

CONSIDERATION OF CRITERIA FOR RANKING CAPITAL REQUESTS

The institutions' Exceptional Capital Project Requests for 2000-2001 were reviewed and adopted by the Commission on October 7, 1999. A total of 60 Exceptional Capital Project Requests totaling \$450,111,120 was submitted by the institutions. In keeping with the Commission's current policy, the institutions' Exceptional Capital Requests were submitted to the Legislature by CHE unranked and unprioritized.

On November 3, 1999, Dr. Barton received a letter from Representative Thomas Keegan, Chair of the House Ways and Means Sub-Committee on Higher Education, requesting that the staff of CHE provide the sub-committee "with a prioritization of the institutions' exceptional capital project requests." He also asked that a primary criterion should be any project that addresses safety concerns. A copy of Representative Keegan's letter is attached.

Dr. Barton brought Representative Keegan's request to the Commission at the November 4 meeting. The Commission made no decision on whether to prioritize the projects and the Chairman referred the matter to the Committee on Finance and Facilities. He asked that a list of criteria for ranking the requests be considered by the Committee on Finance and Facilities, with the Committee's recommendations to be presented to the full Commission at its December meeting.

A list of proposed criteria for ranking capital project requests and a proposed process for accomplishing the rankings are attached.

Recommendation

The staff recommends that the criteria and the proposed process for ranking capital requests be approved.

PROPOSED RANKING PROCESS

- Institutions may determine which projects they have submitted through the Exceptional Capital Project Request process they wish to include in the Priority Process. Institutions may include any or all of the projects previously submitted as exceptional capital project requests.
- Institutions will provide the appropriate documentation required by the ranking criteria for all of the projects they choose to have included in the process. If appropriate documentation for one or more of the criteria has already been included in the original submission, the institution will not have to resubmit the documentation. However, institutions should submit any additional documentation that they believe would assist the Committee in determining that a criterion has been met.
- Because the legislative request specifically states that safety concerns be a primary criterion, up to an additional 10 points may be assigned to projects that address specific documented health and/or safety needs.
- Once CHE staff has determined that the projects have met the basic criteria for ranking, the project requests will be submitted to a Ranking Committee for review, and to determine the degree to which the criteria have been met.
- Scores will be assigned up to the maximum number of points for each criterion.
- A Ranking Committee, consisting of the following representatives, will review the projects, assign the scores for each criterion, and rank the projects:

One representative from the State Board for Technical and Comprehensive Education

One representative from the Higher Education Community (other than CHE staff) who is knowledgeable of current instruction, research, and academic space issues.

One representative from the State Engineer's Office;

One representative from the Capital Improvements Unit of the B&CB;

One representative from the Department of Health & Environmental Control;

One representative from the Private Sector (an engineer experienced in building maintenance);
Dr. Hardy Merritt (author of Deferred Maintenance, An Analysis Of South Carolina's Facilities Portfolio, 1994);

Mr. John E. Smalls, CHE Director of Finance, Facilities, and Statistical Services; and

Ms. Lynn Metcalf, CHE Coordinator of Facilities

DEFINITIONS

PROPOSED RANKING CRITERIA

		<u>Maximum Points</u>
1. Project represents the following type of space:		30
	Points by Type <u>of Space</u>	
a. Instruction, Library Research, Infrastructure	30	
b. Academic Support	20	
c. Student Services	15	
d. Institutional Support	10	
e. Non-E&G	00	
2. The degree to which the proposed project addresses the deferred maintenance needs as defined and included in the joint CHE and B&CB 1994 Study of Deferred Maintenance, or other objective documentation provided by an institution.		up to 25
3. Documentation that the project corresponds to the institution's mission and enhances institutional effectiveness and efficiencies with respect to programs and/or costs.		up to 25
4. Documentation that all reasonable alternatives to the project have been considered, that the project represents the best long-term resolution of the problem, and that the total estimated cost, including each component, can be documented as realistic.		up to 10
5. Documentation that the space programmed for the proposed project is based on the application of objective space planning guidelines (i.e., Space Planning Guidelines for Public Colleges and Universities, CHE, revised 1997).		up to <u>10</u>
Total		up to 100
Extra Points: Documentation through external reports (CHE consultants, institutional consultants, specialized accreditation reports, CHE staff evaluation, etc.) that existing space is unsatisfactory and/or unsuitable in terms of quality or quantity because of <u>health and/or safety</u> concerns.		up to <u>10</u>
Grand Total		up to 110

DEFINITIONS

Educational and General (E&G) facilities are defined as the facilities that support the Instruction, Research, Public Service, Academic Support (Libraries and Other), Student Services, Institutional Support activities of an institution.

Instruction- Facilities classified in this category are defined as facilities consisting of classrooms and other space that directly support the teaching activities that are part of an institution's instruction program.

Library - Facilities in this category are defined as academic support facilities designed for the retention, preservation, and display of educational materials available for use by students and faculty in support of the instruction program. Other academic support facilities are defined separately below.

Research - Facilities in this category are defined as facilities designed for activities specifically organized to produce research outcomes.

Infrastructure - This is defined as the underlying foundation or framework of a facility such as roofs, foundations, and support systems, including those systems integral to the operation of the facility, such as electrical utilities, HVAC, plumbing, sewers, communications, etc.

Academic Support -Facilities in this category are defined as those facilities (excluding Libraries) designed to provide support services to the institutions primary missions of instruction, research, and public service and that provide services to directly assist the academic functions of the institution. Examples are academic computing and academic administration.

Student Services - Facilities in this category are defined as facilities designed to support those activities that have a primary purpose of contributing to the student's well-being and to his/her intellectual, cultural, and social development outside the context of the formal instruction program. Examples include student activities, cultural events, supplemental educational services, academic counseling, etc.

Institutional Support - Facilities in this category are defined as those designed to support the administrative functions of the institution. Examples include central executive-level activities concerned with management and long-range planning of the entire institution.

Non-E&G - Facilities in this category are defined as those that exist to furnish goods or services to students, faculty, or staff, and that charge a fee directly related to, although not necessarily equal to, the cost of the goods or services. Examples are residence halls, food service facilities, athletic facilities, college stores, parking, faculty housing, etc.

EXCEPTIONAL CAPITAL PROJECT REQUESTS, 2000-2001, BY INSTITUTION AND CATEGORY, STATE FUNDS ONLY

INSTITUTION/PROJECT NAME	PROJECT TYPE	ACADEMIC (Classrooms, Libraries, Research)	ACADEMIC, OTHER (Acad. Support/ Student Services)	DEFERRED MAINTENANCE (incl. Infrastructure/ Code Compliance)	INSTITUTIONAL SUPPORT Administrative	INSTITUTION TOTAL
THE CITADEL						
Padget-Thomas Barracks/Leadership Lab	New Building		16,500,000 (1)			
Capers Hall	New Building	16,500,000				
Various Campus Bldgs.	Roof Repl. Mech. Systems, etc.			2,120,000		
Institution Total:						18,620,000
CLEMSON						
Civil Engineering/Textiles Building	New Building	26,000,000				
Wellness Center	Renovation/Expansion		4,000,000			
Newman Hall	Renovation	3,000,000				
Municipal Complex	Addition/Renovation-B51				1,939,080	
Institution Total:						34,939,080
COASTAL CAROLINA						
Science Center	Addition/Renovation	25,225,000				
EM Singleton Building	Renovation				5,350,000	
Institution Total:						30,575,000
COLLEGE OF CHARLESTON						
Science Building(2)	Renovation	4,840,000				
School of Arts	Addition/Renovation	3,000,000				
Stem Student Center	Addition/Renovation		5,500,000			
Robert Scott Small Library	Renovation	2,000,000				
Institution Total:						13,340,000
FRANCIS MARION						
Sch of Education/School of Business	New Building	8,500,000				
Institution Total:						8,500,000
LANDER						
Various Campus Bldgs.	HVAC Repairs & Upgrades			485,000		
Math/Science Complex	Repair Brick Veneer			150,000		
Genesis Hall	Renovation	125,000				
Institution Total:						635,000
MUSC						
College of Dental Medicine	New Building	30,000,000				
College of Health Professions	New Building	30,000,000				
College of Pharmacy	Renovation	11,600,000				
Research Building III	New Building	37,500,000				
Institution Total:						109,100,000

(1) This project was included in The Citadel's Exceptional Capital Project Requests for 1999-2000. It was approved by CHE with the provision that The Citadel obtain a waiver from the proviso that appropriated funds from being used for residence halls and other auxiliary enterprises; and that, if a waiver were obtained, this project would be considered an exception and not as setting a price. The Citadel did not receive a waiver from the proviso, but the Legislature provided \$8,000,000 in Capital Improvement bonds for this project in the 1999-2000 Bond Act. In its approval of the expenditure funds for this project, the Committee noted the Commission's provision that the project be considered an exception and not as setting a precedent.

(2) College of Charleston changed its original request for a new facility to renovation of the existing science facility 10/28/99.

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SC STATE						
Lowman Hall	Renovation addition		7,700,000			
Whittaker Library	Addition/Renovation	12,000,000				
Green Student Center	Renovation/Expansion		8,000,000			
Various Campus Buildings	Repairs, roofs, HVAC, Struct.			7,500,000		
Institution Total:						23,200,000
USC-COLUMBIA						
Energy Master Plan	Upgrade Energy Distr. System			6,500,000		
Thomas Cooper Library	Renovation/Expansion	10,000,000				
Historic Buildings-Gibbes Green	Continuation of Renovations			12,500,000		
New Law School	New Building	5,000,000				
Institution Total:						29,000,000
USC-SCHOOL OF MEDICINE						
Building 3 - Office of Med. Ed. & Acad. Aff	Renovation		1,850,000			
Medical Library	Renovation	1,250,000				
Institution Total:						3,100,000
USC-AIKEN						
Convocation Center/Lecture Hall	New Building		6,000,000			
Institution Total:						6,000,000
USC-SPARTANBURG						
Library/Technology Center	New Building	19,500,000				
Administration Building	Repair/Replace/Renovate			3,000,000		
Institution Total:						22,500,000
USC-BEAUFORT						
New River Facility	New Building	1,500,000				
Beaufort College Building	Renovation					
Institution Total:						1,500,000
USC-LANCASTER						
Medford Library	Renovation/Expansion-B139	1,950,000			415,500	
Institution Total:						2,365,500
WINTHROP						
Peabody Health/Phy. Ed. Bldg.	Addition/Renovation	13,269,757				
Waterproofing/Floor Repair-Rutledge Building				964,638		
Dacus Library	New Building	20,000,000				
14 Campus Buildings	Replace Windows			1,779,000		
Stms Science Building Complex	Renovation	2,500,000				
Institution Total:						28,553,395

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Alken TC						
Class Laboratories and Library	Renovation	4,094,783				
Chilled Water Plant Replacement	Renovation			177,280		
Institution Total:						1,417,043
Central Carolina TC						
Various Campus Buildings	Repairs/Replacement			2,508,504		
Institution Total:						2,508,504
Chesterfield-Marlboro TC (3)						
	No Request Submitted due to Insufficient Match Funds					
Denmark TC						
Engineering Technology Ctr. Bldg. 400	Renovation	669,860				
Institution Total:						669,860
Florence-Darlington TC						
Advanced Applied Technology Center	New Building	12,000,000				
Institution Total:						12,000,000
Greenville TC						
Industrial Complex HVAC System	Renovation/Replacement	3,600,000				
Institution Total:						3,600,000
Horry-Georgetown TC						
Grand Strand Campus	Renovation	1,542,120				
Institution Total:						1,542,120
Midlands TC						
Northeast Campus Classroom/Stu. Ser Bldg.	New Building	15,200,000				
Institution Total:						15,200,000
Orangeburg-Calhoun TC						
Learning Resource Center	Renovation		400,000			
Institution Total:						400,000
Piedmont TC						
Business Industrial Technology Bldg.	New Building	3,676,800				
Various Campus Facilities	Repair/Replace Asbestos/HVAC			1,632,000		
Institution Total:						5,308,800
Spartanburg TC						
Student Life Building	New Building		3,508,000			
Institution Total:						3,508,000

(3) Section 59-53-57 of the South Carolina Code of Laws states that the technical colleges are eligible to receive state appropriated funds for capital projects provided that the A101 certifies that a minimum of 20% of each project cost is matched by local funds.

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Technical College of the Low Country						
Buildings 10 and 14 (Classrooms)	Renovation	1,191,000				
Building 8	Renovation/Code Compliance			1,197,800		
Institution Total:						
Tri-County TC						
Anderson, Miller, & Pickens Hall	Renovate & Reconfigure	1,000,000				
Institution Total:						
Trident TC						
Industrial/Economic Development Complex	Renovate & Reconfigure	7,000,000				
Institution Total:						
Williamsburg TC						
Technology/Student Center	New Building		6,400,000			
Institution Total:						
York TC						
Classroom Bldg. In Chester County	New Building	6,400,000				
Institution Total:						
GRAND TOTAL BY CATEGORY & INSTITUTION:		\$ 341,634,320	\$ 69,688,000			\$ 411,322,320



House of Representatives

State of South Carolina

Thomas G. Keegan

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Ways and Means
Higher Education/Cultural Agencies
Sub-Committee, Chairman

Francis Marion University,
Trustee Emeritus
Joint Bond Review
Operations and Management,
Secretary/Treasurer
Performance Audit

November 3, 1999

Dr. Rayburn Barton
SC Commission on Higher Education
1333 Main Street, Suite 200
Columbia, South Carolina 29201

Dear Dr. Barton:

Due to the large number of capital project requests this year, I need assistance in gathering information that will help our committee to determine which projects should be funded. Therefore, I am asking that your staff provide me with a prioritization of the institutions' exceptional capital project requests. A primary criteria should be any project that addresses safety concerns.

Thank you for your attention to this matter. I look forward to receiving this information.

Sincerely,

Thomas G. Keegan
Chair, Higher Education Subcommittee

EXCEPTIONAL CAPITAL PROJECT REQUESTS

On September 3, 1998, the Commission adopted the following policy and procedures for accepting exceptional capital project requests in years when there is no bond bill:

Institutions may submit to CHE annually, a CHE Update to their Overall Permanent Improvement Plan to include those facilities which they consider to be their first priority for receiving state appropriated or surplus funds during the funding year. The Updates will be submitted to CHE for review and consideration and the Commission's action on these requests will be submitted to the Legislature.

An institution should submit only the capital request that it considers its highest priority for receiving state-appropriated funds. Facilities requests included in the Updates will not be ranked or prioritized by staff but will be submitted with equal value. An institution may submit more than one project for consideration if appropriate justification for that project is provided. However, capital project requests received in addition to the institution's first priority will be reviewed by staff in light of the overall requests in order to ensure equitable consideration for all institutions.

If a bond bill materializes during the recommended funding period, the requests will be subject to normal bond procedures and included and/or ranked with other requests as required for capital improvement bonds funding.