, SC 29206

Terms of Contract:

Beginning Date: October 1, 1977 Ending Date: September 30, 1978

Rate of Pay: \$9,800 to be reimbursed on a quarterly basis for
the work set forth below.

Purpose or Goal of Consultants:

During the project period Lee M. Thomas will provide consulting assistance to the S. C. Office of Court Administration in the development of an annual state judicial plan. This assistance will be divided into two phases.

- A. Phase I - Staff support will be provided to the S. C. Judicial Planning Committee established by the S. C. Supreme Court in the development of its annual state judicial plan. This plan will include an analysis of the needs and problems facing the courts of the state; a description and analysis of the resources, manpower and organizational capabilities and system existing and available to meet identified problems; a statement of the goals and standards to be met; a statement of the priorities among the goals and standards; and a description of the programs to be undertaken pursuant to the annual state judicial plan and their relationship to the problems, goals, standards, and priorities. The plan will be developed in a coordinated and integrated planning process with the state planning agency (Office of Criminal Justice Programs) and will comply with requirements for the Annual State Judicial Plan established by the Law Enforcement Assistance Administration.
- B. Phase II - Project proposals for federal LEAA funding to implement the annual state judicial plan will be prepared by the consultant for the Office of Court Administration. These proposals will be consistent with programs identified in the annual state judicial plan and will comply with state and federal guidelines and requirements.

Was this Individual or Firm selected through the Submission of Bids or Proposals?

Yes _____

No X *

If yes, How many Bids or Proposals were Received? _____

*Professional services provided on a fee basis are not customarily secured through competitive bids. Professional services sought herewith require unique assistance from a professional person thoroughly familiar with the S. C. judicial system, criminal justice planning, and the state and local government. Mr. Thomas is such an individual.