MINUTES OF

BUDGET AND CONTROL BOARD

MEETING

April 14, 1987

010248

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

April 14, 1987

AMENDED

MEMORANDUM

TO: Budget and Control Board Division Directors

FROM: William A. McInnis, Deputy Executive Director WAM

SUBJECT: Summary of Board Actions at April 14, 1987, Meeting

This listing of actions is not the minutes of the referenced meeting. It is an unofficial (meaning it has not been approved by the Board) summary of the Board actions taken at that meeting. The minutes of the meeting are presented in a separate, much more detailed document which becomes official when approved by the Board at a subsequent meeting.

1. Approved the minutes of the March 24, 1987, Board meeting;

2. Acting as the Education Assistance Authority, adopted a resolution authorizing the State Treasurer to make arrangements for the public offering of State Education Assistance Authority Insured Student Loan Refunding Revenue Bonds, 1987 Series, in the approximate amount of $48,300,000;

2A. Received as information a Health and Human Services Finance Commission report on the 1986-87 Medicaid program projected shortfall and measures being taken to bring expenditures within budget;

3. Received as information notice of a $247 in-State and a $566 out-of-State college fee increase by The Citadel Board of Visitors;

4. Concurred and acquiesced in the South Carolina Agricultural Marketing Commission (State Farmers Market) grant of a right-of-way easement and approved a second easement from the State to the South Carolina Electric and Gas Company along Bluff Road to enable SCE&G to relocate utility lines due to the widening of Bluff Road; and authorized SCE&G to begin work immediately following Board approval (prior to executing and recording the easement documents);

5. Received as information a Division of General Services report that Carolina Corn Processors operates a 2.5 million gallon per year fuel grade ethanol producing facility at the former Agri-Penn plant in Kingstree, in accord with Code Section 12-27-431;

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6. Received as information a Division of Local Government report on rural development funds expended during February which included 20 projects totalling $$603,058 in State grant funds and which indicated that 235 applications involving $3,970,907 have been approved during the current fiscal year, that 35 applications totalling $425,043 are pending, and that the grant funds balance available is $2,029,093;

7. Received as information the Department of Corrections Defendants' Ninth Quarterly Report on Compliance with the terms of the negotiated Consent Decree entered into in the Nelson v. Leeke case;

8. Received as information the FTE position operating report for the month of March 1987 which shows that 59.72 FTE positions net were added during March to bring the base total to 64,791.17 FTE positions at the end of the month of which 60,271.92 FTE positions were filled and 4,519.25 were vacant;

9. Received as information a Budget Division report that the transfer of $1,331,772 of personal service funds was approved during March to bring the total approved for transfer during the fiscal year to $7,520,852;

10. Received as information the Budget Division statutory report on the appropriations bill as recommended by the House of Representatives;

11. Received as information a report that the Joint Legislative Committee on Cultural Affairs will conduct a study of the Old Exchange Building Commission to determine a possible course of action for the Commission;

12. Received as information the staff report that Regulation Document #671 relating to fire extinguishers had been withdrawn temporarily in response to the request of the Senate Medical Affairs Committee;

13. Received as information a report that the College of Charleston has withdrawn its request for approval of the travel of Professor M. Alpha Bah to London and Paris due to a schedule conflict;

14. Received as information reports on the reimbursement of interviewee travel expenses by Francis Marion College (3) and College of Charleston (12), Parole and Community Corrections (3), Mental Health (5), and Clemson University (16);

15. Adopted generally the principles and recommendations in the March 1987 Division of Local Government report entitled "Meeting the Environmental Challenge in South Carolina, State Support for Infrastructure Needs;"

16. Received as information Election Commission Executive Director Ellisor's progress report on the initial stage of the uniform electronic voting system project and his plans for further implementation;

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17. In relation to the possible relocation of the Central Correctional Institution, approved the following after which Governor Campbell indicated an intention to discuss the need for outside legal counsel with Attorney General Medlock:

1. Site Selection Criteria

a. Should be about 150 to 200 acres in size. Shape of parcels to be reviewed will determine final size.
b. Strong preference for site served by a municipal water and sewer facility with capability of supplying a minimum of 145,000 gallons per day and to treat a minimum of 120,000 gallons of sewage per day (with treatment capacity to yield a BOD of 600 to 700 parts per million and total suspended solids of 600 to 700 parts per million).
c. Appropriate consideration should be given to existing community facilities near the sites reviewed.
d. Locations to be considered should be in the following order of preference:
   (1) State-owned property within a 40-mile radius of the present CCI; then
   (2) Non-state-owned property within a 30-mile radius of the present CCI.

2. Site Selection Committee

a. Department of Corrections	Nelson Meek, Doug Catoe
b. General Services	Jack Sprott
c. Budget Division	Alan Kincaid
d. Ways and Means Committee	Susan Hooks
e. Senate Finance Committee	to be named

3. RFP Committee

a. Department of Corrections	Nelson Meek
b. General Services	Marcia Szerlip
c. State Treasurer's Office	Mike Ey
d. Ways and Means Committee	Susan Hooks
e. Governor's Office	Mark Elam
f. Reorganization Commission	Kenny Long
g. Comptroller General's Office	George Lusk
h. Senate Finance Committee	to be named

18. In accord with Section 24-3-2050, certified 9,388 as the safe and reasonable operating capacity of the facilities of the Department of Corrections effective April 1, 1987;

19. Carried over consideration of staff recommendations on the Department of Corrections request for additional facilities;

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20. As recommended by the Retirement Systems: (a) approved a 16% rate increase for the employee portion of the State Health Insurance Program to match the employer portion increase approved by the House, on the condition that this amount is approved by the Senate and is in the appropriations bill as signed by the Governor; and (b) approved a change in program benefits to require a $150 in-hospital deductible;

21. Approved the following grants of rural improvement funds as recommended by the Divison of Local Government: (a) $50,000 to Anderson County; and (b) $50,000 to the Town of Williston;

22. Authorized the Department of Youth Services to transfer of $448,013 of general fund personal service funds to other operating expenses to avoid an operating deficit;

23. Authorized the Department of Youth Services to transfer $162,951 from the Emotionally Handicapped Project to other agency programs to avoid an operating deficit;

24. Took no action on a request by the State Development Board for Budget and Control Board approval of the reimbursement of $5,000 of the moving expenses of Ronald A. Young, a Spartanburg resident, who has accepted the position of Manager of Enterprise Development, and referred the matter back to the Development Board for its handling;

25. Authorized the Commission on Higher Education to reimburse Mrs. Warren Fox for the airfare expenses of approximately $900 she incurred accompanying her husband at CHE's request from Reno, Nevada to Columbia when he was being interviewed for the CHE executive director position, with this authorization being an exemption from the Board's interviewee travel reimbursement policy;

26. Approved the following proposals to issue revenue bonds, on the condition that the required reviews are completed with satisfactory results, and allocated a portion of the State ceiling to projects (a) and (b):

(a)	Issuing Authority:	Family Farm Development Authority
	Amount of Issue:	$200,000 Agricultural Development Revenue Bond
	Name of Project:	John F. Long
	Project Description:	Construct one brooder house and two growout houses for turkey production
	Maturity Schedule:	Annual installments of principal and interest at 8% per annum for a period of seven years
	Bond Purchaser:	Phillip Morris Credit Corporation

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(b) Issuing Authority: Spartanburg County
Amount of Issue: $2,000,000 Industrial Revenue Note
Name of Project: M&M Chevrolet, Inc.
Employment Impact: approximately 100
Project Description: Manufacture transmissions and other automobile components; fabricate passenger car and truck bodies

(c) Issuing Authority: Greenville County
Amount of Issue: $3,050,000 Hospital Revenue Bonds (REFUNDING)
Name of Project: St. Francis Community Hospital Medical Office Building
Project Description: Office facilities for hospital staff members and physicians

27. Received as information the status report on the State Ceiling as of April 8, 1986, (year elapsed 27%) which showed:

	CY 1987 Ceiling	Allocated	(%)	Not Allocated	(%)
State Pool	$101,340,000	$ 10,000,000	(10%)	$ 91,340,000	(90%)
Local Pool	152,010,000	3,563,850	(2%)	148,446,150	(98%)
Total	$253,350,000	$ 13,563,860	(5%)	$239,786,150	(95%)

28. In accordance with Section 7(c) of Executive Order 87-01, granted a 31-day extension to the life of the tentative State ceiling allocation of $750,000 to Laurens County Dispoz-o Plastics, Inc., project;

29. In accordance with Section 8-11-145, approved a revised formula and table to be used by State agencies in coordinating sick and annual leave with Workers' Compensation benefits;

30. Authorized a $100,000 loan from the Insurance Reserve Fund to the Division of General Services for an emergency asbestos abatement program;

31. Authorized the Department of Wildlife and Marine Resources to purchase a 58.56 acre tract in Greenville County for $152,000, to be dedicated as the Bunched Arrowhead Preserve (project #P24-9314);

32. Authorized the Employment Security Commission to purchase (a) 1.25 acres of land on Summitt Drive in Liberty from Hitt and Associates for $31,500; and (b) the useable part of a 6-acre tract identified on Oconee County tax maps as part of Lot 58, Block 4, page 223, on the east side of Radio Station Road just outside the city limits of Seneca for $22,000;

33. Authorized the submission for publication in the April State Register of proposed permanent regulations relating to the statewide surplus property program administered by the Division of General Services;

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34. In accord with Section 11-35-1210, granted procurement certification to Piedmont Technical College within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan;

35. In accord with Section 11-35-1210, granted procurement certification to Midlands Technical College within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan;

36. Approved the travel of James F. Barker, Dean, College of Architecture, Clemson University, to Genoa, Italy during April at an estimated cost of $1,200 to be paid from State-appropriated funds; and

37. Agreed to hold a regular meeting at 9:30 a.m. on Tuesday, April 28, 1987, in the Governor's conference room in the State House.

WAM/dw

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MINUTES OF STATE BUDGET AND CONTROL BOARD MEETING

APRIL 14, 1987 9:30 A. M.

The Budget and Control Board met at 9:30 a.m. on Tuesday, April 14, 1987, in the Governor's conference room in the State House with the following members in attendance:

Governor Carroll A. Campbell, Jr., Chairman;
Mr. Grady L. Patterson, Jr., State Treasurer;
Mr. Earle E. Morris, Jr., Comptroller General;
Senator Rembert C. Dennis, Chairman, Senate Finance Committee;
Representative Robert N. McLellan, Chairman, House Ways & Means Committee.

Also attending were:

Jesse A. Coles, Jr., Ph.D.	Executive Director
William A. McInnis	Secretary
E. A. Laurent, Ph.D.	Deputy Executive Director
Charles H. Smith	Special Projects Administrator
Donna K. Williams	Assistant to Board Secretary
Other Board staff	
Joseph A. Wilson, II	Chief Deputy Attorney General
Q. Whitfield Ayres, Ph.D.	Governor's Dir., Budget & Social Policy
Mark R. Elam	Governor's Legal Counsel
George M. Lusk	Comptroller General, Senior Assistant
William Jordan	Finance Committee Director of Research
Scott R. Inkley, Jr.	Ways & Means Committee Dir. of Research
Susan K. Hooks	Ways & Means Committee Research Analyst

Minutes of Previous Meeting (Regular #1)

Board members previously had been furnished a draft version of the minutes of the March 24, 1987, meeting.

Upon a motion by Mr. Patterson, seconded by Mr. Morris, the Board approved the referenced minutes as written.

[Secretary's Note: At this point, the Board meeting was recessed. A meeting of the State Education Assistance Authority, the members of which are Budget and Control Board members, ex officio, was convened. Upon the adjournment of the State Education Assistance Authority meeting, the Budget and Control Board meeting was reconvened.]

Blue Agenda

Following a review by Dr. Coles of the items included, upon a motion by Mr. Patterson, seconded by Senator Dennis, the Board approved all items on the blue agenda where appropriate and received others as information.

Blue agenda items are identified as such in these minutes.

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Health & Human Services Finance Comm.: Status of Medicaid Program (B1)

Health and Human Services Finance Commission (HHSFC) Deputy Executive Director of Programs Benny F. Clark appeared before the Board on this matter.

HHSFC Executive Director Dennis Caldwell, by letter, advised at the time the agenda for this meeting was being prepared that his agency was then reviewing March 1987 expenditure data for the Medicaid Program which would be the basis for the next update to the Board on the previously-projected budget deficit.

Mr. Caldwell then expected that the impact of March expenditure data would be assessed by April 14 and that he would advise Dr. Coles accordingly.

At the meeting, Dr. Coles advised that a letter forwarding the March report was received late yesterday and that the report appeared to be in the same format as the last update. He noted that HHSFC staff member Benny Clark was present to answer any questions the Board might have.

Governor Campbell asked which staff had reviewed the report and Dr. Coles noted that he had examined it only enough to note that the trends appeared consistent with previous reports and that the numbers are moving along in proportion. He stated that no analysis beyond that had been done.

In response to Mr. Morris, Mr. Clark advised that the nursing home line had been reduced some $9 million because it appeared that those funds would not be used.

He also advised Governor Campbell that funding for and use of the community long term care program had resulted in a reduction in the use of nursing homes. Governor Campbell also asked if hospital use at higher cost had resulted from the reduced use of nursing homes. Mr. Clark said that early hospital discharge is being encouraged which could have the effect of causing readmissions.

[Secretary's Note: Senator Dennis joined the meeting at this point.]

Mr. Clark agreed that there is no question but that hospital care is more expensive than nursing home care.

Mr. Morris noted that the per diem payment to nursing homes has been reduced regularly for years and he expressed wonder at how that could occur in

the face of generally rising costs. He asked Mr. Clark to supply those nursing homes payment rates for the past five years to the Board.

Mr. Clark said that nursing homes are reimbursed based on cost. He recalled that the rates had increased each year over the last five years although he said that the increases had not kept pace with inflation. He pointed out that a 4.3% increase was granted last July.

Governor Campbell asked if the policy on payments had not been changed which resulted in a reduction of the pass-through costs under the program. Mr. Clark advised that lease costs had been reduced last month to take effect in 1989. That change was made because HHSFC found little relation between the costs it was paying and what the owner paid. He also advised Governor Campbell that owners are allowed to receive a return on their investment which, in the case of buildings, he said is the value of the building times the interest rate in the current market. Mr. Clark noted that the efforts of the HHSFC had been to avoid being punitive in the setting of payment rates.

The Board received as information the Health and Human Services Finance Commission March report on the 1986-87 medicaid program projected shortfall and on the measures being taken to bring expenditures within budget.

Information relating to this matter has been retained in these files and is identified as Exhibit 1.

The Citadel: Fee Increase Notification (Blue #2)

The Citadel, in accord with a proviso in Part I, Section 17 of the Appropriations Act, advised that the Board of Visitors has approved an increase in college fees for academic year 1987-88 (to be effective as of the start of the fall semester) as follows:

	1986-87	1987-88
Number of Cadets, Estimated (same number in-State and out-of-State)	1,900	1,900
In-State College Fee	$1,455	$1,702
Increase		247
Out-of-State College Fee	$3,330	$3,896
Increase		566
Estimated Revenue to be Generated	$4,545,750	$5,318,100

The Board received as information notice of a $247 in-State and a $566 out-of-State college fee increase by The Citadel Board of Visitors.

Information relating to this matter has been retained in these files and is identified as Exhibit 2.

General Services: Right-of-way Easements (Blue #3)

The Division of General Services recommended approval of an easement from the South Carolina Agricultural Marketing Commission (State Farmers Market) and another from the State to the South Carolina Electric and Gas Company along Bluff Road to enable SCE&G to relocate utility lines due to the widening of Bluff Road.

The Division recommended that SCE&G be allowed to begin work immediately following Board approval (prior to executing and recording the easement documents).

Upon a motion by Mr. Patterson, seconded by Senator Dennis, the Board concurred and acquiesced in the South Carolina Agricultural Marketing Commission (State Farmers Market) grant of a right-of-way easement and approved a second easement from the State to the South Carolina Electric and Gas Company along Bluff Road to enable SCE&G to relocate utility lines due to the widening of Bluff Road; and authorized SCE&G to begin work immediately following Board approval (prior to executing and recording the easement documents).

Information relating to this matter has been retained in these files and is identified as Exhibit 3.

General Services: Fuel Ethanol Blends Produced in South Carolina (B #4)

The Board was advised in the agenda materials that, pursuant to Code Section 12-27-431, it must determine if any fuel ethanol blends are produced in South Carolina by April 1, 1987. The tax incentive authorized in Code Section 12-27-430 which sets the tax on fuel ethanol blends at 7¢ per gallon until 1992 or until loss of revenue (as compared with the 13¢ per gallon tax on gasoline) reaches $20 million is not to be effective unless the Board

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determines that fuel ethanol blends are produced in South Carolina by April 1, 1987.

The Board also was advised that the Division of General Services, in an effort to identify fuel ethanol blend production facilities in South Carolina, contacted the South Carolina Energy Office, the House Agriculture Committee, and the S. C. Department of Agriculture.

The Division determined that there is only one facility producing alcohol fuel blends in the State which is Carolina Corn Processors which operates a 2.5 million gallon per year fuel grade ethanol-producing facility at the former Agri-Penn plant in Kingstree. The Division reported that the fuel is sold in Charlotte, North Augusta and Spartanburg to companies that blend the ethanol with gasoline.

The Division also reported that the Department of Corrections in the past operated a 200,000 gallon per year production facility at its Wateree Farms facility. The Division noted that this plant is not currently producing but the Department of Corrections is trying to lease it to a private company that would initiate a commercial operation.

The Board received as information the Division of General Services report that Carolina Corn Processors operates a 2.5 million gallon per year fuel grade ethanol producing facility at the former Agri-Penn plant in Kingstree, in accord with the requirements of Code Section 12-27-431.

Information relating to this matter has been retained in these files and is identified as Exhibit 4.

Local Government: Rural Development Funds Expenditures, February (B #5)

The Division of Local Government reported that the following grants of rural development funds were approved during February:

	Total	Senate	House
Applications Approved During February:			
Number	20*	16	14
Amount	$603,058	$331,579	$271,479
Applications Approved This Fiscal Year through February 28:			
Number	235	129	133
Amount	$3,970,907	$2,091,015	$1,879,892
Balance Available 2/28/87:	$2,029,093	$908,985	$1,120,108
Applications Pending:			
Number	35	13	22
Amount	$425,043	$173,372	$251,671

(*Ten project applications were granted both Senate and House funds; six were granted Senate funds only; four were granted House funds only.)

The Board received as information a Division of Local Government report on rural development funds expended during February which included 20 projects totalling $603,058 in State grant funds and which indicated that 235 applications involving $3,970,907 have been approved during the current fiscal year, that 35 applications totalling $425,043 are pending, and that the grant funds balance available is $2,029,093.

Information relating to this matter has been retained in these files and is identified as Exhibit 5.

Corrections: Nelson v. Leeke, Ninth Quarterly Compliance Report (Blue #6)

The Department of Corrections, in compliance with the terms of the Consent Decree entered into between the parties and approved by the U.S. District Court, submitted a report detailing its compliance activities. The Board was provided with a summary extracted from the full report and was advised that the full report is available.

The summary indicated that, during the December 1986 - February 1987 period, to manage inmate admissions, the Department double-celled a limited number of inmates at Lieber Correctional Institution and that it was forced to triple-house a number of cubicles at Palmer Work Release Center.

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The average net increase in inmate admissions, per the summary, was 77 per month as compared with 75 reported last. It was noted that this figure is the average net increase/decrease in monthly admissions between January 1985 and February 1987.

The report noted that the Department continued to exceed the stipulated time for processing inmates through the Midlands Reception and Evaluation (R&E) Center. In the report period, inmates were held an average of 11.5 days over the 14-day requirement. The new R&E Center was scheduled for completion April 1, 1987.

All construction projects were reported to be on schedule. Space at the Catawba Work Release Center was being renovated to house 48 more inmates (the project was completed and the 48 beds are now included in the count).

The Board received as information the Department of Corrections Defendants' Ninth Quarterly Report on Compliance with the terms of the negotiated Consent Decree entered into in the Nelson v. Leeke case.

Information relating to this matter has been retained in these files and is identified as Exhibit 6.

Budget Division: FTE Operating Report, March 1987 (Blue #7)

The Budget Division reported that 59.72 full-time-equivalent (FTE) positions net (23.45 State, 27.61 federal and 8.66 other) were added during March to bring the authorized base to a total of 64,791.17 FTE positions.

At month end, 60,271.92 of the authorized positions were filled and 4,519.25 were vacant.

The Board received as information the FTE position operating report for March 1987 which showed that 59.72 FTE positions net were added during March to bring the base total to 64,791.17 FTE positions at month end of which 60,271.92 FTE positions were filled and 4,519.25 were vacant.

Information relating to this matter has been retained in these files and is identified as Exhibit 7.

Budget Division: 1986-87 Personal Service Transfers, March 1987 (B #8)

The Budget Division reported that the transfer of $1,331,772 of State-appropriated personal service funds was approved during March ($1,001,772 to other operating expenses and $330,000 to equipment). This brought the total of personal service funds approved for transfer during the fiscal year to $7,520,852.

The Board received as information a Budget Division report that the transfer of $1,331,772 of personal service funds was approved during March to bring the total approved for transfer during the fiscal year to $7,520,852.

Information relating to this matter has been retained in these files and is identified as Exhibit 8.

Budget Division: Appropriations Bill Statutory Report (Blue #9)

The Board was advised that, at each step in the appropriations process, the Budget Division is required by law to submit several reports to the next body then considering the appropriations bill.

The Board was provided with the required report on the House of Representatives version of the 1987-88 appropriations bill and was advised that similar reports on Board recommendations and on Ways and Means Committee recommendations also are available.

The Board received as information the Budget Division statutory report on the appropriations bill as passed by the House of Representatives.

Information relating to this matter has been retained in these files and is identified as Exhibit 9.

Committee on Cultural Affairs: Old Exchange Building Commission (B #10)

The Board was advised that the Joint Legislative Committee on Cultural Affairs has met with the Old Exchange Building Commission and proposes to conduct a study of the Commission. The objective of the study is to determine a possible course of action for the Commission including the desirability of merging the Commission with another existing State agency. The study will include:

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1. determining of agency's mission;

2. drafting plans for a collections/exhibit policy and marketing strategy;

3. determining feasibility of assumption of some administrative functions by a larger State agency in the Charleston area;

4. examining enabling legislation to determine if changes in membership structure are necessary;

5. contacting Senate members to add $5,000 to the recurring budget and $20,000 in non-recurring funds during FY 1987-88.

The Board received as information a report that the Joint Legislative Committee on Cultural Affairs will conduct a study of the Old Exchange Building Commission to determine a possible course of action for the Commission.

Information relating to this matter has been retained in these files and is identified as Exhibit 10.

Executive Director: Regulation Document #671 (Fire Extinguishers) (B#11)

The Board was advised that, in response to the request of Senate Medical Affairs Committee Chairman J. Verne Smith, staff asked the presiding officers of the Senate and the House that Regulation Document #671, relating to Portable and Fixed Station Fire Extinguishers, be withdrawn temporarily.

The Board received as information the staff report that Regulation Document #671 relating to fire extinguishers had been withdrawn temporarily in response to the request of the Senate Medical Affairs Committee.

Information relating to this matter has been retained in these files and is identified as Exhibit 11.

College of Charleston: Foreign Travel (Blue #12)

The Board was advised that the College of Charleston cancelled its request for approval of the travel of Professor M. Alpha Bah to London and Paris.

The College noted that Professor Bah was awarded a fellowship by the University of Florida's Institute for African Studies which will require a

commitment of time that will conflict with the scheduled trip to London and Paris.

College of Charleston Controller Bolchoz pointed out that the decision to cancel the request was made before the Board's action at the March 24 meeting to carry over consideration of it.

The Board received as information a report that the College of Charleston has withdrawn its request for approval of the travel of Professor M. Alpha Bah to London and Paris due to a schedule conflict.

Information relating to this matter has been retained in these files and is identified as Exhibit 12.

Executive Director: Interviewee Travel Expense Reimbursement (Blue #13)

The Board was advised of the following payments of interviewee travel expenses:

Agency	Number	Estimated Cost
(a) Francis Marion College	3	850.72
(b) College of Charleston	12	1,369.85
(c) Parole and Community Corrections	3	1,090.84
(d) Mental Health	5	2,425.00
(e) Clemson University	16	4,493.45

The Board received as information reports on the reimbursement of interviewee travel expenses by Francis Marion College (3) and College of Charleston (12), Parole and Community Corrections (3), Mental Health (5), and Clemson University (16).

Information relating to this matter has been retained in these files and is identified as Exhibit 13.

Local Govt.: Environmental Challenge/State Support for Infrastructure (R#2)

Appearing before the Board on this matter were Local Government Division Director Michael Gulledge; Department of Health and Environmental Control

Commissioner Michael Jarrett and staff members Mike Caughman and Mike Rowe; and Water Resources Commission Executive Director Alfred Vang.

In the agenda materials, the Board was provided with a report entitled "Meeting the Environmental Challenge in South Carolina, State Support for Infrastructure Needs" prepared by the Division of Local Government with the assistance of the Water Resources Commission, DHEC and the Development Board.

The report expresses the view that the State's water and sewer systems are the lifelines of economic development. It reviews the several financial assistance sources now available and finds them not adequate to meet needs.

The report concludes that the failure to maintain and expand water supply and wastewater treatment facilities will result in serious long-term economic development costs. It also says local communities will face increasing pressures to identify and explore financial alternatives.

Mr. Gulledge reviewed briefly the major points in the report and noted that he had realized last year that a serious lack of coordination in this area existed.

Commissioner Jarrett pointed out that DHEC has administered a water and sewer grant program since 1972. In that time, he said that some $550 million of projects, mostly sewer, had been funded. He said that the program has operated with almost no complaints and that it is highly regulated by the federal EPA. It deals with existing environmental problems, giving priority to the worst cases first, he said. Mr. Jarrett said that the DHEC program had not experienced any audit exceptions and no funds had been lost.

Mr. Jarrett said that the State must convert what had been a grant program to a revolving loan program by next year. He noted that proposed legislation to accomplish this has been drafted. He observed that the economic growth issue as it relates to infrastructure greatly affects homebuilders. He pointed out a need to address the matching funds question by including them in the 1988-89 budget or the State could lose the $87.5 million to be available from the federal government over the next several years.

Mr. Caughman advised Governor Campbell that those federal funds would have to be matched by 20% State funds.

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Governor Campbell commended the group appearing before the Board for their efforts to coordinate activities in this area, noting in particular the leadership of Mr. Gulledge. He said that leveraging the available funds is extremely responsible and he noted that his Office is participating and assisting in this effort with the funds available to it.

Mr. Gulledge noted that regulations for the revolving fund program are needed by January 1988.

Mr. Morris was advised that the required State matching in the first year will be about $2.8 million and that a total of $17 million in one-time matching funds would be needed over the five-year period. The State amount required, he was advised, would vary from year to year but would average about $2.2 million.

Governor Campbell expressed the view that it is imperative that we put ourselves in position to take advantage of the opportunity presented by the federal program as it now stands.

Mr. Patterson observed that the 20% State, 80% federal matching arrangement makes the program a good investment for the State and he urged that the matching funds needed be made available.

Mr. Gulledge said that Development Board Director Mac Holladay would have been here except for a conflicting engagement in Greenville. He said that Mr. Holladay considers water and sewer facilities vitally important to the effort to attract industry to South Carolina.

Mr. Gulledge described South Carolina's development efforts as being like a three-legged stool with education and highway improvement being two of the three and with infrastructure being the third. He said without the needed infrastructure, South Carolina youth are likely to get educated and then get on the improved highways to leave.

Mr. Vang observed that funding flexibility is a key need, noting that the Thurmond Institute has pointed out that about a third of the public water systems in the State will be in financial difficulty within five years. He said that a regional approach to water appears to be more cost effective.

Mr. Morris noted the projected $1.3 billion total municipal sewage needs for the State's population in 2005 in the report and asked where those funds are to come from.

Mr. Gulledge said the total need is estimated at between $2 billion and $3 billion and that storm drainage needs, which are of increasing concern to EPA, would increase the total substantially if added. He noted that a number of funds could be created, observing that some states have loan programs and some have established bond banks.

Governor Campbell noted that a Water Resources Coordinating Council, designed to help package funding from several sources such as EDA, EPA and Community Development Block Grant, had been established. Mr. Patterson pointed out that legislation to establish a State bond bank had been proposed and Governor Campbell said that approach would make possible packaging bond financing with the prospect of lower interest rates.

Mr. Gulledge said we are applying band aids where we need to do major surgery and he called attention to a story in a recent issue of Readers Digest on gasoline contamination of water supplies in which the Myrtle Beach area was cited as one of three areas discussed.

Mr. Patterson said that the Tax Reform Act of 1986 curtails the effectiveness of the bond bank proposal because it restricts the use of cushion and reserve funds. Governor Campbell noted it does not preclude the packaging of bonds and the better rates possible through that approach.

Mr. Morris asked if the Board should recommend the bond bank to the General Assembly.

Governor Campbell again referred to the value of the coordinating council and he commended Mr. Gulledge for his work with legislators to sensitize them on better uses of the local government grant funds. He said we can coordinate the grant funds we now have available which are not adequate but we need to get the revolving loan fund established, perhaps with a sliding scale of interest, so that a payback is provided. He said we are very much in need of an infrastructure bond bank. Mr. Morris asked if the Board should take an action in support of the idea. Governor Campbell noted that the leaders of

the key committees are here. Senator Dennis commented that it is an excellent concept if the funds needed can be found and Mr. McLellan noted that a version passed the House last year.

Governor Campbell observed that the $17 million of matching funds needed for the revolving loan fund can be put into the budget over time and that the proposed bond bank would not require a direct appropriation.

Mr. Patterson suggested setting the bond bank up initially with a $50 million limit which could be revisited by the General Assembly within a year or two to determine if it worked.

Following this discussion, upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board unanimously adopted generally the principles and recommendations in the March 1987 Division of Local Government report entitled "Meeting the Environmental Challenge in South Carolina, State Support for Infrastructure Needs."

Information relating to this matter has been retained in these files and is identified as Exhibit 14.

Election Commission: Uniform Electronic Voting System (Regular #3)

Election Commission Executive Director James B. Ellisor appeared before the Board to report on the progress of the initial stage of the uniform electronic voting system and on plans for further implementation.

The Board was reminded in the agenda materials that, in 1986, it had approved a State Election Commission plan to implement a uniform electronic voting system for the State. Capital Improvement Bond funding for the initial stage in the amount of $984,810 was approved by the General Assembly in Act 538 of 1986. These funds were scheduled by the Bond Review Committee and the Board so they were available prior to the 1986 general election.

Board members also were reminded that staff early in March launched the process for gathering five-year permanent improvement plan updates which will include requests for additional capital improvement bond authorizations for consideration by the General Assembly in 1988 and that later in the year it will hear details on those agency requests as a part of the process of making its recommendations for funding.

At the meeting, Mr. Ellisor reported on progress made on the system, noting that he would be back later to seek additional funding for it.

He said that the new electronic voting system was used in six counties (Berkeley, Charleston, Colleton, Dorchester, Fairfield and Greenwood) for the first time in the 1986 general election. Five of those counties acquired the needed equipment on a 70% State, 30% local basis, he said, with Charleston County purchasing its system with its own funds. He said that election was the most successful one in the history of these counties in terms of easy voter use, accurate vote totals and early election night reporting of the results. He said 347 new voting machines were used and that the results were in by 9:30 election night, a record never before met.

Mr. Ellisor said the plan is to convert the counties using paper ballots first and then the remaining counties. With a Statewide system in place, he said it would be possible to have total, accurate, fraud-proof results in by 9:30 p.m. election night. He said there is no way the system can be tampered with.

He said that one machine for each 500 registered voters had been found to be a practical level but that legislation may require one for each 350 voters. He indicated that remaining costs of a Statewide system, at the one $4,400 machine to 500 level, would be about $14.1 million of which $9.9 million would be State cost under his funding formula with the $4.2 million balance being county costs.

With the entire system in place, Mr. Ellisor estimated that savings of $300,000 per year could be realized on printing alone. He also said the system could be used in every election because of its relatively low cost. He noted that thirty to thirty-five elections of one kind or another are held every month in the State.

In response to Governor Campbell's inquiry about the Election Commission's expectations regarding running primary elections for political parties for a rental charge, Mr. Ellisor said that he does expect that will happen. He cited a recent Charleston County experience in which both parties used the same machines in the election to fill the State Senate seat formerly held by Mr. Arthur Ravenel following his election to the Congress.

Mr. Patterson asked about the method of transmitting results to Columbia and about redundancy features to protect the votes cast. Mr. Ellisor said that the voting is recorded in five different locations with virtually no possibility that the results could be lost. He said that the recording cartridges can be read instantaneously and that they cannot be erased. Mr. Patterson recalled that a rocket launched recently from Cape Canaveral apparently was struck by lightning which destroyed its guidance system. Mr. Ellisor said a direct hit on a voting machine likely would result in a loss of data but he pointed out that the system has twenty-four hours of back-up power capability.

Governor Campbell commended the project described by Mr. Ellisor, noting that its effect is to expedite the voting process and thereby encourage participation. He said also that there is nothing greater than the sanctity of the ballot.

Senator Dennis commented that a study of the history of Charleston County and its use of paper ballots may give a basis for considering a different system.

Mr. Morris said he felt last year that the State needed to go to a Statewide basis with the electronic system. He said that with the many different systems now being used which are subject to fraud we could have contested elections. Mr. Ellisor said five different systems now are in use on Statewide elections which makes them very difficult to prepare for. Mr. Morris said that many counties now using paper ballots are moving to other types of systems and Mr. Ellisor said that, without State help, many counties would never have gone to an automated system.

Following this discussion, the Board received as information Election Commission Executive Director Ellisor's progress report on the initial stage of the uniform electronic voting system project and his plans for further implementation.

Information relating to this matter has been retained in these files and is identified as Exhibit 15.

General Services: CCI Relocation Study Committee (Regular #4)

General Services Division Director Richard M. Kelly appeared before the Board on this matter and he presented the following recommendations of the working committee on CCI relocation:

1. Site Selection Criteria

a. Should be about 150 to 200 acres in size. Shape of parcels to be reviewed will determine final size.
b. Strong preference for site served by a municipal water and sewer facility with capability of supplying a minimum of 145,000 gallons per day and to treat a minimum of 120,000 gallons of sewage per day (with treatment capacity to yield a BOD of 600 to 700 parts per million and total suspended solids of 600 to 700 parts per million).
c. Appropriate consideration should be given to existing community facilities near the sites reviewed.
d. Locations to be considered should be in the following order of preference:
   (1) State-owned property within a 40-mile radius of the present CCI; then
   (2) Non-state-owned property within a 30-mile radius of the present CCI.

2. Site Selection Committee

The following membership was recommended:

a. Department of Corrections	Nelson Meek Doug Catoe
b. General Services	Jack Sprott
c. Budget Division	Alan Kincaid
d. Ways and Means Committee	Susan Hooks

3. RFP Committee

The following membership was recommended:

a. Department of Corrections	Nelson Meek
b. General Services	Marcia Szerlip
c. State Treasurer's Office	Mike Ey
d. Ways and Means Committee	Susan Hooks
e. Governor's Office	Mark Elam
f. Reorganization Commission	Kenny Long
g. Comptroller General's Office	George Lusk
h. Outside legal counsel	to be named

Mr. Kelly noted that the committee had not yet received the RFP developed by the Reorganization Commission CCI Relocation Task Force. With regard to the outside legal counsel question which he estimated could cost as much as $100,000, he said that he had been working with the Department of Corrections on the funding for these services.

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Governor Campbell commended the CCI Relocation Study Committee for its work. He questioned whether the Department of Corrections should pay $100,000 for outside legal counsel. He asked why the Attorney General's Office couldn't handle this work and indicated his intention to discuss the need for outside legal counsel with the Attorney General.

Mr. Kelly said that outside counsel was considered because of the workload on available State attorneys.

Mr. Patterson moved that the recommendations of the study committee, with the exception of item 3h in the agenda materials, relating to outside legal counsel, be adopted by the Board. His motion was seconded by Mr. Morris.

Senator Dennis noted that a representative of the Senate Finance Committee is conspicuously absent from the Site Selection Committee and the RFP Committee. The Board agreed that Finance Committee representation should be added, with the designee to be named by Senator Dennis, and Mr. Kelly acknowledged that a Senate Finance Committee representative would be added to each committee.

Following this discussion, Mr. Patterson accepted the modification of his motion to include Senate Finance Committee representation on the two committees, the Board, in relation to the possible relocation of the Central Correctional Institution, approved the following after Governor Campbell indicated an intention to discuss the need for outside legal counsel with Attorney General Medlock:

1. Site Selection Criteria

a. Should be about 150 to 200 acres in size. Shape of parcels to be reviewed will determine final size.
b. Strong preference for site served by a municipal water and sewer facility with capability of supplying a minimum of 145,000 gallons per day and to treat a minimum of 120,000 gallons of sewage per day (with treatment capacity to yield a BOD of 600 to 700 parts per million and total suspended solids of 600 to 700 parts per million).
c. Appropriate consideration should be given to existing community facilities near the sites reviewed.
d. Locations to be considered should be in the following order of preference:
   (1) State-owned property within a 40-mile radius of the present CCI; then
   (2) Non-state-owned property within a 30-mile radius of the present CCI.

2. Site Selection Committee	
a. Department of Corrections	Nelson Meek, Doug Catoe
b. General Services	Jack Sprott
c. Budget Division	Alan Kincaid
d. Ways and Means Committee	Susan Hooks
e. Senate Finance Committee	to be named
3. RFP Committee	
a. Department of Corrections	Nelson Meek
b. General Services	Marcia Szerlip
c. State Treasurer's Office	Mike Ey
d. Ways and Means Committee	Susan Hooks
e. Governor's Office	Mark Elam
f. Reorganization Commission	Kenny Long
g. Comptroller General's Office	George Lusk
h. Senate Finance Committee	to be named

Information relating to this matter has been retained in these files and is identified as Exhibit 16.

Department of Corrections: Safe and Reasonable Operating Capacity (R#5)

The Board was advised in the agenda materials that, in accord with Code Section 24-3-2050, the Department of Corrections has certified that the safe and reasonable operating capacity of its facilities will increase from 9,308 to 9,388 effective April 1, 1987, as a result of renovations to existing buildings as follows:

40 beds at Central Correctional Institution
48 beds at Catawba Work Release Center
4 beds at Manning Correctional Institution
-12 beds at Wateree River Correctional Institution (Unit Management conversion to provide space for staff who must have direct access to inmates)
80 beds, net

The Department advised that it had 10,802 inmates in its facilities as of March 31, which was 1,494 above the 9,388 safe and reasonable operating capacity. The 10,802 figure is an increase of 981 inmates over the number at the same date last year. The Department projects that the inmate population will increase by almost 1,300 next fiscal year.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board, in accord with Code Section 24-3-2050, certified 9,388 as the safe and reasonable

operating capacity of the facilities of the Department of Corrections effective April 1, 1987.

Information relating to this matter has been retained in these files and is identified as Exhibit 17.

Department of Corrections: Request for Additional Prison Facilities (R6)

Appearing before the Board on this matter were Board Secretary/Deputy Executive Director William A. McInnis and Budget Division staff members Charles E. Shaw and Alan Kincaid.

In the agenda materials, the Board was provided with a reconciliation of key data which compared the Department of Corrections' February 1987 request for additional prison faiclities (1,826 new beds at a cost of $84.3 million) presented to the Board on March 24 with its revised request (1,434 new beds at a cost of $54.3 million) of April 8.

The Board was advised that, on April 7, the Board of Corrections approved the double-celling of 208 cells of 100 square feet each at the Marlboro and the Allendale institutions, both of which are under contract and in the very early stages of construction. The Department has indicated that the required changes to its prototypical design to enlarge 208 cells to 100 square feet can be paid for within the funds authorized for these projects but the 208 added inmates will cause an increase in operating costs at each institution.

The agenda materials noted that the 416 beds gained in this way, coupled with an additional 208 beds to be gained by applying this concept to a requested facility (also being increased from 600 to 808 beds), would make it possible for the Department to withdraw one of the two previously-requested 600-bed facilities which were estimated to cost $30 million each. Those materials also indicated that total operating costs associated with the proposed additional facilities dropped from $27.2 million to $19.1 million.

The Board was advised in the agenda materials of the effect of the Department's revised request on the currently-approved priority group release schedule. It was pointed out that a problem is posed in 1987-88 if the $85 million allocation level is to be maintained for that fiscal year.

The Board was also furnished with a staff recommendation for each of the four facilities requested by the Department of Corrections as follows: (1) approve an 808-bed medium security prison at an estimated cost of $30 million, contingent upon the outcome of the State's efforts to gain approval of double-celling in certain new facilities; (2) approve the 240-bed, minimum security prison for females at an estimated cost of $10.5 million; (3) not approve the $1,800,000 funding request for a 50-bed, maximum security addition at Kirkland but authorize the project with funding to come from balances from previously-authorized projects; and (4) approve the 336-bed minimum security prison for males at an estimated cost of $12 million.

Dr. Coles indicated that Mr. McInnis would coordinate the staff presentation on this matter. Mr. McInnis noted that the Department's request has changed rather substantially for a variety of reasons. He introduced Budget Division staff members Charles Shaw and Alan Kincaid and noted that they had worked closely on this item.

Mr. Shaw began reviewing the materials he and Mr. Kincaid had developed and which were before the Board. He noted that the Department's total request is now $54.3 million as contrasted with the February request $84.3.

He noted that the Board of Corrections had approved changing 208 cells at the Allendale and Marlboro facilities now under contract from 73 square feet to 100 square feet and, in that way, gained 208 bed spaces which the Department says can be financed within the funds already available for these projects.

Governor Campbell noted that the 73 square foot cell size versus the 50 square foot cell size issue had been brought to Commissioner Leeke's attention. He also observed that Mr. Leeke now is saying that his Department is negotiating to get permission to double-cell in the 73 square foot cells. He asked if the State isn't weakening its position in those negotiations by going in now and changing some of the cells in the two prisons under construction to 100 square feet. Mr. Wilson said that Governor Campbell raised a good point. Governor Campbell said he has serious concerns about this approach and he expressed the view that the Department of Corrections is whipsawing the Board.

Governor Campbell said if we now change to 100 square feet it is an admission up front that we can do that. He said this shift undermines the Department of Corrections' credibility and he said it lacks credibility with the Chairman of this Board.

Mr. McLellan observed that it is imprudent to authorize any changes if the Deparment is meeting with the mediator.

At Governor Campbell's request, upon a motion by Mr. Patterson, seconded by Mr. McLellan, the Board carried over consideration of staff recommendations on the Department of Corrections request for additional facilities.

Information relating to this matter has been retained in these files and is identified as Exhibit 18.

Retirement Systems: Health Insurance (July 1987 - December 1988) (R #7)

Retirement Systems Division Director Purvis Collins appeared before the Board on this matter.

The Retirement Systems Division advised the Board in the agenda materials that the House of Representatives approved a 16% rate increase for the employer's portion of the State Health Insurance Program for fiscal year 1987-88 as was recommended by the Board.

In addition, the Division advised that actuarial consultant of Towers, Perrin, Forster and Crosby, Mr. Mark Peavy, has recommended that the Board institute a $150 per occurrence in-hospital deductible to replace the current $15 per day deductible for the first ten days of hospitalization.

The Division recommended that the Board approve a 16% rate increase for the employee portion of the program on the condition that this increase also is approved by the Senate and is in the appropriations bill as signed by the Governor. The Division also recommended approval of the change in benefits of a $150 in-hospital deductible.

At the meeting, Mr. Collins said that the House had approved a 16% increase in the employer portion of the health insurance program. He said a like increase for employees is proposed. He also noted that if the proposed rates are approved the program could operate without further change for the next eighteen months.

Mr. Morris noted that the in-hospital deductible represents a 1000% increase.

Mr. McLellan said he had been led to believe that if the Retirement System received an $18 million appropriation it would be able to maintain the present coverage in the system. Mr. Collins commented that three alternatives had been discussed with Mr. Kirsh. Mr. McLellan noted that a 14% increase for the health insurance program and a 10% increase for the dental program was included in the $18 million figure. Mr. Collins said that was not what was discussed with Mr. Kirsh and he said that no increase in the dental program was discussed. Mr. McLellan noted that the proposal would involve spending more but would also cut back on the coverage.

Mr. Collins advised that claims and premiums are running about even. He indicated that the reserve now is $20 million, which is equivalent to approximately 1½ months of claims. He noted that the Division's consultant anticipates a 13% to 15% increase in claims.

Mr. Morris expressed the view that the deductible should not be changed in view of the 16% increase. Mr. Collins said he didn't like the situation either but he observed that with the cafeteria plan State employees would not notice much difference.

Mr. Morris then moved that the Board not approve the proposed $150 in-hospital deductible.

Mr. Collins indicated in response to Governor Campbell that, with the requested changes, the insurance program would gain approximately $7 million per year. He said the average hospital stay is seven days. He pointed out that the Division is advised on these matters by a user committee which he said feels that the proposed changes are reasonable to maintain the program.

Mr. McLellan said the proposal is confusing in view of the $7 million gain from the in-hospital deductible and the added $16 million which provide a $23 million increase for the program while the claims experience is said to be improving.

Mr. Collins said that adverse selection by HMOs impact the program. He also said that the proposal covers an 18-month period. He pointed out that

retiree costs are not pre-funded and that they represent some $2 billion of unfunded liability. Unpaid claims amount to more than $20 million, he said.

Mr. Collins advised Mr. Patterson that not approving the changes recommended could put the program in the red.

Following this discussion, Mr. Morris moved again that the request for a change in benefits to a $150 in-hospital deductible be denied. His motion was seconded by Mr. McLellan.

Dr. Coles noted that the program under discussion is self-insured and he asked if Mr. Collins could not come back after six months to advise the Board on the situation. Mr. Collins said again that the employee user committee had approved the changes proposed and he said he had wanted employees to know what the rate is for the 18-month period.

After further discussion, Mr. Morris amended his motion to deny for a six-month period the request for a change in benefits to a $150 in-hospital deductible. He later withdrew the amendment.

Mr. Collins indicated that this is the first time an 18-month agreement has been proposed and noted that the purpose of the 18-month agreement is to get on a calendar year basis. He advised that information relating to health insurance and HMOs must be distributed to State employees next month so that they may elect a coverage plan beginning July 1. He again noted that the user committee had expressed no big disagreement about the proposed changes.

Mr. McLellan noted that an adverse selection situation may be created by the change in the in-hospital deductible. Mr. Collins responded that the consultant feels the change is necessary to make the program sound.

Governor Campbell questioned whether the adjustment should be on a per day basis.

Mr. Morris noted that 16% represents a substantial increase for State employees.

Following the discussion, Mr. Morris and Mr. McLellan voted for Mr. Morris' motion to deny the request that the in-hospital deductible be changed from $15 per day to $150 per hospitalization. Governor Campbell, Mr. Patterson and Senator Dennis voted against the motion.

Senator Dennis indicated that he feels he must vote for the Director's recommendation which is based on the consultant's recommendation.

Upon a motion by Senator Dennis, seconded by Mr. Patterson, the Board, as recommended by the Retirement Systems: (a) approved a 16% rate increase for the employee portion of the State Health Insurance Program to match the employer portion increase approved by the House, on the condition that this amount is approved by the Senate and is in the appropriations bill as signed by the Governor; and (b) approved a change in program benefits to require a $150 in-hospital deductible. Mr. Morris and Mr. McLellan voted against the motion.

Information relating to this matter has been retained in these files and is identified as Exhibit 19.

[Secretary's Note: Senator Dennis excused himself from the meeting at this point.]

Local Government: Rural Improvement Funds Requests (Regular #8)

Local Government Division Director Mike Gulledge appeared before the Board on this matter.

The Division of Local Government advised that it has received the following requests for rural improvement funds:

(a) Anderson County, $50,000 to assist in funding the infrastructure (water and sewer lines) improvements at the new Clemson Research Park. The total cost of the project is $1,000,000 ($694,000 ARC, $256,000 Anderson County, $50,000 rural improvement funds). The $50,000 grant will be 50% Senate and 50% House funds.

The Division recommended approval of this request.

(b) Town of Williston, $125,000 to make necessary improvements to water system (currently under DHEC Consent Order 85-65-WS). The total cost of the project is $125,000. The grant will be 100% Senate funds.

The Division recommended that the Board approve a grant of $50,000 for this project.

Upon a motion by Mr. Patterson, seconded by Mr. McLellan, the Board approved the following grants of rural improvement funds as recommended by the Divison of Local Government: (a) $50,000 to Anderson County; and (b) $50,000 to the Town of Williston.

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Information relating to this matter has been retained in these files and is identified as Exhibit 20.

Budget Division: Personal Service Funds Transfer, Youth Services (R #9)

The Budget Division recommended approval of the Department of Youth Services request to transfer $448,013 of general fund personal service funds to other operating expenses to avoid a projected deficit in the operating budget and to provide basic levels of care and security to juveniles in custody.

Upon a motion by Mr. Patterson, seconded by Mr. Morris, the Board authorized the Department of Youth Services to transfer of $448,013 of general fund personal service funds to other operating expenses to avoid an operating deficit.

Information relating to this matter has been retained in these files and is identified as Exhibit 21.

Budget Division: Transfer Requests, Youth Services (Regular #10)

Appearing before the Board on this matter was Assistant DYS Commissioner Norwood I. Church.

The Budget Division recommended approval of the Department of Youth Services request to transfer $162,951 from the Emotionally Handicapped Project to other agency programs to avoid an operating deficit.

The Division advised that the Department was appropriated $400,000 for the Emotionally Handicapped Project, a special line item in the 1986-87 Appropriation Act. The Department has determined that it will be able to spend approximately $237,049 for the Project and requests authorization to transfer the balance.

The Division advised that, because approval of this request would change legislative intent, Board approval is required.

Mr. McLellan questioned if the request doesn't indicate that the program is over-funded. In response, Mr. Church said that the funds can't be spent this year because it was started late.

Mr. Wilson advised that unanimous Board approval is required in this instance. Dr. Coles noted that the funds are on a specific line item in the appropriations act.

Upon a motion by Mr. Patterson, seconded by Mr. Morris, the Board by unanimous vote of those present authorized the Department of Youth Services to transfer $162,951 from the Emotionally Handicapped Project to other agency programs to avoid an operating deficit.

Information relating to this matter has been retained in these files and is identified as Exhibit 22.

Development Board: Moving Expenses (Regular #11)

The State Development Board by letter requested Board approval to pay the moving expenses of Ronald A. Young, a Spartanburg resident, who has accepted the position of Manager of Enterprise Development.

The Development Board advised that Mr. Young, a South Carolinian, was directing a similar initiative on the local level in Spartanburg and that he has the needed depth of understanding of the business formation process.

Development Board Director Holladay by letter indicated that, as a result of accepting the position with the Development Board, Mr. Young's income was reduced by $30,000 and that he had to phase out his Spartanburg construction company to relocate to Columbia.

Because of the income reductions Mr. Young faced, the Board was advised that the Development Board made a commitment to include his moving expenses in his employment offer. That Board now asks Budget and Control Board permission to follow through on that commitment to pay $5,000 of Mr. Young's moving expenses.

The Board was advised that Code Section 8-11-135 authorizes State agencies to pay the cost of moving the personal and household effects for newly-employed personnel if five conditions are met, one of which is that the new employee's place of residence at the time of employment is outside of the State of South Carolina.

Dr. Coles reviewed the request at the meeting and added that staff of the Comptroller General's Office rightly had refused to pay the voucher from the Development Board for this purpose. He added that the Development Board in this request is appealing the matter to the Board.

Mr. Wilson advised that Code Section 8-11-135 provides for the payment of moving expenses for person living out of State at the time of employment. He said he found no legal authority for the payment of moving expenses within the State, but he indicated that there were other ways in which the Development Board could handle the commitment.

Following a discussion, the Board took no action on the request by the State Development Board for Budget and Control Board approval of the reimbursement of $5,000 of the moving expenses of Ronald A. Young, a Spartanburg resident, who has accepted the position of Manager of Enterprise Development, and referred the matter back to the Development Board for its handling. The Board asked Mr. Wilson to pursue the matter.

Dr. Coles noted that staff is pulling together a list of things to alert agency head on and that this subject would be added to the list.

Information relating to this matter has been retained in these files and is identified as Exhibit 23.

Commission on Higher Education: Interviewee Expense Reimbursement (R#12)

Acting Commission on Higher Education (CHE) Executive Director Charles Brooks appeared before the Board on this matter.

The CHE by letter requested approval to pay airfare expenses of approximately $900 incurred by Mrs. Warren Fox, spouse of the individual CHE to whom CHE had offered the executive director position.

On February 13, 1987, Mr. and Mrs. Fox flew from Reno to Columbia at the request of Mrs. Nelle Taylor, Commission Chairperson. Mr. Fox was interviewed by the Commission for the position of Executive Director. Mrs. Fox was asked to accompany Mr. Fox so that she could look around Columbia to see if she were willing to move here if Mr. Fox were offered the position.

Mrs. Taylor had informed Mr. Fox that the Commission would pay Mrs. Fox's airfare and motel expenses.

The Board was advised that there is no State law on the subject of interviewee travel reimbursement. The Board's policy on the subject says that travel expenses, within the limits applicable to State employees, may be paid to any individual being considered for employment. Though the policy does not call for Board approval of reimbursement payments, it does require agencies making such payments to advise the Board of them.

Dr. Coles reviewed the item at the meeting and noted that the Board could exempt this request from the limits of its policy if it were to choose to do so.

Mr. McLellan suggested to Dr. Coles that this be added to the agency head alert list Dr. Coles is preparing. He expressed sympathy with agencies because of turnover.

Mr. Patterson suggested that CHE be asked to withdraw the request because it does not fit the Board's policy. Dr. Coles again noted that the Board could exempt this request.

Mr. Wilson advised that there is no legal impediment for this payment as the Board has the authority to grant an exemption from its policy.

Governor Campbell observed that, if the Board grants the exemption, it ought to sent notice that this after-the-fact action was taken because the CHE presumably acted in ignorance of the Board policy and to admonish the CHE in the future to apply in advance for such approval.

Following a discussion, upon a motion by Mr. Morris, seconded by Mr. McLellan, the Board authorized the Commission on Higher Education to reimburse Mrs. Warren Fox for the airfare expenses of approximately $900 she incurred accompanying her husband at CHE's request from Reno, Nevada to Columbia when he was being interviewed for the CHE executive director position, with this authorization being an exemption from the Board's interviewee travel reimbursement policy.

Information relating to this matter has been retained in these files and is identified as Exhibit 24.

Executive Director: Private Activity Bonds; Allocations (Regular #13)

Board Secretary/Deputy Executive Director William A. McInnis appeared before the Board on this matter.

The Board was advised that the required reviews on the following proposals to issue revenue bonds had not yet been completed and was asked to approve them on the condition that the required reviews are completed with satisfactory results.

The Board was also advised that each of the projects requires approval under State law and that an allocation of a portion of the Ceiling is requested for projects (a) and (b).

Upon a motion by Mr. Patterson, seconded by Mr. Morris, the Board approved the following proposals to issue revenue bonds, on the condition that the required reviews are completed with satisfactory results, and allocated a portion of the State ceiling to projects (a) and (b):

(a) Issuing Authority: Family Farm Development Authority
Amount of Issue: $200,000 Agricultural Development Revenue Bond
Name of Project: John F. Long (location: Newberry)
Project Description: Construct one brooder house and two growout houses for turkey production
Maturity Schedule: Annual installments of principal and interest at 8% per annum for a period of seven years
Bond Purchaser: Phillip Morris Credit Corporation
(Exhibit 25)

(b) Issuing Authority: Spartanburg County
Amount of Issue: $2,000,000 Industrial Revenue Note
Name of Project: M&M Chevrolet, Inc.
Employment Impact: approximately 100
Project Description: Manufacture transmissions and other automobile components; fabricate passenger car and truck bodies
(Exhibit 26)

(c) Issuing Authority: Greenville County
Amount of Issue: $3,050,000 Hospital Revenue Bonds (REFUNDING)
Name of Project: St. Francis Community Hospital Medical Office Building
Project Description: Office facilities for hospital staff members and physicians
(Exhibit 27)

The Board received as information the status report on the State Ceiling as of April 8, 1986, year elapsed 27%, (Exhibit 28) which showed:

	CY 1987 Ceiling	Allocated	(%)	Not Allocated	(%)
State Pool	$101,340,000	$ 10,000,000	(10%)	$ 91,340,000	(90%)
Local Pool	152,010,000	3,563,850	(2%)	148,446,150	(98%)
Total	$253,350,000	$ 13,563,860	(5%)	$239,786,150	(95%)

Information relating to this matter has been retained in these files and is identified as Exhibits 25 through 28, respectively.

Laurens County: Allocation Extension (Dispoz-o Plastics Project) (R #14)

Board Secretary/Deputy Executive Director William A. McInnis appeared before the Board on this matter.

Bond counsel Nancy Page advised by letter that, on January 29, 1987, the Board had approved a $750,000 Laurens County Industrial Development Revenue Note on behalf of the Dispoz-o Plastics, Inc., project and tentatively allocated $750,000 of the State ceiling to the project. The tentative allocation will expire on April 29, 1987.

Ms. Page advised that NCNB South Carolina has committed to purchase the Note, but the parties involved wish to postpone the closing until mid-May to allow the company to complete a corporate reorganization.

Pursuant to Section 7(c) of Executive Order 87-01, bond counsel requested an extension of the allocation period for an additional 31 days.

Upon a motion by Mr. Patterson, seconded by Mr. Morris, the Board, in accordance with Section 7(c) of Executive Order 87-01, granted a 31-day extension to the life of the tentative State ceiling allocation of $750,000 to Laurens County Dispoz-o Plastics, Inc., project.

Information relating to this matter has been retained in these files and is identified as Exhibit 29.

Human Resource Management: Leave/Workers' Compensation Benefits (R #15)

The Division of Human Resource Management advised that, in accord with Section 8-11-145, the Board approves a revised formula and table to be used by

State agencies in coordinating sick and annual leave with Workers' Compensation benefits. The Division recommended that the Board approved a revised table reflecting the recent increase in Workers' Compensation payments.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board, in accord with Section 8-11-145, approved a revised formula and table to be used by State agencies in coordinating sick and annual leave with Workers' Compensation benefits.

Information relating to this matter has been retained in these files and is identified as Exhibit 30.

General Services: Emergency Asbestos Abatement Loan (Regular #16)

General Services Division Director Richard M. Kelly appeared before the Board on this matter.

The Board was advised that the Division of General Services is implementing an emergency asbestos abatement program consisting of asbestos sample testing (by DHEC) and employee asbestos awareness and handling training (by General Services). Testing cost is estimated at $50,000 and training cost is estimated at $150,000.

The Division proposes to finance these efforts by borrowing $200,000 from the Insurance Reserve Fund.

The Board was reminded that a proviso in the 1986-87 Appropriations Act (Section 16, Page 196) empowers the Board to authorize loans from funds available in the Installment Purchase Program and the Insurance Reserve Fund for emergency asbestos abatement. Such loans must be repaid on a schedule determined by the Board unless they are funded by the General Assembly.

Dr. Coles advised Board members that the request had been revised from $200,000 to $100,000.

Following a brief discussion, upon a motion by Mr. Patterson, seconded by Mr. Morris, the Board authorized a $100,000 loan from the Insurance Reserve Fund to the Division of General Services for an emergency asbestos abatement program.

Information relating to this matter has been retained in these files and is identified as Exhibit 31.

General Services: Wildlife Dept. Land Purchase, Greenville County (R#17)

General Services Division Director Richard M. Kelly and staff member Jack Sprott appeared before the Board on this matter.

The Division of General Services advised that the Department of Wildlife and Marine Resources proposes to purchase 58.56 acres of land in Greenville County to be dedicated as a heritage preserve (Bunched Arrowhead Preserve). The property is valued at $152,000 and the Property Management Office has reviewed and approved this value. The total project budget is $162,000. The $10,000 difference is for appraisal and legal work and other related matters.

The Board was advised that this request had been approved by the Joint Bond Review Committee on February 7, 1987.

Mr. McInnis advised that the $10,000 difference in the total project budget and the property value is the amount budgeted for legal services and other contingencies.

Following a brief discussion, upon a motion by Mr. McLellan, seconded by Mr. Patterson, the Board authorized the Department of Wildlife and Marine Resources to purchase a 58.56 acre tract in Greenville County for $152,000, to be dedicated as the Bunched Arrowhead Preserve (project #P24-9314).

Information relating to this matter has been retained in these files and is identified as Exhibit 32.

General Services: Employment Security Commission Land Purchase (R#18)

General Services Division Director Richard M. Kelly appeared before the Board on this matter.

The Division of General Services advised that the 1986 bond bill included funds for the Employment Security Commission to establish 18 local offices. The Board was advised that:

(a) The Employment Security Commission executed a contract of sale, contingent upon Board approval, to purchase approximately 1.25 acres on Summitt Drive in Liberty from Hitt and Associates for $31,500. The property has been appraised at $32,000. The Property Management

Office has reviewed the appraisal and agrees with it. A permanent improvement project (#9294) was approved on September 23, 1986, for this purchase.

(b) The Employment Security Commission proposes to purchase a 6-acre tract on the east side of Radio Station Road just outside the city limits of Seneca for $22,000. The property has been appraised at $25,000; the Property Management Office estimates the value of the property to be $23,750. ESC is getting only the useable portion of the 6-acre tract and is dropping off the rear, unuseable portion appraised at no value.

The Board was advised that the request is for approval of the real property transaction in accord with Code Section 1-11-65 as a separate action apart from the prior approval of these permanent improvement projects. Efforts are in process to combine these approvals into a single step.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board authorized the Employment Security Commission to purchase (a) 1.25 acres of land on Summitt Drive in Liberty from Hitt and Associates for $31,500; and (b) the useable part of a 6-acre tract identified on Oconee County tax maps as part of Lot 58, Block 4, page 223, on the east side of Radio Station Road just outside the city limits of Seneca for $22,000.

Information relating to this matter has been retained in these files and is identified as Exhibit 33.

General Services: Regulation 19-445.2150, Supply Management (Reg. #19)

General Services Division Director Richard M. Kelly appeared before the Board on this matter.

The Division of General Services advised that, at the meeting on February 19, 1987, the Board had approved publication of a Notice of Drafting Period for revised regulations relating to surplus property which would provide an expanded statewide surplus property program.

The Division advised that the proposed changes should enable the State to increase effectiveness of its surplus property program. The program has generated $2,468,017 in sales this fiscal year to date.

Under the proposed program, State agencies and political subdivisions could participate in the buying end of the program on a priority basis. This

would result in reduced costs of acquisition and prolonged useful life of State assets. Due primarily to a lack of warehousing facilities, the existing State surplus property program has focused on the disposition of surplus property through public auction rather than through sale to other governmental bodies.

The Division advised that the proposed regulations provide detailed procedures for reporting and transferring surplus property; eligibility criteria for entities participating in the program; determination of sales prices, terms and conditions for use of the property; disposition cycles and priorities for program participation; and inventory and accounting systems.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board authorized the submission for publication in the April State Register of proposed permanent regulations relating to the statewide surplus property program administered by the Division of General Services.

Information relating to this matter has been retained in these files and is identified as Exhibit 34.

General Services: Piedmont Technical College Procurement Certification (R20)

The Board was advised that the Division of General Services, in accord with Section 11-35-1210, has audited Piedmont Technical College and recommends its certification within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, in accord with Section 11-35-1210, the Board granted procurement certification to Piedmont Technical College within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services,

consultants, and information technology in accordance with the approved Information Technology Plan.

Information relating to this matter has been retained in these files and is identified as Exhibit 35.

General Services: Midlands Technical College Procurement Certification (R21)

The Board was advised that the Division of General Services, in accord with Section 11-35-1210, has audited Midlands Technical College and recommends its certification within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board, in accord with Section 11-35-1210, granted procurement certification to Midlands Technical College within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

Information relating to this matter has been retained in these files and is identified as Exhibit 36.

Clemson University: Foreign Travel (Regular #22)

Clemson University requested approval of the travel of James F. Barker, Dean of the College of Architecture, to Genoa, Italy during April (probably 24-30) to visit the Charles Daniel Center for Building Research and Urban Studies - Graduate Program for the College of Architecture. The cost of the travel is estimated to be $1,200 and will be paid from State-appropriated funds.

010290

The Board was provided with a statement of justification for the use of State-appropriated funds for this travel.

Upon a motion by Mr. Morris, seconded by Mr. Patterson, the Board approved the travel of James F. Barker, Dean, College of Architecture, Clemson University, to Genoa, Italy during April at an estimated cost of $1,200 to be paid from State-appropriated funds.

Information relating to this matter has been retained in these files and is identified as Exhibit 37.

Budget and Control Board: Future Meeting

The Board agreed to hold a regular meeting at 9:30 a.m. on Tuesday, April 28, 1987, in the Governor's conference room in the State House.

Adjournment

Upon a motion by Mr. Patterson, seconded by Mr. Morris, the meeting was adjourned at 11:50 a.m.

[Secretary's Note: In compliance with Code §30-4-80, public notice of and the agenda for this meeting were posted on bulletin boards in the office of the Governor's Press Secretary and in the Press Room in the State House, near the Board Secretary's office in the Wade Hampton Building, and in the lobby of the Wade Hampton Office Building at 3 p.m. on Friday, April 10, 1987.]

EXHIBIT

APR 14 1987 NO. 1

STATE BUDGET AND CONTROL BOARD AGENDA

STATE BUDGET & CONTROL BOARD

MEETING OF April 14, 1987 ITEM NUMBER 1

AGENCY: Health and Human Services Finance Commission

SUBJECT: Status of Medicaid Program

Health and Human Services Finance Commission Executive Director Caldwell advised at the time the Board's agenda was being prepared that his agency was then reviewing March 1987 expenditure data for the Medicaid Program. He said that review will be the basis for the next update to the Board on the previously-projected shortfall.

Mr. Caldwell indicated that the impact of March expenditure data would be assessed by April 14 and that he would advise Dr. Coles accordingly.

If the assessment is available, it will be presented at the meeting.

BOARD ACTION REQUESTED:

Consider

ATTACHMENTS:

Caldwell April 8 letter to McInnis

010292

APR 8 1987

State of South Carolina

State Health And Human Services Finance Commission

William T. Putnam, Chairman

DISTRICT 1
Elise Davis - McFarland, Ph. D.

DISTRICT 2
Edward C. Roberts

DISTRICT 3
T. Ree McCoy, Jr.

Dennis Caldwell, Executive Director

DISTRICT 4
Robert E. Robards, MD

DISTRICT 5
Billy F. Pigg

DISTRICT 6
James L. Pasley, Jr.

P. O. Box 8206, Columbia, South Carolina 29202-8206

April 8, 1987

EXHIBIT

APR 14 1987 NO. 1

STATE BUDGET & CONTROL BOARD

Mr. William A. McInnis
Secretary
State Budget and Control Board
P.O. Box 12444
Columbia, SC 29211

Dear Mr. McInnis:

We are currently reviewing March 1987 expenditure data for the Medicaid Program. That review will be the basis for our next update to the Budget and Control Board concerning our continuing strategy to address the shortfall we had previously projected. Between now and the time of the next B&CB meeting on April 14th, we will be able to assess the impact of the March expenditure data and we propose to advise Dr. Jesse Coles accordingly. I hope that will be satisfactory.

If, in the meanwhile, you have additional questions, please do not hesitate to call Kit Smith, Chief of Planning, Research & Budgeting at 253-6142.

Sincerely,

Dennis Caldwell
Executive Director

DC:lst

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APR 1 3 1987

State of South Carolina

State Health And Human Services Finance Commission

William T. Putnam, Chairman

DISTRICT 1
Elise Davis McFarland, Ph. D.

DISTRICT 2
Edward C. Roberts

DISTRICT 3
T. Ree McCoy, Jr.

Dennis Caldwell, Executive Director

DISTRICT 4
Robert E. Robards, MD

DISTRICT 5
Billy F. Pigg

DISTRICT 6
James L. Pasley, Jr.

P. O. Box 8206, Columbia, South Carolina 29202-8206

April 13, 1987

EXHIBIT

APR 14 1987 NO. 1

STATE BUDGET & CONTROL BOARD

Dr. Jesse A. Coles, Jr., Executive Director
State Budget and Control Board
P.O. Box 12444
Columbia, SC 29211

Dear Dr. Coles:

We have reviewed the Medicaid Program expenditure data for March 1987 and find that expenditures are tracking closely with the projected expenditures, as reflected in our most recent projections provided to the Budget and Control Board. Within the regular Medicaid Program, 75 percent of the year having elapsed, expenditures are at 75.09% of available funding.

Enclosed is a copy of the "Analysis of Medical Care" report for March. A representative from the Finance Commission will be available at the Budget and Control Board meeting on April 14 to discuss this material should questions arise.

Please let me know if you have any questions.

Sincerely,

Dennis Caldwell
Executive Director

DC:bst

cc: Mr. William A. McInnis
Dr. A. Baron Holmes, IV

010294

EXHIBIT

APR 14 1987 NO. 1

STATE BUDGET & CONTROL BOARD

Division of Budgeting
April, 1987

ANALYSIS OF MEDICAL CARE
FY 1986-87

Service	FY 1986-87 Appropriation	(4) FY 1986-87 Adjusted Appropriation	(1)(2) CURR MO Actual MAR 87	(1)(2) FY 1986-87 YTD Actual MAR 87	FY 1985-86 YTD Actual MAR 86	FY 1986-87 YTD % Appropriation	(3) Projected Expenditures FY 1986-87	FY 1986-87 Anticipated Surplus/(Defici
Hospital Inpatient	$105,264,173	$109,709,649	$9,866,708	$84,337,677	$59,492,797	76.87%	$110,260,795	($551,146)
Hospital Outpatient	12,816,551	13,372,568	1,005,373	9,668,310	9,318,746	72.30%	13,372,568	0
Hospital Total	118,080,724	123,082,217	10,872,081	94,005,987	68,811,543		123,633,363	(551,146)
Nursing Homes	101,349,030	91,304,000	7,243,881	69,805,594	70,773,949	76.45%	91,304,000	0
Physician Services	32,763,099	35,091,439	3,493,736	26,031,436	20,126,678	74.18%	35,091,439	0
Dental Services	7,331,046	5,078,602	421,298	3,038,193	2,560,754	59.82%	5,078,602	0
Drugs	31,194,728	35,457,494	3,160,344	25,194,444	21,792,227	71.06%	35,457,494	0
Supply and DME	3,340,545	3,378,105	352,547	2,644,190	2,109,851	78.27%	3,378,105	0
Home Health Services	2,895,647	3,559,852	419,347	2,805,926	2,491,572	78.82%	3,559,852	0
AFDC Screening	2,253,532	1,969,543	186,265	1,480,282	970,744	75.16%	1,969,543	0
Optometrist	1,021,684	714,848	64,229	577,783	512,075	80.83%	714,848	0
Podiatrist	172,793	124,720	17,206	92,913	67,636	74.50%	124,720	0
Transp. & Ambulance	5,402,953	6,497,569	952,230	4,409,654	2,540,026	67.87%	6,497,569	0
Family Planning	2,876,753	2,130,097	199,960	1,682,364	1,359,174	78.98%	2,130,097	0
SMI Regular	10,638,665	11,541,000	1,007,854	8,517,106	7,123,805	73.80%	11,541,000	0
SMI MAO	1,653,507	1,673,000	145,468	1,219,540	1,044,467	72.90%	1,673,000	0
Medical Needy (SHHSFC)					11,071,959			
Total Med. Pymts. HHSFC	320,974,706	321,602,486	28,536,446	241,505,412	213,355,860	75.09%	322,153,632	(551,146)
Community Long Term Care	8,781,989	9,860,029	865,788	6,429,492	2,755,856	65.21%	9,860,029	
Dept of Mental Health	27,890,000	27,890,000	3,222,253	19,136,359	13,275,280	68.61%		
DHEC-Other	656,872	656,872	57,102	481,715	386,911	73.33%		
Dpt. of Mental Retardation	81,563,673	81,563,673	15,185,937	61,745,462	49,085,161	75.70%		
USC Woodrow ICF	452,298	452,298	80,289	310,980	231,604	68.76%		
Total Other Programs	119,344,832	120,422,872	19,411,369	88,104,008	65,734,812			
TOTAL MEDICAL PAYMENTS	440,319,538	442,025,358	47,947,815	329,609,420	279,090,672			
Medical Assistance Mgmt:								
Community Long Term Care	4,690,000	4,709,124	740,570	2,621,062	2,762,105	55.66%		
Medical Mgmt. SHHSFC	2,864,731	4,239,451	279,136	2,091,002	2,155,728	49.32%		
Medical Contracts	15,128,188	17,998,521	1,146,297	7,486,696	10,100,484	41.60%		
TOTAL MEDICAL MGMT.	22,682,919	26,947,096	2,166,003	12,198,760	15,018,317			
TOTAL MEDICAL PROGRAM	$463,002,457	$468,972,454	50,113,818	$341,808,180	$294,108,989			

FOOTNOTES: (1) Several sources of expenditure data were used and are subject to reconciliations (Assistance payments data - MMIS and Medical Management data - CB report). (2) Expenditures are net amounts. Prior year adjustments, recoveries, and refunds are not reflected in this report. Co-insurance and deductibles, general assistance, and refunds and cancellations are included in each item. (3) Projected expenditures are based on most recent estimate by Program Staff in light of historical trends and program adjustments. (4) Adjusted appropriation includes all actions as presented to the B&CB and strategy for the Medicaid Shortfall - FY 1986-87.

010295

EXHIBIT

APR 14 1987 NO. 2

STATE BUDGET AND CONTROL BOARD

STATE BUDGET & CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 2

AGENCY: The Citadel

SUBJECT: Fee Increase Notification

The Citadel, in accord with a proviso in Part I, Section 17 of the Appropriations Act, advises that the Board of Visitors has approved an increase in college fees for academic year 1987-88 (to be effective as of the start of the fall semester) as follows:

	1986-87	1987-88
Number of Cadets, Estimated (same number in-State and out-of-State)	1,900	1,900
In-State College Fee	$1,455	$1,702
Increase		247
Out-of-State College Fee	$3,330	$3,896
Increase		566
Estimated Revenue to be Generated	$4,545,750	$5,318,100

BOARD ACTION REQUESTED:

Receive as information notice of a $247 in-State and a $566 out-of-State college fee increase by The Citadel Board of Visitors.

ATTACHMENTS:

Grimsley April 6 letter to Coles

010296

EXHIBIT

APR 14 1987 NO. 2

STATE BUDGET & CONTROL BOARD

SECTION 17
COMMISSION ON HIGHER EDUCATION

Provided, Further, That all colleges and universities must notify the Ways and Means Committee, the Senate Finance Committee, the State Budget and Control Board, and the Joint Appropriations Review Committee of any fee increase within ten days of the approval of the increase by the respective board of trustees of the college or university. The notification must provide:

(1) The current amount of fee per student, the estimated, or if available, the actual amount of revenue to be generated by the current fee;

(2) The amount of fee increase approved, the new per-student fee, the effective date of the new fee, an estimate of the number of students to be assessed the new fee, and the total estimated revenue to be generated by the new fee.

Provided, Further, That Higher Education institutions shall continue to support and fund desegregation activities within the allocations made to each agency.

Provided, Further, That of the funds appropriated herein, not more than $750 must be used to make grants to South Carolina residents enrolled in an accredited institution outside the State in a program leading to a degree in meteorology. The amount awarded to any such student must be $750, the payment for the grant must be made directly to the institution for the account of the grantee.

Provided, Further, That the amount appropriated in this section for "State Grants Program" must be used to make grants to South Carolina residents (1) enrolled in an accredited institution in a program leading to an associate or higher degree, which program is not offered in this State but is offered by some state-supported institution in the United States, or (2) enrolled in an accredited institution outside the State in the fields of medicine or podiatry, or (3) enrolled in an accredited institution outside the State in a program leading to a certificate or degree in the field of mortuary science; *Provided,* Further, That the amount awarded to any student is limited to the lesser of

010297

THE CITADEL
THE MILITARY COLLEGE OF SOUTH CAROLINA
CHARLESTON, SOUTH CAROLINA 29409-0100
(803) 792-5012

OFFICE OF
THE PRESIDENT

RECEIVED
APR 9 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

6 April 1987

EXHIBIT
APR 14 1987 NO. 2
STATE BUDGET & CONTROL BOARD

Mr. Jesse A. Coles, Jr., Executive Director
Budget and Control Board
P. O. Box 1244
Columbia, South Carolina 29211

Dear Mr. Coles,

Reference is made to Section 17 of the State of South Carolina General Appropriations Act of 1986-87 which requires notification of college fee increases.

The Citadel Board of Visitors has approved an increase in college fees for academic year 1987-88. In compliance with the referenced section of the 1986-87 Appropriations Act, the following information is provided:

I. Full Time Cadets, Day Students, and Veteran Students Current Academic Year 1986-87 Data:

. Estimated number of cadets	1,900
. Equal number of in-state and out-of-state cadets	
. In-State cadet college fee	$1,455
. Out-of-State cadet college fee	$3,330
. Total estimated revenue to be generated	$4,545,750

II. Full Time Cadets, Day Students, and Veteran Students Projected Academic Year 1987-88 Data:

. Estimated number of cadets	1,900
. Equal number of in-state and out-of-state cadets	
. In-State cadet college fee	$1,702
. Out-of-State cadet college fee	$3,896
. Total estimated revenue to be generated	$5,318,100

III. Effective Date:

. All fee changes will be as of the start of the fall semester, 1987-88.

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CORRECTION CORRECTION

CORRECTION CORRECTION

CORRECTION CORRECTION

EXHIBIT

APR 14 1987 NO. 2

STATE BUDGET & CONTROL BOARD

SECTION 17
COMMISSION ON HIGHER EDUCATION

Provided, Further, That all colleges and universities must notify the Ways and Means Committee, the Senate Finance Committee, the State Budget and Control Board, and the Joint Appropriations Review Committee of any fee increase within ten days of the approval of the increase by the respective board of trustees of the college or university. The notification must provide:

(1) The current amount of fee per student, the estimated, or if available, the actual amount of revenue to be generated by the current fee;

(2) The amount of fee increase approved, the new per-student fee, the effective date of the new fee, an estimate of the number of students to be assessed the new fee, and the total estimated revenue to be generated by the new fee.

Provided, Further, That Higher Education institutions shall continue to support and fund desegregation activities within the allocations made to each agency.

Provided, Further, That of the funds appropriated herein, not more than $750 must be used to make grants to South Carolina residents enrolled in an accredited institution outside the State in a program leading to a degree in meteorology. The amount awarded to any such student must be $750, the payment for the grant must be made directly to the institution for the account of the grantee.

Provided, Further, That the amount appropriated in this section for "State Grants Program" must be used to make grants to South Carolina residents (1) enrolled in an accredited institution in a program leading to an associate or higher degree, which program is not offered in this State but is offered by some state-supported institution in the United States, or (2) enrolled in an accredited institution outside the State in the fields of medicine or podiatry, or (3) enrolled in an accredited institution outside the State in a program leading to a certificate or degree in the field of mortuary science; *Provided,* Further, That the amount awarded to any student is limited to the lesser of

010297

SECTION 17
COMMISSION ON HIGHER EDUCATION

$750 per fiscal year or one-third of the tuition and fees charged; *Provided,* Further, That the payment for each grant which is approved must be made directly to the institution for the account of the grantee.

Provided, Further, That of the funds appropriated herein, an amount up to $750 per student may be expended for the retroactive reimbursement of students from South Carolina who were enrolled in a program leading to a degree or certificate in mortuary science during the 1985-86 school year, but for whom funds were not available during FY 1985-86 to reimburse the education institution directly.

Provided, Further, That the funds appropriated herein for Out of State School of the Arts must be expended for an SREB Contract Program, administered by the Commission, which will offset the difference between the out-of-state cost and in-state cost for artistically talented high school students at the North Carolina School of the Arts.

Provided, Further, That before any local area higher education commission may dispose of any real property, the approval of the Budget and Control Board must be obtained.

SECTION 18
HIGHER EDUCATION TUITION GRANTS COMMITTEE

	Total Funds	General Funds
I. ADMINISTRATION		
A. ADMINISTRATION		
PERSONAL SERVICE:		
DIRECTOR	$ 34,451	$ 34,451
	(1.00)	(1.00)
CLASSIFIED POSITIONS	84,505	84,505
	(6.00)	(6.00)
OTHER PERSONAL SERVICE:		
TEMPORARY POSITIONS	1,000	1,000
PER DIEM	1,050	1,050
TOTAL PERSONAL SERVICE	121,006	121,006
OTHER OPERATING EXPENSES:		
* CONTRACTUAL SERVICES	26,410	26,410
* SUPPLIES AND MATERIALS	9,900	9,900

010298

THE CITADEL
THE MILITARY COLLEGE OF SOUTH CAROLINA
CHARLESTON, SOUTH CAROLINA 29409-0100
(803) 792-5012

OFFICE OF
THE PRESIDENT

RECEIVED
APR 8 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

6 April 1987

EXHIBIT
APR 14 1987 NO. 2
STATE BUDGET & CONTROL BOARD

Mr. Jesse A. Coles, Jr., Executive Director
Budget and Control Board
P. O. Box 1244
Columbia, South Carolina 29211

Dear Mr. Coles,

Reference is made to Section 17 of the State of South Carolina General Appropriations Act of 1986-87 which requires notification of college fee increases.

The Citadel Board of Visitors has approved an increase in college fees for academic year 1987-88. In compliance with the referenced section of the 1986-87 Appropriations Act, the following information is provided:

I. Full Time Cadets, Day Students, and Veteran Students Current Academic Year 1986-87 Data:

. Estimated number of cadets	1,900
. Equal number of in-state and out-of-state cadets	
. In-State cadet college fee	$1,455
. Out-of-State cadet college fee	$3,330
. Total estimated revenue to be generated	$4,545,750

II. Full Time Cadets, Day Students, and Veteran Students Projected Academic Year 1987-88 Data:

. Estimated number of cadets	1,900
. Equal number of in-state and out-of-state cadets	
. In-State cadet college fee	$1,702
. Out-of-State cadet college fee	$3,896
. Total estimated revenue to be generated	$5,318,100

III. Effective Date:

. All fee changes will be as of the start of the fall semester, 1987-88.

010299

It should be noted that the fee increase does not begin to offset the projected shortfall in state appropriations. Based upon the funding level approved by the House of Representatives, The Citadel will be short $1.5 million of the funding deemed essential by the Commission on Higher Education just to continue current levels of operation. I have identified an additional $600,000 of priority requirements such as our new Honors Program, library support, implementation of approved programs, and emergency equipment replacements. Even after the student fee increase noted above, The Citadel will have to absorb reductions of approximately $1.4 million.

I also wish to note that the average increase for all fees at The Citadel (college fees, room, board, laundry, dry cleaning, laboratory fees, books, uniforms, personal items, and haircuts) is only 8.5 per cent and ranges from 6.5 per cent for an in-state freshman to ten per cent for an out-of-state senior. An out-of-state student will pay $2,634 more than an in-state student.

For your information, The Citadel Board of Visitors indicated that, in its judgment, the fee increases noted above are both essential and prudent. However, the Board of Visitors also stated that it will review this revised fee schedule if the General Assembly is able to fully fund higher education's requirement as represented by the Commission on Higher Education formula.

Sincerely,

James A. Grimsley, Jr.
Major General, USA, Retired
President

jag/mp

EXHIBIT

APR 1 4 1987 NO. 2

CONTROL BOARD

010300

EXHIBIT

APR 14 1987 NO. 3

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 3

AGENCY: General Services

SUBJECT: Right-of-way Easements

The Division of General Services recommends approval of two easements from the South Carolina Agricultural Marketing Commission (State Farmers Market) and the State to the South Carolina Electric and Gas Company along Bluff Road to enable SCE&G to relocate utility lines due to the widening of Bluff Road.

The Division recommends that SCE&G be allowed to begin work immediately following Board approval (prior to executing and recording the easement documents).

BOARD ACTION REQUESTED:

Concur and acquiesce in the South Carolina Agricultural Marketing Commission (State Farmers Market) grant a right-of-way easement and approve a second easement from the State to the South Carolina Electric and Gas Company along Bluff Road to enable SCE&G to relocate utility lines due to the widening of Bluff Road; and authorize SCE&G to begin work immediately following Board approval (prior to executing and recording the easement documents).

ATTACHMENTS:

Agenda item worksheet and referenced easements

010301

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

X Blue Agenda
___ Regular Session Agenda
___ Executive Session Agenda

1. Submitted By:
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard M. Kelly

2. Subject: Two easements for relocation of SCE&G utility poles necessitated by the widening of Bluff Road.

3. Summary Background Information: Easements from the South Carolina Agricultural Marketing Commission (State Farmers Market) and the State of South Carolina are requested by SCE&G along Bluff Road. SCE&G has easements for its utility lines but needs to relocate these due to the widening of Bluff Road. Both easements have been reviewed and approved as to form by the Office of the Attorney General.

EXHIBIT

APR 14 1987 NO. 3

STATE BUDGET & CONTROL BOARD

4. What is Board asked to do?
Consent to the granting of these two easements and consent to The commencement of work by SCE&G immediately following Board consent although prior to the formal execution of the easement documents and recordation thereof.

5. What is recommendation of the Board Division involved?

Same as Item #4

6. Recommendation of other office (as required)?

(a) Office Name _____ (b) Authorized Signature _____

7. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Original and copy of easement from SC Agricultural Marketing Commission	
2. Original and copy of easement from the State of S.C.	
3. Blue prints showing relocation of utility poles.	

010302

INDENTURE, made this _____ day of _____, 1987 by and between South Carolina Agricultural Marketing Commission (State Farmers Market)

of the City of Columbia, County of Richland and State of South Carolina (hereinafter called Grantors), and the SOUTH CAROLINA ELECTRIC & GAS COMPANY, a South Carolina corporation, having its principal office in Columbia, South Carolina (hereinafter called Grantee).

WITNESSETH:

That, in consideration of the sum of One Dollar ($1.00) received from Grantee, Grantors, being the owners of land situate in the County of Richland, State of South Carolina, hereby grant and convey to Grantee, its successors and assigns, the right to construct, extend, replace, relocate, perpetually maintain and operate an electric line or lines consisting of any or all of the following: poles, conductors, overhead and underground lightning protective wires, municipal, public, or private communication wires, underground cables, conduits, transformer pads, guys, push braces and other accessory apparatus and equipment deemed by Grantee to be necessary therefor, upon, over, across, through, and under land described as follows: a tract ~~xxxx~~ of land containing 50.8 acres, more or less, and being the same lands conveyed to grantor by deed ~~xxxx~~ of S. B. Forsythe and Ruth Parks Forsythe dated December 22, 1949 and recorded in the R. M. C. ~~Xxxxx Xxxxx~~ Office for Richland County in Deed Book No. 46, at Page 247.

Right-of-Way to enter Grantor's land at Grantor's northwest property corner and extend in a southeasterly direction along and parallel to Grantor's northerly property boundary to National Guard Road. Also, right-of-way to enter Grantor's land at Grantor's northeast property corner and extend in a southwesterly direction along and parallel Grantor's easterly boundary for 630 feet, more or less.

EXHIBIT

APR 14 1987 NO. 3

~~STATE BUDGET & CONTROL BOARD~~

Together with the right from time to time to install on said line such additional lines, apparatus and equipment as Grantee may deem necessary or desirable and the right to remove said line or any part thereof.

Together also with the right to lay, construct, maintain, operate, repair, alter, replace and remove pipe lines, together with valves, tieovers and appurtenant facilities for the transportation of gas, oil petroleum products or any other liquids, gases or substances which can be transported through a pipe line.

Together also with the right from time to time to trim, cut or remove trees, underbrush and other obstructions that are within, over, under or through a strip of land extending ten (10) feet on each side of the center of said lines, cables, conduits, or pipes as they may be located now or in the future; provided, however, any damage to the property of Grantors (other than that caused by trimming, cutting or removing) caused by Grantee in maintaining or repairing said lines, shall be borne by Grantee; provided further, however that Grantors agree for themselves, their successors and assigns, not to build or allow any structure to be placed on the premises in such a manner that any part thereof will exist within the above specified number of feet of any wire strung on the said lines or over any such cables, pipes, conduits, or other associated facilities, and in case such structure is built, then the Grantor, or such successor and assign as may be in possession and control of the premises at the time, will promptly remove the same upon demand of the Grantee herein. Together also with the right of entry upon Grantors' said lands for all of the purposes aforesaid.

Grantee's rights shall be subject to the lien of the mortgage indenture dated January 1, 1945 and supplements thereto, entered into between Grantee and Central Hanover Bank and Trust Company (now Manufacturers Hanover Trust Company) which mortgage indenture is recorded in the office of the R. M. C. or Clerk of Court in the County and State aforesaid.

The words "Grantors" and "Grantee" shall include their heirs, executors, administrators, successors and assigns, as the case may be.

IN WITNESS WHEREOF, Grantors have caused this indenture to be duly executed the day and year first above written.

WITNESS:

S. C. Agricultural Marketing Commission

(State Farmers Market) (SEAL)

by: (SEAL)

(SEAL)

(SEAL)

Approved as to Form.
Kenneth C. Woodyat
Attorney General's Office

010303

RW-4-E-G—SC (Rev. 11-85)

Approved by State Budget and Control Board April 14, 1987

Jesse A. Coles, Jr., Ph.D., Executive Director

EXHIBIT

APR 14 1987 NO. 3

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA,
County of _____ } ss

Personally appeared before me _____
and made oath that he saw the within named _____
_____ sign, seal and
as his act and deed deliver the within easement for the uses and purposes therein mentioned, and that he with _____
_____ in the presence of each other, witnessed the due execution thereof.

Sworn to before me this _____
day of _____ A. D., 19____

_____
Notary Public for S. C.

STATE OF SOUTH CAROLINA,
County of _____ } ss

Personally appeared before me _____
and made oath that he saw the within named _____
_____ sign, seal and
as his act and deed deliver the within easement for the uses and purposes therein mentioned, and that he with _____
_____ in the presence of each other, witnessed the due execution thereof.

Sworn to before me this _____
day of _____ A. D., 19____

_____
Notary Public for S. C.

STATE OF SOUTH CAROLINA,
_____ County.

Personally appeared before me _____
and made oath that _____ saw the within named S. C. A. M. C. (State Farmers Market)
by the hand of _____ sign, affix the
corporate seal, and as the act and deed of said corporation deliver the within written instrument for the uses and purposes therein mentioned, and that _____ with _____ witnessed the execution thereof and
subscribed their names as witnesses thereto.

Sworn to and subscribed before me this _____
day of _____ A. D., 19 87

_____ (L. S.)

_____
Notary Public for S. C.

Line Bluff Rd. Pole Relocation

County Richland

RIGHT OF WAY GRANT

R/W File No. 5956 Block No. 118/ 4

S. C. Agricultural Marketing Commission
(State Farmers Market)

by: _____

TO

South Carolina Electric & Gas Company

Dated _____, 19 87

Received in the Clerk's Office of the County of _____, South Carolina, on the _____ day of _____, A. D. 19____, at _____ o'clock in the _____ noon and recorded in Book _____ of Deeds for said County on Page _____.

010304

INDENTURE, made this _____ day of _____, 19 87 by and between State of South Carolina

of the City of Columbia, County of Richland and State of South Carolina (hereinafter called Grantors), and the SOUTH CAROLINA ELECTRIC & GAS COMPANY, a South Carolina corporation, having its principal office in Columbia, South Carolina (hereinafter called Grantee).

WITNESSETH:

That, in consideration of the sum of One Dollar ($1.00) received from Grantee, Grantors, being the owners of land situate in the County of Richland, State of South Carolina, hereby grant and convey to Grantee, its successors and assigns, the right to construct, extend, replace, relocate, perpetually maintain and operate an electric line or lines consisting of any or all of the following: poles, conductors, overhead and underground lightning protective wires, municipal, public, or private communication wires, underground cables, conduits, transformer pads, guys, push braces and other accessory apparatus and equipment deemed by Grantee to be necessary therefor, upon, over, across, through, and under land described as follows: a tract ~~or lot~~ of land containing 25.0 acres, more or less, and being the same lands conveyed to grantor by deed ~~of~~ of S. B. Forsythe and Ruth Parks Forsythe, dated July 17, 1951, and recorded in the R. M. C. ~~Probate Judge's~~ Office for Richland County in Deed Book No. 76, at Page 522.

Right-of-Way to enter Grantor's land from the land of Challer Foods, Inc. formerly Pet Dairies and extend in a southeasterly direction along and parallel to Grantor's northerly property boundary to the land of Citizen and Southern National Bank of South Carolina.

EXHIBIT

APR 14 1987 NO. 3

STATE BUDGET & CONTROL BOARD

Together with the right from time to time to install on said line such additional lines, apparatus and equipment as Grantee may deem necessary or desirable and the right to remove said line or any part thereof.

Together also with the right to lay, construct, maintain, operate, repair, alter, replace and remove pipe lines, together with valves, tieovers and appurtenant facilities for the transportation of gas, oil petroleum products or any other liquids, gases or substances which can be transported through a pipe line.

Together also with the right from time to time to trim, cut or remove trees, underbrush and other obstructions that are within, over, under or through a strip of land extending ten (10) feet on each side of the center of said lines, cables, conduits, or pipes as they may be located now or in the future; provided, however, any damage to the property of Grantors (other than that caused by trimming, cutting or removing) caused by Grantee in maintaining or repairing said lines, shall be borne by Grantee; provided further, however that Grantors agree for themselves, their successors and assigns, not to build or allow any structure to be placed on the premises in such a manner that any part thereof will exist within the above specified number of feet of any wire strung on the said lines or over any such cables, pipes, conduits, or other associated facilities, and in case such structure is built, then the Grantor, or such successor and assign as may be in possession and control of the premises at the time, will promptly remove the same upon demand of the Grantee herein. Together also with the right of entry upon Grantors' said lands for all of the purposes aforesaid.

Grantee's rights shall be subject to the lien of the mortgage indenture dated January 1, 1945 and supplements thereto, entered into between Grantee and Central Hanover Bank and Trust Company (now Manufacturers Hanover Trust Company) which mortgage indenture is recorded in the office of the R. M. C. or Clerk of Court in the County and State aforesaid.

The words "Grantors" and "Grantee" shall include their heirs, executors, administrators, successors and assigns, as the case may be.

IN WITNESS WHEREOF, Grantors have caused this indenture to be duly executed the day and year first above written.

WITNESS:

J. Warren Langston III

Mark R. Elam

Approved as to form.
Kenneth P. Woodington
Attorney General's Office

State of South Carolina

by: Carroll A. Campbell, Jr. (SEAL)

(SEAL)

(SEAL)

(SEAL)

010305

RW-4-E-G—SC (Rev. 11-85)

EXHIBIT

APR 14 1987 NO. 3

STATE OF SOUTH CAROLINA,
County of _____ } ss

Personally appeared before me STATE BUDGET & CONTROL BOARD
and made oath that he saw the within named _____
_____ sign, seal and
as his act and deed deliver the within easement for the uses and purposes therein mentioned, and that he with _____
_____ in the presence of each other, witnessed the due execution thereof.

Sworn to before me this _____ }
day of _____ A. D., 19____ }

_____
Notary Public for S. C.

STATE OF SOUTH CAROLINA,
County of _____ } ss

Personally appeared before me _____
and made oath that he saw the within named _____
_____ sign, seal and
as his act and deed deliver the within easement for the uses and purposes therein mentioned, and that he with _____
_____ in the presence of each other, witnessed the due execution thereof.

Sworn to before me this _____ }
day of _____ A. D., 19____ }

_____
Notary Public for S. C.

STATE OF SOUTH CAROLINA,
RICHLAND County. }

Personally appeared before me Mark Elam
and made oath that he saw the within named State of South Carolina
by the hand of CARROLL A. CAMPBELL JR. sign, affix the
corporate seal, and as the act and deed of said corporation deliver the within written instrument for the uses and purposes therein
mentioned, and that he with J. Warren Tompkins witnessed the execution thereof and
subscribed their names as witnesses thereto.

Sworn to and subscribed before me this 14th }
day of April, A. D., 19 87 }

Mark R Elam

Faye Adkins (L. S.)
My Commission Expires 3-21-95
Notary Public for S. C.

Line Bluff Rd. Pole Relocation

County Richland

RIGHT OF WAY GRANT

R/W File No. 5956 Block No. 118/4

State of South Carolina
by:

TO

South Carolina Electric & Gas Company

Dated _____, 19 87

Received in the Clerk's Office of the County
of _____,
South Carolina, on the _____
day of _____, A. D. 19____,
at _____ o'clock in the _____ noon
and recorded in Book _____ of Deeds
for said County on Page _____.

010306

EXHIBIT

APR 14 1987 NO. 4

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 4

AGENCY: General Services

SUBJECT: Fuel Ethanol Blends Produced in South Carolina

Pursuant to Code Section 12-27-431, the Board must determine if any fuel ethanol blends are produced in South Carolina by April 1, 1987. The tax incentive authorized in Code Section 12-27-430 which sets the tax on fuel ethanol blends at 7¢ per gallon until 1992 or until loss of revenue (presumably as compared with the 13¢ per gallon tax on gasoline) reaches $20 million is not to be effective unless the Board determines that fuel ethanol blends are produced in South Carolina by April 1, 1987.

The Division of General Services, in an effort to identify fuel ethanol blend production facilities in South Carolina, has contacted the South Carolina Energy Office, the House Agriculture Committee, and the S. C. Department of Agriculture. The Division has determined that there is only one facility producing alcohol fuel blends in the State.

Carolina Corn Processors operates a 2.5 million gallon per year fuel grade ethanol-producing facility at the former Agri-Penn plant in Kingstree. The fuel is sold in Charlotte, North Augusta and Spartanburg to companies that blend the ethanol with gasoline.

The Department of Corrections has operated a 200,000 gallon per year production facility at its Wateree Farms facility. The plant is not currently producing, but the Department of Corrections is trying to lease the plant to a private company that would initiate a commercial operation.

BOARD ACTION REQUESTED:

Receive as information a Division of General Services report that Carolina Corn Processors operates a 2.5 million gallon per year fuel grade ethanol producing facility at the former Agri-Penn plant in Kingstree.

ATTACHMENTS:

Kelly April 1 letter to Frampton

010307

RECEIVED
APR 1 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2140

CARROLL A. CAMPBELL, JR.
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

ROBERT N. McLELLAN
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D
EXECUTIVE DIRECTOR

RICHARD W. KELLY
DIVISION DIRECTOR

EXHIBIT
APR 14 1987 NO. 4
STATE BUDGET & CONTROL BOARD

April 1, 1987

Mr. E. Gregorie Frampton
Executive Director
S.C. Tax Commission
301 Gervais Street
Columbia, S.C. 29201

Dear Greg:

Pursuant to section 12-27-431 of the Code of Laws of South Carolina, 1976, as amended, the Budget and Control Board should determine if any fuel ethanol blends are produced in South Carolina on April 1, 1987.

In an effort to identify any or all such facilities in South Carolina, we have contacted the South Carolina Energy Office, the House Agriculture Committee and the S.C. Department of Agriculture. From this investigation it appears that there is only one facility currently producing alcohol fuel blends in the State. We are advised that Carolina Corn Processors, operates a 2.5 million gallon per year fuel grade ethanol producing facility at the former Agri-Penn plant in Kingstree, S.C. Further, we understand the fuel is sold in Charlotte, North Augusta and Spartanburg, South Carolina to companies that blend the ethanol with gasoline.

In addition, the Department of Corrections has operated a 200,000 gallon per year production facility at their Wateree Farms facility. The plant is not currently producing; however, the Department of Corrections is trying to lease this plant to a private company who would initiate a commercial operation.

010308

EXHIBIT

APR 14 1987 NO. 4

STATE BUDGET & CONTROL BOARD

Mr. Greg Frampton
Tax Commission
April 1, 1987

Greg, I trust this information will satisfy the requirements of the Budget and Control Board as outlined in the above referenced statute. Should additional information be necessary, this office will be happy to assist in any way it can.

Sincerely,

Richard W. Kelly

/ab

cc: Dr. Jesse A. Coles, Jr.

010309

§ 12–27–430. Imposition of tax on ethanol blends; tax incentive; enforcement and penalties; distribution of proceeds.

(1) Fuel ethanol means one hundred ninety-eight proof ethanol denatured in conformity with Bureau of Alcohol, Tobacco and Firearms regulations and distilled in a facility whose principal (over fifty percent) feed stock is wood, cereal grain, and its by-products, potatoes and their by-products, sugar beets and their by-products, or turnips and their by-products.

(2) Fuel ethanol blends are ninety percent gasoline and ten percent fuel ethanol in which the gasoline portion of the blend or the finished gasoline fuel ethanol blend meets the sulfur, distillation range, reid vapor pressure, and copper corrosion requirements contained in ASTM D-439.

(3) The tax on fuel ethanol blends is seven cents a gallon until June 30, 1992, or until loss of revenues reaches twenty million dollars and at such time all tax incentives must be removed and the tax on fuel ethanol blends must be at the prevailing tax rate per gallon.

(4) The tax imposed in this section must be collected as provided in Chapters 27, 29, and 31 of Title 12 of the 1976 Code. The provisions for the enforcement and penalties for the violation of such provisions must be in accordance with the requirement of the aforementioned chapters of the 1976 Code. The proceeds from the imposition of the tax on fuel ethanol blends must be distributed as follows: one-fifteenth must be added to the one cent per gallon as presently apportioned to the counties as provided in § 12-27-380, three-fifteenths must be added to the 2.66 cents per gallon as apportioned to the counties as provided in § 12-27-400, and eleven-fifteenths must be added to the 9.34 cents per gallon as provided in § 12-27-380. The proceeds from the imposition of the tax on fuel ethanol blends must be expended for the purposes as provided by law.

(5) In the event that the federal motor fuel tax exemption for alcohol blend is increased prior to June 30, 1992, the gasoline tax imposed on fuel ethanol blends in South Carolina must be increased by a corresponding amount.

(6) The fuel ethanol blends otherwise eligible for the tax incentive provided in this subsection may not contain fuel ethanol produced outside South Carolina unless the South Carolina Tax Commission determines that the fuel ethanol blends claimed to be eligible for the tax incentive contain fuel ethanol produced in a state that also grants an incentive, exemption, credit, or refund at least equal to South Carolina's tax incentives from that state's motor vehicle fuel excise tax or sales tax for similar fuel ethanol blends containing fuel ethanol produced in South Carolina.

(7) The South Carolina Tax Commission shall promulgate regulations for the procedures necessary to claim the tax incentives.

HISTORY: 1985 Act No. 201, Part II, § 23A, eff January 1, 1986.

§ 12–27–431. Effective date for tax incentive.

The tax incentive provided in § 12-27-430 is not effective after April 1, 1987, if no fuel ethanol blends are produced in South Carolina by that date. The Budget and Control Board shall determine if fuel ethanol blends are produced in South Carolina by April 1, 1987.

HISTORY: 1985 Act No. 201, Part II, § 23B, eff January 1, 1986.

EXHIBIT
APR 14 1987 NO. 4
STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 5

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 5

AGENCY: Local Government

SUBJECT: Rural Development Funds Expenditures, February 1987

The Division of Local Government reports that the following grants of rural development funds were approved during February:

	Total	Senate	House
Applications Approved During February:			
Number	20*	16	14
Amount	$603,058	$331,579	$271,479
Applications Approved This Fiscal Year through February 28:			
Number	235	129	133
Amount	$3,970,907	$2,091,015	$1,879,892
Balance Available February 28:	$2,029,093	$908,985	$1,120,108
Applications Pending:			
Number	35	13	22
Amount	$425,043	$173,372	$251,671

* 10 project applications were granted both Senate and House funds.
6 project applications were granted Senate funds only.
4 project applications were granted House funds only.

BOARD ACTION REQUESTED:

Receive as information a Division of Local Government report on rural development funds expended during February which included 20 projects totalling $$603,058 in State grant funds and which indicated that 235 applications involving $3,970,907 have been approved during the current fiscal year, that 35 applications totalling $425,043 are pending, and that the grant funds balance available is $2,029,093.

ATTACHMENTS:

Agenda item worksheet; referenced report

010311

APR - 8 1987

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

X *Blue Agenda*
__ *Regular Session Agenda*
__ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: B&C BD--Local Government
   (b) Authorized Official Signature: Nancy P. White

2. *Subject:* Rural Improvement expenditures for February 1987

3. *Summary Background Information:*

The referenced report includes 20 projects involving a total expenditure of $603,058 in Rural Improvement Funds. 17 projects totaling $331,579 were approved from Senate funds, and 15 projects totaling $271,479 were approved from House funds. This report shows that 235 applications involving $3,970,907 (includes Interim Reductions of 2.6% and 0.8%) have been approved during the current fiscal year with the Senate/House breakdown as follows: Senate--129 projects, $2,091,015; House--133 projects, $1,879,892. At this point there is a balance of $2,029,093 with $908,985 remaining in the Senate and $1,120,108 remaining in the House.

4. *What is Board asked to do?*

EXHIBIT

Receive as information

APR 14 1987 NO. 5

STATE BUDGET & CONTROL BOARD

5. *What is recommendation of the Board Division involved?*

6. *Recommendation of other office (as required)?*

(a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
Attached report	

010312

BUDGET AND CONTROL BOARD, DIVISION OF LOCAL GOVERNMENT

SUMMARY REPORT ON EXPENDITURE OF AID TO ENTITIES - RURAL DEVELOPMENT FUNDS

For the period: February 1987 **Report for Board meeting on:** April 14, 1987

	Total	Senate	House
1. Appropriation for fiscal year	6,000,000	3,000,000	3,000,000
2. Applications Approved: A. This Period: (1) Number	20	17*	15*
(2) Amount	603,058	331,579	271,479
B. To Date: (1) Number	235	129	133
(2) Amount	3,970,907**	2,091,015**	1,879,892**
3. Balance [Line 1 less Line 2B(2)]	2,029,093	908,985	1,120,108
4. Applications Pending: A. Number	35	13	22
B. Amount	425,043	173,372	251,671

** Includes Interim Reductions of 2.6% and 0.8%

EXHIBIT

APR 14 1987 NO. 5

STATE BUDGET & CONTROL BOARD

* The 0.8% budget reduction is treated here as if it were a project.

010313

EXHIBIT

APR 14 1987 NO. 5

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENT

DETAILED REPORT ON EXPENDITURE OF AID TO ENTITIES - RURAL DEVELOPMENT FUNDS

For the period: February 1987

Report for Board meeting on: April 14, 1987

APPLICATION		PROJECT		SOURCE OF FUNDS					
By (Name/Address)	Date	Description	Total Cost	Other Funds	State Funds Requested	State Funds Approved	Senate Funds	House Funds	Date Approved
1. Marion County Courthouse Marion, SC 29571	1/12/87	Purchase of equipment for the Marion County Rural Fire Department	2,500	-----	2,500	2,500	-----	2,500	2/9/87
2. Marlboro County Courthouse Bennettsville, SC 29512	1/22/87	Purchase of materials for the Marlboro County Law Library	5,000	-----	5,000	5,000	2,500	2,500	2/9/87
3. Lancaster County 116 W. Dunlap St. Lancaster, SC 29720	12/18/86	Installation of sewer lines to the site of two industrial buildings (10 jobs)	18,068	-----	18,068	18,000	-----	18,000	2/13/87
4. Town of Vance P.O. Box 10 Vance, SC 29163	8/29/86	Purchase of a used police vehicle and equipment; improvements to town hall	17,085	1,200	15,885	12,000	12,000	-----	2/9/87
5. Town of Branchville P.O. Box 85 Branchville, SC 29432	12/3/86	Plans and specifications for upgrading waste treatment plant as required by DHEC	29,000	-----	29,000	25,000	25,000	-----	2/9/87
6. City of Greenville P.O. Box 2207 Greenville, SC 29602	2/10/87	Construction of a safety walk-over	121,000	91,001	29,999	29,500	14,750	14,750	2/13/87
7. Berkeley County 223 N. Live Oak Drive Moncks Corner, SC 2946	2/10/87	Completion of the Alvin Community Center	25,000	-----	25,000	25,000	25,000	-----	2/13/87
8. Richland County P.O. Box 192 Columbia, SC 29202	2/5/87	Repairs to a community center	32,000	-----	29,000	29,000	-----	29,000	2/13/87
						$	$	$	

Show totals of these columns on last page of report for this period:
(should be same as line 2A(2) on Summary Report

010314

EXHIBIT

APR 14 1987 NO. 5

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENT

STATE BUDGET & CONTROL BOARD

DETAILED REPORT ON EXPENDITURE OF AID TO ENTITIES - RURAL DEVELOPMENT FUNDS

For the period: February 1987 Report for Board meeting on: April 14, 1987

APPLICATION		PROJECT		SOURCE OF FUNDS					
By (Name/Address)	Date	Description	Total Cost	Other Funds	State Funds Requested	State Funds Approved	Senate Funds	House Funds	Date Approved
9. Laurens County W. Main St. Laurens, SC 29360	1/6/87	Formulation of a state and county directory	1,000	-----	1,000	1,000	1,000	-----	2/13/87
10. Berkeley County 223 N. Live Oak Dr. Moncks Corner, SC 2946	1/23/87	Extension of water and service to the new National Guard Armory	100,000	36,000	64,000	64,000*	64,000	-----	2/10/87*
11. Town of Calhoun Falls 100 Savannah St. Calhoun Falls, SC 2962	1/14/87	Construction of a community civic center	140,000	115,000	25,000	25,000	-----	25,000	2/13/87
12. City of Myrtle Beach P.O. Box 2468 Myrtle Beach, SC 29578	12/22/87	Study to determine the feasibility of acquifer storage and recovery	262,763	117,763	145,000	145,000*	72,500	72,500	1/6/87*
13. Town of Ravenel P.O. Box 104 Ravenel, SC 29470	2/5/87	Completion of water distribution system	942,001	892,002	49,999	49,999*	24,999	25,000	2/19/87*
14. Richland County P.O. Box 192 Columbia, SC 29202	2/11/87	Purchase of equipment to provide legal services to the elderly	10,000	-----	10,000	10,000	5,000	5,000	2/23/87
15. City of Abbeville Court Square Abbeville, SC 29620	9/24/86	Installation of water lines along Highway 20	23,760	-----	23,760	20,000	10,000	10,000	2/23/87
16. Georgetown County P.O. Box 1270 Georgetown, SC 29440	2/3/87	Renovation of a community theatre	25,800	13,300	12,500	12,500	12,500	-----	2/23/87
						$	$	$	

Show totals of these columns on last page of report for this period:
(should be same as line 2A(2) on Summary Report

* Approved by Budget and Control Board

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EXHIBIT

APR 14 1987 NO. 5

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENT

DETAILED REPORT ON EXPENDITURE OF AID TO ENTITIES - RURAL DEVELOPMENT FUNDS

For the period: February 1987 Report for Board meeting on: April 14, 1987

APPLICATION		PROJECT		SOURCE OF FUNDS					
By (Name/Address)	Date	Description	Total Cost	Other Funds	State Funds Requested	State Funds Approved	Senate Funds	House Funds	Date Approved
0.8% Interim Reduction	2/24/87		51,659				25,830	25,829	2/24/87
17. Town of Salley P.O. Box 484 Salley, SC 29137	2/5/87	Installation of equipment to monitor water quality as required by DHEC	12,000	4,000	8,000	8,000	4,000	4,000	2/27/87
18. Williamsburg County P.O. Box 330 Kingstree, SC 29556	2/17/87	Completion of a communtiy center	14,956	-----	14,956	14,900	5,000	9,900	2/27/87
19. Chester County P.O. Box 580 Chester, SC 29706	2/12/87	Extension of water lines to Acme Nameplate (retention of 85 jobs)	414,000	384,000	30,000	30,000*	15,000	15,000	2/19/87*
20. City of Greenwood 520 Monument St. Greenwood, SC 29646	1/19/87	Completion of a municipal parking lot	25,000	-----	25,000	25,000	12,500	12,500	2/27/87

Show totals of these columns on last page of report for this period: $603,058 $331,579 $271,479
(should be same as line 2A(2) on Summary Report

* Approved by Budget and Control Board

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EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 6

AGENCY: Department of Corrections

SUBJECT: Nelson v. Leeke, Ninth Quarterly Compliance Report

The Department of Corrections, in compliance with the terms of the Consent Decree entered into between the parties and approved by the U.S. District Court, is submitting a report detailing its compliance activities. A summary of them, extracted from the full report, is attached. The full report is available to Board members.

During the December 1986 - February 1987 period, to manage inmate admissions, the Department double-celled a limited number of inmates at Lieber Correctional Institution. Also, the Department reports it was forced to triple-house a number of cubicles at Palmer Work Release Center.

The average net increase in inmate admissions was 77 per month as compared with 75 reported last. This figure is the average net increase/decrease in monthly admissions between January 1985 and February 1987.

The Department continued to exceed the time stipulated for processing inmates through the Midlands Reception and Evaluation (R&E) Center. In this period, they were held an average of 11.5 days over the 14-day requirement stipulated. The new R&E Center was scheduled for completion April 1, 1987.

All construction projects were reported to be on schedule. Space at the Catawba Work Release Center was being renovated to house 48 more inmates (the project was completed since and the 48 beds are now included in the count).

Please refer to the attached summary for more detail.

BOARD ACTION REQUESTED:

Receive as information the Department of Corrections Defendants' Ninth Quarterly Report on Compliance with the terms of the negotiated Consent Decree entered into in the Nelson v. Leeke case.

ATTACHMENTS:

Summary from referenced report

010317

south carolina
department of corrections

P.O. BOX 21787/4444 BROAD RIVER ROAD/COLUMBIA, SOUTH CAROLINA 29221-1787
TELEPHONE (803) 737-8555
WILLIAM D. LEEKE, Commissioner

RECEIVED
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BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

April 8, 1987

Jesse A. Coles, Jr., Ph.D.
Executive Director
S.C. Budget and Control Board
612 Wade Hampton Building
Columbia, South Carolina 29211

Re: Nelson v. Leeke, Civil Action Number: 82-876-2

Dear Dr. Coles:

According to the terms of the negotiated settlement reached in the above captioned case, the S. C. Department of Corrections is required to submit detailed quarterly reports on compliance to the Court, Plaintiffs' Counsel, the S.C. Budget and Control Board and to each institution.

Enclosed please find a copy of the "Defendants' Ninth Quarterly Report on Compliance," for your information and review.

If you have any questions regarding the information contained herein, please feel free to contact me at 737-8431.

Sincerely,

Laurie A. Osler
Executive Assistant for Legal
Settlements and Compliance

enclosure

LAO/ccm

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BOARD OF CORRECTIONS
GOETZ B. EATON, Chairman, Anderson, S.C.
NORMAN KIRKLAND, Vice Chairman, Bamberg, S.C.
CHARLES C. MOORE, Secretary, Spartanburg, S.C.
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GOV. RICHARD W. RILEY, Member, Ex-Officio, Columbia, S.C.

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF SOUTH CAROLINA
COLUMBIA DIVISION

Gary Wayne Nelson, et. al., Plaintiffs, vs. William D. Leeke, Commissioner, South Carolina Department of Corrections, and Members of South Carolina Board of Corrections, Defendants.	CIVIL ACTION NUMBER 82-876-2 DEFENDANTS' NINTH QUARTERLY REPORT ON COMPLIANCE

Prepared by:

The Office of the Executive Assistant
for Legal Settlements and Compliance

Columbia, South Carolina
April 8, 1987

Laura Ann Osler, Executive Assistant
for Legal Settlements and Compliance

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EXHIBIT

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STATE BUDGET & CONTROL BOARD

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STATE BUDGET & CONTROL BOARD

INTRODUCTION

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EXHIBIT

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STATE BUDGET & CONTROL BOARD

INTRODUCTION

This report is submitted to the Court in compliance with the terms of a negotiated Consent Decree entered into between the parties to this action on January 8, 1985. The terms of the Decree were reviewed by the Honorable C. Weston Houck, United States District Court Judge, District of South Carolina, and the Decree was approved by the Court on March 26, 1986.

Under section III (U) of the Consent Decree, the Defendants are required to submit detailed quarterly reports outlining the Department's compliance with the terms of the Agreement. It was the intention of the parties that the timetables for compliance with the various issues set forth in the Decree commence with the ratification of the Decree by the parties to this action (Section III(T)).

This "Ninth Quarterly Report on Compliance" is separated into two major sections. The first section contains a listing of the approximately 238 identifiable issues in the Decree, with such issues broken down into 17 compliance categories. The first portion of the report further details the activities undertaken during this reporting period to achieve compliance with each issue. The second section of the report serves as an index to the report, identifying each issue contained in the report; summarizing the compliance status of each issue; and, reporting the page number on which specific compliance information pertaining to each issue can be located in the body of the report.

I. Summary of Major Compliance Activities

A. Housing Requirements

During this reporting period, the Department was forced to take certain measures in order to effectively manage inmate admissions. Specifically, the following steps were taken during the months of February and March in order to avoid noncompliance with certain housing issues (see issues 1, 2, 5, 21 and 23, "Category II: Housing", of the Quarterly Report):

> February - On February 20, 1987, the Department began to double-house inmates in nine cells at the new Lieber Correctional Institution in order to avoid housing inmates in noncompliance at other medium security institutions. It is the Department's contention that double celling at this institution at the present time does not constitute a violation of the Decree inasmuch as mandated medium security capacities do not have to be met until January 8, 1988 (or six months after official completion of the McCormick Correctional Institution). Double-celling at Lieber Correctional Institution ended on February 25, 1987, once additional medium security bed spaces became vacated.

March - On March 16, 1987, Departmental officials again began to utilize Lieber Correctional Institution to house medium security inmates. On this date, approximately 12 inmates were double-celled in six (6) cells at this facility. On March 23, 1987, 50 beds were added to 50 single occupied cells for compliance purposes. To date, 50 double-cells still remain at LCI. It is the Department's intention, however, to return to single celling at this institution after April 1, 1987 releases, if possible.

In addition to the above, in March, the Department was forced to house inmates in a manner inconsistent with the terms of the Agreement. On March 20, 1987, approximately 16 cubicles at Palmer Work Release Center were triple-housed due to increased admissions. The Department is, however, making a good faith effort to eliminate/minimize this triple cubicling at the present time and, by March 23, 1987, had already reduced the number of cubes tripled by three (or 13). On March 23, 1987, two inmates at Palmer were transferred to vacated work release beds at the Coastal Work Release Center and one inmate was paroled. Additionally, on March 25, 11 work release inmates in the Coastal Correctional Region are scheduled to go before the Parole Board and any beds made vacant as a result of these Parole Hearings will be appropriately filled with any remaining triple-housed Palmer inmates. Additionally, the Department has scheduled to release up to 252 inmates on April 1, 1987. If, at this time, any noncompliance exits at Palmer, it will be rectified. (See also "Category II", issues 1 and 2 of the Quarterly Report for additional information).

B. Inmate Admissions

At the time of the last reporting period, the Department was experiencing an average net increase in inmate admissions of approximately 75 per month. This figure was calculated using the average monthly facility count increase reported from January, 1985 through November, 1986. During this reporting period, the average net increase in admissions to the Department was 77 per month. This figure reflects the average net increase/decrease in monthly admissions over the period January, 1985 through February, 1987.

C. Midlands Reception and Evaluation Center

One area in which the Department has continued to experience a problem is with regard to the requirement stipulating that inmates be processed through this Midlands Reception and Evaluation Center within 14 days. During this reporting period (December, 1986 through February, 1987), inmates at this facility were held an average of 11.5 days over the 14 day requirement. All inmates at Midlands Reception and Evaluation Center are, however, being afforded visitation and recreation privileges.

It should be noted that Plaintiff's counsel is currently contesting the amount of visiting time afforded inmates at the Midlands

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Reception and Evaluation Center, however, the new Midlands Reception and Evaluation Center is scheduled to be completed in April, 1987 and any visitation problems which Plaintiff's counsel feels exists will be corrected at this time. (See also, Section XV, issues 4 and 6 of the Quarterly Report for more information).

D. Construction Projects

Currently all remaining construction projects are reported to be on schedule. The new Midlands Reception and Evaluation Center and McCormick Correctional Institution are reported to be ahead of schedule at the present time.

In addition to the projects listed in the Decree, the Department is currently in the process of renovating existing space at the Catawba Work Release Center to house approximately 48 more inmates at this facility. This project is scheduled to be completed in April or May, 1987, and once completed, Catawba's capacity will reflect the addition of 48 inmates. It should be noted that bathroom facilities are being added to Catawba in order to insure compliance with ACA Standards 2-4130 through 2-4132. Additionally, recent inspections of this facility have indicated that lighting and ventilation requirements are in compliance with the same standards.

Detailed information regarding this project and the additional staff allocated to the Center as a result of this project, was forwarded to Plaintiff's counsel on March 12, 1987.

E. Cross Anchor and Dutchman Temporary Hold Inmates

It was brought to the attention of both Plaintiff's counsel and Departmental officials that Cross Anchor and Dutchman were holding Reception and Evaluation Center inmates assigned to these institutions in their Administrative Segregation units pending the availability of general population bed space. Plaintiff's counsel contested the use of these units to house such inmates and Departmental officials have since made a good faith effort to rectify this situation.

On March 20, 1987, Dutchman and Cross Anchor officials sectioned-off approximately 15 cells in each of their Administrative Segregation units and created what will herein be referred to as "special" general population wings. Inmates housed in these wings are now being afforded visitation, recreation and programming opportunities (to include Earned Work Credit assignments and educational opportunities) similar to those afforded inmates housed in regular general population housing units. Additionally, such inmates are afforded the opportunity to leave their living areas as are general population inmates. The sole difference between privileges afforded inmates in the "special" general population units is with regard to personal property. Although inmates housed in this unit are segregated from Administrative Segregation inmates, the fact

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that they are in close proximity to the same limits the amount of personal property they may have in their cells for security purposes (i.e.: contraband).

It should also be noted that any inmate housed in this unit will be given first priority for transfer to regular general population units once bed space becomes available .

II. Abbreviations

It should be note that the following abbreviations are used throughout this report:

ACA - American Correctional Association
ACR - Appalachian Correctional Region
CCR - Coastal Correction Region
CAC - Commission on the Accreditation for Corrections
DHEC - Department of Health and Environmental Control
NFPA - National Fire Protection Association
NIC - National Institute of Corrections
MCR - Midlands Correctional Region
PC - Protective Custody
SCCJA - South Carolina Criminal Justice Academy
SCDC - South Carolina Department of Corrections
SCDMH - South Carolina Department of Mental Health
SSR - Substantiated Security Risk
YOA - Youthful Offender Act

The following institutional abbreviations are also used:

AYCC - Aiken Youth Correctional Center
BCC - Byrnes Clinical Center
BRPRC - Blue Ridge Pre-Release/Work Release Center
CACI - Cross Anchor Correctional Institution
CaWRC - Catawba Work Release Center
CCI - Central Correctional Institution
CoWRC - Coastal Work Release Center
CWRC - Campbell Work Release Center
DCI - Dutchman Correctional Institution
GCI - Goodman Correctional Institution
GCC - Greenwood Correctional Center
GYCC - Givens Youth Correctional Center
KCI - Kirkland Correctional Institution
LCI - Lieber Correctional Institution
LiWRC - Livesay Work Release Center
LSWRC - Lower Savannah Work Release Center
MCI - Manning Correctional Institution
McCI - McCormick Correctional Institution
MR&EC - Midlands Reception and Evaluation Center
MSC - Maximum Security Center (Building 5)
MCC - Northside Correctional Center
PCI - Perry Correctional Institution
PWRC - Palmer Work Release Center
SPCC - State Park Correctional Center

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SCI - Stevenson Correctional Institution
WCI - Walden Correctional Institution
WRCI - Wateree River Correctional Institution
WPRC - Watkins Pre-Release Center
WCC - Women's Correctional Center

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SECTION I:

"Defendants' Ninth Quarterly Report on Compliance, April 8, 1987"

010328

NINTH QUARTERLY REPORT ON COMPLIANCE FOR NELSON
April 8, 1987

CATEGORY I: NOTIFICATION

According to the terms of the Decree, all employees and inmates had to be made aware of all of the issues contained within the Decree. This was achieved initially by publishing a synopsis of the Decree in the SCDC Newsletter, "The Intercom" and in the INMATE GUIDE. Continued compliance is accomplished by providing:

1. all new SCDC employees with a one hour class during their week of orientation. This class is an overview of the Settlement and is conducted by a representative from the Legal Settlements and Compliance Office.

2. newly admitted inmates with an INMATE GUIDE and a verbal description of the Settlement during institutional orientation.

3. copies of the Decree in each institutional housing unit and at least ten copies in each institutional library. If a library is not available at a particular institution, at least ten copies of the Decree are placed in an area within the institution which is generally accessible to inmates.

4. inmates with their own personal copy of the Decree upon their written request to their institutional head.

In addition to the above, information pertaining to the Department's compliance with the terms of the Decree is provided to all inmates, SCDC employees, the Court, Plaintiffs' Counsel, and members of the Board of Corrections and the State Budget and Control Board through publication of the "Defendant's Quarterly Reports on Compliance."

Pursuant to a request by Plaintiffs' Counsel, all "Supplemental Agreements" pertaining to Nelson made between the parties will be forwarded to each institution for posting and notification purposes. To date, copies of the recent agreement to allow the Department to triple-house inmates in certain cubes at CWRC and LSWRC have been forwarded to the respective institutions for notification purposes.

*NOTE: For further information on the "Notification" issue, see "Defendant's Sixth Quarterly Report on Compliance."

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CATEGORY II: HOUSING

1. Triple celling and cubicling will end in 30 days at all institutions except NCC, WRCI, LSWRC, CWRC...

   a. As a result of the Department's efforts to comply with the July 22nd Court Order set forth by the Honorable C. Weston Houck, the Department is currently in compliance with this requirement at every institution. During this reporting period there have been no inmates triple celled at any institution with the exception of CWRC and LSWRC (see issue #2 for a description of the agreement to triple cell cubes at CWRC and LSWRC).

   b. It should be noted, however, that a situation did arise on March 17, 1987 at PWRC when an inmate was returned from an Adjustment Committee hearing to this facility at a time when the center was all double cubed. This inmate was transferred to PWRC on the evening of March 17, 1987 in "AA" capacity. Departmental officials did attempt to locate an available bed at a county facility however, none were vacant. Therefore, this inmate was housed in a triple cube for one evening only. PWRC officials made a "good faith effort" on March 18, 1987 to have this situation corrected. On March 18, 1987, an inmate at PWRC eligible for Extended Work Release on March 20, 1987 was released on pass in order to make available a bed for this inmate. Therefore, this situation was ameliorated in less than 24 hours.

   c. On March 20, 1987, approximately 16 cubicles at PWRC were triple-housed due to increased admissions. The Department is, however, making a good faith effort to correct this problem and has, as of March 23, 1987, already reduced the number of cubicles in noncompliance by three (3) (or 13). On March 23, 1987, two inmates at PWRC were transferred to vacated beds at the CoWRC and one inmate from PWRC was paroled. Additionally, 11 work release inmates in the Coastal Correctional Region are scheduled to go before the Parole Board on March 25, 1987, and any beds made available as a result of these Parole Hearings will be appropriately filled with inmates currently triple-housed at PWRC. In addition to the above, the Department is currently evaluating the number of inmates presently approved for extended work release to determine if any such inmates are within enough time of their extended work release date to be released one to two days early on "pass." Lastly, the Department is planning on releasing roughly 252 inmates on April 1, 1987 (normally scheduled first-of-the-month releases) and any inmates remaining in triple-cubes at PWRC on this date will be immediately removed and appropriately housed in conformance with the requirements

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of the Decree.

It should be noted that Plaintiffs' counsel was notified of this noncompliance situation by letter on March 23, 1987.

2, 3 Triple celling will end at NCC, WRCI, CWRC, LSWRC at later dates...

a. NCC and WRCI: There have been no inmates triple housed at either institution since September 19, 1986. Triple housing ended at this time as a result of Departmental efforts to comply with Judge Houck's Court Order. (See Seventh Quarterly Report for a summary of efforts taken to achieve compliance with the Order).

b. CWRC and LSWRC: The temporary agreement signed between the parties to allow 50 cubicles at CWRC and 6 at LSWRC to be triple housed until November 17, 1986, has been extended until such a time that the parties can agree to a proposal for obtaining the funding necessary to construct a 48 bed addition to the PWRC.

On December 10, 1986, the Department did present a proposal to Plaintiffs' Counsel to include the 48 bed addition to PWRC in the Department's "Five Year Capital Improvements Plan" to be submitted to the Budget and Control Board for approval in July, 1987, and to the General Assembly in January, 1988. Approval of this plan by the aforementioned parties would have scheduled construction of this unit during Fiscal Year 1988-1989. Plaintiffs' Counsel, however, recommended that the Department seek alternative means to procure funding for this project so as to effect construction during Fiscal Year 1987-1988. Currently, the Department is in the process of evaluating different avenues which could be taken to secure funding during Fiscal Year 1987-1988.

It should be noted, that presently the Department is in the process of constructing a 48-bed unit at CaWRC to be completed in the spring of this year. Departmental officials have suggested to Plaintiffs' Counsel that this renovation be considered as a substitution for the additional unit at PWRC inasmuch as there is no guarantee that funding would be approved for the addition to PWRC in light of recent budget cuts mandated by the General Assembly. It was the Departments intention that should Plaintiffs' Counsel accept this proposal triple cubicling at CWRC and LSWRC could be phased out once the CaWRC unit was completed. This is not to suggest, however, that the Department would not ever seek funding for an additional unit at PWRC. On the contrary, Departmental officials realize that the addition of such a unit at this center would be advantageous inasmuch as PWRC's capacity will be reduced by one-half by January 8, 1990. SCDC officials have already included a request in the "Five Year Capital

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Improvements Plan" for such an addition to PWRC in case immediate funding is not authorized for the same. Additionally, it should be noted that the Department has always had replacement facilities included in their "Five Year Capital Improvements Plan" in order to comply with requirements of the Decree which call for the same.

In the interim, the Department will be allowed to triple house inmates in certain cubicles at CWRC and LSWRC and will still be obligated to provide such inmates with the following privileges:

1. access to the dayroom on a 24-hour basis;
2. access to the weight area until 12:00 a.m. on a daily basis; and,
3. telephone privileges on a 24-hour basis, weekends only.

To date, the above privileges are being provided such inmates in order to comply with the terms of the agreement.

4. An appendix will be created and attached to the Settlement which will list the maximum housing capacities for every institution based on the square footage requirements stated in the Decree.

   a. The appendix was completed before signing and is attached to the Decree and listed as Appendix F.

5. Inmates confined to their cells longer than 12 hours per day will receive 40 square feet of living space per inmate...

   a. As of September 19, 1986, all inmates in lockup status have either been single celled or double celled provided that they sign a "Voluntary Consent" form to be double celled.

      Inmates on lockup status at the following institutions are currently single celled: CACI, DCI, WRCI, AYCC.

      The following institutions have some inmates on lockup status double celled, but all such inmates have signed "Voluntary Consent" forms to this effect: MCI, SCI, PR&EC Dorms A, B and C, WCC, and CCI. It should be noted that it is difficult to provide specific information as to the actual number of inmates who have signed "Voluntary Consent" forms as this number changes on a daily basis. However, copies of "Voluntary Consent" forms are available at each of the aforementioned institutions for Plaintiffs' Counsel review, if necessary, to confirm the information above.

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b. It was brought to this office's attention recently that certain inmates at CACI and DCI were being held in the Administrative Segregation Units at these institutions pending the availability of general population bedspace. All of these inmates were transferred from PR&EC and were being classified as "temporary hold" inmates. To correct this problem and afford these inmates privileges similar to the privileges afforded general population inmates, CACI and DCI officials sectioned off two (2) wings of their Adminstrative Segregation Units and classified them as "general population." Inmates now housed in these wings are afforded the opportunity to be released from their housing units at 6:30 a.m. and are afforded recreation, visitation, cafeteria privileges like other general population inmates. Additionally, inmates now housed in these units are being afforded work assignments (to include Earned Work Credits) and are being afforded educational programming in conformance with the terms of the Agreement.

It should be noted that some restrictions have been placed on the items of personal property that these inmates may have in their cells due to the fact that inmates in other wings of these housing units are Administrative Segregation inmates. Although these "special" general population inmates are segregated from inmates on lockup, Departmental officials were concerned that certain items of personal property could possibly be used by lockup inmates as contraband if, by chance, such items got into the wrong hands.

Further, it should be emphasized that any inmate housed in these units as "special" general population inmates will be given first priority once beds are available in the general population units at CACI and DCI.

6. General population inmates, medium/maximum institutions receive 50 square feet of living space in wards and cells...

a. Compliance with this issue is contingent upon the official completion of the new McCormick Correctional Institution. The Decree states, page 19, Section III, E, 2(c), that inmates assigned to medium/maximum security institutions will be allowed to receive the required sleeping space under the Decree within six (6) months from the completion of Lieber and McCormick Correctional Institutions.

7. Minimum security inmates receive 50 square feet of space in cells and 45 square feet in dorms, rooms, cubicles, etc...

a. Population reductions to meet this requirement will begin in July, 1988. Compliance to be achieved by January 8, 1990.

8,9. All capacities set forth in the appendix will be met...

010333

a. Compliance dates for this issue are six months from the completion of the McCormick Correctional Institution or January, 1990, dependant upon an institutions security designation.

10. Inmates confined longer than 12 hours per day will receive 50 square feet of living space in all future institutions...

a. Lock up cells in new institutions are being constructed to conform with this requirement. Any inmate on lock up status at LCI, for example, is confined in a single cell measuring 73 square feet.

b. See, CATEGORY XII: CONSTRUCTION, for a report on the compliance efforts for the Construction Schedule contained i in the Decree.

11. All inmates in wards, dorms and rooms at PRC's and WRC's will receive 45 square feet of living space....

a. Compliance date is January 8, 1990.

12. Double celling can occur at minimum security institutions provided each inmate receives 45 square feet....

a. See #1, #2 and #7, HOUSING, above for compliance information.

13. Inmates may be double celled with less than 50 square feet of living space at the following institutions: PCI (Dorms 1,2,3,4); CCI (CB-3); KCI (5 of 7 Dorms); MYCC (Dorms 1,2,3,4,5); and

a. Compliance is to be achieved six months from the completion of McCormick at KCI,CCI,WCC and PCI and on 1/8/90 at MYCC.

14. Inmates housed in institutions on Protective Custody status can voluntarily request to be double celled...

a. Since September 19, 1986, compliance has been maintained with this requirement. Inmates housed on Protective Custody status at any institution are being afforded the opportunity to be single celled or double celled upon their request. To date, there have been no reported cases of any protective custody inmate being double celled involuntarily.

Inasmuch as the status of protective custody requests to be double celled fluctuates on a daily basis, it is infeasible to provide a listing of the number of requests made at each institution. However, Plaintiffs' counsel have inspected institutions housing protective custody inmates and have reported that compliance is being maintained with regard to this issue. Details as to the number of voluntary requests made per month at

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each institution can be provided upon request to this office for the same.

b. SCDC developed a departmental policy entitled, "Voluntary Requests by Inmates in Protective Custody," to include the provisions of this requirement. This policy was included in the "Eighth Edition of the Quarterly Report On Compliance" as "Appendix F."

15. No inmate can be housed in an area not designed for, or deemed suitable for, housing...

a. As of this reporting period all institutions continue to maintain compliance with this issue.

b. The outcome of the hearing on July 21, 1986 with regard to the use of housing units by the Department was favorable. In the future, housing units may be used at minimum security institutions but not at a medium/maximum security institution. However, the Honorable C. Weston Houck ruled that the housing unit located at MCI could continue to be utilized but its use would be reviewed at the end of six months. Currently, the Court has not ruled on this matter. However, this issue is expected to be brought up in the meeting scheduled with the Nelson Mediator in April, 1987.

16. No inmate housed in county facilities longer than 30 days...

a. Monthly reports of 3/8/87 indicate that all institutions are in compliance with this issue. Many institutions report that they check on the status of their inmates housed at county facilities every ten (10) days.

b. The Operations Branch has informed this office that all designated facilities require an inmate to sign a voluntary request form in order to be housed in a county facility.

17. SCDC can fluctuate above the counts set in the appendix provided that construction schedules are being met.

a. Construction is progressing. See CATEGORY XII: CONSTRUCTION for more information.

18. Capacities will be monitored at all institutions: Capacities at minimum can deviate 10% and capacities at medium and maximum security institutions can deviate 5% to 10%...

a. Not applicable at present time. Monitoring to begin six months from the official completion of McCormick.

19. Monitoring must be done to ensure that all minimum security

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institutions are having population reductions of 25% every six (6) months...

a. No progress has been made in this area. Compliance monitoring does not need to begin until 1/8/88.

20. The SCDC anticipates that an average net increase of 30 to 50 inmates per month can be expected as measured by quarterly reports...

a. The Ninth Quarterly Report was submitted to this office on March 9, 1987 by the Director of Resource and Information Management. The average monthly facility count increase reported over the preceeding twenty six (26) months was 77. The 26 month count was based on the average monthly counts taken from January, 1985 to February, 1987.

b. The next quarterly report will be submitted to this office on June 8, 1987.

21. Double bunking in wards at medium security institutions will be permitted only against the walls...

a. All regions medium and maximum security institutions reported compliance with this issue in their 3/8/87 monthly reports. (It should be noted that PCI, MR&EC, LCI and WCC have no wards.)

22. All double bunking in wards at medium security will cease...

a. Not applicable until six months from the official completion of McCormick .
b. Double bunking at CCI and MCI ceased as a result of the Mattison Suit.

23. Future double bunking in the wards at minimum security institutions will be allowed only against the walls...

a. As of 3/8/87 all double bunks in any ward at all minimum security institutions are against the walls.

24. Double bunking in wards at minimum security institutions will cease...

a. SCDC has until 1/8/90 to achieve compliance with this issue.

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CATEGORY III: STAFFING

1. Submit a plan for hiring security personnel pursuant to the recommendation made with the NIC Staffing Study...

   a. In order to comply with the recommendations made by NIC Consultant, Mr. Jim Henderson, regarding institutional staffing levels, the SCDC hired 365 institutional employees during Fiscal Year 1984-1985. A listing of these positions by institution is contained on pages 11-12, "Defendant's Sixth Quarterly Report on Compliance."

   b. In order to comply with various other Nelson staffing requirements, i.e., sight or sound security; mental health and medical care; AIMS classification, etc. the Department was authorized to hire 341 new institutional employees during Fiscal Year 1985-1986. A description of these positions is contained in the "Defendant's Sixth Quarterly Report on Compliance," Appendix A, pages 105-111.

   For Fiscal Year 1986-1987, approximately 312 new Nelson institutional positions were authorized by the General Assembly for hire by the Department. To date, of the positions authorized 273 have been filled. The following chart lists the number of Nelson positions authorized each institution during Fiscal Year 1986-1987. It should be noted this list has been revised to exclude positions authorized by the General Assembly for new institutions (LCI, McCI & MR & EC) and for the new shock probation units to be located at WRCI and the WCC. The following list does include the number of postions authorized for the five new "temporary" housing units and the new 96 bed addition to the WCC.

NELSON POSITIONS FOR FISCAL YEAR 1986-1987

INST.	# OF POSITITONS AUTHORIZED FY 86-87	# FILLED THRU 2/28/87
Aiken Youth	20	18
Byrnes Clinic	1	1
Cross Anchor	20	19
Central (CCI)	29	22
Campbell	1	
Dutchman	9	8
Goodman	22	22
Givens Youth	1	
Kirkland	34	30
Manning	38	37
MacDougall	16	15
Northside	16	16

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Perry	23	18
Stevenson	19	17
State Park	11	5
Women's Center	16	10
Walden	30	30
Watkins	1	1
Wateree	5	4
TOTAL	312	273

2. The implementation plan for the recommendations made within the NIC Staffing Study will be developed...

   a. See, #1, above.

3. The inmate staff ratio for medium and maximum security institutions will not fall below the inmate staff ratio of 1/25/83...

   a. Inmate staff ratios for medium and maximum security institutions have been monitored through the Compliance Office on computer since October 1, 1984. Monitoring has been accomplished by comparing weekly inmate counts with the number of staff employed at each medium and maximum security institution. The reported inmate/staff ratio's on February 2, 1987 and March 2, 1987 are illustrated in Graphs A & B, on the following pages.

   b. Inmate/Staff ratio's will continue to be monitored by the Office of the Executive Assistant for Legal Settlements and Compliance on a monthly basis.

NOTE: All reported inmate/staff ratio's reflect one inmate per "x" employee.

4. Correctional officers will be posted inside each ward or cell block in medium and maximum security instituions...

   a. The monthly reports of 3/8/87 contained the following compliance information:

      1. PCI: PCI presently has officers assigned inside each cellblock 24 hours per day, seven (7) days per week.

      2. KCI: KCI presently has at least one (1) officer assigned to each ward/cellblock, 24-hours a day, seven (7) days per week.

      3. MCI: As of this reporting period, all officers hired

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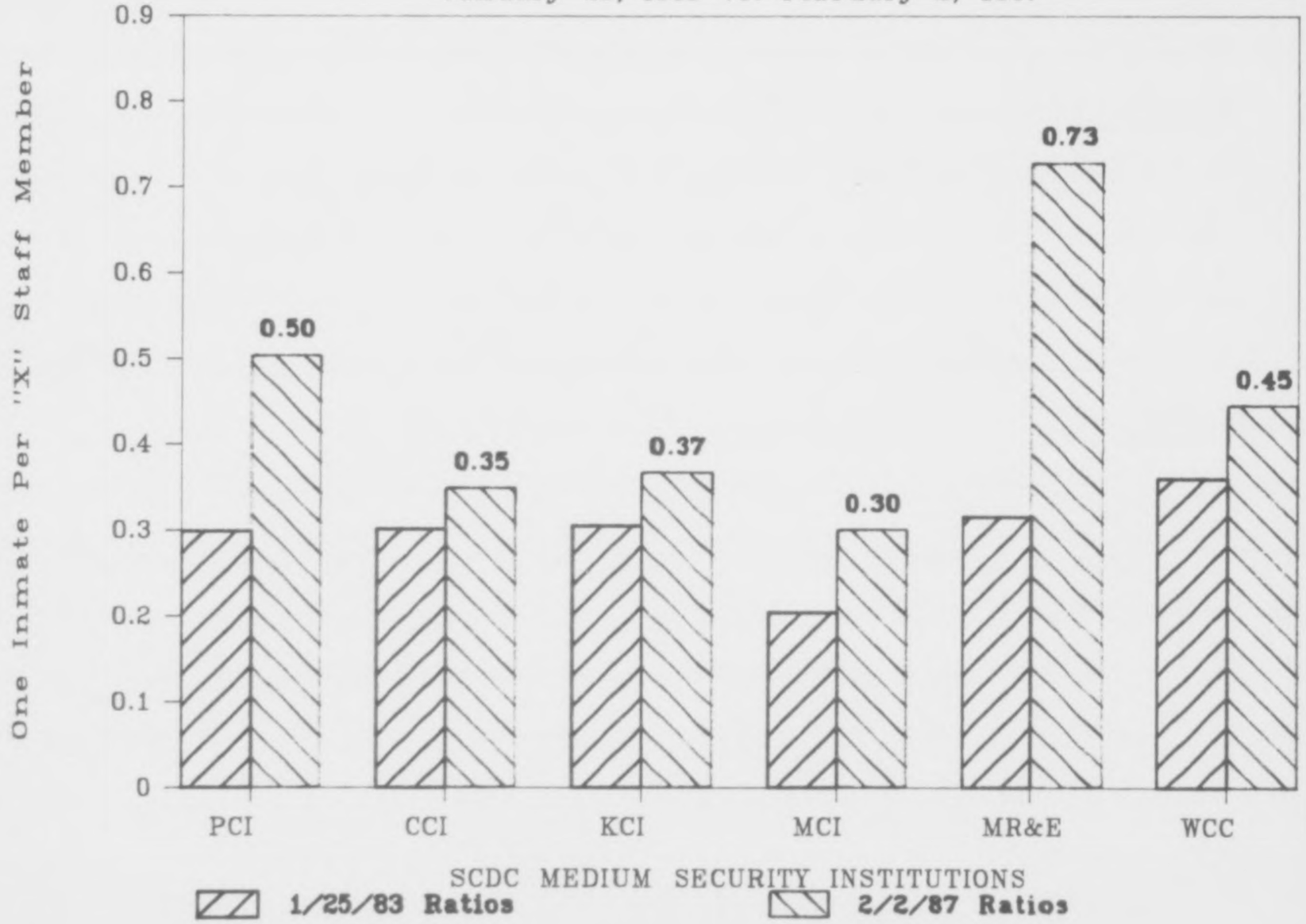
MEDIUM SECURITY INMATE/STAFF RATIOS

January 25, 1983 vs. February 2, 1987



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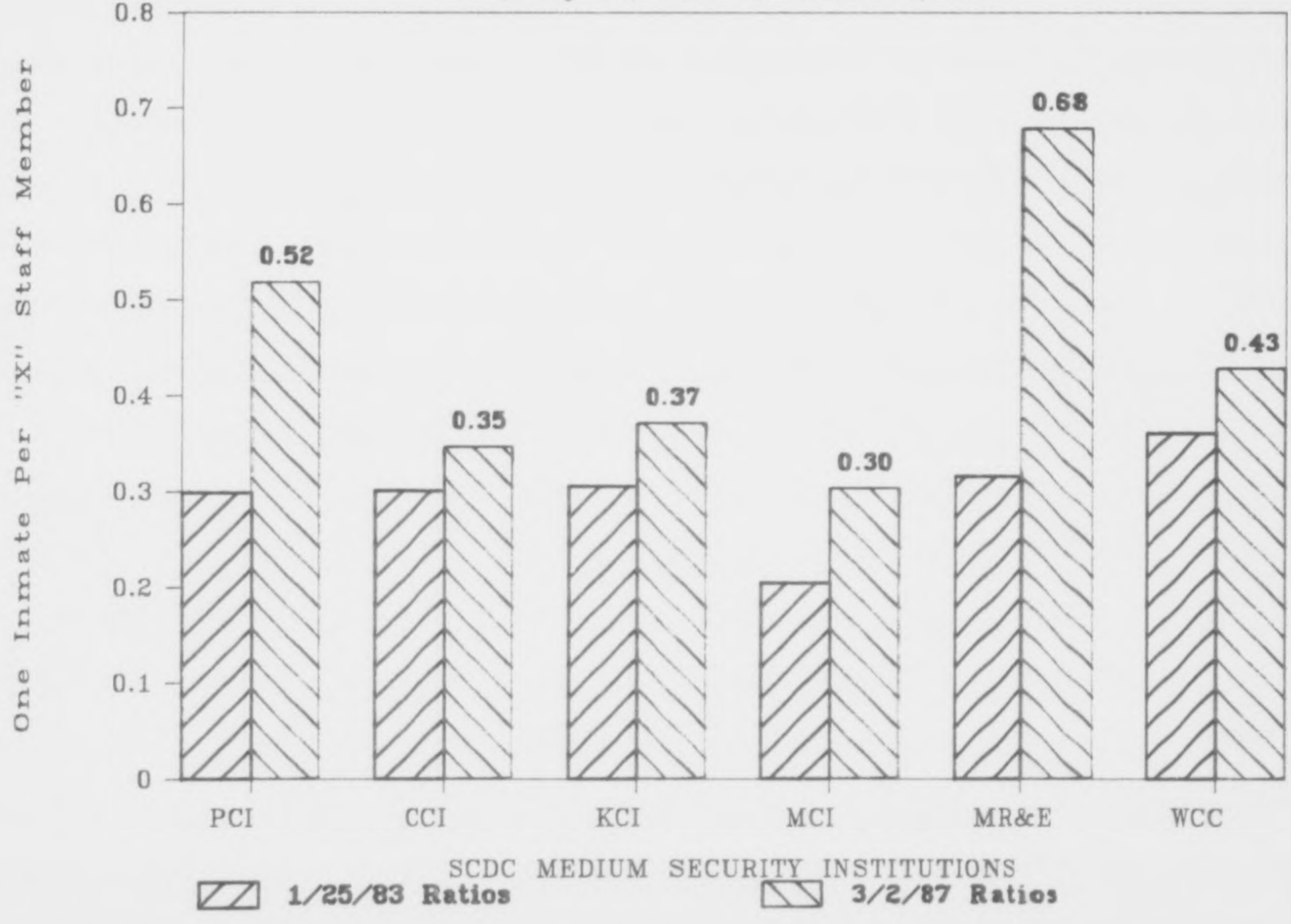
MEDIUM SECURITY INMATE/STAFF RATIOS

January 25, 1983 vs. March 2, 1987



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to achieve compliance with this issue have completed "Basic Training" and MCI is now in full compliance.

4. CCI: Sufficient Correctional Officers authorized for compliance with this issue.

5. MR&E: Officers are assigned to each tier of the cellblocks 24 hours per day, seven (7) days per week.

6. WCC: Officers are posted in each dormitory seven (7) days per week, 24 hours per day.

5. Correctional officers will have sight or sound observation of inmates inside wards and cellblocks at medium, maximum and minimum security institutions...

a. As mentioned in previous Quarterly Reports, certain staffing deficiencies were reported at certain institutions which hampered full compliance with this issue. Listed below is a description of those institutions with deficiencies and the number of staff requested during Fiscal Year 1986-1987 to correct such deficiencies.

Inst.	Compliance by 1/8/86	Additional Officers Requested	# Hired as of 2/28/87
AYCC	No, by 1/8/86 Yes, during 86/87	16	16
GCI	No, by 1/8/86 Yes, during 86/87	4	4
KCI	No, by 1/8/86 Yes, during 86/87	11	11
MCI	No, by 1/8/86 Yes, during 86/87	9	9
SCI	No, by 1/8/86 Yes, during 86/87	16	15
WCI	No, by 1/8/86 Yes, during 86/87	14	14
WRCI	No, by 1/8/86 Yes, during 86/87	3	3
CACI	No, by 1/8/86 Yes, during 86/87	11	11

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TOTALS		84	83

NOTE: It should be noted that the SCDC fully met the February 4, 1984, staffing recommendations made by the agreed upon NIC consultant, Mr. James Henderson. However, after implementation of the recommendations, the above listed staff deficiencies were discovered and are presently in the process of being corrected by the SCDC as noted above.

b. The 84 Correctional Officer positions indicated above comprise a portion of the SCDC's overall additional personnel for Fiscal Year 86/87. Some of the facilities listed above will also receive additional security personnel to meet the needs of the AIMS classification plan and to address other facility concerns, and these additional positions should also assist in generally meeting the sight or sound requirements. (See also #1, above for a listing of the # of positions authorized this fiscal year.)

6. Procedures relating to the use of inmate labor will be reviewed and modified where necessary...

a. All institutions have developed a policy to address this issue.

b. See previous editions of the Quarterly Report for specific information regarding compliance.

CATEGORY IV: STAFF TRAINING

Only those issues for which additional progress has been indicated since the last reporting period (Jan. 8, 1987) have been included under the "Staff Training" Category. All issues not listed are in compliance and are continously monitored by the Training Division.

2. ACA Standard 2-4080--All institutional trainers will complete a 40-hour "Training for Trainers" course...

a. The Training Branch has the following information on file for compliance purposes:

As of March 8, 1987, 12 institutional trainers, comply, 1 institutional trainer position was vacant, 15 academy

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trainers comply and 2 academy trainers have been scheduled for training.

9. ACA Standard 2-4088--All new full-time employees will receive 40-hours of orientation training before reporting to their work sites...

   a. The Training Branch has the following information on file:

      1. Policy #200.4, (SCDC) Employee Basic Training.
      2. Policy #200.5 (Training Branch) Credit for Prior Training.
      3. Orientation curriculum that lists courses taught and the length of each course.
      4. Employee Training Records (Orientation Rosters).

   b. During this reporting period , there have been no instances of noncompliance with this issue.

10. ACA Standard 2-4089--All clerical employees will receive 16 hours of training during their first year of employment and will receive 16 hours of training every year thereafter...

   a. During this reporting period, there have been no instances of noncompliance with this issue.

11. ACA Standard 2-4090--All support employees will receive 40 hours of training during their first year of employment and 40 hours of training every year thereafter...

   a. The Training Branch reports that all support employees that have direct contact with inmates comply. Progress has been made towards achieving compliance with the 1/8/88 compliance date; institutions have implemented procedures which will ensure that all security staff receive at least 10 hours of in service training per quarter, all employee training records are being maintained on a computer and a "Quarterly Training Calendar" has been developed which lists all the training programs offered as well as dates, times and places.

17. ACA Standard 2-4096--All personnel authorized to use firearms will receive firearms training and will be required to demonstrate competency annually...

   a. As of this reporting period the Training Branch reported that approximately 31 employees did not complete their annual weapons requalification. The supervisors of these employees have been notified and such employees will not be issued a firearm or authorized to utilize the same until certification has been obtained.

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CATEGORY V: HEALTH SERVICES

Only those issues for which additional progress or new information has been indicated have been included under this category. Please refer to the "First Quarterly Report" for compliance information related to issues #1 - #52 and #61 - #75. It should be noted that officials of the Health Services Branch conduct quarterly Nelson audits of all institutional health service branches to ensure that all such divisions are maintaining compliance with the terms of the Settlement. As of this reporting period, all institutional health service branches have been reported to be in compliance with the requirements and procedures outlined in the Decree.

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53. Contract with an agreed upon medical and mental health care consultant to conduct a survey which would review the SCDC health care delivery systems...

a. Consultants came to the SCDC during the week of 2/4/85 and visited various SCDC institutions in an effort to obtain enough information to form recommendations. The consultants were as follows:

Dr. Robert Cohen, Rickers Island Medical Center. New York
Dr. Lloyd Baccus, Mental Health Care Consulting, Atlanta
Ms. Bonnie Norman, Norman and Cotton Asso., Los Angeles
Dr. Charles Rosenberg, Medical Consultant, Miami

The Compliance office has the following documentation on file :

1. Copies of contracts for all four consultants retained by the SCDC for consulting services;
2. Copies of all resumes for all health care consultants;
3. Copies of all correspondence with Plaintiff's Counsel regarding the heatlh care study;
4. Copies of all correspondence with the consultants;
5. Copies of all budget requests and approvals for funds for paying the health care consultants for their services;
6. Copies of all transactions made with the Travel Agency for the health care consultants.

b. On February 22, 1985, all health care studies were submitted to the SCDC and the subsequent review of all the

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reports began by the appropriate SCDC officials;

c. The SCDC has presented the reports to various S.C. Legislative Committees for review and approval for funding to begin implementing the recommendations made by the consultants in their reports on the health care system of the SCDC.

54. Submit plans for implementing the recommendations made by the health and mental health care consultants...

a. The Department completed the development of a plan for phasing in the recommendations made by certain health care consultants (see, #53, above). The plan calls for the implementation of approximately 19 medical care recommendations and 13 mental health care recommendations. In order to facilitate implementation of the plan, approximately 104.5 new mental health care positions and 116 additional medical care positions were identified. To date, 87 medical positions and 14 mental health care positions were authorized by the General Assembly for Fiscal Year 1985-1986. In June, 1986, the General Assembly approved 58 medical and mental health care positions. An updated listing of all positions authorized for F.Y. 1985-1986 and F.Y. 1986-1986 is below:

FISCAL YEAR 1985 - 1986 POSITION STATUS

Position	Inst.	Number Approved	Hire Status as of 3/1/87	Number Hired
LPN II	AYCC	1	Yes	1
Nurse Supervisor	CACI	1	Yes	1
Nurse Practioner	CACI	1	Yes	1
Staff Nurse	CACI	1	Yes	1
Head Nurse	CACI	1	Yes	1
Social Worker IV	CACI	0.5	Yes	0.5
Staff Nurses	DCI	3	Yes	3
Admin. Spec. A	DCI	1	Yes	1
Social Worker IV	DCI	0.5	Yes	0.5
Head Nurse	GCC	1	Yes	1
Staff Nurse	NCC	1	Yes	1
Psychologist III	PCI	1	Yes	1
LPN II	PCI	1	Yes	1
Dental Asst. II	PCI	1	Yes	1

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Dentist	PCI	1	Yes	1
Head Nurse	PCI	3	Yes	3
Staff Nurse	PCI	1	Yes	1
Pharmacist	PCI	1	Yes	1
Physician II	PCI	1	Yes	1
Clin. Nurse Spec.	PCI	2	Yes	2
Social Worker IV	PCI	1	Yes	1
Physician II	CCI	1	Yes	1
Dentist II	CCI	1	Yes	1
Clin. Nurse Spec.	CCI	1	Yes	1
Dental Asst. II	CCI	1	Yes	1
Nurse Practitioner	CCI	1	Yes	1
Social Worker IV	CCI	7	Yes	7
Nurse Supervisor	GCI	1	Yes	1
LPN II	GCI	1	Yes	1
Staff Nurses	GCI	2	No*	0
Physician II	KCI	2	Yes	2
Pharmacy Tach.	KCI	1	Yes	1
Clin. Lab Tech.	KCI	1	Yes	1
Lab Aide II	KCI	1	Yes	1
Admin. Spec. A	KCI	2	Yes	2
Procurement Officer	KCI	1	Yes	1
Staff Nurse	KCI	3	Yes	3
Pharmacist	KCI	1	Yes	1
Psychologist II	KCI	1	Yes	1
Social Worker II	KCI	2	Yes	2
Activity Therapist	KCI	1	Yes	1
Social Worker IV	KCI	1	Yes	1
Social Worker IV	Gilliam	1	Yes	1
Chief Psychologist	Gilliam	1	Yes	1
Psychologist II	Gilliam	1	Yes	1
Admin. Service Mgnr.	Gilliam	1	Yes	1
Staff Nurse	Gilliam	1	Yes	1
Staff Nurse	MCI	1	Yes	1
Head Nurse	MCI	1	Yes	1
LPN II	MCI	1	Yes	1
Admin. Spec. A	MR&EC	1	Yes	1
Social Worker IV	MR&EC	1	Yes	1
Physician II	SPCC	1	Yes	1
Head Nurses	SPCC	2	Yes	2
Nurse Practioner	SPCC	1	Yes	1
Head Nurse	SCI	1	Yes	1
Activity Therapist	SCI	1	Yes	1
Admin. Spec. B	SCI	1	Yes	1

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Nurse Supervisor	WRCI	1	Yes	1
Staff Nurses	WRCI	3	Yes	3
Admin. Spec. A	WRCI	1	Yes	1
LPN II	WRCI	2	Yes	2
Nurse Practitioner	WRCI	1	Yes	1
Staff Nurses	WCC	4	Yes	4
LPN II	WCC	4	Yes	4
Admin. Spec. B	WCC	1	Yes	1
Head Nurse	WCC	3	Yes	3
Physician II	WCC	1	Yes	1
Admin. Spec. A	WCC	1	Yes	1
Pharmacist	WCC	1	Yes	1
Nurse Practitioner	WCC	1	Yes	1

TOTAL POSITIONS APPROVED.....99
TOTAL NUMBER HIRED TO DATE..... 97

In addition to the above, the Division of Human Services has announced that contract psychological consultation for institutional social work services and special treatment components has been implemented.

FISCAL YEAR 1986 - 1987 POSITION STATUS

Position	Inst.	Number Approved	Hire Status as of 3/1/87	Number Hired
Social Worker III	AYCC	1	No	0
LPN II	AYCC	1	Yes	1
Physician II	CCI	1	No	0
Social Worker IV	CCI	2	Yes	1
Admin. Spec. C	CCI	1	Yes	1
Dental Asst. II	CCI	1	No	0
LPN II	CCI	1	Yes	1
Activity Therapist	CCI	1	No	0
Physician II	DCI	1	No	0
Dentist II	DCI	1	Yes	1
Dental Asst. II	DCI	1	Yes	1
Pharmacist	DCI	1	No	0
Social Worker IV	GCI	1	Yes	1
LPN II	GCI	1	Yes	1
Social Worker IV	GYCC	1	No	0
Director, S. Work	HQ	1	Yes	1
Admin. Spec. C	HQ	1	Yes	1

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Physician II	KCI	1	Yes	1
Admin. Spec. C	KCI	1	Yes	1
Prog. Nurse Consul.	KCI	1	No	0
LPN II	KCI	1	Yes	1
Psychologist III	KCI	1	Yes	1
Social Worker IV	KCI	1	Yes	1
Social Worker III	KCI	1	Yes	1
Dental Asst. II	KCI	1	No	0
Pharmacist	KCI	1	No	0
Activity Therapist	KCI	1	No	0
Head Nurse	MCI	1	Yes	1
LPN II	MCI	1	Yes	1
LPN II	MYCC	1	Yes	1
Social Worker IV	MYCC	1	Yes	1
Social Worker IV	NCC	1	No	0
Psychologist III	PCI	1	No	0
Social Worker IV	PCI	4	Yes	1
Activity Therapist	PCI	1	No	0
Social Worker IV	SCI	1	No	0
Prod. Coordinator	SCI	1	Yes	1
Physician II	SPCC	1	Yes	1
Pharmacist	SPCC	1	No	0
Admin. Spec. C	SPCC	1	No	0
Nursing Asst.	SPCC	2	Yes	2
Social Worker III	SPCC	1	Yes	1
LPN II	SPCC	1	Yes	1
Physician II	WCC	1	Yes	1
Staff Nurses	WCC	2	No	0
Admin. Spec. C	WCC	1	No	0
Psychologist III	WCC	1	Yes	1
Activity Therapist	WCC	1	No	0
LPN II	WCC	1	No	0
Social Worker III	WCC	1	No	0
Social Worker IV	WCC	1	No	0
Social Worker IV	WCI	1	No	0
Social Worker III	WRCI	1	No	0

TOTAL POSITIONS APPROVED.....59
TOTAL NUMBER HIRED TO DATE..... 29

55. Begin implementation of the recommendations made in the consultants

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health care studies...

a. As noted above, the SCDC completed a plan for the implementation of approximately 19 medical care and 13 mental health care recommendations. The Department received approval of the plan from the S.C. Medical Association on July 29, 1985 and received written approval of the plan from medical consultant, Ms. Bonnie Norman, on December 2, 1985.

A description of medical recommendations where the status has changed since the last reporting period is included in the "Quarterly Report as Appendix C."

A description of selected mental health recommendations and the progress made thus far to implement each recommendation for compliance purposes is included in the "Quarterly Report" as APPENDIX D. Those recommendations not included in this APPENDIX will be reported in the "Tenth Quarterly Compliance Report."

56. Ensure that the appropriate medical equipment is available at all institutions as recommended by the health care consultants...

a. Defibrallators have been purchased by the Health Services Branch and are in place at CACI, DCI, GCI, MCI, WCC and WRCI.

b. Crash carts have been purchased and are now permanent at GCI, MCI, WCC and WRCI.

c. The following equipment has been purchased and is now in place at KCI.

Quantity	Equipment	Location
1	Centrifuge bench-top modular	KCI
1	Fibrometer-Fibrosystem	KCI
1	Medi-Cart 707	KCI

d. In conformance with the consultants recommendations, the SCDC sought "certificate of needs" from the S.C. Department of Health and Environmental Control. The Certificates for the infirmaries at PCI and WCC were granted on June 16, and July 24, 1986, respectively. Currently, completion dates for these infirmaries are as follows: June, 1987 for the WCC and November, 1987 for PCI.

57. The refusal of health evaluations or health services by an inmate

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shall not be the subject of discipline or punishment or result in the confinement in segregation if the medical problem is that of a non-life threatening or non-contagious disease...

a. As of March 8, 1987, their have been no reported instances of inmates being held in segregation status for refusal of health services.

b. A situation did arise, however, in which there was evidence of an inmate who had been reduced in custody and sent to a more secure facility because of his refusal to accept medical care for a hand injury and poor institutional adjustment. This situation has been corrected and the inmate in question has been advanced back to "A" custody and will be transferred back to his original institution.

58. Notice will be provided that all inmates will have access to over-the-counter non-prescription drugs during non-sick call hours...

a. During this reporting period, all institutions report that compliance has been maintained with regard to this issue. All institutional heads have required that posted notices be placed throughout their institutions notifying inmates of this requirement. Many institutions also require that such information be verbally communicated to inmates during institutional orientation. (See past editions of the Quarterly Report for detailed descriptions of each institutions compliance with this issue).

b. It should be noted that the items required to be afforded inmates under Sec. III. I. 1. (b). of the Decree are aspirin, anti-acids and laxatives." The SCDC, therefore, provides inmates with the following medications: Maalox, Tylenol, aspirin, Milk of Magnesia and Gel-u-sil. Inmates may also purchase over-the-counter, non-prescription medications from their individual institutional canteens with their normal inmate pay.

59. Hygiene supplies will be provided to all inmates housed in Special Learning Units and to all inmates that the Warden determines to require such supplies due to special circumstances...

a. During this reporting period, all institutions report that compliance is being maintained with regard to this issue. All institutions have developed or revised existing institutional policy to reflect this requirement. Hygiene supplies are provided inmates upon the discretion of each institutional head only.

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b. See, also, CATEGORY XIII: SANITATION AND HYGIENE, #7, below.

60. Each institution will develop a plan for emergency medical treatment...

a. Information regarding this requirement was contained in the "Fourth Quarterly Report on Compliance," January 8, 1986, pages 40 - 48.

CATEGORY VI: PROGRAMS

1. All inmates will receive five hours a day of meaningful programs...

a. All institutions report that inmates are afforded the opportunity to participate in "meaningful programs" 5 hours a day, 5 days a week. The Classification Branch has implemented procedures for assigning jobs/programs for those inmates who wish to participate. Documentation for all inmates requesting "meaningful programs" is being maintained by the Educational Branch.

** For further information on the "Meaningful Programs" issue, please see "Defendant's Sixth Quarterly Report on Compliance."

2. The present level of educational programs offered by the SCDC will remain the same as the level of programs offered at signing.

a. The list of educational courses submitted by the Department continues to be complied with.

3. General population inmates will be provided with the opportunity to attend educational courses at least two evenings per week...

a. The following institutions are operable with regard to this issue: CCI, WCC, WRCI, PCI, KCI, CACI, SCI, MCI, MYCC, GCI, AYCC AND DCI.

b. It is apparent that some institutions do not have educational programs offered to inmates in the evening; however, inmates that would like to participate in educational programs in the evening may request a transfer to an institution which offers the same, consistent with security/custody needs. Additionally, all work release centers and pre-release centers offer inmates the

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opportunity to attend "community based" educational programming provided they meet the necessary admission criteria. Information pertaining to the exact community programs afforded such inmates was forwarded to Plaintiffs' Counsel for review on December 10, 1986.

4. All inmates will be assigned to programs on a equitable basis...

   a. The monthly report of 3/8/87 submitted by the Dep. Commissioner for Program Services listed that all institutions were in compliance with this issue and that all program assignment of inmates is done on an "open entry/ open exit basis...first come first serve basis" so that no discrimination is present. The Educational Branch confirmed this statement and reported that all inmates who meet the eligibility requirements contained in SCDC policy are assigned to programs for which they volunteer. Enrollment records and verification is provided by the school principals to indicate that each inmate is assigned quickly, or is placed on a waiting list for the next available slot.

5. Inmates assigned to administrative segregation will receive 5 hours a week, 5 days a week of outdoor exercise...

   a. All institutions are in compliance with this requirement as of 3/8/87.

6. Inmates assigned to protective custody longer than 90 days will receive "meaningful programs" 5 hours a day, 5 days a week...

   a. The SCDC has developed a policy entitled, "Voluntary Requests by Inmates in Protective Custody," in order to comply with this issue. This policy was included in the "Eighth Edition of the Quarterly Report on Compliance" as Appendix F.

   b. For a listing of programs afforded Protective Custody inmates housed over 90 days, please see the "Defendant's Eighth Edition of the Quarterly Report on Compliance, Appendix C."

7. An effort will be made to provide earned work credit to inmates for educational and vocational courses...

   a. SCDC officials requested credits for participation in education courses during the 1986 Legislative Session. Credits for such were passed by the House on February 28, 1986, and subsequently approved in the Senate.

   b. Credits for participation in educational and vocational programs was approved by the Governor in June of 1986 as

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part of the "Omnibus Crime Bill." A plan has been developed by the Education Branch and was scheduled for implementation November 1, 1986. This plan was included as "Appendix C in the Seventh Quarterly Compliance Report."

CATEGORY VII: LIBRARIES

1. Inmates will have comparable access to libraries regardless of their classification or housing assignment...

   a. The monthly reports of 3/8/87 report that all institutions with in-house libraries that house Administrative Segregation and Protective Custody inmates are in compliance with this issue.

      For a detailed description of how comparable access is achieved by Administrative Segregation and Protective Custody inmates housed in institutions with in-house libraries, please see the "Defendant's Eighth Quarterly Report on Compliance."

   b. The following progress was reported by the Library Services Branch on 3/8/87 for institutions that presently do not have in-house library services:

      1. SCI, WCI, SPCC and GCI: In response to requests from inmates at these institutions, a full-time librarian has been hired for the bookmobile so that more services can be provided inmates at these facilities. In the past, the bookmobile was staffed by a part-time librarian working 20 hours per week. The employment of a full-time librarian will enable the bookmobile to visit these institutions at least one full day per week. Hours for the bookmobile have been scheduled in accordance with inmates' needs as follows:

TUESDAY	1:30 to 5:30 at WCI.
WEDNESDAY	12:30 to 6:00 at SPCC.
THURSDAY	11:30 to 5:30 at SCI.
FRIDAY	11:30 to 6:30 at GCI.

In addition to the above, Library Services officials provided the following information regarding the circulation of books by the bookmobile during February, 1987:

Inst.	Comics	Fiction	Non-Fiction	Periodicals	Total	# of Inmate Visits
GCI	60	93	46	160	359	446

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SCI	20	22	22	99	163	149
SPCC	10	48	43	291	392	280
WCI	90	62	65	283	500	399
TOTAL	180	225	176	833	1414	1274

2. GYCC: Currently, the remodeled trailor purchased for use as a library at GYCC is operational for a total of 10 hours per week. Officials at GYCC have indicated, however, that the 10 hours of library service are sufficient to cover the needs of the small number of inmates housed at this facility. To date, a proposal has been forwarded to Plaintiffs' counsel to enable this library to remain operational for only 10 hours; rather than the 37 1/2 hours required. The Department is still awaiting a response from Plaintiffs' Counsel regarding this issue and has made an inquiry with regard to the same. Information will be included in the next Quarterly Report.

3. AYCC: Beginning in February, 1987, the library at this facility became operational for a total of 37 1/2 hours per week. Library hours for inmate access/use are as follows:

Monday	12:00 to 4:00 4:30 to 8:00	Tuesday	8:00 to 12:00 12:30 to 4:00
Wednesday	8:00 to 12:00 12:30 to 4:00	Thursday	12:00 to 4:00 4:30 to 8:00
Friday	8:00 to 12:00 12:30 to 4:00	*Sunday	1:00 to 5:00

* This is one Sunday a month with no library services the previous Friday afternoon.

4. MYCC: Currently, MYCC is in the process of recruiting an additional part-time librarian so as to enable this library to remain open for 37 1/2 hours per week. The request for this librarian position was submitted during the month of November, 1986 and is currently still being advertised.

5. MCI: Currently, the library is available 37 1/2 hours per week for inmate access.

6. GCC, NCC and WRCI: The number of books circulated to inmates at these facilities by means of the "Traveling Library" were as follows:

	Number of books circulated		
Inst.	Dec.	Jan.	Feb.

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GCC	0	0	0
NCC	68	50	43
WRCI	39	23	18
TOTAL	107	73	61

c. To ensure that administrative segregation inmates are also receiving adequate library services as well, the Director, Library Services, has compiled "circulation statistics" to indicate the number of magazines, newspapers, comics and books circulated to administrative segregation units during the month of February, 1987. These statistics are as follows:

CIRCULATION STATISTICS

Institution	Number of Books Circulated**
CACI	218
CCI	1878
DCI	780
PCI	2048
WCC	331
MCI	19
TOTAL	5274

2. Libraries will be open at all institutions five days per week and at least two evenings for a total of 37 1/2 hours...

   a. For institutional library schedules see "Fifth Quarterly Report."

3. Inmate access to the law library will comply with the requirements set forth in "Bounds v. Smith..."

   a. A plan to comply with the "Bounds" decision has been completed. In order to allow all inmates access to the law library, four (4) "sattelite" law libraries and nine (9) "core" law libraries will be maintained by the SCDC. The four sattelite law libraries are located at CACI, NCC, CCI (Cellblock II), and CCI (Building 5). The nine core law libraries are located at DCI, KCI, PCI, CCI, WCC, MCI, MYCC, WRCI, and LCI.

   b. The Sattelite Law Library at GCI became operational during the month of February, 1987. However, upon receipt of the shipment of law books, it was discovered that the FEDERAL HABEAS CORPUS was missing. Library services was informed

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and this book has been re-ordered.

c. The following is a list of books to be maintained within the nine core law libraries and the five sattelite law libraries in order to comply with the "Bounds v. Smith" decision.

CORE LAW LIBRARIES

-South Carolina Code
-Federal Reporter, 2nd Edition, (Volumes 270-Present)
-South Carolina Digest, (Criminal Law Volumes)
-U.S. Codes Annotated, (Volumes 28, 18 and 42)
-South Eastern Reporter, (Volumes 1-Present)
-Supreme Court Reporter, (Volumes 80-105)
-Federal Supplements, (Volumes 304-Present)
-Federal Habeas Corpus
-Black's Law Dictionary
-LaFave and Scott, Criminal Law Hornbook
-Shepherd's Citations, Part 1 and Part 2, (20 Volumes)
-Palmer, Constitutional Rights of Prisoners
-Corpus Juris Secundum, (Volumes 22-24)
-Federal Practice Digest, 2nd Edition, (33 Volumes)
Volumes 4, 8, 8A, 9, 9A, 11A, 12, 13, 14, 14A, 15, 18, 19, 21-28, 32A, 33, 33A, 34, 34A, 35, 36, 36A, 42-44
-Federal Practice Digest, 3rd edition, Criminal Law Volumes

SATTELITE LAW LIBRARIES

-Federal Practice Digest, 2nd and 3rd editions
Volumes as listed above in Core Law Libraries
-South Carolina Digest, (Criminal Law Volumes)
-Black's Law Dictionary
-LaFave and Scott, Criminal Law Hornbook
-South Carolina Code, (Volume 8)
-Federal Habeas Corpus

d. Inmates may use normal inmate pay to receive the following services at their institutions: photocopying, typewriting and other legal supplies, i.e., notepads, etc. All institutions have notary public services.

4. Inmates may obtain a pass to use the law library during work or program hours provided they can show a court deadline...

a. See previous editions of the Quarterly Report for compliance information with regard to this issue.

5. There will be no loss of inmate bonus pay because of court proceedings provided that an inmate's absence does not exceed seven (7) calendar days...

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a. The Director of the Division of Industries reported on 2/4/85 that he had instructed all Industries Plant Managers "to ensure that no inmate receive or suffer any loss of bonus pay because of a court appearance." The Division of Industries reported that is has rewritten guidelines to comply with this issue and, as of 12/8/86, compliance has been maintained.

6. All institutional and departmental policies and procedures will be made available to inmates in institutional libraries with the exception of those dealing with security and emergency procedures...

a. The SCDC submitted a list to Plaintiffs' Counsel of those departmental policies selected to be omitted from the departmental policy manuals provided inmates at each institution. Plaintiffs' Counsel was afforded the opportunity to review this list and comment on the appropriateness of our selections. Previous editions of the Quarterly Report contain a list of those policies which were finally selected for exclusion. During this quarter, no additional or new departmental policies were selected for exclusion.

b. In addition to the above, the SCDC was also required to provide inmates at each institution with copies of their institutional policy manuals. Again, institutional heads were afforded the opportunity to review their policies and omit those which were felt to jeopardize the security of their institution or interfere with emergency procedures. A list of all institutional policies selected for exclusion is contained in previous editions of the Quarterly Report.

c. Additional revised institutional policy exclusion lists will be published in future editions of the Quarterly Report as they are received.

CATEGORY VIII: CLASSIFICATION

On December 10, 1986, a meeting was held between the parties to discuss various topics relative to Classification. Plaintiffs' Counsel was provided an updated copy of the Classification Plan and the Department agreed to provide counsel with a copy of the Department's schedule for validation of the classification system, and with certain statistics regarding classification overrides, reclassification, etc. Additionally, during the month of February, 1987, 50 completed Reclassification Forms were sent to Plaintiffs' Counsel for their review and study.

1. A classification plan for separating violent and non-violent offenders will be developed...

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a. See, Table 2, for description of the Department's AIMS implementation plan.

b. AIMS has been implemented fully at MYCC. MCI is completing the AIMS pilot test and should complete the AIMS paperwork for the existing population during the month of March. CACI also has completed full implementation of AIMS. DCI is in the process of completing the AIMS paperwork for the existing population and should relocate during the month of March.

c. The Admissions and Orientation unit at KCI closed on February 1, 1987. The A&O beds will be phased into the Transitional Care Unit. New inmates are assigned to a unit where there is bedspace. Each unit rotates responsibility for orientation each week. AIMS classifications are determined within ten (10) working days and required moves are arranged between the Unit Deputy Wardens.

d. The AIMS pre-implementation for MR&EC took place on February 10, 1987. MR&EC should move to the new site during the month of March. It was decided at this meeting that AIMS training would be conducted after the move is completed.

2. A classification plan for double-celling assignments will be developed...

a. The formal plan for double-celling assignments was submitted on December 20, 1985, and was attached to the "Fourth Quarterly Report on Compliance" as Appendix "B."

3. Develop an overall classification plan for initial, and re-classification of inmates...

a. On August 23, 1985, the Special Classification Committee approved the implementation schedule.

b. Commissioner Leeke formally approved the implementation of the new external classification plan on 11/1/85.

c. The Objective Classification Plan, which includes criteria for initial classification, reclassification and internal classification was submitted on December 20, 1985, and was included in the "Fourth Quarterly Report on Compliance" as Appendix "B."

d. The Objective Classification Plan was reviewed in a meeting on January 28, 1986 with the Plaintiffs' Counsel; and Dr. Robert B. Levinson, classification consultant for the SCDC and agency personnel.

e. The implementation of all components of the Classification

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Plan is proceeding on schedule as indicated below:

1. Initial Classification--Implementation began with new inmate admissions on February 18, 1986 at the Reception Centers.

2. Reclassification--Regional implementation began in the ACR on December 2, 1985; in the CCR in March, 1986; and began in the MCR in April, 1986.

4. Modifications in the current classification plan will be made with regard to such items as inmate classification status, inmate appeal of classification status, and reviews of inmates classification status...

a. The Classification Branch reported as of 12/8/85 the following:

1. Procedures have been developed and implemented to make the necessary modifications. Training has been given to classification personnel. Modifications include:

a. 48-hour notice is given to inmates prior to classification;

b. Inmates are present and participate at the hearing unless they waive such right;

c. Inmates have access to information regarding their classification status;

d. Inmates have a right to appeal their classification status;

e. Inmates with detainers have been reviewed for custody;

f. Jobs and programs are allocated in a rational, fair and equitable manner;

g. Classification reviews are conducted at 3 and 6 month intervals depending upon the length of the sentence; and,

h. Inmate requests are acted upon within 30 days.

b. The classification procedures and training manual was completed in July 1986 and distributed to caseworkers on August 20, 1986.

c. The procedures were incorporated into the Objective Classificiation Plan submitted on December 20, 1985 and included in the "Fourth Quarterly Report on Compliance" as Appendix "B."

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Adult Internal Management System Implementation Plan

	CCI	KCI	PCI	LCI
1.	Complete	Complete	Complete	Complete
2.	Complete	Complete	Complete	Complete
3.	Complete	Complete	Complete	Complete
4.	Complete	Complete	Complete	Complete
5.	Complete	Complete	Complete	Complete
6.	Complete	Complete	Complete	Complete

	MYCC	CACI	DCI	MCI	MR&E	McCI	WRCI	GCI	WCC
1. Pre-Implementation Mt.	July '86	Oct '86 24th	Nov '86 12th	Jan '87	Feb '87	Apr '87	May '87	Jun '87	Aug '87
2. Staff Training	July '86	Nov '86 12-14	Dec '86	Jan '87	Feb '87	Apr '87	May '87	Jun '87	Aug '87
3. Pilot Test (Random Testing)	Sep '86	Dec '86	Jan '87	Feb '87			Jun '87	Jul '87	Sep '87
4. AIM Existing Population	Oct/Nov '86	Jan '87	Feb '87	Mar '87			Jul '87	Aug '87	Oct '87
5. Relocate Population	Dec '86	Feb '87	Mar '87	Apr '87			Aug '87	Sep '87	Nov '87
6. Maintenance	Jan '87	Mar '87	Apr'87	May '87			Sep '87	Oct '87	Dec '87

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CATEGORY IX: VISITATION

The Nelson Decree stipulates that all inmates should currently be allowed contact visitation except in cases when inmates have been determined to be substantiated security risks (SSR). Additionally, the Decree requires all Protective Custody inmates to be afforded the opportunity to receive at least four (4) visits per month, up to two (2) hours in length, and inmates in Administrative Segregation to be afforded up to two (2) visits per month, up to two (2) hours in length.

To date, all institutions have maintained compliance with the visitation requirements outlined in the Decree and all have developed or revised existing institutional visitation policies in conformance with the same. Past editions of the Quarterly Report detail the methods taken at each institution to comply with these issues.

It should be noted, however, that Plaintiffs' Counsel has contested the current visiting schedule being maintained at the MR&EC. Specifically, Plaintiffs' Counsel is alleging that the Department is in noncompliance with that requirement of the Decree which requires inmates held over 14 days be afforded the opportunity for visitation. Currently, the MR&EC affords all inmates the opportunity to receive at least four (4), 30-35 minute visits per month. This is due to the size of the facility and the small visitation area which makes it impossible for longer visits to be provided. The current visitation schedule employed at the facility enables every inmate the opportunity to receive an equal number of visits (comparable access). Additionally, this facility is scheduled to be closed once the new MR&EC is completed in April, 1987. The new facility has ample space to provide inmates with visitation privileges in excess of that required by the Decree. Therefore, inasmuch as the Department will be correcting this alleged "problem" within a few weeks, Plaintiffs' Counsel's proposal to renovate the existing visiting area at the old MR&EC appears unreasonable. It should be noted, that Departmental officials have evaluated other methods which could be implemented at the old MR&EC to comply with Plaintiffs' Counsel's request for increased visitation. However, such alternatives did not afford inmates with comparable visitation. In addition to visitation privileges, the Decree also requires that recreation privileges be afforded to those inmates who have been held for over 14 days at Reception and Evaluation Centers. Currently, the MR&EC affords these inmates with recreation privileges in excess of that required by the Decree.

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CATEGORY X: PHYSICAL RESTRAINTS

The Nelson Decree sets forth the criteria and procedures to be followed when using physical restraints on inmates inside institutions and when transporting inmates. Additionally, this section of the Decree established an appeal route for those inmates who have been designated as SSR inmates.

To date, all institutions have maintained compliance with the Physical Restraint issues outlined in the Decree and all have developed or revised existing institutional policies in conformance with the same. Please see past editions of the Quarterly Report for a detailed description with regard to physical restraints.

CATEGORY XI: FIRE SAFETY

1. Submit a plan for meeting the fire safety standards of the ACA and the NFPA...

    a. Construction and Engineering officials selected Carlisle Associates as the Architectural and Engineering Firm to conduct evaluations of all SCDC facilities in order to determine their present compliance with the fire safety stamdards contained in the Decree.

    Carlisle Associates has been touring and inspecting all the SCDC facilities in order to make determinations as to which areas in each institution may be deficient with regard to applicable fire safety standards. Carlisle Associates have submitted to the Construction and Engineering Branch implementation plans necessary to correct the deficiencies found in each institution. The Construction and Engineering Branch reported that corrective action is being taken as recommended by Carlisle Associates as reports are received and reviewed. Please see Issue #4c, d, and e below.

2. All smoke detectors and fire alarms will be on contract...

    a. At the time of signing, nine (9) institutions already had smoke detectors and fire alarms on contract. These institutions are: WPRC, LiWRC, CACI, DCI, KCI, MCI, PCI, WCC and MR&EC. On March 19, 1985, the remaining SCDC facilities were placed on contract with either Dixie Communications, Inc., or with West Electric Company. All smoke detectors and/or fire alarms were installed in the remaining institutions by mid-December as specified in the contracts.

3. All gang door releases and emergency exits and escape ladders will be on contract...

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a. The Construction Branch reported that the first plans have been received from Carlisle and Associates and implementation of these plans will begin in the near future. Work will be done in conjunction with installation of sprinkler and smoke evacuation systems.

4. Sprinkler and/or smoke evacuation systems will be on contract...

a. The sprinkler system was designed and installed in the GCI, MYCC, WCI and NCC housing units as required by current fire, life safety and building code standards. Palmetto Automatic Sprinkler Company, Inc. was contracted to perform an independent inspection and evaluation of the installed systems for compliance with all required standards.

b. The sprinkler system was designed and installed in the Women's Correctional Center Dorm as required by current fire, life, safety and building code standards. Palmetto Automatic Sprinkler Company, Inc. was contracted to perform an independent inspection and evaluation of the installed system for compliance with all required standards.

c. Installation of a sprinker system in CBII (CCI), as recommended by Carlisle Associates was completed on October 31, 1986. Installation of a sprinker system in CBIII (CCI) was completed on December 31, 1986.

d. The sprinkler system designed for D-Dorm, at NCC was installed as required by current fire, life, safety and building code standards on December 18, 1986. Palmetto Automatic Sprinkler Company, Inc. was contracted to perform an independent inspection and evaluation of the installed system for compliance with all required standards.

e. Work has begun for sprinkler system installations at GCI, MCI, SCI, WCI, and WCC.

CATEGORY XII: CONSTRUCTION

1. Renovations of CCI Guards' Quarters...

a. The CCI Officer's Quarters was completed on July 30, 1985, and became fully operational at this time.

2. Renovation of Stoney Building...

b. The Department completed renovations to the Cooper Building for the Department of Mental Health and began housing inmates in the Stoney Building during the week of December 16, 1985. The Construction and Engineering Branch reported

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that all renovations were completed at the Stoney Building on December 31, 1985.

3. Renovation of MCI Cell Block...

   a. The addition to the cellblock at MCI was completed and became operational on January 29, 1986.

4. Renovation of DCI from minimum security to medium security...

   a. The Construction and Engineering Branch reported that Tasks 1-13 have been completed (See Table I). Construction started April 1, 1986, and is currently on schedule.

5. Construction of WCC Dorm...

   a. Construction of this dorm was completed October 31, 1986.

   b. Occupancy of the 96 bed dorm was phased in during the month of November, 1986.

6. Renovation of MSC...

   a. The Maximum Security Center was renovated and converted into a Special Protective Custody Housing Unit on 12/18/85. All "M" custody inmates who were housed at MSC were transferred to Cellblock II (26 inmates), PCI (20) and KCI (16).

7. Construction of Lieber Correctional Institution....

   a. The Construction and Engineering Branch reported that this project is complete.

8. Construction of McCormick...

   a. The Construction and Engineering Branch reported that Tasks 1-13 have been completed (see Table I). See also, Appendix "A," McCormick Correctional Institution Job Progress Memorandum. Construction and Engineering reports that the contractor is currently ahead of schedule.

9. Construction of New MR&EC...

   a. The Construction and Engineering Branch reported that Tasks 1-13 have been completed (See Table I). All construction is reported to be on schedule. See, also Appendix B, Midland's Reception and Evaluation Center Job Progress Memorandum.

10. Effort to close CCI...

   a. On March 10, 1987, the Prison Relocation Task Force presented their proposal for the lease-purchase of two, 600 bed medium security institutions to members of the Budget

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TABLE I

Construction and Renovation Schedule

1. The schedule for the construction and renovation, required for the Defendants to reach the housing capacities established by the standards stated in this Decree, shall be determined by the following specific dates, for the completion of each of the following tasks as may be necessary, at each of the following institutions:

Institutions:	CCI Guards	CCI Stoney	MCI Lock-up	WCC Form	CCI MCC	New Lieber	New McCorm.	New A&E	DCI Sec.
Tasks									
1) Site Selection	By SCDC	SCDC	SCDC	SCDC	SCDC	Compl.	4/16/84	7/23/84	---
2) Land surveys	"	"	"	"	"	Compl	4/23/84	9/23/84	---
3) Soil boring	"	"	"	"	"	Compl	5/14/84	9/10/84	---
4) Purchase prop.	"	"	"	"	"	Compl.	8/13/84	N/A	---
5) Advertise for A&I firm	"	"	"	"	"	Compl	6/4/84	11/18/84	---
6) Evaluate bids	"	"	"	"	"	Compl	6/25/84	12/9/84	---
7) Select A&E firm	"	"	"	"	"	Compl.	8/1/84	12/17/84	8/12/85
8) Site drawings completed	"	"	"	"	"	Compl.	6/14/85	2/27/85	N/A
9) Site work completed	"	"	"	"	"	Compl.	7/15/85	6/10/85	N/A
10) Constn. drawings compld.	"	"	"	"	"	Compl.	11/4/85	11/25/85	9/2/85
11) Solicit constn. bids	"	"	"	"	"	Compl.	1/1/86	12/ 2/85	9/16/85
12) Select Constn. Contractor	"	"	"	"	"	Compl.	2/15/86	2/17/86	12/2/85
13) Begin Constn.	12/15/84	2/18/85	7/1/85	1/15/85	7/1/85	10/25/84	3/3/86	3/3/86	1/6/86
14) Constn.	12/15/85	6/30/85	12/30/85	12/31/86	9/30/86	7/1/86	10/3/87	10/30/87	10/30/87

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and Control Board. The Budget and Control Board took the presentation "under advisement" in order to study it further and on March 24, 1987, went on record as being in favor of the lease-purchase proposal.

b. The Department continues to have in its 5 year plan to the Legislature a request for two medium/maximum security institutions to replace CCI.

CATEGORY XIII: SANITATION AND HYGIENE

1. ACA Standard 2-4255--Weekly sanitation inspections will be conducted at each institution, annual sanitation inspections by state/federal officials, and documentation will be maintained at each institution indicating that any sanitation problems have been corrected...

   a. All institutions are maintaining compliance with this standard and have developed policies with regard to same. For further compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

   b. Pursuant to SCDC Policy 1500.16, all institutional policies pertaining to institutional inspections must include provisions for weekly, daily and monthly inspections. Further, although some institutions list policies other than 1500.16, all listed policies pertain to institutional inspection policies and are not necessary numbered consistent with the SCDC policy pertaining to the same.

2. ACA Standard 2-4256--A policy for the implementation of having an outside source certify that potable water source is in compliance with jurisdictional laws and regulations...

   a. The Director, Division of Water Supply, S.C. Department of Health and Environmental Control, sent a memo to the Compliance Office on 2/15/85 which certified that all institutions in the Columbia Vicinity receive potable water from the City of Columbia and that this water "meets the water quality standards as established in the U.S. Primary Drinking Water Regulations."

   b. On 3/18/85, the Director, Division of Water Supply, sent a letter to the Compliance Office certifying that all SCDC institutions outside the Columbia vicinity all receive water from their respective city supplies and that the water received meets the water quality standards as established in the U.S. Primary Drinking Water Regulations.

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3. ACA Standard 2-4257--A policy for the implementation of institutional housekeeping procedures in all facilities...

   a. All institutions have developed housekeeping policies for compliance with this issue. For a more detailed description see "Defendant's Sixth Quarterly Report on Compliance."

4. ACA Standard 2-4258--A policy for the implementation of vermin and pest control...

   a. All institutions have developed policies and/or have contracts on file for vermin and pest control and are in compliance with this standard. For a more detailed description see "Defendant's Sixth Quarterly Report on Compliance."

   b. All contracts made between the SCDC and any exterminating company call for monthly and "as-needed" extermination of all areas of the institution.

5. ACA Standard 2-4259--A policy for the implemention of a waste disposal system for every institution....

   a. Compliance achieved and maintained. For a more detailed description of compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

6. ACA Standard 2-4260--A policy for the implementation of a system for inmate clothing issue will be provided...

   a. All institutions have a policy for compliance with this issue. For further compliance information with regard to this standard, see "Defendant's Sixth Quarterly Report on Compliance."

7. ACA Standard 2-4261--A policy for the implementation of a system for providing hygiene supplies to inmates in need will be provided...

   a. All institutions have a policy for compliance with this issue. For more detailed compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

   b. SCDC Policy 1600.4-6, "Commissary Operations--Inmate Clothing," page 4, #12, contains a list of the hygiene supplies available to inmates housed in Special Learning Units and to other inmates upon the approval of the Warden. The list contains the following supplies:

Soap	Towels
Toothbrush	Shampoo
Toothpaste	Disposable Razor
Deodorant	Wash Clothes

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All institutions are instructed in this policy to develop specific procedures for their institutions in accordance with this policy.

8. ACA Standard 2-4262--A policy for the implementation of a system for providing inmates with special clothing will be provided...

   a. All institutions have a policy for compliance with this standard. For a more detailed description of compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

9. ACA Standard 2-4263--A policy for the implementation of procedures for issuing inmate bedding, pillows and linens will be provided...

   a. All institutions have a policy for compliance with this standard. For a more detailed description of compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

10. ACA Standard 2-4264--A policy will be developed for the implemetation of a system for specifying the accountability of state-issued clothing and bedding...

    a. All institutions have a policy for compliance with this standard. For a more detailed description of compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

11. ACA Standard 2-4265-- A policy for the implementation of a system which provides for inmate clothing, bedding and linens in excess of the population...

    a. All institutions have a policy for compliance with this standard. For a more detailed description of compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

    b. SCDC Polciy 1600.4-5, "Commissary Operations--Institutional Commissaries," requires that "larger institutions will be required to have no more than a 10% inventory level of clothing on hand at any given time." Smaller institutions will be required to have no more than 10% inventory level of clothing or one dozen of each size, whichever is greater." All institutional policies listed above are written in accordance with the provisions listed in this SCDC policy.

12. ACA Standard 2-4266--A policy for the implementation of a system which provides for the cleaning and disinfecting of inmates clothing before storage or before the inmate is allowed to kept such clothing..

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a. All institutions have a policy for compliance with this standard. For a more detailed description of compliance information, see "Defendant's Sixth Quarterly Report on Compliance."

13. ACA Standard 2-4267--A plan for the implementation of a system which provides for cleaning clothes and linens at least three times per week or at least one time per week for inmates allowed seven sets of clothing...

a. For those institutions that provide seven (7) sets of clothing, inmates are allowed to wash at least once a week. For those institutions that provide three (3) sets of clothing, inmates are allowed to wash at least three times a week.

b. See "Defendant's Sixth Quarterly Report on Compliance" for a detailed institutional schedule of washing times as most exceed the minimum requirement.

14. ACA Standard 2-4268--A plan for the implementation of a system which provides for sufficient bathing facilities in each housing unit so inmates may bathe at least three times per week...

a. All institutions report that they meet or exceed the three times a week minimum required by this standard.

b. Shower facilities will be added to many institutions according to the plan submitted by the SCDC in order to meet Standard 2-4131.

15. ACA Standard 2-4269--A plan for the implementation of a system which provides for thermostatically controlled showering facilities...

a. All institutions report compliance with this standard. For a more detailed description of compliance information see "Defendant's Sixth Quarterly Report on Compliance."

b. The Environmental Quality Manager from the Compliance Office has visited all SCDC institutions and has made checks on all the temperature settings on all hot water heaters. Any heater not set between 110 and 140 has been set accordingly and the appropriate institutional officials have been notified of this requirement for monitoring purposes with the exception of PWRC which has one hot water heater that controls temperatures for the entire center; however, because of its large size, the temperature has to be set at 145-150 degrees in order to produce water at the tap at a temperature of 140 degrees or less.

16. ACA Standard 2-4270--A policy for the implementation of a system

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which provides for hair care services to inmates will be made available...

a. All institutions are in compliance with this standard. For a more detailed description of compliance information see "Defendant's Sixth Quarterly Report on Compliance."

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CATEGORY XIV: PHYSICAL PLANT

1. ACA Standard 2-4130--A plan is being developed for providing inmates housed in rooms or cells with the following facilities and conditions:

    a. Plumbing facilities, i.e., access to a toilet for use without staff assistance 24 hours a day and a wash basin with hot and cold running water;

    b. A bed above floor level, chair, mirror, writing surface upon request, and individual personal storage facilities;

    c. Natural light;

    d. 20 footcandles of light at desk level and at personal grooming areas;

    e. 10 cubic feet per minute per person of outside or re-circulated filtered air;

    f. Appropriate temperatures; and

    g. Reasonable noise levels.

2. ACA Standard 2-4131--A plan is being developed for providing inmates housed in multiple occupancy wards with the following facilities and conditions:

    a. Sleeping space requirements as set forth in the Decree;

    b. Sight or sound observation by staff;

    c. Screening to ensure the suitability of group living for each inmate housed in such area;

    d. 45 square feet of sleeping space and a ceiling to floor height of at least eight feet;

    e. Toilet and shower facilities at a ratio of one per eight occupants;

    f. One wash basin with hot and cold running water for every six occupants;

    g. Single beds only;

    h. Access to a mirror and individual personal storage facilities;

    i. Natural light;

    j. 20 footcandles of light at desk level and at personal

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grooming areas;

k. 10 cubic feet per minute per person of outside or re-circulated filtered air;

l. Appropriate temperatures; and

m. Reasonable noise levels.

3. ACA Standard 2-4132--A plan is being developed for providing inmates housed in minimum security institutions or minimum security areas within larger institutions with the following facilities and conditions:

a. Key control shared by occupants and staff;

b. Continuous access to toilet and shower facilities and hot and cold running water;

c. Sleeping space as set forth in the Decree;

d. A bed above floor level, chair, mirror writing surface upon request, and individual personal storage facilities;

e. Natural light;

f. 20 footcandles of light at desk level and at personal grooming areas;

g. 10 cubic feet per minute per person of outside or re-circulated filtered air;

h. Appropriate temperatures;

i. Reasonable noise levels.

****

Areas in noncompliance with ACA Standards 2-4130, 2-4131, and 2-4132 have been identified by the Compliance Office's Environmental Quality Manager. Compliance in this area mainly requires the improvement of lighting, plumbing and air flow conditions along with the addition of certain furnishings such as chairs, mirrors, writing surfaces and lockers at certain institutions. This information was conveyed to the Division of Construction, Engineering and Maintenance. Funding was requested for these improvements and was subsequently approved by the General Assembly so that renovations for correcting these deficiencies could begin at selected institutions during Fiscal Years 1986-87 and 1987-88. Renovations or additions necessary at the CCI will be effected during Fiscal Year 1988-89 inasmuch as plans are progressing for the

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closing of this institution.

Below is a description of the progress made towards the plumbing, lighting, ventilation and furnishing requirements for all institutions with the exception of CCI (please see paragraph above).

1. PLUMBING

a. The following institutions are in compliance with the plumbing requirments set forth in the decree:

BCC, BRPR/WRC*, CACI, CoWRC*, DCI, GYCC, KCI, LCI, LiWRC*, MYCC*, PCI, PWRC, SCI*, SPCC, WCC*, WPRC* and WRCI*

* Brought into compliance since the last quarterly report.

b. The following plumbing facilities will be added to the following institutions during F.Y.'s 1986-87 and 1987-88.

INST.	TOILETS	WASH BASINS	SHOWERS
AYCC	12	16	11
CWRC	0	0	2
CaWRC	0	3	4
GCC	2	3	1
GCI	1	11	9
LSWRC	0	0	2
MCI	0	0	25
NCC	0	12	2
WCI	3	6	9
TOTAL	18	51	65

2. LIGHTING

a. The following institutions are in compliance with the lighting requirements as set forth in the Decree:

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BCC, CWRC, GCC, PWRC, SPCC and CaWRC*.

* Brought into compliance since the last quarterly report.

b. The remaining institutions which have at least some, if not all areas which are deficient (below 20 foot-candles), are as follows:

AYCC: Dorms 2-6 + bath (Dorm 4)
BRPR: WRC- First floor and second floor wards.
PRC-Ward.
CACI: Administrative Segregation Unit.
CoWRC: Buildings 1, 2 and E.
DCI: Administrative Segregation Unit.
GCI: Buildings 1-4 + baths in buildings 1 and 4.
GYCC: Dorms A-E.
KCI: Dorms A-D.
LiWRC: Dorms A and B.
LSWRC: Dorms B-F + baths in Dorms E and F.
MCI: Minimum security housing and old Administrative Segregation unit.
MYCC: Dorms 1-5 + bath in Dorm 5.
NCC: Dorms A-D.
SCI: Administrative Segregation unit.
WCC: Cotages I-VII
WCI: Wards A-D, Building 2 + bath in Ward C.
WPRC: Dorms A-C.
WRCI: Administrative Segregation Unit.

c. The following institutions listed below have at least some appropriate areas in which desk lamps are to be used for compliance with lighting requirements:

BRPR/WRC: 118 (27 rooms + 91 beds with bedside tables)*
CoWRC: 79 cubes*
GCI: 188 cubes*
GYCC: 54 cubes
LSWRC: 10 (7 cubes + 3 rooms)*
LiWRC: 48 cubes*
MCI: 12 rooms (minimum security housing)*
MYCC: 240 rooms
NCC: 72 cubes
SCI: 43 cubes
WCC: 144 rooms
WCI: 26 cubes
WPRC: 144 cubes*

* Indicates that once the areas noted above are supplied with desk lamps, the entire institution would be in compliance with the light standard of 20 foot-candles.

The Division of Construction, Engineering, and Maintenance is

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currently evaluating the suitability of certain desk lamps. Bids and orders for the lamp of choice are to be completed during the month of May. The status of the disbursement of these lamps will be reported in future editions of the quarterly report.

3. VENTILATION

a. The following institutions are targeted to have air handling systems installed to provide at least 10 cubic feet per minute per occupant of re-circulated air to all living areas for year round compliance:

AYCC, BRPR/WRC, CaWRC, GCC, MCI, MYCC, SCI, WCI, and WRCI.

b. Remaining institutions are in compliance with this standard.

c. The Construction Branch has ordered and installed an air-handling system at MCI in order to determine whether this system would be suitable for compliance purposes. Currently, Construction officials are evaluating this system to determine if it generates enough air-circulation. If the system works, it will be purchased for installation at the institutions listed above.

4. FURNISHINGS

a. Inventories to provide the following furnishings have been completed at all institutions by this office: chairs/stools, desks/writing surfaces, mirrors and lockers/storage facilities. A listing of the items identified as necessary to achieve compliance at certain institutions is below.

INST.	MIRRORS	CHAIR	DESK	LOCKER	COMBO DESK/BENCH
CCI	481			944	481
KCI	381		14		155
LSWRC				26	
MCI	22		2		8
SCI	9		7		
WRCI	6	106			6

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BRPR				85	
GYCC				70	
PCI	406		14		192

To date, the Division of Construction and Engineering has ordered all the above-referenced chairs, lockers, desks, and desk/writing surface combinations described above. From SCDC Division of Prison Industries. Copies of all Purchase Orders to document that these items have been ordered are attached to this report as Appendix E.

It should be noted that the mirrors required to be procured and delivered to each institution (listed above) for compliance purposes are being ordered through an outside source. Currently, bids are being received from different outside sources and once a decision has been made as to which company will receive a contract for procurement of these mirrors, they will be ordered promptly.

b. The following institutions are already in compliance with the furnishings requirements set forth in the Decree:

AYCC, BCC, CACI, CWRC, CaWRC, CoWRC, DCI, GCC, GCI, LCI, LiWRC, NCC, PRWC, SPCC, WCC AND WPRC.

CATEGORY XV: RECEPTION AND EVALUATION CENTERS

MIDLANDS RECEPTION AND EVAULATION CENTER

ISSUE	COMPLIANCE	COMMENTS
1. The population at the Annex will comply with the capacities set forth in the Decree.	Yes	The annex was closed on November 8, 1985.
2. Inmates at MR&E Center will not be triple-celled.	Yes	As of 9/19/86 all inmates in the MR&EC have been single celled.
3. R&EC can be double celled.	Yes	See #2 above. The MR&EC was single

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		celled as of 9/19/86.
4. Inmates shall not be housed longer than 14 days at the MR&EC. Inmates housed longer than 14 days will receive visitation and recreation privileges as required under Sections III. O. 2. and III. J. 2. (a)., respectively.	Non-Compliance with a portion of this issue	During this reporting period, some inmates have been housed over 14 days at the MR&EC. The average number of days in which an inmate was held over the 14 day day limit was 11.5 Information regarding this was provided by MR&EC officials for the months of December, January & February only. However, all inmates held over the 14 day limit have been afforded the opportunity to receive visitation and recreation privileges pursuant to Section III, J, 2 (a) of the Decree. See,also, the VISITATION CATEGORY of this Report for more information.
5. YOA 5.b.'s can be single celled and can be housed no longer than 14 days.	Yes	YOA 5.b inmates are only housed at the MR&EC for 3 days for initial processing and then are transferred to PR&EC. As of September 19, 1986 all inmates including YOA 5.b's are being single celled.
6. Inmates held longer than 14 days will receive visitation and recreation privileges.	Yes	Visitation for assigned workers and YOA's in effect and Policy I1500.3, "Visiting Privileges," has been revised. Inmates have the opportunity to receive one (1) hour of outdoor recreation per day as required by the terms of the settlement for all inmates on "lock-up" status.

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		See, also, the VISITATION CATEGORY of this Report for more information.

PERRY RECEPTION AND EVALUATION CENTER

ISSUE	COMPLIANCE	COMMENTS
1. Triple celling will be eliminated.	Yes	In accordance with the terms of the Court Order of July 22, 1986, all inmates at PR&EC were double celled as of September 19, 1986.
2. YOA 5.b.'s can be double-celled and can be housed no longer than fourteen days.	Yes	No YOA 5. b's tripled. See #1 above.
3. PR&EC can triple cell 50 cells.	Yes	Since 9/19/86, there have been no inmates triple celled at PR&EC.
4. PR&EC can be double celled.	Yes	PR&EC can be double celled until 7/1/87.
5. Inmates shall not be housed longer than 14 days at the PR&EC. Inmates housed longer than 14 days will receive visitation and recreation privileges as required under Sections III. O. 2. and III. J. 2. (a)., respectively.	Yes	No inmate housed over 14 days.

CATEGORY XVI: FOOD SERVICES

The Nelson Decree stipulates that the SCDC Food Services Division must meet the food service standards established by the South Carolina Department of Health and Environmental Control as well as certain ACA Standards. It should be noted that all issues addressed under the "Food Services" section of the Decree are continuously monitored by the Food Services Branch and continue as of March 8, 1987 to be in compliance. Therefore, please see "Defendants' Sixth Quarterly Report on Compliance" for a detailed description of compliance information with regard to the food services issues.

CATEGORY XVII: OTHER ISSUES

1. A plan will be submitted for the provisions of personal property to inmates housed in segregation areas...

    a. The Operations Branch revised SCDC Policy 1500.30, "Inmate Personal Property," to fullfill the requirements of this issue. The policy sets forth the type and amount of personal property items inmates confined in such areas may have. For a description of property allowed, see the "Fifth Quarterly Report."

2. Chapter IV: "Disciplinary Procedures," of the INMATE GUIDE will be written pursuant to the changes set forth in the Settlement...

    a. Chapter IV was reviewed and revised by the Compliance Office pursuant to the requirements set forth in the Decree and was published in the January, 1985 edition of the INMATE GUIDE, pages 44-64.

3. Minor modifications to the Inmate Grievance Procedures will be made pursuant to the requirements stated in the Decree and the Grievance Procedure will be published with the INMATE GUIDE...

    a. Modifications to the Inmate Grievance Procedure were made pursuant to the requirements of the Decree by the Inmate Relations Branch and were included in the January, 1985 edition of the INMATE GUIDE, pages 100-104.

4. Women housed at WCC will have the same disciplinary procedures used against them as males; will be allotted the same amount of movement, exercise, visitation and dress privileges as males; and will have the same access and type of educational, vocational, counterparts.

    a. Women inmates have the same disciplinary procedures used against them as male inmates, have the same visitation

privileges and are afforded comparable access to educational, vocational, etc. programming.

b. As a result of the completion of the new WCC 96-bed dorm, all female inmates are now allowed the same exercise privileges as those afforded male inmates pursuant to the requirements regarding exercise set forth in the Decree and in SCDC policy. Sufficient makeup hours are provided the female inmate should inclement weather prevent outdoor recreation as required under the terms of the Nelson Decree.

c. With the exception of Cottage IX, WCC has no dress code. Inmates in Cottage IX are required to wear a blue denim shirt with their jeans while on the compound. Female inmates that live in Cottage IX or are on detention status but work in the apparel plant are required to wear a green smock shirt and white pants for security purposes. However, these requirements are necessary inasmuch as the WCC is an open institution and staff and other inmates need to be able to recognize or distinguish those inmates that are maximum security inmates.

d. With the opening of the new 96-bed dorm (Cottage IX) at WCC, Cottage IV is now being utilized as follows:

1. Two (2) wings as a reception and evaluation component
2. Two (2) wings to house long term detainers.

Respectfully submitted,

Laura Ann Osler
Executive Assistant for Legal
Settlements and Compliance

LAO/ccm

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

010380

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

SECTION II:

APPENDICES

010381

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

APPENDIX A:

Job Progress Memorandum,

McCormick Correctional Institution

010382

CONSTRUCTION CONSULTANTS, INC.

CONSTRUCTION MANAGERS / CONSTRUCTION ENGINEERS

RECEIVED
CONSTRUCTION,
ENGINEERING & MAINTENANCE
FILE ☐

MAR 2 1987

MEEK ☐ KELLY ☐
OBERLIES ☐ FETNER ☐
SALTERS ☐ McLENDON ☐
DELK ☐ _____ ☐

JOB PROGRESS MEMORANDUM

DATE:	FEBRUARY 25, 1987	
TIME:	10:00 A.M.	
PLACE:	MCCORMICK CORRECTIONAL FACILITY	
PRESENT:	CHRIS MURPHY	J.W. BATESON
	JIMMY ANDERSON	
	JOHN HENRY HILL	
	FRANK VELLA	CONSTRUCTION CONSULTANTS, INC.
	BILL KEMPLE	
	TOM KENNEDY	
	HERBERT STALLINGS	IVESTER ELECTRIC
	R.H. FREUDENTHAL	RIB
	KEN CHITWOOD	FREEMAN
	RAY PARLIER	J&R FENCE
	GERRY GRAHAM	PATRINOS

1. Jimmy Anderson reviewed the minutes of last week's meeting.
2. Southern Scales will be present on Feb. 26, 1987 for a punch list/shake down run of the kitchen equipment in Bldg. J.
3. The boiler will be shut down for two days next week. Freeman to install Venturi valves during this period.
4. Generator is in place per Herb Stallings. This allows Freeman to complete its work on unit. Question exists regarding muffler.
5. All subcontractors are requested to have Bldg. F-2 walk through inspection list of work completed by March 2, 1987. Work due on Bldgs. F-1, H, K and J are now past due.
6. The walk through inspection for Bldg. Q is scheduled for Feb. 26, 1987. Bldg. E will be so inspected on Feb. 27, 1987. Looking ahead, it is desired by JWB that all subs complete their work in Bldg. F-3 and C for inspection the week of March 3rd. Herb Stallings stated that heat in F-3 is at least a week away.
7. The nuclear EXIT light needs to be decided upon for use in F Bldgs.
8. The dryer disconnects may be OK for use in H Bldg. as they are. Code is being checked. Ivester to wait further instructions.
9. Kicking of doors has to stop. Painter and RIB work being destroyed.
10. Wedging of doors at hinge to open has to stop. Doors being damaged.
11. RIB needs caulking removed from U, A and B Bldgs. ABS and drywall sub to respond. Door F-250 in F-4 needs to be corrected. Permanent power needed in A Bldg. to check mechanical doors. Lack of support power in U Bldg. is serious. Vehicle gate "mess" discussed. RIB is now delayed. Will file a formal protest to JWB for lack of coordination.
12. Fence subcontractor stated he too was suffering from a lack of coordination on project. JWB questioned this as fact. Example used for fence adjacent to Bldg. F-2 and F-3 U bolt supports for end tie of fence to steps questioned. Sub does not think it will work.
13. Ken Chitwood asked if he could put grills up where painter has not completed work. Painter replied to put the grills in place.

010383

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

APPENDIX B:

Job Progress Memorandum,

New Midland's Reception and

Evaluation Center

010384

RECEIVED
CONSTRUCTION,
ENGINEERING & MAINTENANCE
FILE ☐
MAR 9 1987
MEEK ☐ KELLY ☐
OBERLIES ☐ FETNER ☐
SALTERS ☐ McLENDON ☐
DELK ☐ ☐

STEVENS & WILKINSON, INC.

ARCHITECTURE • ENGINEERING • INTERIOR DESIGN

MIDLANDS R&E CENTER
PROJECT STATUS MEETING
JN: 84194.06
FEBRUARY 26, 1987

Attendees:

Mike Leath WR2	CCI
Eddie Stanley	Thomas Plumbing
Ray Worthington	West Electric
M.F. Wicker	West Electric
Vincent Pazzi	
Mark Hyder	Guest Construction

BLDG. U-2
1. All punch work to be completed by March 3, 1987.
2. After completion and clean up, the door will be locked and a guard will be stationed at the corridor door to limit access.
3. Thomas to have plumbing fixtures checked out by February 28, 1987.

BLDG. U-1
1. Guest wants preliminary punch list by March 2, 1987.

BLDG. FDK
1. Work on final punch out to be completed by March 3, 1987.
2. This area to be locked after clean up is done.

BLDG. L
1. This building is not ready to have a preliminary punch done.
2. The flooring began February 26, 1987.

BUDG. U3
1. Progress is still slow.
2. Punch out scheduled for mid-March.

010385

P.O. DRAWER 7 COLUMBIA, SC 29202-0007 • 1401 MAIN STREET COLUMBIA, SC 29201-2831 • 803-765-0320

EXHIBIT

APR 1 4 1987 NO. 6

STATE BUDGET & CONTROL BOARD

APPENDIX C:

Progress Report:

Implementation Status of

Medical Care Recommendations

010386

PROGRESS REPORT:

IMPLEMENTATION OF RECOMMENDATIONS OF DOCTORS
COHEN AND ROSENBURG AND MS. NORMAN

RECOMMENDATION #1: Provide staff at major institutions 24 hours/day, 7 days/week.

MCI: 24 hours per day/7 days per week coverage has now been implemented.

CCI: Coverage has been decreased to 16 hours per day due to the termination of one of the nurses.

WCC: 24 hours per day/7 days per week coverage has now been implemented.

WRCI: 24 hours per day/7 days per week coverage has now been implemented.

RECOMMENDATION #6: Record the distribution of all medications.

All institutions will be in compliance as of April 15, 1987 with the exception of CCI, which is scheduled to comply by May 15, 1987.

RECOMMENDATION #7: Institute unit dose system for psychotropic medications.

Unit dose system for psychotropic medications has been fully implemented.

010387

APPENDIX D:

Progress Report:

Implementation Status of

Mental Health Recommendations

010388

PROGRESS REPORT:

IMPLEMENTATION OF RECOMMENDATIONS OF DOCTOR BACCUS

Recommendation #3: Provide statewide consultation and supervision by doctorial level consultants.

1. State Notice Number 6/7-792-1107307-03/02/87-P, for the period July 1, 1987, through June 30, 1989, to continue and expand contracted clinical consultation closed on March 2, 1987.

Recommendation #4: Hire psychiatric nursing personnel.

1. The position at CCI continues. Interviewing of applicants for the two remaining Psychiatric Nurse positions is in progress. Both positions are expected to be filled by April 30, 1987.

Recommendation #5: Contract psychiatric services on a regional basis.

1. Correctional Medical Services has hired a full-time psychiatrist as part of the health care contract for the Coastal Region.

Recommendation #6: Hire additional Master of Social Work (MSW) clinicans at the larger administrative segregation (AS) units.

1. Three (3) Social Worker positions were added at the following locations: CACI/DCI, MR&EC, and KCI.

2. One Psychologist was hired for the AR&EC at PCI.

3. SCDC continues to recruit for a Psychologist III position for the R&E component at LCI.

Recommendation #8: Develop a TCU/Psychiatric Unit at WCC.

1. Due to budget cuts, additional staff positions for the TCU at WCC cannot be filled until later in the Fiscal Year. Estimated start up is now June, 1987.

Recommendation #9: Develop TCU Units.

1. TCU at KCI is operational. Since November, 1986, all staff positions are filled except one, for which recruitment continues. Census at this writing is 24, with additional residents being added as beds become available. Final census will be 40.

Recommendation #12: Provide additional staff at the Habilitation Unit at SCI.

010389

1. A Day Services Program will soon be implemented at the Unit to compliment the residential services program.

010390

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

APPENDIX E

Purchase Orders for Furnishings to Comply With ACA Standards 2-4130—2-4132

010391

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
DATE ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

P.O. NO. H000069378
THIS NUMBER MUST APPEAR ON ALL CORRESPONDENCE AND PACKAGES CONCERNING THIS ORDER

VENDOR
SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES
[redacted]
00000

SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
WORK ORDER NUMBER
REQUESTED DELIVERY DATE 03/04/87
REQUESTED BY KENNETH N. KELLY
REMENT INFORMATION

BILL TO
S. C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

LINE	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
01	481.00	EA	DESK/BENCH COMBINATION MODEL M-61 (14" X 16" X 29") DESK & (8" X 16" X 16" BENCH) (MEEK/SALTERS). BUDGET CODE = (070410)	50.00000	24,050.00
02	527.00	EA	LOCKER MODEL 110I (FLOOR). BUDGET CODE = (070410)	84.00000	44,268.00
03	60.00	EA	LOCKER MODEL M-118 DOUBLE TIER 15" X 18" X 72" (FLOOR) BUDGET CODE = (070410)	107.75000	6,465.00
04	357.00	EA	LOCER MODEL M-11J SPECIAL TO FIT UNDER BED 36" LONG X 18" WIDE X 11 " DEEP (SHIP TO: CCI) BUDGET CODE = (070410).	75.50000	26,953.50
					101,736.50

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT (803) 737-8533

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

VENDOR INFORMATION:
1- INCLUDE APPLICABLE SALES TAX
2- DELIVERY 8:00 AM - 3:00 PM MONDAY THRU FRIDAY EXCLUDING LEGAL HOLIDAYS
3- THIS ORDER OR ANY PAYMENT TO BE MADE HEREUNDER UNASSIGNABLE
4- IF DELIVERY DATE CANNOT BE MET CONTACT PURCHASING AGENT
5- FORWARD ORIGINAL INVOICE TO "BILL TO" ADDRESS.

cc

Beverly Keary
PROCUREMENT OFFICER

010392
REQUISITIONER

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
DATE P.O ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

P.O. NO. H000069384
THIS NUMBER MUST APPEAR ON ALL CORRESPONDENCE AND PACKAGES CONCERNING THIS ORDER

VENDOR
SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES
[redacted]
00000

SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
WORK ORDER NUMBER
REQUESTED DELIVERY DATE 03/04/87
REQUESTED BY KENNETH N. KELLY
FREIGHT INFORMATION

BILL TO
S. C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

ITEM #	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
01	155.00	EA	DESK/BENCH COMBINATION MODEL M-60 16" X 24" X 29" DESK AND 8" X 16" X 16" BENCH (MEEK/SALTERS) BUDGET CODE = (070410)	65.00000	10,075.00
02	14.00	EA	WRITING TABLE MODEL MW-15A 20" X 36" X 29" HIGH (SHIP TO: KIRKLAND CORR. INST.) BUDGET CODE = (070410)	42.75000	598.50
					10,673.50

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT (803) 737-8535

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

VENDOR INFORMATION:
1- INCLUDE APPLICABLE SALES TAX
2- DELIVERY 8:00 AM - 3:00 PM MONDAY THRU FRIDAY EXCLUDING LEGAL HOLIDAYS
3- THIS ORDER OR ANY PAYMENT TO BE MADE HEREUNDER UNASSIGNABLE
4- IF DELIVERY DATE CANNOT BE MET CONTACT PURCHASING AGENT.
5- FORWARD ORIGINAL INVOICE TO "BILL TO" ADDRESS

Kenneth Kelly
PROCUREMENT OFFICER

REQUISITIONER 010393

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
DATE ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

P.O. NO. H000069372
THIS NUMBER MUST APPEAR ON ALL CORRESPONDENCE AND PACKAGES CONCERNING THIS ORDER.

VENDOR
SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES

00000

SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
OPEN ORDER NUMBER
REQUESTED DELIVERY DATE 03/04/87
REQUESTED BY KENNETH N. KELLY
PAYMENT INFORMATION

BILL TO
S.C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

LINE	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
1	26.00	EA	LOCKER MODEL M-11B FLOOR DOUBLE TIER (15" X 18" X 72"). (MEEK/SALTERS) (SHIP TO: LOWER SAVANNAH WORK RELEASE) BUDGET CODE = (070410)	107.75000	2,801.50
					2,801.50

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

VENDOR INFORMATION
1- INCLUDE APPLICABLE SALES TAX
2- DELIVERY 8:00 AM - 3:00 PM MONDAY THRU FRIDAY EXCLUDING LEGAL HOLIDAYS
3- THIS ORDER OR ANY PAYMENT TO BE MADE HEREUNDER UNASSIGNABLE
4- IF DELIVERY DATE CANNOT BE MET CONTACT PURCHASING AGENT
5- FORWARD ORIGINAL INVOICE TO "BILL TO" ADDRESS

PROCUREMENT OFFICER

REQUISITION 010394

FORM SCDC 20-56 (JUN-86)

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

P.O. NO. H000069370
THIS NUMBER MUST APPEAR ON ALL CORRESPONDENCE AND PACKAGES CONCERNING THIS ORDER.

[redacted] 1
[redacted] ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

[redacted] SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES
[redacted]
00000

SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

[redacted] NUMBER
[redacted] ORDER NUMBER
[redacted] DELIVERY DATE 03/04/87
[redacted] BY KENNETH N. KELLY
[redacted] INFORMATION

BILL TO
S.C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
1	8.00	EA	DESK/BENCH COMBINATION MODEL M-60 (16" X 24" X 29") DESK AND (8" X 16" X 16") BENCH (MEEK/SALTERS) BUDGET CODE = (070410)	65.00000	520.00
2	2.00	EA	WRITING TABLES MODEL MW-15A (20" X 36" X 29") HIGH (SHIP TO: MANNING CORRECTIONAL INST.) BUDGET CODE = (070410)	42.75000	85.50
					605.50

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT (803) [redacted]

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

VENDOR INFORMATION:
1- INCLUDE APPLICABLE SALES TAX
2- DELIVERY 8:00 AM - 3:00 PM MONDAY THROUGH FRIDAY EXCLUDING LEGAL HOLIDAYS.
3- THIS ORDER OR ANY PAYMENT TO BE MADE HEREUNDER UNASSIGNABLE
4- IF DELIVERY DATE CANNOT BE MET CONTACT PURCHASING AGENT.
5- FORWARD ORIGINAL INVOICE TO "BILL TO" ADDRESS.

Kenneth Kelly
PROCUREMENT OFFICER

REQUISITIONER 010395

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
DATE ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

P.O. NO. H000069437
THIS NUMBER MUST APPEAR ON ALL CORRESPONDENCE AND PACKAGES CONCERNING THIS ORDER.

VENDOR
SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES
[redacted]
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SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
WORK ORDER NUMBER
REQUESTED DELIVERY DATE 03/04/87
REQUESTED BY KENNETH N. KELLY
VENDOR INFORMATION

BILL TO
S. C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
1	7.00	EA	WRITING TABLE MODEL NW 15A (20" X 36" X 29") HIGH (MEEK/SALTERS) SHIP TO: STEVENSON CORR. INST. BUDGET CODE = (070410)	42.75000	299.25
					299.25

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT (803) 737-8476

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Kenneth Kelly
PROCUREMENT OFFICER

REQUISITIONER 010396

FORM SCDC 20-56 (JUN-86)

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PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
DATE P.O. ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

P.O. NO. H000069374
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VENDOR
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DIVISION OF INDUSTRIES
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SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
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REQUESTED BY KENNETH N. KELLY
FREIGHT INFORMATION

BILL TO
S.C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
01	53.00	EA	CHAIR MODEL M-4 PLASTIC SEAT AND BACK COLOR: YELLOW (MEEK/SALTERS) BUDGET CODE = (070410).	24.50000	1,298.50
02	53.00	EA	CHAIR MODEL M-4 PLASTIC SEAT & BACK: COLOR BLUE BUDGET CODE = (070410)	24.50000	1,298.50
03	6.00	EA	DESK /BENCH COMBINATION MODEL M-60 (16" X 24" X 29") DESK AND (8" X 16" X 16") BENCH (SHIP TO: WATEREE RIVER CORRECTIONAL INST.) BUDGET CODE = (070410)	65.00000	390.00
					2,987.00

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

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Kenneth Kelly
PROCUREMENT OFFICER

010397

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
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FUND-72800 BUNT-37115 PGM-253

VENDOR
SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES

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SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
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REQUESTED BY KENNETH N. KELLY
FREIGHT INFORMATION

BILL TO
S. C Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

NO.	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
01	5.00	EA	DESK/BENCH COMBINATION MODEL M-60 (16" X 24" X 29") DESK & (c" X 16" X 16") BENCH (MEEK/SALTERS) SHIP TO: WOMEN CENTER BUDGET CODE = (070410)	65.00000	325.00
					325.00

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT (803) 737-8476

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

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Kenneth Kelly
PROCUREMENT OFFICER

010398

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
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SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES

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SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
WORK ORDER NUMBER
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REQUESTED BY KENNETH N. KELLY
WEIGHT INFORMATION

BILL TO
S. C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

#	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
1	85.00	EA	LOCKER MODEL M-11B DOUBLE TIER 15" X 18" X 72" FLOOR (MEEK/SALTERS) (SHIP TO: BLUE RIDGE PRE-RELEASE WORK RELEASE) BUDGET CODE = (070410)	107.75000	9,158.75
					9,158.75

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Brenda Keeney
PROCUREMENT OFFICER

010399
REQUISITIONER

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
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P.O. NO. H000069377
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SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES
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SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.

CONTRACT NUMBER
WORK ORDER NUMBER
REQUESTED DELIVERY DATE 03/04/87
REQUESTED BY KENNETH N. KELLY
REMIT INFORMATION

BILL TO
S. C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

LINE	QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
1	70.00	EA	LOCKERS MODEL M-11F (18" X 36" X 40") WALL HANGING (MEEK/SALTERS) (SHIP TO: GIVENS YOUTH CORRESTION CENTER BUDGET CODE = (070410)	114.50000	8,015.00
					8,015.00

NO PAYMENTS TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

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PROCUREMENT OFFICER

REQUISITION # 010400

PURCHASE ORDER

SOUTH CAROLINA
DEPARTMENT OF CORRECTIONS

PAGE 1
P.O. ISSUED 03/04/87
FUND-72800 BUNT-37115 PGM-253

P.O. NO. H000069369
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VENDOR
SC DEPT OF CORRECTIONS
DIVISION OF INDUSTRIES
[redacted]
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SHIP TO
CONSTRUCTION DIVISION CONSTRUC
4322 BROAD RIVER ROAD
COLUMBIA, S. C.
[redacted]

CONTRACT NUMBER
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REQUESTED DELIVERY DATE 03/04/87
REQUESTED BY KENNETH N. KELLY
FREIGHT INFORMATION

BILL TO
S. C. Department of Corrections
Accounts Payable
P.O. Box 210382
Columbia, South Carolina 29221-0382

QUANTITY	U.O.M.	DESCRIPTION	UNIT PRICE	TOTAL PRICE
192.00	EA	DESK/BENCH COMBINATION MODEL M-60 (16" X 24" X 29") DESK AND (8" X 16" X 16") BENCH (MEEK/SALTERS) BUDGET CODE = (070410)	65.00000	12,480.00
14.00	EA	WRITING TABLES MODEL MW-15A 20" X 36" X 29" HIGH (SHIP TO: PERRY CORRECTIONAL INST.) BUDGET CODE = (070410)	42.75000	598.50
				13,078.50

NO PRICE CHANGES TO BE MADE WITHOUT CONTACTING PURCHASING DEPARTMENT (803) 737-8476

DIRECT PAYMENT INQUIRIES TO: ACCOUNTS PAYABLE SUPERVISOR - TELEPHONE (803) 737-8476

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Kenneth Kelly
PROCUREMENT OFFICER

REQUISITIONER 010401

EXHIBIT

APR 14 1987 NO. 6

STATE BUDGET & CONTROL BOARD

SECTION III:

INDEX

Issues, Compliance Dates,
Compliance Status and the
Page Number(s) for all Issues
Presented in the Quarterly Report,
April 8, 1987

010402

ISSUES, COMPLIANCE DATES AND THE COMPLIANCE STATUS
OF REQUIREMENTS SET FORTH IN THE
NELSON V. LEEKE SETTLEMENT

Category I: Notification	Compliance Date/Status	Page
1. The terms of the settlement will be explained or made known to all SCDC employees.	2/8/85: Complied	1
2. All inmates and staff of the SCDC will be made aware of the issues contained within the Settlement through publication of a synopsis of the Settlement in the SCDC Newsletter, "The Intercom."	1/18/85: Complied	1
3. All inmates will be made aware of the Settlement through publication of a synopsis of the Settlement in the INMATE GUIDE.	2/8/85: Complied	1
4. Ten copies of the Settlement will be placed in every institutional library.	1/18/85: Complied	1
5. Copies of the Decree will be placed in every institutional housing unit.	1/18/85: Complied	1
Category II: Housing		
1. Triple celling and triple cubicling will end at all institutions with the exception of NCC, WRCI, LSWRC and CWRC.	Non-compliance during portions of this reporting period	2
2. Triple celling and triple cubicling will end at WRCI and NCC.	1/8/86: Complied	3
3. Triple celling and triple cubicling will be phased out at CWRC and Dorm 1, LSWRC.	12/10/86; (Modified) Interim Agreement between parties allows triple celling at these insti-	3

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		tutions.	
4.	An appendix will be created and attached to the Settlement which will list the maximum housing capacities for every institution based on the square footage requirements stated in the Decree.	1/8/85: Complied	4
5.	All inmates confined to their cells for periods which exceed 12 hours per day will receive at least 40 sq. ft. of living space per inmate.	1/8/86: Complied at some institutions on 8/15/86 and others on 9/19/86.	4
6.	General population inmates at medium and maximum security institutions will receive at least 50 sq. ft. of living space per inmate in cells and wards with certain exceptions (see #13, below).	6 months from the official completion of McCI	5
7.	All inmates confined in minimum security institutions will receive at least 50 sq. ft. of living space in cells and at least 45 sq. ft. of living space in wards, rooms and cubicles, with certain exceptions (see #13, below).	1/8/90: N/A	5
8.	All capacities set forth in the appendix will be met at medium/maximum security institutions.	6 months from the official completion of McCI	5
9.	All capacites set forth in the appendix will be met at minimum security institutions.	1/8/90: N/A	5
10.	All inmates confined less than 12 hours per day will receive 50 square feet of living space in all future institutions built by the SCDC.	unspecified: N/A	6
11.	All inmates housed in wards, dorms and rooms at PRC's and WRC's will receive 45 sq.ft. of living space per inmate.	1/8/90: N/A	6
12.	Double cubicling can occur at minimum sec-		

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	urity institutions provided that each inmate is alloted 45 sq.ft. of living space.	1/8/90: N/A	6
13.	Inmates may be double-celled where each inmate receives 50 sq.ft., with exceptions allowed at PCI, KCI, MYCC, CCI and WCC, for double-celling with less square footage.	6 months from the official completion of McCI 1/8/90-min.: N/A	6
14.	Inmates in protective custody can voluntarily request to be double-celled.	1/8/86: Complied	6
15.	No inmate will be housed in an area which is not designed, or suitable, for housing.	2/8/85: Complied	7
16.	No inmate may be housed in a county facility longer than 30 days without his/her voluntary consent provided that his/her incarceration was not pursuant to lawful detainer, lawful summons for trial or pending lawful extradition.	2/8/85: Complied	7
17.	The SCDC can fluctuate above the counts set in the appendix provided that all construction schedules are being met.	6 months from the official completion of McCI, Med 1/8/90 Min., N/A	7
18.	Maximum capacities will be monitored at all institutions: Capacities at institutions with less than 200 inmates can deviate 10% over and capacities at institutions with more than 200 inmates can deviate 5% over the required rate provided that the total net for all institutions does not exceed the proposed net for any given time period.	6 months after the offical completion of McCI at med. security and after 1/8/90 at min. security: N/A	7
19.	Monitoring must be done to ensure that all minimum security institutions are having population reductions of 25% over the Settlement capacities every six months, after January, 1988.	After 1/8/90: N/A	7
20.	The SCDC will expect, and will monitor, that an average net increase of 30-50 inmates per month can be expected as		

measured by quarterly reports.	2/8/85: Net increase greater than projected	8
21. Double-bunking in wards at medium and maximum security institutions will be permitted only against the walls.	2/8/85: Complied	8
22. Double-bunking in the wards at medium and maximum security institutions will cease.	6 months from the official completion of McCI	8
23. Future double-bunking in wards at minimum security institutions will be permitted only against the walls.	2/8/85: Complied	8
24. Double bunking in wards at minimum security institutions will cease.	1/8/90: N/A	8
Category III: Staffing		
1. An implementation plan for the recommended changes proposed in the NIC staffing study will be submitted.	4/8/85: Complied	9
2. The staffing plan (above) will be implemented.	1/8/86: Complied	10
3. The inmate/staff ratio at medium and maximum security institutions will not exceed the ratio of 1/25/83.	1/8/86: Complied	10
4. Correctional officers will be assigned inside each ward or cell block at all medium and maximum security institutions.	1/8/86: Complied	10
5. Correctional officers will have sight or sound observation of inmates within cellblocks and wards.	1/8/86: Complied	11
6. Procedures relating to the use of inmate labor will be reviewed and modified where		

010406

necessary so that inmate's don't exercise authority over other inmates or supervise other inmates with regard to the administration of institutions.	2/8/85: Complied	12
Category IV: Staff Training		
1. ACA Standard 2-4079--All institutional training programs will be planned, coordinated and supervised by a qualified supervisor and all programs will be reviewed annually.	2/8/85: Complied	Issues with no pg. shown are in prev. QR.
2. ACA Standard 2-4080--All institutional trainers will complete a 40-hour training for trainers course.	2/8/85: Complied	12
3. ACA Standard 2-4081--All institutions will have an advisory training committee responsible for developing an institutional training plan, meeting quarterly with the Warden and for maintaining written reports of quarterly meetings.	2/8/85: Complied	
4. ACA Standard 2-4983--All institutional training plans will provide for ongoing formal evaluations of all training programs with a written report prepared annually.	2/8/85: Complied	
5. ACA Standard 2-4084--Documentation of all library and reference services which are available for training purposes will be provided.	2/8/85: Complied	
6. ACA Standard 2-4085--Documentation will be provided which illustrates that training programs utilize the resources of other public and private agencies, industries, colleges and libraries.	2/8/85: Complied	
7. ACA Standard 2-4086--Documentation as to the space and equipment available for training purposes will be provided.	2/8/85: Complied	
8. ACA Standard 2-4087--The budget includes funds for reimbursing staff for time spent in training or for replacement personnel for staff members away for training.	2/8/85: Complied	
9. ACA Standard 2-4088--All new full-time employees will receive 40 hours of		

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	orientation training.	2/8/85: Complied	13
10.	ACA Standard 2-4089--All clerical employees with minimal contact with inmates will receive 16 hours of training during their first year of employment and 16 hours of annual training thereafter.	2/8/85: Complied	13
11.	ACA Standard 2-4090--All support employees will receive 40 hours of in-service training during their first year of employment and 40 hours of annual training thereafter.	2/8/85 to begin compliance with the training requirements during the first year of employment: Complied	
12.	ACA Standard 2-4091--All professional specialist employees will receive an additional 40 hours of training each subsequent year of employment.	1/8/88 to phase in compliance with the requirement that employees receive training each subsequent year of employment: N/A	13
13.	ACA Standard 2-4092--All new correctional officers will receive an additional 120 hours of training their first year of employment and an additional 40 hours of training each subsequent year.		
14.	ACA Standard 2-4093--All administrative and managerial staff will receive 40 hours of training during their first year of employment and an additional 40 hours each subsequent year.		
15.	ACA Standard 2-4094--All officers assigned to an emergency unit will have one year of experience as a correctional officer, will receive 40 hours of emergency unit training prior to assignment, and will receive 16 hours emergency training each subsequent year of employment.	2/8/85: Complied	
16.	ACA Standard 2-4095--All part-time staff will receive formal orientation appropriate to their assignments.	2/8/85: Complied	
17.	ACA Standard 2-4096--All personnel authorized to use firearms will receive firearms training and will be required to demonstrate competency on an annual basis.	2/8/85: Complied	13
18.	ACA Standard 2-4097--All individuals authorized to use chimical agents will be trained in the use of such agents and the treatment of individuals exposed to such agents.	2/8/85: Complied	

19. ACA Standard 2-4098--All security staff are trained in approved methods of self-defense and the use of force.	2/8/85: Complied	
20. ACA Standard 2-4099--There will be a written policy which encourages employees to advance their education.	2/8/85: Complied	
21. ACA Standard 2-4101--There is documentation that the institution provides a means of reimbursement or leave for employees attending professional meetings, conferences, seminars, etc.	2/8/85: Complied	
Category V: Health Services		
1. ACA Standard 2-4271--There is a health care authority with responsibility for health care services.	2/8/85: Complied	Issues 1-52 & 61-75 are contained in the "First Quarterly Report
2. ACA Standard 2-4272--Medical, psychiatric and dental problems are the sole responsibility of the physician, dentist or psychologist; however, security regulations apply to health staff as well.	2/8/85: Complied	
3. ACA Standard 2-4273--The health authority will meet with the Warden quarterly and will submit annual and quarterly reports on the health care delivery system to the Warden.	2/8/85: Complied	
4. ACA Standard 2-4274--Each health care policy or program is reviewed annually.	2/8/85: Complied	
5. ACA Standard 2-4275--An adequately equipped medical facility that meets the requirements for a licensed general hospital is available to all inmates.	2/8/85: Complied	
6. ACA Standard 2-4276--Infirmary care will include the following in policy form: a. a written description of the scope of infirmary services; b. a physician on 24-hour call; c. nursing services under the direction of a RN or physician's assistant; d. health care staff on duty 24 hours; e. inmates in sight or sound of staff; f. a manual of nursing care procedures; g. a separate medical record for each		

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	inmate; and, h. compliance with state and local licensing requirements.	2/8/85: Complied
7.	ACA Standard 2-4277--Space, equipment and supplies are available for health care services.	2/8/85: Complied
8.	ACA Standard 2-4278--First aid kits are available in designated areas of all facilities.	2/8/85: Complied
9.	ACA Standard 2-4279--Policy provides for 24-hour emergency dental and medical care as outlined in a written plan which includes arrangements for such things as onsite emergency first aid, use of an emergency vehicle, emergency on-call physician and dental services, etc.	2/8/85: Complied
10.	ACA Standard 2-4281--The health care authority determines personnel requirements in order to provide inmate access to health care staff and services.	2/8/85: Complied
11.	ACA Standard 2-4282--Arrangement are made with health care specialists in advance of need.	2/8/85: Complied
12.	ACA Standard 2-4283--Policy provides for mental health services provided by qualified mental health professionals who meet educational and licensure/certification criteria.	2/8/85: Complied
13.	ACA Standard 2-4284--Health care staff have appropriate state and federal certification; have job descriptions; and, have verification of current credentials.	2/8/85: Complied
14.	ACA Standard 2-4285--Policy provides that correctional personnel are trained to respond to health emergencies within four minutes. A training program is established which includes such items as the recognition of signs and symptoms, the admininstration of first aid and CPR, the signs and symptoms of mental illness, etc.	2/8/85: Complied
15.	ACA Standard 2-4286--Treatment of inmates by other than a physician, dentist or optometrist is performed pursuant to Standing or Direct Orders.	2/8/85: Complied

010410

16.	ACA Standard 2-4287--If an institution uses students or interns for health care, policy will provide for work experience under direct staff supervision.	2/8/85: Complied
17.	ACA Standard 2-4288--Inmates cannot be used for the following duties: a. performing direct patient care services; b. determining access of other inmates to health care services; c. handling or having access to surgical instruments, needles, syringes, medications, health records, and operating equipment for which they are not trained.	2/8/85: Complied
18.	ACA Standard 2-4289--Policy provides for medical screening to be performed by health trained specialists on all inmates upon the inmates arrival at the facility. The screening should include an inquiry into current illnesses and health problems, dental problems, the inmates' use of alcohol and drugs,the inmates' past and present treatment/hospitalization, observation of behavior, movements, appearance, condition of skin and, finally, the disposition of the inmate into the general population or health care services.	2/8/85: Complied
19.	ACA Standard 2-4290--Policy requires medical screening to be performed on intrasystem transfers. The screening should include an inquiry as to whether the inmate is being treated for any medical/dental problems; whether the inmate is on any medication; whether the inmate has a current medical complaint; the general appearance of the inmate; and the appropriate referral of the inmate to health care services or to the general population.	2/8/85: Complied
20.	ACA Standard 2-4291--Policy requires that a health appraisal is completed within 14 days after the arrival of the inmate at the facility (provided that no health appraisal was conducted within the past 90 days) and the health appraisal should include the following: a. a review of earlier screenings; b. collection of additional data to complete medical records.; c. laboratory/diagnostic tests for com-	

010411

	municable diseases; d. recording of height/weight, etc. ; e. review of results from medical exams; f. initiation of therapy, if needed, or the implementation of a treatment plan for inmates in need.	2/8/85: Complied
21.	ACA Standard 2-4292--Policy for the collection and recording of health appraisal data calls for these requirements: The process is completed in a uniform manner; health history and vital signs are taken by trained and qualified staff; and the collection of other health data is performed only by qualified staff.	2/8/85: Complied
22.	ACA Standard 2-4293--Policy provides for mental health evaluations to be performed on specially referred inmates by a multidisciplinary mental health team. The evaluation should be completed within 14 days after the date of referral: Review of the mental health screening, collection and review of additional data from staff observation, inmate interviews, etc., compilation of individuals mental health history, development of an overall treatment and management plan with appropriate referral.	2/8/85: Complied
23.	ACA Standard 2-4294--Policy provides for all activities to be carried out by mental health service personnel.	2/8/85: Complied
24.	ACA Standard 2-4295--Policy provides that routine and emergency dental care is provided to each inmate under the direction of a qualified dentist. The treatment plan will include the following: dental screening, dental examinations, a charting system which identifies the oral health condition and specifies the priorities of treatment by category and consultation with referral to recognized specialists in dentistry.	2/8/85: Complied
25.	ACA Standard 2-4296--Severely disturbed inmates or mentally retarded inmates will be placed in either appropriate non-correctional facilities or in specially designated units.	2/8/85: Complied
26.	ACA Standard 2-4297--Transfers which result in inmates being placed in non-	

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	correctional facilities or specially designated areas of an institution follow due process procedures prior to moving the inmates.	2/8/85: Complied
27.	ACA Standard 2-4298--Policy requires that except in emergency situations there will be joint consultation between the warden and the physician prior to taking action regarding mentally ill or retarded patients.	2/8/85: Complied
28.	ACA Standard 2-4299--Policy requires the continuity of care from admission to discharge from the facility.	2/8/85: Complied
29.	ACA Standard 2-4300--Policy provides for speedy access to health care and for a system for processing complaints. Such policies are communicated orally and in writing to the staff.	2/8/85: Complied
30.	ACA Standard 2-4301--Sick call is available to inmates as follows: a. in facilities less than 100, sick call is held at least one day/week; b. in facilties housing 100-300, sick call is held three times/week; c. in facilties of over 300, sick call is held at least four times/week; d. inmates in segregation will have sick call provided for them in the place of their detention; and, e. all sick call will be performed by a physician or qualified health care staff.	2/8/85: Complied
31.	ACA Standard 2-4302--Policy specifies the conditions for periodic examinations for inmates.	2/8/85: Complied
32.	ACA Standard 2-4303--Policy provides that a program of health education is provided to inmates.	2/8/85: Complied
33.	ACA Standard 2-4304--Policy provides for a special health program for inmates requiring close medical supervision. A written, individualized treatment plan is prepared for each of these inmates by the appropriate physician.	2/8/85: Complied
34.	ACA Standard 2-4305--Policy provides for	

	chronic and convalescent care for inmates.	2/8/85: Complied
35.	ACA Standard 2-4306--Policy provides for a gradual detoxification process for inmates as follows: a. when performed at the facility, it is under medical supervision; b. when it is not performed in the facility, arrangements are made for it to be conducted in a hospital or community detoxification center.	2/8/85: Complied
36.	ACA Standard 2-4307--Policy guides the clinical management of chemically dependent inmates with the following requirements: a. diagnosis of chemical dependency by a physician; b. determination by a physician as to whether an inmate requires pharmacological care; c. individualized treatment plans that are developed and implemented by a multidisciplinary team; and, d. referral to specified community resources upon release.	2/8/85: Complied
37.	ACA Standard 2-4308--Medical, dental and orthodontic devices are provided inmates when the health of the inmate would be adversely affected.	2/8/85: Complied
38.	ACA Standard 2-4309--Policy governs the use of elective surgery.	2/8/85: Complied
39.	ACA Standard 2-4310--Inmates who need health care beyond the resources of the facility are transferred under appropriate security provisions.	2/8/85: Complied
40.	ACA Standard 2-4311--Prior to an inmates' transfer to another facility, the inmates or their records will be evaluated by health care specialists to assess suitability for travel. If approved, the transportation staff will be given written documentation as to what needs to be done with the inmate during travel, i.e., what medication should the inmate take at what time, etc.	2/8/85: Complied
41.	ACA Standard 2-4312--Policy governs the use of restraints for medical and psychiatric purposes.	2/8/85: Complied

42.	ACA Standard 2-4313--Policy provides that all informed consent standards in the jurisdiction are observed and documented for inmate care.	2/8/85: Complied
43.	ACA Standard 2-4314--Policy prohibits the use of inmates for medical, pharmaceutical or cosmetic experiments.	2/8/85: Complied
44.	ACA Standard 2-4315--Policy specifies the procedure to follow by which individuals so designated by the inmate are notified in case of serious illness or injury.	2/8/85: Complied
45.	ACA Standard 4316--Policy specifies the procedure to follow in the case of an inmate's death.	2/8/85: Complied
46.	ACA Standard 2-4317--Policy provides for the proper management of pharmeceuticals and addresses the following subjects: a. a formulary specifically developed for the facility; b. Prescription practices which require (a) psychotropic drugs are prescribed when clinically indicated as one facet of a program of therapy; (b) "stop order" time periods are required for all medications; or (c) the prescribing provider re-evaluates a prescription prior to its renewal; c. procedures for medication receipt, storage, dispensing and administration or distribution; d. Maximum security storage; e. dispensing of medications in conformance with state/federal law; f. administration of medicine by individuals properly trained; and, g. accountability for administering or distributing medications in a timely manner.	2/8/85: Complied
47.	ACA Standard 2-4318--The inmates health record contains the following: a. screening form; b. health appraisal data forms; c. all findings, dispositions and treatments; d. prescribed medication; e. laboratory x-ray and diagnostic studies; f. signature and title of documentor;	

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	g. consent and refusal forms; h. release of information forms; i. place, date and time of health encounters; j. health service reports; k. treatment plans; l. progress notes; m. discharge summary of hospitalization; etc.	2/8/85: Complied	
48.	ACA Standard 2-4319--Policy upholds the principle of confidentiality of health records and supports these requirements: a. an active health record is maintained separately from confinement records; b. access to the health record is controlled by the health authority; c. the health authority shares with the the warden information regarding the inmate's medical record.	2/8/85: Complied	
49.	ACA Standard 4320--Policy regarding the transfer of health records requires: a. that summaries, originals or copies of of the health record accompany the inmate to the facility; b. that the record information is also transmitted to specific and designated physicians or medical facilities in the community upon written authorization of the inmate.	2/8/85: Complied	
50.	ACA Standard 2-4321--Policy requires that inactive health record files are retained as permanent records in compliance with legal standards.	2/8/85: Complied	
51.	ACA Standard 2-4322--Psychotropic drugs are prescribed only by a physician or authorized health provider by agreement with the physician and are administered under the direction of the health authority.	2/8/85: Complied	
52.	At least one correctional officer per shift will be trained in CPR and emergency medical procedures.	2/8/85: Complied	
53.	Contract with an agreed upon medical consultant(s) to conduct a study which would review the SCDCs' delivery of health and mental health services.	4/8/85: Complied	14
54.	Submit a plan for implementing the recom-		

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	mendations of the health and mental health consultants.	7/1/85: Complied	15
55.	Begin implementation of recommendations made by health care consultants (above).	7/1/85: Complied	18
56.	Ensure that the appropriate medical equipment is available at all institutions as recommended by the consultants in the health care study (above).	7/1/85: Complied	19
57.	The refusal of health evaluation or health services by any inmate shall not by the subject of discipline or punishment or result in the confinement in segregation if the medical problem is that of a non-life threatening or non-contagious disease.	unspecified: Complied	19
58.	Notice will be provided to inmates at the time of their admission and in posted notices in the institution that all inmates will have access to over-the-counter non-prescription medication during non-sick call hours on weekends and in the evening.	2/8/85: Complied	20
59.	Hygeine supplies will be provided to inmates housed in Special Learning Units and to all inmates that the Warden determines to require such supplies due to special circumstances.	2/8/85: Complied	20
60.	Each institution will develop a plan for emergency medical treatment.	after 7/1/85: Complied	21
	Pre-Release and Work Release ACA Standards (Health Care)		
61.	ACA Standard 2-2120--The facility has a written agreement with a licensed general hospital, clinic or physician to provide routine medical services.	2/8/85: Complied	Issues 61-75 are contained in the "First Quarterly Report"
62.	ACA Standard 2-2121--The faciltiy has a written agreement with a licensed hospital, clinic or physician to provide 24-hour emergency medical care.	2/8/85: Complied	
63.	ACA Standard 2-2122--The facility provides access to dental care and mental health		

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	care.	2/8/85: Complied
64.	ACA Standard 2-2123--State licensing and certification requirements apply to health care personnel working in the facility.	2/8/85: Complied
65.	ACA Standard 2-2124--At least one staff member on each shift is trained in emergency medical care.	2/8/85: Complied
66.	ACA Standard 2-2125--The facility has a written emergency medical back-up plan which is communicated to all employees and inmates.	2/8/85: Complied
67.	ACA Standard 2-2126--The facility has available at all times first aid equipment approved by a recognized health authority.	2/8/85: Complied
68.	ACA Standard 2-2127--Policy provides that the facility implements an inventory system of first aid supplies and equipment and continuously replenishes it.	2/8/85: Complied
69.	ACA Standard 2-2128--Each newly admitted inmate undergoes a medical exam within 14 days of admission unless there is documentation that the resident has had an exam within three months prior to admission.	2/8/85: Complied
70.	ACA Standard 2-2129--At the time of an inmate's admission, staff inquires as to any physical problems which may require medical attention.	2/8/85: Complied
71.	ACA Standard 2-2130--Policy provides for a medical exam for any inmate or employee suspected of having a communicable disease	2/8/85: Complied
72.	ACA Standard 2-2131--Where a urine surveillance program is in effect, there is written policy for the collection of samples and interpretation of results.	2/8/85: Complied
73.	ACA Standard 2-2132--Written policy regarding the possession and use of controlled substances, prescribed medications and over-the-counter drugs is available at all facilities. Prescribed medication can only be administered according to directions of a physician.	2/8/85: Complied

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74.	ACA Standard 2-2133--There is a policy which specifies that records of all medications distributed by the facility staff are maintained and audited monthly and include the date, time and name of the resident receiving medication and the name of the staff distributing it.	2/8/85: Complied	
75.	ACA Standard 2-2134--Policy provides for the prompt notification of an inmate's next of kin in case of serious injury, accident or death. Any death is reported to the proper officials.	2/8/85: Complied	
Category VI: Programs			
1.	General population inmate will be afforded the opportunity to receive 5 hours/day, 5 days/week, of "meaningful programs."	2/8/85: Complied	21
2.	The present level of educational courses offered at SCDC institutions will remain the same.	2/8/85: Complied	21
3.	General population inmates will be provided with the opportunity to participate in educational programs at least two evenings per week.	2/8/85: Complied	21
4.	All inmates will be assigned to programs on an equitable basis.	2/8/85: Complied	22
5.	Inmates assigned to administrative segregation will receive at least five hours of outdoor exercise per week.	2/8/85: Complied	22
6.	A plan will be created to provide inmates housed in protective custody longer than 90 days with meaningful programs 5 hours a day, 5 days a week.	7/8/85: Complied	22
7.	An effort will be made to provide earned work credit for inmates taking educational and vocational courses.	2/8/85: Complied	22
Category VII: Libraries			
1.	Generally, inmates will have access, or		

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comparable access to libraries regardless of their classification or housing assignment.	2/8/85: Complied	23
2. Libraries will be open at all institutions five days per week and at least two evenings per week for a total of 37 1/2 hours a week.	2/8/85: Complied	25
3. Inmate access to the law libraries will comply with the requirements set forth in "Bounds v. Smith."	7/8/85: Complied	25
4. Inmates may obtain a pass to use the library during work and program hours provided they can show a court deadline.	2/8/85: Complied	26
5. There will be no loss of bonus pay because of a court proceeding provided that his/her absence does not exceed seven calendar days.	unspecified: Complied	26
6. All institutional and departmental policies and procedures will be made available to inmates in institutional libraries with the exception of those policies dealing with security and emergency procedures.	4/8/85: Complied	27
Category VIII: Classification		
1. A classification plan for separating violent and non-violent offenders will be developed.	1/8/86: Complied	27
2. A classification plan will be developed for double-celling assignments for inmates	1/8/86: Complied	28
3. An overall classification plan will be developed for the initial, re-, and internal classification of inmates.	1/8/86: Complied	28
4. The current classification plan will be modified with regard to such items as classification status, inmate appeals regarding classification status and reviews of inmates classification status.	2/8/85: Complied	29
Category IX: Visitation		

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1. Contact visitation will be phased in at PCI, KCI, MSC, DCI and CACI.	1/8/86: Complied	Issues 1-3 are contained in prev. editions of the report
2. Contact will be allowed at all other institutions.	2/8/85: Complied	
3. All inmates will be provided with an equal opportunity for contact visitation, except that administrative segregation inmates may receive at least 2 monthly visits up to two hours in length and inmates in protective custody can receive up to four monthly visits up to two hours in length.	2/8/85: Complied	
Category X: Physical Restraints		
1. Generally, inmates in protective custody or the general population may not be physically restrained while inside the institution unless determined to be a substantiated security risk (SSR).	2/8/85: Complied	Issues 1-6 are contained prev. editions of the report
2. Generally, inmates not in the general population or protective custody can be physically restrained using handcuffs while inside the institution unless determined to be a SSR.	2/8/85: Complied	
3. Ankle shackles, belt cuffs and handcuffs can be used when transporting inmates but will be removed once the inmate is inside his/her destination, and no beltcuffs shall be kept on an inmate unless such destination is a non-secure area or there has been a written determination that the inmate is a SSR. NOTE: This provision does not apply to "AA" inmates unless they have been determined to be a SSR.	2/8/85: Complied	
4. An appeal route will be established for inmates who have been determined to be a substantiated security risk.	2/8/85: Complied	
5. Temporary handcuffs can be used on inmates under special circumstances for up to one hour (special conditions: fighting or when there is a present threat of violent or physically disruptive behavior).	2/8/85: Complied	

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6.	Four point restraints can be used on inmates under special conditions upon the approval of a physician. The use of such restraints longer than four hours must be approved by the chief physician. In either case, 15 minute logged observations of the inmate will be made when the inmate is restrained in this manner.	2/8/85: Complied	
Category XI:	Fire Safety		
1.	Submit a plan for meeting the fire safety standards of the NFPA and the ACA.	1/8/86: Complied	31
2.	All smoke detectors and fire alarms will be on contract.	1/8/86: Complied	31
3.	All gang door releases, emergency exits and escape ladders will be on contract.	1/8/87: N/A	31
4.	Sprinkler and/or smoke evacuation systems will be on contract.	1/8/90: N/A	32
Category XII:	Construction		
1.	Renovations will be made on CCI Guards' Quarters and will be on schedule.	8/1/85: Complied	32
2.	Renovations at the CCI Stoney Building will be completed.	8/1/85: Complied on 12/16/85	32
3.	Renovations on the MCI Cell Block will be completed.	12/30/85:Complied on 1/29/86	33
4.	Renovations will be made at DCI to change it into a medium security facility.	10/30/87: N/A	33
5.	WCC Dorm will be completed.	12/31/86: N/A	33
6.	MSC will not be used as a maximum security center.	1/8/86: Complied	33
7.	Lieber will be constructed.	7/1/86: N/A	33
8.	McCormick Institution will be constructed.	10/3/87: N/A	33
9.	New Midlands R & E will be constructed.	10/3/87: N/A	33
10.	The SCDC will make a good faith effort		

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to close CCI.	unspecified	33
Category XIII: Sanitation and Hygiene		
1. ACA Standard 2-4255--Weekly sanitation inspections will be conducted at each institution by a designated official; annual sanitation inspections will be conducted by a state/federal official; each institution will comply with the laws and regulations governing their jurisdiction with regard to sanitation; and, documentation will be made that all sanitation problems have been corrected.	3/8/85: Complied	34
2. ACA Standard 2-4256--A plan will be developed for the prompt implementation of having an outside source certify that all institutions' potable water supplies are in compliance with jurisdictional laws/ regulations.	3/8/85: Complied	34
3. ACA Standard 2-4257--A written plan for every institutions housekeeping in all areas of the facility will be provided.	3/8/85: Complied	35
4. ACA Standard 2-4258--A plan for the implementation of vermin and pest control will be developed for every institution.	3/8/85: Complied	35
5. ACA Standard 2-4259--A plan for the implementation of a waste disposal system for every institution will be provided.	3/8/85: Complied	35
6. ACA Standard 2-4260--A plan for the implementation of a system of inmate clothing issue will be provided for every facility.	3/8/85: Complied	35
7. ACA Standard 2-4261--A plan for the implementation of a system for providing hygiene supplies to inmates in need will be provided at every institution.	3/8/85: Complied	35
8. ACA Standard 2-4262--A plan for the implementation of a system for providing inmates with special clothing will be provided for every institution.	3/8/85: Complied	36
9. ACA Standard 2-4263--A plan for the implementation of procedures for issuing inmate bedding, pillows, linens, etc. will be provided for each institution.	3/8/85: Complied	36

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10. ACA Standard 2-4264--A plan will be developed for the prompt implementation of a system specifying the accountability of state-issued clothing and bedding.	3/8/85: Complied	36
11. ACA Standard 2-4265--A plan will be developed for a system which provides for inmate clothing, linens, and bedding in excess of the population.	3/8/85: Complied	36
12. ACA Standard 2-4266-- A plan will be developed for the implementation of a system which provides for the cleaning and disinfecting of inmate's property before storage or before the inmate is allowed to keep such property.	3/8/85: Complied	36
13. ACA Standard 2-4267--A plan will be developed for the implementation of a system for cleaning clothes and linens at least three times per week or at least one time per week for inmates allowed seven sets of clothing.	3/8/85: Complied (AYCC complied in mid-March, 1986)	37
14. ACA Standard 2-4268--A plan will be developed for the implementation of a system which provides for sufficient bathing facilities in each housing unit so that inmates can bathe at least three times/week.	3/8/85: Complied	37
15. ACA Standard 2-4269--A plan will be developed for the implementation of a system which provides for thermostatically controlled showering facilities.	3/8/85: Complied	37
16. ACA Standard 2-4270--A plan will be developed for the implementation of a system that provides for hair care services to be made available to inmates.	3/8/85: Complied	37
Category XIV: Physical Plant		
1. ACA Standard 2-4130--A plan will be submitted for the implementation of the following requirements or the addition of the following items in all rooms and cells: a. access to a toilet and a wash basin with hot and cold running water; b. a bed, a chair or stool, a mirror, an		

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	appropriate writing surface (upon request) and storage facilties in the cell/room;		
	c. natural light;		
	f. lighting which is at least 20 footcandles at desk level and in the grooming areas;		
	g. air circulation that is 10 cubic feet of outside or recirculated filtered air;		
	h. temperatures which are appropriate to the season; and,		
	i. reasonable noise levels.	8/8/85: Complied	39
2.	ACA Standard 2-4131--A plan will be submitted that will provide for the implementation of the following requirements or the addition of the following items in all multiple occupancy wards.		
	a. a minimum floor area of 45 sq.ft. of sleeping space per inmate;		
	b. a floor to ceiling height of eight feet;		
	c. one toilet and shower for every eight occupants;		
	d. one wash basin for every six occupants		
	e. a single bed for every inmate;		
	f. access to a mirror, locker or private storage area;		
	g. lighting at least 20 footcandles;		
	h. natural light;		
	i. circulation at least 10 cubic feet of fresh or recirculated filtered air;		
	j. reasonable temperatures appropriate to the season;		
	k. noise levels which are reasonable.	8/8/85: Complied	39
3.	ACA Standard 2-4232--A plan will be submitted that will provide for the implementation of the following requirements or the addition of the following items in all minimum security areas:		
	a. key control shared by the occupants and staff or continuous access to toilet and shower facilities and hot and cold running water;		
	b. 45 sq. ft. of sleeping space per inmate;		
	c. furnishing as set forth in 2-4130 (above);		
	d. natural light and lighting which is at least 20 footcandles at desk level and in the grooming areas;		
	e. circulation that is at least ten cubic		

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feet of recirculated filtered air or fresh air; f. reasonable temperatures appropriate to season; g. reasonable noise levels.	8/8/85: Complied	40
Category XV: Reception and Evaluation Centers		
Midland's Reception and Evaluation Center	\\\\\\\\\\\\\\\\	
1. The inmate population at the MR&E Annex will comply with the capacity set forth in the Decree.	8/1/85: Complied	45
2. Inmates at the MR&E Center will not be triple celled.	8/1/85: Complied	45
3. The MR&E Center can be double celled.	8/1/85: Non-compliance during parts of this reporting period	45
4. No inmates can be housed longer than 14 days at the MR&E Center.	8/1/85: Non-compliance during this reporting period	46
5. YOA 5.b.'s can be single celled and can be housed longer than 14 days.	2/8/85:Complied	46
6. Inmates held longer than 14 days will receive visitation and recreation privileges	8/1/85: Complied	46
7. The MR&E Center will be closed.	1/8/88: N/A	
Perry Reception and Evaluation Center	\\\\\\\\\\\\\\\\	
1. Triple celling will end at the Perry R&E.	2/8/85: Complied	47

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2.	YOA 5.b.'s can be double celled and can be housed longer than 14 days.	2/8/85: Complied	47
3.	Perry R&E can triple cell only 50 cells.	7/1/85 - 8/1/86: Complied	47
4.	Perry R&E can be double celled.	8/1/86 - 7/1/87: Complied	47
5.	Inmates can be housed no longer than 14 days at the Perry R&E.	Complied	47
Category XVI: Food Services			
1.	A plan will be provided for the provision of food services to inmates housed in segregation status.	7/8/85: Complied	Issues 1-30 are contained in prev. editions of the report
2.	All applicable SC DHEC Standards pertaining to food services will be complied with.	4/8/85: Complied	
3.	ACA Standards 2-4238--Documentation that the national recommended dietary allowance is reviewed by a registered dietitian on an annual basis will be provided and menu evaluations conducted on a quarterly basis to verify adherence to the basic daily servings.	4/8/85: Complied	
4.	ACA Standard 2-4239--Food products grown/ produced in the system are inspected/ approved by a government agency and there is a delivery system which ensures prompt delivery of such foodstuffs.	4/8/85: Complied	
5.	ACA Standard 2-4240--Food service staff must develop advanced, planned menus and follow such menus as well.	4/8/85: Complied	
6.	ACA Standard 2-4241--Special diets will be provided to inmates because of dental or medical reasons.	4/8/85: Complied	

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7.	ACA Standard 2-4242--A plan will be developed for the implementation of a system which would provide for special diets for inmates because of religious reasons.	7/8/85: Complied
8.	ACA Standard 2-4242(Continued)--The plan listed above will be implemented.	1/8/86: Complied
9.	ACA Standard 2-4243--A full-time staff member experienced in food service management will supervise food service operations.	4/8/85: Complied
10.	ACA Standard 2-4244--Written policy will require: (1) that pre-assignment medical exams are conducted on all food service staff and periodic exams are conducted thereafter; (2) that outside food providers comply with state and local requirements regarding food service; and, (3) all food service workers will be required to wash their hands upon coming to work and after using the facilities.	4/8/85: Complied
11.	ACA Standard 2-4245--Toilet and wash basin facilities are provided for all food service workers in an area which is in the vicinity of the food preparation area.	4/8/85: Complied
12.	ACA Standard 2-4246--Documentation made by an independent outside source will show that all food service areas meet governmental health and safety codes.	4/8/85: Complied
13.	ACA Standard 2-4247--There are sanitary, temperature controlled storage facilties for the storage of all foods, except non-refrigerated foods which will be kept pursuant to all applicable state standards.	4/8/85: Complied
14.	ACA Standard 2-4248--Policy requires that: (1) weekly inspections of all food service areas meet governmental health and safety codes.	4/8/85: Complied
15.	ACA Standard 2-4249--Policy provides that meals are served in a non-regimented manner.	4/8/85: Complied
16.	ACA Standard 2-4250--Space is provided for group dining except when security and safety considerations deem otherwise.	4/8/85: Complied
17.	ACA Standard 2-4251--At least three meals	

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	are provided inmates: Two hot meals during a 24-hour period with no more than 14 hours between the evening and breakfast meal.	4/8/85: Complied
18.	ACA Standard 2-4252--Food cannot be used as a disciplinary measure.	4/8/85: Complied
19.	ACA Standard 2-4253--The food service operation uses budgeting, purchasing and accounting systems designed to determine the cost per meal per inmate; an estimation of food service requirements; the purcahse of supplies at wholesale; a determination and responsiveness to inmate eating preferences; and, the refrigeration and storage of food.	4/8/85: Complied
	Pre-Release and Work Release ACA Standards (Food Services)	
20.	ACA Standard 2-2110--Where facilties contract for food services, the nutritional value of the food is annually approved.	4/8/85: Complied
21.	ACA Standard 2-2111--Outside food service contractors will comply with all state/local sanitation and health codes.	4/8/85: Complied
22.	ACA Standard 2-2112--For food service that is provided at the faciltiy, food service staff will develop advanced planned menus and follow them.	4/8/85: Complied
23.	ACA Standard 2-2113--When food service is provided at the facility, the advanced planned menus are reviewed and approved by a registered dietitian or physician.	4/8/85: Complied
24.	ACA Standard 2-2114--There is a single menu for staff and clients at facilities where food service is provided.	4/8/85: Complied
25.	ACA Standard 2-2115--Special medical diets for inmates will be prepared at facilities which provide their own food service.	4/8/85: Complied
26.	ACA Standard 2-2116--A plan will be devised for providing special religious diets for inmates at facilities which provide their own food services.	7/8/85: Complied

27. ACA Standard 2-2116 (Continued)--The plan stated above will be implemented.	1/8/86: Complied	
28. ACA Standard 2-2117--All state/local sanitation/health codes will be complied with in facilities which provide their own food service.	4/8/85: Complied	
29. ACA Standard 2-2118--Food will be properly stored at the completion of each meal at facilities which provide their own food service.	4/8/85: Complied	
30. ACA Standard 2-2119--Kitchen areas will be properly ventilated, furnished and cleaned at facilities which provide their own food service.	4/8/85: Complied	
Category XVII: Other Issues		
1. A plan will be submitted for the provisions of personal property to inmates in segregation.	7/8/85: Complied	48
2. Chapter IV: INMATE GUIDE, will be re-written pursuant to the changes noted in the Decree.	2/8/85: Complied	48
3. Minor modifications to the Inmate Grievance Procedure will be made pursuant to the requirements stated in the Decree and the Inmate Grievance Procedure will be published within the INMATE GUIDE.	2/8/85: Complied	48
4. Women housed at WCC will have the same disciplinary action used against them as males; will be allotted the same amount of movement, exercise, visitation and dress privileges as males; and, will have the same access and type of education, vocational, work assignment and pre-release opportunities as their male counterparts.	2/8/85: Complied	49

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010430

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EXHIBIT
APR 14 1987 NO. 6
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 6
STATE BUDGET & CONTROL BOARD

010431

EXHIBIT

APR 14 1987 NO. 7

STATE BUDGET AND CONTROL BOARD STATE BUDGET & CONTROL BOARD AGENDA 7

MEETING OF April 14, 1987 ITEM NUMBER

AGENCY: Budget Division

SUBJECT: FTE Operating Report, March 1987

The Budget Division reports that a net of 59.72 full-time-equivalent positions (23.45 State, 27.61 federal and 8.66 other) were added to the authorized base during March. This brought the base to a total of 64,791.17 FTE positions.

At the end of the month, 60,271.92 of the authorized positions were filled and 4,519.25 were vacant.

BOARD ACTION REQUESTED:

Receive as information the FTE position operating report for the month of March 1987 which shows that net of 59.72 FTE positions were added during March to bring the base total to 64,791.17 FTE positions at the end of the month of which 60,271.92 FTE positions were filled and 4,519.25 were vacant.

ATTACHMENTS:

Agenda item worksheet and referenced report.

010432

EXHIBIT

APR 14 1987 NO. 7

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (12/84) STATE BUDGET & CONTROL BOARD

87-67

Meeting Scheduled for: April 14, 1987 Blue Agenda

1. Submitted By:
   (a) Agency: State Budget Division
   (b) Authorized Official Signature: A Barry Holmes IV

2. Subject:
   1986-87 FTE Operating Report for the Month of March, 1987

3. Summary Background Information:
   The attached report is submitted in accordance with Section 152 of the 1986-87 Appropriation Act. The report exempts all Legislative Employees (725.00) and Judicial Employees (403.22) which totals (1,128.22). Attached also is a copy of a year-to-date summary report reflecting, by month, all authorized adjustments.

4. What is Board asked to do?
   Information only

5. What is recommendation of Board Division involved?
   Information only

6. Recommendation of other Division/agency (as required)?

   (a) Authorized Signature:
   (b) Division/Agency Name:

7. Supporting Documents:
   (a) List Those Attached:
      1. Authorized FTE Position Operating Report

   (b) List Those Not Attached But Available From Submitter:

010433

EXHIBIT

APR 14 1987 NO. 7

STATE BUDGET & CONTROL BOARD

STATE BUDGET DIVISION
FULL-TIME EQUIVALENT POSITION
OPERATIONAL REPORT
FOR THE MONTH OF MARCH, 1987

SECTION NO.	AGENCY	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
	Total Authorized FTE Positions Base 2/28/87	64,735.62	37,746.61	7,979.14	19,009.87
	MARCH Authorized Adjustments				
16D	BCB - IRM	1.00	-	-	1.00
16E	BCB - General Services Div.	(1.00)	-	-	(1.00)
21	College of Charleston	2.00	1.60	0.40	
25	USC	-	-	6.00	(6.00)
45	Dept. of Social Services	32.55	30.20	2.35	
45	Dept. of Social Services	-	(16.21)	16.21	
48	Children's Bureau	(32.55)	(30.20)	(2.35)	
49	Commission for the Blind	2.00	-	2.00	
55	Dept. of Corrections	48.38	32.72	1.00	14.66
58	Criminal Justice Academy	1.00	-	1.00	
75	Clarks Hill-Russell Auth.	4.00	4.00		
77	Public Service Commission	2.34	1.34	1.00	
	Total Net Adjustment	59.72	23.45	27.61	8.66
	Total Authorized FTE Positions Base 3/31/87	64,791.17	37,767.06	8,005.75	19,018.36
	Total Filled FTE Positions 3/31/87	60,271.92	35,642.77	7,229.75	17,399.40
	Total Vacant FTE Positions 3/31/87	4,519.25	2,124.29	776.00	1,618.96

Prepared:
April 1, 1987

010434

EXHIBIT

APR 14 1987 NO. 7

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATE BUDGET DIVISION
406 WADE HAMPTON STATE OFFICE BUILDING
COLUMBIA, S.C. 29201

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE
JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

A. BARON HOLMES, IV, Ph.D.
DIVISION DIRECTOR

April 1, 1987

The Honorable James M. Waddell
Joint Legislative Committee on
Personal Service, Financing and
Budgeting
Post Office Box 142
Columbia, S. C. 29202

Dear Senator Waddell:

Attached please find a copy of the March, 1986-87 Authorized FTE Position Operating Report. I have approved and adjusted the Authorized FTE Position Base accordingly.

I have also attached, for the Committee's review, a copy of a year-to-date monthly authorized FTE position summary report.

If you should have any questions, please feel free to call.

Sincerely,

A Baron Holmes IV

A.Baron Holmes, IV, Ph.D.
Director

ABH/dh

attachment

010435

EXHIBIT

APR 14 1987 NO. 7

STATE BUDGET & CONTROL BOARD

STATE BUDGET DIVISION
FTE POSITIONS AUTHORIZED ADJUSTMENTS
TO DATE
3/31/87

	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
AUTHORIZED FTE POSITIONS BASE PER 1986-87 ACT	66,121.33	39,116.13	8,151.67	18,853.53
MONTHLY ADJUSTMENT				
JULY	40.15	27.30	182.37	(169.52)
AUGUST	(1.78)	0.00	(128.70)	126.92
SEPTEMBER	0.00	(20.28)	25.94	(5.66)
OCTOBER	(97.00)	(85.00)	(1.00)	(11.00)
NOVEMBER	(584.76)	(136.92)	(339.55)	(108.29)
DECEMBER	286.44	(65.64)	52.79	299.29
JANUARY	122.46	45.44	49.42	27.60
FEBRUARY	(27.17)	(12.20)	(14.80)	(0.17)
MARCH	59.72	23.45	27.61	8.66
TOTAL NET ADJUSTMENTS	(201.94)	(223.85)	(145.92)	167.83
TOTAL AUTHORIZED FTE POSITIONS BASE	65,919.39	38,892.28	8,005.75	19,021.36
LESS:				
LEGISLATIVE	725.00	722.00	0.00	3.00
JUDICIAL	403.22	403.22		
TOTAL OPERATIONAL BASE	64,791.17	37,767.06	8,005.75	19,018.36

EXHIBIT

APR 14 1987 NO. 7

STATE BUDGET & CONTROL BOARD

010436

EXHIBIT

APR 14 1987 NO. 8

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA 8

MEETING OF April 14, 1987 ITEM NUMBER

AGENCY: Budget Division

SUBJECT: 1986-87 Authorized Transfers Report, March 1987

The Budget Division reports that the transfer of $1,331,772 of State-appropriated personal service funds was approved during March ($1,001,772 to other operating expenses and $330,000 to equipment).

This brought the total of personal service funds approved for transfer during the fiscal year to $7,520,852.

BOARD ACTION REQUESTED:

Receive as information a Budget Division report that the transfer of $1,331,772 of personal service funds was approved during March to bring the total approved for transfer during the fiscal year to $7,520,852.

ATTACHMENTS:

Agenda item worksheet and attachment

010437

EXHIBIT

APR 14 1987 NO. 8

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (12/84)

87-68

Meeting Scheduled for: April 14, 1987 Blue Agenda

1. Submitted By:
   (a) Agency: State Budget Division
   (b) Authorized Official Signature: A Baron Hulmes IV

2. Subject:
   1986-87 Authorized Transfers Report for the Month of March, 1987.

3. Summary Background Information:
   The State Budget Division's Monthly Authorized Transfer Operating Report.

4. What is Board asked to do?
   Information only

5. What is recommendation of Board Division involved?
   Information only

   udom

6. Recommendation of other Division/agency (as required)?

   (a) Authorized Signature:
   (b) Division/Agency Name:

7. Supporting Documents:
   (a) List Those Attached:
      1. Authorized Transfers Operating Report

   (b) List Those Not Attached But Available From Submitter:

010438

STATE BUDGET DIVISION
ANALYSIS OF 1986-87 AUTHORIZED PERSONAL SERVICE
TRANSFER REQUESTS
FOR THE MONTH OF MARCH, 1987

DATE	REQUEST NO.	AGENCY	SOURCE OF FUNDS	FROM PERSONAL SERVICE	TO OTHER OPERATING EXPENSES	EQUIPMENT
	Total Authorized Transfers 2/27/87 (16)			$6,189,080	$6,108,542	$80,538
	March Authorized Transfers					
3/ 4/87	-	Technical Education	State	$1,200,000	$870,000	$330,000
3/ 9/87	-	BCB - HRM	State	17,000	17,000	
3/16/87	-	BCB - State Auditor	State	81,577	81,577	
3/25/87	-	Wil Lou Gray	Other	25,000	25,000	
3/27/87	-	Commission on Aging	Federal	8,195	8,195	
	Total Authorized Transfers For March 1987 (5)			$1,331,772	$1,001,772	$330,000
	Total Authorized Transfers Year-To-Date (21)			$7,520,852	$7,110,314	$410,538

NOTE:	Current Month	Year-To-Date
State Funds	$1,298,577	$5,266,634
Federal Funds	8,195	24,388
Other Funds	25,000	2,229,830
TOTAL FUNDS	$1,331,772	$7,520,852

Prepared
4/1/87

010439

EXHIBIT NO. 8
APR 14 1987
STATE BUDGET & CONTROL BOARD

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMEN
H59	3052	4131	2,400,000.00			3

AGENCY VOUCHER NUMBER: 3052

CG WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

AGENCY TRANSFERRED TO (CR)
NAME: Technical & Comp Ed
ADDRESS: 111 Exec Ctr
Columbia, S.C.

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

AGENCY TRANSFERRED FROM (DF
NAME: SAME
ADDRESS:

REASON FOR TRANSFER: Partial restoration of 2.6% reduction to address the Special School Training deficit.

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PHASE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	350	H59	4858	1001							0160		1,200,000.00	

EXHIBIT
APR 14 1987 NO. 8
BUDGET & CONTROL BOARD

TOTAL 0160 1,200,000.00

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PHASE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	H59	0395	1001							0171		500,000.00	
09	300	H59	0395	1001							0200		200,000.00	
09	300	H59	0395	1001							0300		150,000.00	
09	300	H59	0395	1001							0400		15,000.00	
09	300	H59	0395	1001							0600		330,000.00	
09	300	H59	0395	1001							2300		5,000.00	

TOTAL 3971 1,200,000.00

REQUESTED BY [signature] DATE 3/4/87 STATE BUDGET ANALYST [signature] DATE 3-9-87

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized.

010440

STATE AUDITOR _____ DATE _____

STATE FORM 10 10/1/80

Submit original and 3 copies

STATE BOARD FOR TECHNICAL AND COMPREHENSIVE EDUCATION

111 Executive Center Drive, Columbia, South Carolina 29210 Tel. 737-9320

JAMES R. MORRIS, JR.
EXECUTIVE DIRECTOR

THE STATE BOARD

OFFICERS

P. HENDERSON BARNETTE
CHAIRMAN

MEMBERS

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BENNETT L. HELMS
Spartanburg, S.C.
Fourth Congressional District

CLARENCE H. HORNSBY, JR.
Rock Hill, S.C.
Fifth Congressional District

J. BANKS SCARBOROUGH
Timmonsville, S.C.
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H. CARL GOODING
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OSCAR E. PRIOLEAU
Greenville, S.C.
At-Large

NANCY GRDEN-ELLSON
Columbia, S.C.
At-Large

RONALD A. MCWHIRT
Charleston, S.C.
At-Large

CHARLIE G. WILLIAMS
Columbia, S.C.
Superintendant of Education
Ex-Officio

J. MAC HOLLADAY
Columbia, S.C.
State Development Board
Ex-Officio

March 6, 1987

Dr. Mary M. Sandifer
Budget Analyst
State Budget Division
408 Wade Hampton Building
Columbia, S.C. 29201

Dear Mary:

The $1.2 million transfer (reference number 3052) is required to distribute to appropriate special schools program accounts the funds released from the restricted budget reduction accounts as approved by the Budget and Control Board on February 19, 1987.

If any additional information is needed please let me know.

Sincerely,

Don

Donald R. Peterson
Associate Director for Finance

RLW:ws

cc: Wyman D. Shealy
A. Baron Holmes, IV
Charles M. Case

010441

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUME
F24	030987-1	0358	34,000.00			

AGENCY VOUCHER NUMBER: 030901

C.G. WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

AGENCY TRANSFERRED TO (CR)
NAME: D. H. R. M.
ADDRESS: Brown Bldg.
ADMIN.

AGENCY TRANSFERRED FROM (DR)
NAME: SAME
ADDRESS:
D/C

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

REASON FOR TRANSFER: To cover planned oper. Exps.

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	AGENCY REFERENCE NUMBER	OBJECT CODE	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	350	F24	0164	1001						0158	17,000.00	00210158100
										TOTAL 0158	17,000.00	

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	AGENCY REFERENCE NUMBER	OBJECT CODE	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	F24	0163	1001						0200	17,000.00	00110200100
										TOTAL 0200	17,000.00	

REQUESTED BY D. F. Hoby DATE 3/9/87 STATE BUDGET ANALYST C Clark DATE 3-12-87

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized.

STATE AUDITOR 010442 DATE _____

TARS FORM 30 10 1 80

Submit original and 3 copies

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD

DIVISION OF HUMAN RESOURCE MANAGEMENT

1205 PENDLETON STREET
POST OFFICE BOX 12547
COLUMBIA, SOUTH CAROLINA 29211
803 734-0298

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN
SENATE FINANCE COMMITTEE

ROBERT N. McLELLAN
CHAIRMAN
HOUSE WAYS AND MEANS COMMITTEE

PHYLLIS M. MAYES
Director

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

MEMORANDUM

TO: J. E. Brice

FROM: Phyllis Mayes Phyllis M. Mayes

DATE: March 6, 1987

SUBJECT: Budget Transfer

I ask that you assist the Division of Human Resource Management in transferring $17,000 from personal services (object code 0158) to contractual services (object code 0200). In addition to the 2.6% budget cut in November, this Division incurred a line-item veto of operating funds before the beginning of the current fiscal year. 14938 The combined effect of these reductions restricts our ability to pay planned operating expenses.

Tom Lucht can provide you further information should you feel it is required. Thank you for your consideration.

PMM/mw

Attachment: Budget Transfer Form

cc: Andy Laurent

010443

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUME
F27	131	01816	163,154.00	3-16-87		

AGENCY VOUCHER NUMBER: AT 884

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

CG WARRANT NUMBER:

AGENCY TRANSFERRED TO (CR)
NAME: STATE AUDITORS OFFICE
ADDRESS: P O BOX 11333
COLUMBIA, SC 29211

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer

AGENCY TRANSFERRED FROM (DI
NAME: STATE AUDITORS OFFICE
ADDRESS: P O BOX 11333
COLUMBIA, SC 29211

REASON FOR TRANSFER: TO FUND CONTRACTED AUDITS LAST QUARTER FY 1986-87

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	350	F27	0119	1001						0158		47,450.00	5048-1001
09	350	F27	0119	1001						0158		25,550.00	5093-1001
09	350	F27	4271	1001						1300		8,577.00	5144-1001
									TOTAL	01616		81,577.00	

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	AGENCY REFERENCE NUMBER	OBJECT CODE	DETAIL	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	F27	0119	1001						0200		81,577.00	1001-5238
									TOTAL	0200		81,577.00	

REQUESTED BY: [signature] DATE 3-16-87 STATE BUDGET ANALYST: [signature] DATE 3-16-87

GEORGE N. DORN, JR., DIRECTOR OF ADMINISTRATION

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

STATE AUDITOR 010444 DATE

STARS FORM 30 10/1/80 Submit original and 3 copies

State of South Carolina

State Budget and Control Board

OFFICE OF THE STATE AUDITOR
P.O. BOX 11333
COLUMBIA, S.C.
29211
(803) 734-1727

EDGAR A. VAUGHN, JR., CPA
STATE AUDITOR

MARGARET C. STILWELL, CPA
DEPUTY STATE AUDITOR

MEMORANDUM

TO: Mr. Charlie Case
State Budget Analyst

FROM: George N. Dorn, Jr., Director of Administration

DATE: March 16, 1987

SUBJECT: Transfer of Personnel Service Funds to Contractual Services.
Transfer AT 884.

The first proviso on page 185 of the 1986-87 Appropriations Act reads as follows:

> "Provided, Further, That in the event qualified personnel cannot be hired during the Fiscal Year 1986-87, any unused personal service funds in Subsection 16J, Item II, may be used to contract private firms to perform audits as prescribed by the State Auditor."

Our current staff has a large number of inexperienced auditors, 35% with less than one year.

We currently have several major audit RFP's which will have to be contracted. These are to help catch up on 86-87 schedule.

Please execute the attached transfer of personal services and related contributions as soon as possible in order for us to carry out these contracts. This request is not a permanent reduction and there is no R.I.F. involved. Please see attached analysis of contractual service funds. Call me at 734-1727 if you have any questions.

Thank you.

RECEIVED
MAR 16 1987
Budget & Control Board
STATE BUDGET DIVISION

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER

STATE BUDGET & CONTROL BOARD
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL
REMBERT C. DENNIS
CHAIRMAN
SENATE FINANCE COMMITTEE

010445

ROBERT N. McLELLAN
CHAIRMAN
HOUSE WAYS AND MEANS COMMITTEE
JESSE A. COLES, JR.
EXECUTIVE DIRECTOR

STATE AUDITOR'S OFFICE

PROGRAM II AUDITS

ANALYSIS OF CONTRACTUAL SERVICE FUNDS

1.	Balance adjusted Appropriations, 3-6-87 (477 cr.)		176,783
2.	Less existing commitments:		
	a. Balance of committed contract audits.	(50,575)	
	b. Balance committed, attorney contract, Medicaid appeals.	(25,698)	
	c. General operations, telephone, maintenance contracts, etc.	(29,087)	
3.	Less contingency for telephone, maintenance emergency and contracts renewable in last FY quarter.	(18,000)	
4.	Estimated uncommitted balance contractual services.		53,423
5.	Estimated budget for R.F.P.'s on contract.		135,000
6.	Less exisitng contractual services balance estimated.	(53,423)	
7.	Transfer needed.		81,577

RECEIVED
MAR 16 1987
Budget & Control Board
STATE BUDGET DIVISION
010446

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
H71	87033	2618	50,000.00			3

AGENCY VOUCHER NUMBER: TR87033

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

C.G. WARRANT NUMBER:

AGENCY TRANSFERRED TO (CR)
NAME: Wil Lou Gray Opp Sch
ADDRESS: West Campus Road
West Columbia SC 29169

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No commitment should be made in anticipation of the approval of a transfer

AGENCY TRANSFERRED FROM (DR)
NAME: SAME
ADDRESS:

REASON FOR TRANSFER: To move allocation level to the 2300 object so as to cover utilities.

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT EXTN	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT EXTN	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	350	H71	0429	3000							0158		18,000.00	
09	350	H71	0430	3000							0160		7,000.00	
										TOTAL	0318		25,000.00	

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT EXTN	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT EXTN	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	H71	0435	3000							2300		25,000.00	
										TOTAL	2300		25,000.00	

REQUESTED BY _____ DATE 09 25 87 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

010447

STATE AUDITOR _____ DATE _____

STARS FORM 30 10 1 80

Submit original and 3 copies

OPPORTUNITY SCHOOL

Sam F. Drew, Jr.
Superintendent

West Campus Road
West Columbia, S. C. 29169
(803) 734-9479

March 27, 1987

Mr. Rob Tester
Budget and Control Board
Budget Division
407 Wade Hampton Office Building
Columbia SC 29201

Dear Rob:

We are requesting, this time only, the transfer of the attached personal service funds into our 2300 object category under mini code 0435 Support Services. At this time we do not have any persons being paid from earmarked 3037 funds. We are requesting this transfer in order that our allocation level be increased. Rob, it is still possible that we may have to send a BD100 to increase the 3000 sub fund allocation further.

If we need to answer further questions on this matter please call me.

Yours truly,

John W. King, Jr.
Accounting Manager

enclosures

010448

PAGE _____ OF _____

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCU
L28	039	2,258.00	18,389.56			

AGENCY VOUCHER NUMBER

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

C G WARRANT NUMBER

AGENCY TRANSFERRED TO (CR)

NAME: SC Commission on Aging
ADDRESS: 915 Main Street
Columbia SC 29201

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer

AGENCY TRANSFERRED FROM (

NAME: SC COMMISSION ON AGI
ADDRESS: 915 Main Street
Columbia SC 29201

REASON FOR TRANSFER: _____

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE		AGENCY REFERENCE NUMBER	OBJECT CODE		TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	350	L28	0668	1001							0400		1,000.00	
09	350	L28	0668	5055				3650	70		0158		6,886.37	
09	350	L28	0668	5055				3650	70		1300		1,308.41	
										TOTAL	1858		9,194.78	

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE		AGENCY REFERENCE NUMBER	OBJECT CODE		TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	L28	0668	1001							0200		1,000.00	
09	300	L28	0668	5055				3650	70		0200		8,194.78	
										TOTAL	0400		9,194.78	

REQUESTED BY _____ DATE 3/27/92 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

010443

STATE AUDITOR _____ DATE _____

SOUTH CAROLINA COMMISSION ON AGING

EXHIBIT

APR 14 1987 NO. 8

STATE BUDGET & CONTROL BOARD

March 27, 1987

EXHIBIT

APR 14 1987 NO. 8

STATE BUDGET & CONTROL BOARD

Mr. Preston T. Cantrell, Director
State Budget Development
Budget and Control Board
408 Wade Hampton Office Building
Columbia, South Carolina 29201

ATTENTION: Mr. Robin Tester

Dear Preston:

Attached hereto is our Appropriation Transfer in the amount of $9,194.78 within the administrative portion of our budget.

This is needed toward realignment of our budget for the remainder of the year in accordance with our new Director's emphasis.

If you have any questions, please contact Richard Schmidt.

Sincerely,

Horace

HORACE F. JACKSON, Director
Support Services Division

HFJ/fl

Attachment

RECEIVED
MAR 27 1987
Budget and Control Board
STATE BUDGET DIVISION

010450

SOUTH CAROLINA COMMISSION ON AGING
915 MAIN STREET, COLUMBIA, S.C. 29201 (803) 734-3203

Aging

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET AND CONTROL BOARD STATE BUDGET & CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 9

AGENCY: Budget Division

SUBJECT: Appropriations Bill Statutory Report

At each step in the appropriations process, the State Budget Division is required by law to submit several reports to the next body then considering the appropriations bill.

Attached is the required report on the House of Representatives recommendations on the 1987-88 appropriations bill.

Similar reports on Board recommendations and on Ways and Means Committee recommendations also are available.

BOARD ACTION REQUESTED:

Receive as information the Budget Division statutory report on the appropriations bill as recommended by the House of Representatives.

ATTACHMENTS:

Agenda item worksheet and attachment

010451

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (12/84)

87-71

Meeting Scheduled for: April 14, 1987 Blue Agenda

1. Submitted By:
   (a) Agency: State Budget Division
   (b) Authorized Official Signature: A Barron Holmes IV

2. Subject:
   State Budget Division Statutory Report

3. Summary Background Information:
   At each step in the appropriation process, the State Budget Division is required, by law, to submit several statutory reports to the next committee then considering the Bill. Attached is the required report on the 1987-88 House of Representatives Appropriation Bill.

4. What is Board asked to do?
   Information only

5. What is recommendation of Board Division involved?
   Information only

6. Recommendation of other Division/agency (as required)?

   (a) Authorized Signature:
   (b) Division/Agency Name:

7. Supporting Documents:
   (a) List Those Attached:
      1. Statutory Report on the 1987-88 House of Representatives Appropriation Bill

   (b) List Those Not Attached But Available From Submitter:

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

010452

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

ANALYSIS OF CHANGE

1987 -88

APPROPRIATION BILL

AS

APPROPRIATED

BY

THE HOUSE OF REPRESENTATIVES

Prepared
March 24, 1987

010453

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010454

STATE BUDGET DIVISION
1987-88
COMPUTATION OF ANNUAL LIMITATION ON
THE NUMBER OF STATE EMPLOYEES

1980-81 State Population	3,191,996	
1980-81 State Employees (FTE)	38,183.69	
1980-81 Ratio of State Employees (FTE) to Total State Population	38,183.69 / 3,191,996	= 1.1962 %
1987-88 State Population Estimate	3,519,770	
1980-81 State Employees (FTE) Ratio to Total State Population	X 0.011962	
1987-88 State Employees (FTE) Limitation	42,103.49	
1987-88 Budget & Control Board Recommendation State Funded Employees (FTE)	39,770.43	
1987-88 Ways & Means Com Approp Bill State Funded Employees (FTE)	39,514.79	
1987-88 House Appropriation Bill State Funded Employees (FTE)	39,513.79	

In compliance with Section 11-11-420 of the 1981 Cumulative Supplement to the 1976 Code, I certify that the above information is true and correct to the best of my knowledge and belief.

A Baron Holmes IV

A. Baron Holmes IV., Ph.D.

Director, Budget Division

March 24, 1987

010455

STATE BUDGET DIVISION
LIMITATION ON NUMBER OF STATE EMPLOYEES
FOR FISCAL YEAR 1987-88

1987 - 88	State Employees (FTE) Limit	42,103.49
1986 - 87	Certified (FTE) Position Base	39,116.13
1987 - 88	(FTE) Growth Allowable	2,987.36

Prepared
January 22, 1987

010456

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
STATE BUDGET DIVISION
406 WADE HAMPTON STATE OFFICE BUILDING
COLUMBIA, S.C. 29201

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE
JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

A. BARON HOLMES, IV, Ph.D.
DIVISION DIRECTOR

MEMORANDUM

FROM: Curtis Holt

SUBJECT: Authorized FTE Positions Analysis Report

DATE: March 24, 1987

The following is a brief description of each schedule included in the above referenced report:

Schedule I - This report reflects the bottom line net change in FTE positions by committee. It gives a complete summarized picture of the statewide authorized FTE positions beginning with the appropriated headcount as contained in the previous Appropriation Act.

Schedule IA - Is a report reflecting the new FTE positions added by the B & C Board and the Joint Legislative Committee on Personal Service, Financing and Budgeting during the interim and have been included in the 1986-87 FTE position base.

Schedule IB - This report reflects all existing FTE positions added, deleted, transferred and/or restored by the B & C Board and the Joint Legislative Committee on Personal Service, Financing and Budgeting to the 1986-87 authorized FTE position base.

Schedule II and Its Attachment - Is a cumulative report reflecting the net new FTE positions added by each committee in the appropriation process. If a subsequent committee deletes any FTE positions added by a previous committee, the entries will be identified by (0.00). The bottom line of the last two columns should always give the cumulative new FTE positions added to the Appropriation Bill. The Explanation and Justification for action by each committee is attached.

Schedule III - This report reflects the action of the previous committee considering the bill on the agencies' authorized FTE position base and deals only with established FTE positions.

Schedule IV - Is a report reflecting only the new FTE positions added by the previous committee considering the bill.

Schedule V - Is a report reflecting only the new FTE positions added during the appropriation process by the B & C Board and the Joint Legislative Committee on Personal Service, Financing and Budgeting. These positions have been included in the current appropriation bill being considered.

Schedule VI - Is a report reflecting new FTE positions and costs for such positions as added by each committee.

010457

STATE BUDGET DIVISION
ANALYSIS OF 1987-88
FTE POSITION BASE

SCHEDULE I

	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
FTE positions Authorized in the 1986-87 Appropriation Act	66,121.33	39,116.13	8,151.67	18,853.53
1986-87 Adjustments				
Schedule IA - New FTE positions added by the Joint Committee and the B & C Board	40.15	27.30	7.20	5.65
Schedule IB - Existing FTE positions added or deleted by the B&C Board	(98.78)	(105.28)	71.41	(64.91)
Total Adjustment	(58.63)	(77.98)	78.61	(59.26)
1985-86 Certified FTE Position Base 10/31/86	66,062.70	39,038.15	8,230.28	18,794.27
B & C Board Adjustments				
Existing FTE positions added or deleted by the B & C Board	(263.72)	(139.32)	(354.16)	229.76
New FTE positions Recommended by the B & C Board	1,660.83	871.60	129.50	659.73
Total Adjustment	1,397.11	732.28	(224.66)	889.49
The 1987-88 FTE Position Base as Recommended by the B & C Board	67,459.81	39,770.43	8,005.62	19,683.76

010458

STATE BUDGET DIVISION
ANALYSIS OF 1987-88
FTE POSITION BASE

SCHEDULE I

	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
Ways & Means Committe Adjustments				
Existing FTE Positions added or deleted by the Ways & Means Committee	(567.41)	(216.09)	(221.58)	(129.74)
New FTE Positions Adjustments by the Ways & Means Committee	(9.00)	(70.50)	40.50	21.00
New FTE Positions added by the B & C Board and the Joint Legislative Committee on Personal Service, Financing & Budgeting	109.44	30.95	47.99	30.50
Total Adjustment	(466.97)	(255.64)	(133.09)	(78.24)
The 1986-87 FTE Position Base as Appropriated by Ways & Means Com	66,992.84	39,514.79	7,872.53	19,605.52
House Adjustments				
Schedule III Existing FTE Positions added or deleted by The House of Representatives	(1.00)	(1.00)		
Schedule IV New FTE Positions Adjustments by the House	(7.00)	0.00	0.00	(7.00)
Schedule V New FTE Positions added by the B & C Board and the Joint Legislative Committee on Personal Service, Financing & Budgeting	0.00	0.00	0.00	0.00
Total Adjustment	(8.00)	(1.00)	0.00	(7.00)
The 1986-87 FTE Position Base as Appropriated by the House	66,984.84	39,513.79	7,872.53	19,598.52

Prepared
March 24, 1987

010459

SCHEDULE IA

STATE BUDGET DIVISION
ANALYSIS OF NEW FTE POSITION ADJUSTMENTS
BY THE BUDGET AND CONTROL BOARD AND
THE JOINT LEGISLATIVE COMMITTEE ON
PERSONAL SERVICE, FINANCING AND BUDGETING
TO THE 1986-87 AUTHORIZED BASE

SEC NO	AGENCY	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
22	FRANCIS MARION COLLEGE	13.50	10.50	0.00	3.00
34	DEAF & BLIND SCHOOL	1.65	0.00	0.00	1.65
45	DEPT OF SOCIAL SERVICES	17.00	10.80	6.20	
46	JOHN DE LA HOWE SCHOOL	6.00	6.00		
51	STATE HOUSING AUTHORITY	2.00	0.00	1.00	1.00
	Total New FTE Position Added to the 1986-87 Base	40.15	27.30	7.20	5.65

0110460

Prepared
January 22, 1987

SCHEDULE IB

STATE BUDGET DIVISION
ANALYSIS OF EXISTING FTE POSITIONS ADDED OR DELETED BY
BY THE BUDGET AND CONTROL BOARD AND THE JOINT LEGISLATIVE COMMITTEE
ON PERSONAL SERVICE, FINANCING AND BUDGETING
TO THE 1986-87 AUTHORIZED BASE

SEC NO	AGENCY	TOTAL FTE	STATE FTE	FEDERAL FTE	OTHER FTE
3B	HOUSE OF REPRESENTATIVES	(1.00)	(1.00)		
13	ADJUTANT GENERAL	0.00	0.00	(1.00)	1.00
16A	B&C BD-EXECUTIVE DIRECTOR	35.00	35.00		
16B	B&C BD-BUDGET DIVISION	(35.00)	(35.00)		
16C	B&C BD-RESEARCH & STATS	1.00	0.00	0.00	1.00
16E	B&C BD-GENERAL SERVICES	(2.08)	(1.00)	(3.00)	1.92
16G	B&C BD-MOTOR VEHICLE MGT	1.00	1.00		
20	CLEMSON-PSA	0.00	0.00	(17.00)	17.00
22	FRANCIS MARION	0.00	0.00	(0.75)	0.75
25	UNIVERSITY OF S.C.	0.00	0.00	195.17	(195.17)
30	DEPT OF EDUCATION	(1.70)	0.00	(7.70)	6.00
34	DEAF & BLIND SCHOOL	0.00	(11.28)	4.41	6.87
37	STATE LIBRARY	0.00	(1.00)	1.00	
40	HEALTH & HUMAN SERVICES	37.00	9.25	27.75	
41	DHEC	0.00	0.00	21.28	(21.28)
43	MENTAL RETARDATION	(97.00)	(85.00)	0.00	(12.00)
45	DEPT OF SOCIAL SERVICES	(37.00)	(9.25)	(27.75)	
56	PAROLES & COMMUNITY CORR	0.00	(8.00)	0.00	8.00
69	SEA GRANT CONSORTIUM	0.00	0.00	(1.00)	1.00
70	PARKS, RECREATION & TOURISM	1.00	1.00		
89	EMPLOYMENT SECURITY	0.00	0.00	(120.00)	120.00
	Total	(98.78)	(105.28)	71.41	(64.91)

Prepared
January 22, 1987

010461

SCHEDULE IC

STATE BUDGET DIVISION
ANALYSIS OF ALL FTE POSITION ADJUSTMENTS
ADDED OR DELETED BY
THE HOUSE OF REPRESENTATIVES
TO THE 1987-88 APPROPRIATION BILL

SEC NO	AGENCY	NEW FTE POSITIONS		EXISTING FTE POSITIONS		NET CHANGE	
		TOTAL FTE POSITIONS	STATE FTE POSITIONS	TOTAL FTE POSITIONS	STATE FTE POSITIONS	TOTAL FTE POSITIONS	STATE FTE POSITIONS
3K16	JOINT LEGISLATIVE COMMITTEE CONSUMER AFFAIRS			(1.00)	(1.00)	(1.00)	(1.00)
30	DEPARTMENT OF EDUCATION	(7.00)	0.00			(7.00)	0.00
	TOTAL	(7.00)	0.00	(1.00)	(1.00)	(8.00)	(1.00)

010462

Prepared
March 24, 1987

SCHEDULE II

STATE BUDGET DIVISION
CUMULATIVE ANALYSIS OF ALL NEW FTE POSITIONS
REFLECTED IN THE 1987-88 APPROPRIATION BILL
BY COMMITTEE

SEC NO	AGENCY	B & C BOARD RECOMMENDATION		WAYS & MEANS COMMITTEE		HOUSE OF REPRESENTATIVES		CUMULATIVE TOTAL	
		TOTAL FTE'S	STATE FTE'S	TOTAL FTE'S	STATE FTE'S	TOTAL FTE'S	STATE FTE'S	TOTAL FTE'S	STATE FTE'S
3B	HOUSE OF REPRESENTATIVES			1.00	1.00			1.00	1.00
3K29	JT COM - CULTURAL AFFAIRS			1.00	1.00			1.00	1.00
8	COMPTROLLER GENERAL	2.00	2.00	(2.00)	(2.00)			0.00	0.00
13	ADJUTANT GENERAL			18.00	0.00			18.00	0.00
15	ELECTION COMMISSION			1.00	1.00			1.00	1.00
16A	B&C BD-EXECUTIVE DIRECTOR	2.00	0.00					2.00	0.00
16D	B&C BD-IRM	13.00	0.00	(4.00)	0.00			9.00	0.00
16E	B&C BD-GENERAL SERVICES	15.00	0.00	(1.00)	0.00			14.00	0.00
16F	B&C BD-FIRE MARSHAL	13.00	3.00	(3.00)	(3.00)			10.00	0.00
16K	B&C BOARD-RETIREMENT			1.00	0.00			1.00	0.00
20	CLEMSON UNIVERSITY-E&G	28.00	0.00					28.00	0.00
22	FRANCIS MARION COLLEGE	13.50	10.50					13.50	10.50
23	LANDER COLLEGE	10.50	0.00					10.50	0.00
24	S.C. STATE COLLEGE			41.00	16.50			41.00	16.50
25A	UNIVERSITY OF S.C.	210.00	0.00					210.00	0.00
25B	USC-MEDICAL SCHOOL	6.00	0.00					6.00	0.00
25D	USC-COASTAL	35.73	0.00					35.73	0.00
25E	USC-SPARTANBURG	8.00	0.00					8.00	0.00
26	WINTHROP COLLEGE			15.00	15.00			15.00	15.00
30	DEPT OF EDUCATION	6.00	0.00	1.00	0.00	(7.00)	0.00	0.00	0.00
33	VOCATIONAL REHABILITATION			37.00	0.00			37.00	0.00
34	DEAF & BLIND SCHOOL	1.65	0.00					1.65	0.00
35	ARCHIVES & HISTORY			2.00	2.00			2.00	2.00
38	ARTS COMMISSION			1.19				1.19	0.00
39	STATE MUSEUM COMMISSION	28.00	26.00					28.00	26.00
40	HHSFC			2.00	0.50			2.00	0.50
42	DEPT OF MENTAL HEALTH	360.50	243.50	10.00	10.00			370.50	253.50
45	DEPT OF SOCIAL SERVICES	17.00	10.80	23.00	9.20			40.00	20.00
46	JOHN DE LA HOWE	6.00	6.00					6.00	6.00

010463

SCHEDULE II

STATE BUDGET DIVISION
CUMULATIVE ANALYSIS OF ALL NEW FTE POSITIONS
REFLECTED IN THE 1987-88 APPROPRIATION BILL
BY COMMITTEE

SEC NO	AGENCY	B & C BOARD RECOMMENDATION TOTAL FTE'S	B & C BOARD RECOMMENDATION STATE FTE'S	WAYS & MEANS COMMITTEE TOTAL FTE'S	WAYS & MEANS COMMITTEE STATE FTE'S	HOUSE OF REPRESENTATIVES TOTAL FTE'S	HOUSE OF REPRESENTATIVES STATE FTE'S	CUMULATIVE TOTAL TOTAL FTE'S	CUMULATIVE TOTAL STATE FTE'S
47	FOSTER CARE REVIEW BOARD			2.00	0.00			2.00	0.00
51	STATE HOUSING AUTHORITY	2.00	0.00					2.00	0.00
55	DEPT OF CORRECTIONS	546.50	540.50	(80.00)	(80.00)			466.50	460.50
56	PAROLES & COMMUNITY CORREC			15.00	0.00			15.00	0.00
58	LAW ENFORCEMENT TRNG CNCL	8.00	0.00					8.00	0.00
60	WATER RESOURCES			6.00	6.00			6.00	6.00
64	FAMILY FARM DEV AUTHORITY			12.00	2.00			12.00	2.00
68	COASTAL COUNCIL			1.00	0.00			1.00	0.00
71	DEVELOPMENT BOARD			2.00	2.00			2.00	2.00
75	CLARKS HILL/RUSSELL AUTHOR			4.00	4.00			4.00	4.00
79	WORKERS COMPENSATION FUND			1.00	0.00			1.00	0.00
80	SECOND INJURY FUND			1.00	0.00			1.00	0.00
81	INSURANCE DEPARTMENT			2.00	2.00			2.00	2.00
86	TAX COMMISSION	45.00	45.00	(25.00)	(25.00)			20.00	20.00
91	BD OF ARCHITECTURAL EXAM	0.40	0.40					0.40	0.40
97	CONTRACTORS LICENSING BD	2.00	2.00					2.00	2.00
98	COSMETOLOGY BOARD	1.00	1.00	(1.00)	(1.00)			0.00	0.00
110	BOARD OF PHARMACY	2.00	2.00	(0.75)	(0.75)			1.25	1.25
111	PHYSICAL THERAPY EXAM	0.20	0.20					0.20	0.20
114	REAL ESTATE COMMISSION	6.00	6.00					6.00	6.00
126	DEPT OF HIWAYS & PUB TRANS	312.00	0.00	17.00	0.00			329.00	0.00
	Total	1,700.98	898.90	100.44	(39.55)	(7.00)	0.00	1,794.42	859.35

NOTE: This is a summary report of all new positions including those new positions added during the interim by the B & C Board and the Joint Legislative Committee on Personal Service, Financing and Budgeting and have been included in the Appropriation Bill for Legislative approval.

Prepared
[illegible] 24, 1987

EXHIBIT
APR 14 1987
NO. 9
STATE BUDGET & CONTROL BOARD

010464

STATE BUDGET DIVISION'S
EXPLANATION AND JUSTIFICATION
FOR ALL NEW FTE POSITIONS
AS CONTAINED IN THE
HOUSE OF REPRESENTATIVES
1987-88 APPROPRIATION BILL

SECTION 3B - HOUSE OF REPRESENTATIVES (A05)

One (1.00) State Funded FTE Position - Research/Budget Analyst for Ways & Means Committee staff. (WMC)

CUMULATIVE: TOTAL 1.00 STATE 1.00

SECTION 3K29 - JOINT LEGISLATIVE COMMITTEE ON CULTURAL AFFAIRS (A80)

One (1.00) State Funded FTE Position - Secretary for Joint Legislative Committee on Cultural Affairs. (WMC)

CUMULATIVE: TOTAL 1.00 STATE 1.00

SECTION 8 - COMPTROLLER GENERAL (E12)

~~Two (2.00) State Funded FTE Positions - One Secretary and one CPA to provide GAAP Compliance Support and One CPA. (B&GB)~~

Two (2.00) State Funded FTE Positions deleted from budget. These were positions related to GAAP Compliance Contract. (WMC)

CUMULATIVE: TOTAL 0.00 STATE 0.00

SECTION 13 - ADJUTANT GENERAL (E24)

Eighteen (18.00) new Federally Funded FTE Positions located at training sites, (4.00) in contract support program, (12.00) firefighters at McEntire ANG Base, (1.00) at Clark's Hill, and (1.00) at Leesburg. (WMC)

CUMULATIVE: TOTAL 18.00 FEDERAL 18.00

SECTION 15 - STATE ELECTION COMMISSION (E28)

One (1.00) new State Funded FTE Programmer Analyst position in Administration Program. (WMC)

CUMULATIVE: TOTAL 1.00 STATE 1.00

SECTION 16A - B & C BOARD-EXECUTIVE DIRECTOR (F02)

Two (2.00) Other Funded FTE Positions to meet increased workload in the Finance Section. Workload increase due to changes in IDT Policy and transfer

010465

of the Fire Academy from State Board for Technical and Vocational Eduction to the Fire Marshal. (B&CB)

CUMULATIVE: TOTAL 2.00 OTHER 2.00

SECTION 16D - B & C BOARD-IRM (F08)

Thirteen (13.00) Other Funded FTE Positions- Six (6.00) for maintenance of the telecommunication system. This service is now contracted and IRM feels we can do the maintenance more economically. Seven (7.00) are for Data Processing to address the growth occurring in user service such as the Tax Commission, DSS and Corrections and tying various data entries together for more efficient use of data. (B&CB)

Four (4.00) Other Funded FTE Positions deleted, (3.00) Electronic Technicians and (1.00) Data Coioordinator. (WMC)

CUMULATIVE: TOTAL 9.00 OTHER 9.00

SECTION 16E - B & C BOARD-GENERAL SERVICES (F12)

Fifteen (15.00) Other Funded FTE Positions - (6.00) positions are due to increased growth in the State Insurance Program. This was primarily caused by the Tort Liability Act. (7.00) Positions are for Building Services. There has been a 21.4% increase in space that must be maintained. Most of this additional space comes from occupancy of the Mt. Vernon Mill and the Robert Mills Building. Two positions are for Surplus Property. These positions will provide better management for this program and should allow the state to obtain better use of equipment. (B&CB)

One (1.00) Other Funded FTE Position (Supply Specialist III) deleted in Central State Warehousing. (WMC)

CUMULATIVE: TOTAL 14.00 OTHER 14.00

SECTION 16F - B & C BOARD-FIRE MARSHAL (F14)

Thirteen (13.00) FTE Positions - ~~Three (3.00) State Funded FTE Positions to carry out the provisions of the Explosive Control Act.~~ (6.00) Other Funded FTE Positions to provide statewide fire training, and (4.00) Other Funded FTE Positions to provide fire, life and safety inspection for daycare centers, adult residential care centers and propane facilities. (B&CB)

Three (3.00) State Funded FTE Positions deleted. They were (2.00) Explosive Control Supervisors and (1.00) Administrative Specialist C. (WMC)

CUMULATIVE: TOTAL 13.00 STATE 3.00 OTHER 10.00

SECTION 16K - B & C BOARD-STATE RETIREMENT (F29)

One (1.00) Other Funded FTE Position - This position (Programmer III) was added by the Joint Legislative Personal Service, Finance and Budgeting Committee and the Budget & Control Board during 1986-87. (WMC)

CUMULATIVE: TOTAL 1.00 OTHER 1.00

SECTION 20 - CLEMSON UNIVERSITY-E & G (H12)

Twenty-eight (28.00) FTE Positions - Thirteen (13.00) are Federally funded and Fifteen (15.00) are Other funded. Positions include (7.00) Admin Spec/Asst, (11.00) Research Spec/Assoc, (4.00) Technicians, (1.00) Nurse Midwife, (1.00) Visiting Associate Professor, (1.00) Asst Director, (1.00) Executive Support Specialist and (2.00) Data Processing Staff. Positions needed to support the anticipated growth in the Research proposals secured by the University. (B&CB)

CUMULATIVE: TOTAL 28.00 OTHER 15.00 FEDERAL 13.00

SECTION 22 - FRANCIS MARION COLLEGE (H18)

Thirteen and one-half (13.50) positions of which (10.50) are State funded and (3.00) are Other funded. Positions include (6.75) Faculty Members, (3.75) Groundkeepers and (3.00) Cooks. (B&CB)

CUMULATIVE: TOTAL 13.50 STATE 10.50 OTHER 3.00

SECTION 23 - LANDER COLLEGE (H21)

Ten and one-half (10.50) FTE Positions - (7.50) are federally funded and (3.00) are other funded. Positions include (6.00) faculty, (1.00) Technical Coordinator, (0.50) Secretary, (1.00) Personnel Specialist, (1.00) Computer Operator, and (1.00) Administrative Assistant. These positions are needed to support a Title III Grant to be awarded in FY 87-88. (B&CB)

CUMULATIVE: TOTAL 10.50 OTHER 3.00 FEDERAL 7.50

SECTION 24 - SOUTH CAROLINA STATE COLLEGE (H24)

Forty-one (41.00) Positions - Sixteen and one-half (16.50) State Funded, Fourteen (14.00) Federally Funded, and Ten and one-half (10.50) Other Funded positions were added to enhance the educational opportunities of the College. Positions added were classified as instructors. (WMC)

CUMULATIVE: TOTAL 41.00 STATE 16.50 FEDERAL 14.00 OTHER 10.50

010467

SECTION 25A - UNIVERSITY OF SOUTH CAROLINA (H27)

Two hundred and ten (210.00) FTE Positions - (105.00) Federal funded and (105.00) are Other funded: (45.00) Research Assistant Professors, (40.00) Research Associates, (35.00) Research Specialists, (50.00) Administrative Specialists and (40.00) Instructors. (B&CB)

CUMULATIVE: TOTAL 210.00 OTHER 105.00 FEDERAL 105.00

SECTION 25B - USC-MEDICAL SCHOOL (H28)

Six (6.00) FTE Positions - (3.00) Federal funded and (3.00) Other funded. (6.00) Research Specialists needed to support continuing growth in the Research Program. (B&CB)

CUMULATIVE: TOTAL 6.00 OTHER 3.00 FEDERAL 3.00

SECTION 25D - USC-COASTAL (H32)

Thirty-five and seventy-three hundredths (35.73) Other Funded FTE Positions - (13.73) administrative or student service positions, (9.00) operation & maintenance positions and (13.00) instruction related positions. Additional staff requested to accomodate the anticipated growth in the student body and to allow a reduction of temporary and part-time faculty positions. (B&CB)

CUMULATIVE: TOTAL 35.73 OTHER 35.73

SECTION 25E - USC-SPARTANBURG (H34)

Eight (8.00) Other Funded FTE Positions - (2.25) assistant professors, (0.75) instructor, (1.00) admissions counselor, (1.00) administrative specialist, (1.00) maintenance mechanic and (2.00) custodians. Positions are needed to accomodate student body growth and reduction of part-time or temporary faculty. (B&CB)

CUMULATIVE: TOTAL 8.00 OTHER 8.00

SECTION 26 - WINTHROP COLLEGE (H47)

Fifteen (15.00) State Funded Positions - Positions were added to enhance the educational opportunities of the College. Positions added were classified as instructors. (WMC)

CUMULATIVE: TOTAL 15.00 STATE 15.00

SECTION 30 - DEPARTMENT OF EDUCATION (H63)

~~Six (6.00) Other Funded FTE Positions to carry out EIA functions - (3.00) to provide technical and clerical support for reduction in paperwork, (1.00) program consultant for Principal Assessment, (1.00) secretarial support for school incentive grants and (1.00) research analyst for technical support for science tests and exit exams. (B&CB)~~

~~One (1.00) Other Funded FTE Position - Data Analyst/Research Analyst II in Basic Skills Administration, EIA. (WMC)~~

Seven (7.00) Other Funded FTE Positions for EIA functions deleted from budget - (3.00) for reduction in paperwork, (1.00) for Principal Assessment, (1.00) secretary for school incentive grants, (1.00) for science test and exit exams, and (1.00) for Basic Skills Administration. (House)

CUMULATIVE: TOTAL 0.00 OTHER 0.00

SECTION 33 - VOCATIONAL REHABILITATION (H73)

Thirty-seven (37.00) Federally Funded FTE Positions in the Basic Service Program to meet additional Federal mandates and support the overall program. Those positions added are (1.00) Engineer, (2.00) Rehabilitation Associates, (4.00) Clerical Assistants, (2.00) Occupational Therapists, (1.00) Media Resource Specialist, (17.00) Maintenance Specialists, (1.00) Instructor, (2.00) Counselors, (2.00) Auditors, (1.00) Physical Therapist, (1.00) Rehabilitation Assistant, (2.00) Adjustment Specialists, and (1.00) Medical Engineer. (WMC)

CUMULATIVE: TOTAL 37.00 FEDERAL 37.00

SECTION 34 - SCHOOL FOR THE DEAF AND THE BLIND (H75)

One and sixty-five hundredths (1.65) FTE Other Funded Positions - (0.84) LPN II and (0.81) Social Worker III which will work in the Children's Habitation Hospital at the School. (B&CB)

CUMULATIVE: TOTAL 1.65 OTHER 1.65

SECTION 35 - DEPT. OF ARCHIVES & HISTORY (H79)

Two (2.00) State Funded FTE Positions - (1.00) Computer Operator I and (1.00) Data Coordinator I to improve efficiency in the agency's internal operations. (WMC)

CUMULATIVE: TOTAL 2.00 STATE 2.00

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

SECTION 38 - S.C. ARTS COMMISSION (H91)

One and nineteen hundredths (1.19) Federally Funded FTE Positions - (1.00) Word Processing Specialist to provide necessary support to clerical section and (0.19) to make a part-time federal position full-time. (WMC)

CUMULATIVE: TOTAL 1.19 FEDERAL 1.19

SECTION 39 - STATE MUSEUM COMMISSION (H95)

Twenty-six (26.00) State Funded FTE Positions and two (2.00) Other Funded FTE Positions - (26.00) State FTE's are administrative, clerical, educational and custodial positions needed to open the State Museum to the public by July 1, 1988, on time and (2.00) Other Funded carpenters needed to build exhibit booths for new exhibits. (B&CB)

CUMULATIVE: TOTAL 28.00 STATE 26.00 OTHER 2.00

SECTION 40 - HEALTH & HUMAN SERVICES FINANCE COMMISSION (J02)

One-half (0.50) State and One and one-half (1.50) Federal FTE's to provide for the activation of the Drug Utilization Review (D.U.R.) Program within the Medicaid Program. Positions are (1.00) Pharmacist I and (1.00) Administrative Specialist B. (WMC)

CUMULATIVE: TOTAL 2.00 STATE 0.50 FEDERAL 1.50

SECTION 42 - DEPARTMENT OF MENTAL HEALTH (J12)

Three hundred, sixty and one-half FTE Positions - (243.50) State funded and (117.00) Other Funded FTE's for compliance with the Department of Mental Health settlement with the U.S. Justice Department with regards to civil rights for institutional persons. (B&CB)

Ten (10.00) new State Funded FTE's added to provide security for Dowdy-Gardner Nursing Center-Rock Hill. (WMC)

CUMULATIVE: TOTAL 370.50 STATE 253.50 OTHER 117.00

010470

SECTION 45 - DEPARTMENT OF SOCIAL SERVICES (L04)

Seventeen (17.00) FTE Positions - (10.80) State funded and (6.20) Federal funded to work in the DSS Work Support Services projects. The positions are (3.00) administrative specialists, (6.00) work Support Specialists, (3.00) Work Support Supervisors, (1.00) Work Support Administrator and (4.00) Social Service Worker II's. The SSW II positions are specifically for the Teen Companion program, an ancilliary program of the Work Support System. (B&CB)

Twenty-three (23.00) FTE Positions - Nine and one-fifth (9.20) State Funded and Thirteen and four-fifths (13.80) Federally Funded to work in Information Resource Management Section to replace positions lost in MMIS transfer to Health and Human Services Finance Commission. Positions are (1.00) Operations Manager II, (2.00) Operations Manager I, (3.00) Computer Operator III, (1.00) Data Coordinator III, (1.00) Data Coordinator II, (3.00) Data Coordinator I, (1.00) EDP Tape Librarian, (1.00) Data Processing Manager I, (1.00) Data Base Administrator II, (2.00) System Programmer II, (3.00) Key Entry Operator III, (3.00) Key Entry Operator II, and (1.00) Key Entry Operator I. (WMC)

CUMULATIVE: TOTAL 40.00 STATE 20.00 FEDERAL 20.00

SECTION 46 - JOHN DE LA HOWE SCHOOL (L12)

Six (6.00) State funded FTE positions for the Wilderness Camp Program - (3.00) Youth Counselor III's (2.00) Cook II's and (1.00) Administrative Specialist C. (B&CB)

CUMULATIVE: TOTAL 6.00 STATE 6.00

SECTION 47 - FOSTER CARE REVIEW BOARD SYSTEM (L16)

Two (2.00) Federal Funded FTE Positions to support additional review boards created by the agency, and funded with anticipated new AFDC-IV-E Contract. (1.00) Review Board Coordinator and (1.00) Administrative Specialist A. (WMC)

CUMULATIVE: TOTAL 2.00 FEDERAL 2.00

SECTION 51 - STATE HOUSING AUTHORITY (L32)

Two (2.00) FTE Positions - (1.00) Federal funded Housing Program Coordinator I to work in Program Development and (1.00) Other funded Controller to work in Fiscal Services. (B&CB)

CUMULATIVE: TOTAL 2.00 OTHER 1.00 FEDERAL 1.00

010471

SECTION 55 - DEPARTMENT OF CORRECTIONS (N04)

Five hundred, forty-six and one-half FTE Positions - (540.50) State funded and (6.00) Other Funded. (415.00) FTE's to provide positions for the opening of Broad River Road Complex, (90.00) FTE's to provide positions on a staggered hiring schedule for Allendale and Marlboro Correctional Institions, and (41.50) FTE's to provide medical/mental health care positions and support personnel to comply with Nelson Suit requirements. (B&CB)

Eighty (80.00) State Funded FTE Positions deleted due to the delay of opening new Allendale and Marlboro Correctional Institutions. (WMC)

CUMULATIVE: TOTAL 466.50 STATE 460.50 OTHER 6.00

SECTION 56 - PAROLE & COMMUNITY CORRECTIONS (N08)

Fifteen (15.00) Other Funded FTE Positions - These positions represent additional Intensive Probation/Parole Agents due to expected greater participation in program due to prison overcrowding. (WMC)

CUMULATIVE: TOTAL 15.00 OTHER 15.00

SECTION 58 - LAW ENFORCEMENT TRAINING COUNCIL (N20)

Eight (8.00) Other Funded FTE Positions to provide personnel to accommodate growth in student training and facilities. (B&CB)

CUMULATIVE: TOTAL 8.00 OTHER 8.00

SECTION 60 - WATER RESOURCES COMMISSION (P04)

Six (6.00) State Funded FTE Positions to staff two new field offices in the northeastern and west central portions of the State. The field offices are justified by the fact that the areas involved lack a reliable data base on which to base water use decisions. Both areas involve rapidly increasing water use and one was severely impacted by last summer's drought. (WMC)

CUMULATIVE: TOTAL 6.00 STATE 6.00

SECTION 64 - FAMILY FARM DEVELOPMENT (P18)

Ten (10.00) Other Funded FTE Positions to staff and operate new Alternative Financing (Farm Credit Union) Program which is just being initiated, and (2.00) State Funded FTE Positions including a Deputy Director and an Administrative Assistant III to assist in management of the agency, and in handling the new workloads to be generated by the Farm Credit Union Program. (WMC)

CUMULATIVE: TOTAL 12.00 STATE 2.00 OTHER 10.00

SECTION 68 - COASTAL COUNCIL (P25)

One (1.00) Federally Funded FTE Position, Environmental Engineer II/ Environmental Engineering Associate II in the Charleston Office to handle growing workloads. The number of permit applications and the number of project certification requests requiring review and processing by the Council has increased. (WMC)

CUMULATIVE: TOTAL 1.00 FEDERAL 1.00

SECTION 71 - STATE DEVELOPMENT BOARD (P32)

Two (2.00) State Funded Positions - (2.00) Economic Development Manager II's in the National Business Development Program to meet projected increases in workloads. The SDB anticipates increased activity as a by-product of the Governor's economic development initiatives. According to the SDB, some of these increases from potential new industries have already occurred. (WMC)

CUMULATIVE: TOTAL 2.00 STATE 2.00

SECTION 75 - CLARKS HILL/RUSSELL AUTHORITY (P40)

Four (4.00) State Funded FTE Positions to staff new Operations Program established by internal reorganization of Agency. Reorganization designed to bring in-house and develop internal capacity for handling activities previously carried out by private consultants. The Agency feels that the new arrangement will be more cost-effective. No new funds are involved. (WMC)

CUMULATIVE: TOTAL 4.00 STATE 4.00

SECTION 79 - STATE WORKERS' COMPENSATION FUND (R12)

One (1.00) Other Funded FTE Position - (1.00) Education and Information Director position to inform the public about policies and types of help provided by the Agency. (WMC)

CUMULATIVE: TOTAL 1.00 OTHER 1.00

SECTION 80 - SECOND INJURY FUND (R16)

One (1.00) Other Funded FTE Position - (1.00) Attorney position added to the Administrative Section to provide legal services on claims. (WMC)

CUMULATIVE: TOTAL 1.00 OTHER 1.00

010473

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

SECTION 81 - DEPARTMENT OF INSURANCE (R20)

Two (2.00) State Funded FTE Positions - (2.00) Data Management Research Analyst II positions to review tax returns from out-of-state insurance companies to verify the accuracy of the returns. (WMC)

CUMULATIVE: TOTAL 2.00 STATE 2.00

SECTION 86 - TAX COMMISSION (R44)

Forty-five (45.00) State Funded FTE Positions - (5.00) for the Information Resource Management Division due to increased use and productivity of the computer system, (1.00) Tax Supervisor II, (1.00) Conferee, (13.00) Tax Technicians and (5.00) Administrative Spec a's for Office Services Division to collect monies from unpaid tax returns in the fileroom, RAR's and abandonded property, ~~(15.00) Tax Auditor I's to increase field audit coverage~~ and (5.00) revenue officers to expand the phone power section of the Field Services Division. (B&CB)

Delete Twenty-five (25.00) State Funded Positions - (15.00) Tax Auditor I's, (2.00) Tax Technicians, (3.00) Administrative Specialist A's, and (5.00) Revenue Officers. (WMC)

CUMULATIVE: TOTAL 20.00 STATE 20.00

SECTION 91 - BOARD OF ARCHITECTURAL EXAMINERS (R68)

Point Forty (0.40) State Funded FTE Position for Special Investigator to handle workload increase. This will increase positions from part-time to full-time, necessary for daily operations of the Board. (B&CB)

CUMULATIVE: TOTAL 0.40 STATE 0.40

SECTION 97 - CONTRACTORS LICENSING BOARD (R82)

Two (2.00) State Funded FTE Positions - (1.00) Administrative Specialist A and (1.00) Administrative Specialist B to handle clerical duties for the Fire Protection Sprinkle and Burglar Alarm Act. (B&CB)

CUMULATIVE: TOTAL 2.00 STATE 2.00

SECTION 98 - COSMETOLOGY BOARD (R84)

~~One (1.00) State Funded FTE Position - Data Coordinator I to operate the in house computer for the Board. (B&CB)~~

One (1.00) State Funded FTE Position deleted (Data Cooordinator I) which was needed to operate the in-house computer for the Board. (WMC)

CUMULATIVE: TOTAL 0.00 STATE 0.00

010474

SECTION 110 - BOARD OF PHARMACY (S20)

Two (2.00) State Funded FTE Positions - (1.00) Clerical Specialist B and ~~(1.00) Special Investigator III to handle administrative responsibilities.~~ Lack of help was cited by the State Auditor's Office. (B&CB)

One (1.00) State Funded FTE Position deleted. (1.00) Special Investigator III position was needed to handle investigative duties (cited by Auditor's Office). One-fourth (0.25) State Funded Position added as a Clerical Specialist A. This position is needed due to increased working hours to handle clerical duties for operation of Agency. (WMC)

CUMULATIVE: TOTAL 1.25 STATE 1.25

SECTION 111 - PHYSICAL THERAPY EXAMINERS (S24)

Point twenty (0.20) State Funded FTE Position - Administrative Assistant I from part-time to full time, necessary for daily operation of Board. (B&CB)

CUMULATIVE: TOTAL 0.20 STATE 0.20

SECTION 114 - REAL ESTATE COMMISSION (S36)

Six (6.00) State Funded FTE Positions - (1.00) Attorney II, (1.00) Admin Spec A, (2.00) Special Investigators and (2.00) Admin Spec B's to handle administrative responsibilities for the new property management legislation. (B&CB)

CUMULATIVE: TOTAL 6.00 STATE 6.00

SECTION 126 - DEPARTMENT OF HIGHWAYS & PUBLIC TRANSPORTATION (X50)

Three Hundred and eleven (311.00) Other Funded Positions and one (1.00) Federal Funded FTE Position - Other Funded: (8.00) administrative and investigative positions for the Administrative Division, (100.00) highway maintenance workers to increase maintenance of the state's highways, (71.00) administrative, clerical and custodial positions for the Motor Vehicle Division, (120.00) Trooper positions, (6.00) Admin Spec A's, (4.00) Engineering Technicians for Highway Engineering Division, (2.00) Transportation Planners for Public Transportation Division and (1.00) Federal Funded FTE Position for Transportation Planner for the Urban Mass Transportation Division. (B&CB)

Seventeen (17.00) Other Funded FTE Positions - (10.00) Other Funded Trooper Positions and (7.00) Other Funded Administrative Specialist A positions. (WMC)

CUMULATIVE: TOTAL 329.00 OTHER 328.00 FEDERAL 1.00

CUMULATIVE TOTALS:
TOTAL 1,794.42 STATE 859.35 FEDERAL 225.19 OTHER 709.88

SCHEDULE III

STATE BUDGET DIVISION
ANALYSIS OF EXISTING FTE POSITIONS
ADDED OR DELETED BY
THE HOUSE OF REPRESENTATIVES
TO THE 1987-88 APPROPRIATION BILL

SEC NO	AGENCY	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
3K16	JOINT LEG. COMMITTEE CONSUMER AFFAIRS	(1.00)	(1.00)		
	TOTAL	(1.00)	(1.00)	0.00	0.00

Prepared
March 24, 1987

01047?

SCHEDULE IV

STATE BUDGET DIVISION
ANALYSIS OF NEW FTE POSITIONS ADJUSTMENTS
BY THE
HOUSE OF REPRESENTATIVES
TO THE 1987-88 APPROPRIATION BILL

SECTION NO.	AGENCY	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
30	DEPARTMENT OF EDUCATION	(7.00)			(7.00)
	Total	(7.00)	0.00	0.00	(7.00)

Prepared
March 24, 1987

010478

EXHIBIT
APR 14 1987 NO. 9
STATE BUDGET & CONTROL BOARD

SCHEDULE V

STATE BUDGET DIVISION
ANALYSIS OF INTERIM NEW POSITION ADJUSTMENTS
BY THE JOINT LEGISLATIVE COMMITTEE ON
PERSONAL SERVICE, FINANCING AND BUDGETING
AND THE BUDGET & CONTROL BOARD
AND ADDED TO THE 1987-88 HOUSE OF REPRESENTATIVES
APPROPRIATIONS BILL

SEC #	AGENCY	TOTAL FTE POSITIONS	STATE FTE POSITIONS	FEDERAL FTE POSITIONS	OTHER FTE POSITIONS
	NONE				
	TOTAL	0.00	0.00	0.00	0.00

Prepared
March 24, 1987

010479

SCHEDULE VI

STATE BUDGET DIVISION
1987-88
NEW POSITION COST REPORT
BY COMMITTEE

	B & C BOARD		WAYS & MEANS	
	TOTAL FTE POSITIONS	STATE FTE POSITIONS	TOTAL FTE POSITIONS	STATE FTE POSITIONS
DOLLARS	$19,622,972	$11,344,773	($232,091)	($1,270,608)
FTE'S	1,700.98	898.90	100.44	(39.55)

	HOUSE		CUMULATIVE TOTAL	
	TOTAL FTE POSITIONS	STATE FTE POSITIONS	TOTAL FTE POSITIONS	STATE FTE POSITIONS
DOLLARS	($127,382)	$0	$19,263,499	$10,074,165
FTE'S	(7.00)	0.00	1,794.42	859.35

Prepared
March 24, 1987

010480

PRGM = ANC060CR
TIME = 11:52:14

ANALYSIS OF CHANGES TO THE FY 1987-88 APPROPRIATION BILL

DATE = 03/30/87

STATEWIDE SUMMARY

	TOTAL	STATE	FEDERAL	OTHER
86-87 APPROPRIATIONS PER ACT	5,658,539,098 (66,121.33)	2,761,121,639 (39,116.13)	1,533,995,784 (8,151.67)	1,363,421,675 (18,853.53)
SUM OF ADJUSTMENTS FOR OPERATING YEAR 86-87	31,446,813 (-58.63)	22,190,756 (-77.98)	-41,959,771 (75.89)	51,215,828 (-56.54)
ADJUSTED FUNDS AVAILABLE FOR OPERATING YEAR 86-87	5,689,985,911 (66,062.70)	2,783,312,395 (39,038.15)	1,492,036,013 (8,227.56)	1,414,637,503 (18,796.99)
SUM OF ADJUSTMENTS FOR BUDGET YEAR BASE	93,032,323 (-166.72)	-26,679,648 (-23.93)	54,074,980 (-358.44)	65,636,991 (215.65)
ADJUSTED BASE FOR BUDGET YEAR 87-88	5,783,018,234 (65,895.98)	2,756,632,747 (39,014.22)	1,546,110,993 (7,869.12)	1,480,274,494 (19,012.64)
SUM OF AGENCY PRIORITY REQUESTS (FOR INFO ONLY)	461,871,157 (0.00)	369,602,726 (0.00)	0 (0.00)	0 (0.00)
BUDGET AND CONTROL BOARD RECOMMENDED CHANGES	42,751,589 (1,563.83)	46,906,720 (756.21)	-14,846,626 (136.50)	10,691,495 (671.12)
BUDGET AND CONTROL BOARD RECOMMENDATION	5,825,769,823 (67,459.81)	2,803,539,467 (39,770.43)	1,531,264,367 (8,005.62)	1,490,965,989 (19,683.76)
WAYS AND MEANS RECOMMENDED CHANGES	57,041,119 (-466.97)	41,930,965 (-255.64)	12,948,556 (-133.09)	2,161,598 (-78.24)
WAYS AND MEANS RECOMMENDATION	5,882,810,942 (66,992.84)	2,845,470,432 (39,514.79)	1,544,212,923 (7,872.53)	1,493,127,587 (19,605.52)
HOUSE RECOMMENDED CHANGES	-6,950,301 (-8.00)	-8,871,042 (-1.00)	2,743,916 (0.00)	-823,175 (-7.00)
HOUSE RECOMMENDATION	5,875,860,641 (66,984.84)	2,836,599,390 (39,513.79)	1,546,956,839 (7,872.53)	1,492,304,412 (19,598.52)
GRAND TOTALS	5,875,860,641 (66,984.84)	2,836,599,390 (39,513.79)	1,546,956,839 (7,872.53)	1,492,304,412 (19,598.52)

010481

STATE BUDGET & CONTROL BOARD

APR 14 1987

EXHIBIT NO. 9

91 AAP227CR

MAJOR BUDGET CATEGORY

MAJOR BUDGET CATEGORIES	1986-87 AUTHORIZED TOTAL FUNDS	1986-87 AUTHORIZED STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	1987-88 HOUSE BILL FEDERAL FUNDS	1987-88 HOUSE BILL OTHER FUNDS
PERSONAL SERVICE								
HEAD OF AGENCY	17,508,096	16,857,055	16,338,509	15,645,642	16,345,529	15,652,662	326,485	366,382
CLASSIFIED POSITIONS	1014,497,348	576,473,215	1032,345,658	580,128,226	1032,220,566	580,130,516	136,561,780	315,528,270
UNCLASSIFIED POSITIONS	299,774,223	226,355,580	291,970,008	222,252,611	291,896,665	222,179,268	20,170,245	49,547,152
OTHER PERSONAL SERVICE	49,692,165	20,004,576	54,046,211	20,336,084	54,039,135	20,329,008	8,556,563	25,153,564
TEMPORARY POSITIONS	41,584,602	10,602,948	43,135,650	11,000,290	43,135,650	11,000,290	8,966,917	23,168,443
PER DIEM	960,503	646,253	1,032,106	669,745	1,025,536	663,175	88,676	273,685
OVERTIME & SHIFT DIFF.	9,027,519	3,362,588	9,870,487	3,557,911	9,870,487	3,557,911	94,791	6,217,785
INCREMENTS - PERFORM. PAY			546,124		546,124		489,463	56,661
INCREMENTS - CLASSIFIED								
INCREMENTS - LONGEVITY			24,106		24,106		10,850	13,256
INCREMENTS - UNCLASSIFIED								
INCREMENTS-CONTINUED-86-87								
BASE PAY INCREASE	384,857	353,979	36,782,037	20,978,932	36,782,037	20,978,932	4,948,138	10,854,967
MERIT PAY								
TOTAL PERSONAL SERVICE	1,433,429,313	854,656,194	1,486,090,896	874,569,441	1,485,885,835	874,491,762	180,213,908	431,180,165
OTHER OPERATING EXPENSES	902,044,644	296,445,992	942,282,726	284,783,018	940,737,755	283,946,222	101,776,896	555,014,637
SPECIAL ITEMS	135,868,749	86,097,212	149,513,678	102,199,569	148,959,541	101,645,432	18,063,273	29,250,836
PERMANENT IMPROVEMENTS	226,487,205	391,188	260,925,265	39,998	260,925,265	39,998	212,757,599	48,127,668
DEBT SERVICES	124,146,741	109,600,148	122,694,316	108,635,963	122,694,316	108,635,963	88,750	13,969,603
PUBLIC ASSISTANCE PAYMENT	907,631,201	146,177,939	894,758,948	145,495,251	897,752,864	145,745,251	689,863,625	62,143,988
AID TO SUBDIVISIONS	1,638,092,839	1,079,540,109	1,684,033,141	1,111,448,108	1,678,276,197	1,105,676,164	308,671,739	263,928,294
EMPLOYER CONTRIBUTIONS	307,742,393	195,729,440	340,946,252	216,963,602	339,443,148	215,463,116	35,521,049	88,458,983
NON-RECURRING APPRO	14,674,173	14,674,173	1,565,720	1,335,482	1,185,720	955,482		230,238
GRAND TOTAL	5,690,117,258	2,783,312,395	5,882,810,942	2,845,470,432	5,875,860,641	2,836,599,390	1,546,956,839	1,492,304,412

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PERSONAL SERVICE SUMMARY
1987-1988

PROG=AAP208CR
CONTROL=000001

STATE TOTALS

BUDGET CODE	DESCRIPTION	1986-87 APPROP. TOTAL FUNDS	1986-87 APPROP. STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	1987-88 HOUSE BILL FEDERAL FUNDS	1987-88 HOUSE BILL OTHER FUNDS
10101	TOTAL HEAD OF AGENCY	17,508,096	16,857,055	16,338,509	15,645,642	16,345,529	15,652,662	326,485	366,382
10101		(504.75)	(492.75)	(409.00)	(396.00)	(409.00)	(396.00)	(6.00)	(7.00)
10158	CLASSIFIED POSITIONS	1014,497,348	576,473,215	1013,457,367	570,518,479	1013,459,657	570,520,769	135,975,110	306,963,778
10158		(57,232.32)	(31,969.88)	(56,356.50)	(31,722.49)	(56,356.50)	(31,722.49)	(6,959.67)	(17,674.34)
10159	NEW CLASSIFIED POSIT			18,888,291	9,609,747	18,760,909	9,609,747	586,670	8,564,492
10159				(1,366.18)	(784.10)	(1,359.18)	(784.10)	(40.50)	(534.58)
10166	INTERIM NEW CLASSIFI								
10166				(142.84)	(51.50)	(142.84)	(51.50)	(55.19)	(36.15)
	TOTAL CLASSIFIED	1014,497,348	576,473,215	1032,345,658	580,128,226	1032,220,566	580,130,516	136,561,780	315,528,270
		(57,232.32)	(31,969.88)	(57,865.52)	(32,558.09)	(57,858.52)	(32,558.09)	(7,055.36)	(18,245.07)
10160	UNCLASSIFIED POSITIO	299,774,223	226,355,580	291,467,418	221,788,193	291,394,075	221,714,850	20,132,073	49,547,152
10160		(8,325.63)	(6,575.52)	(8,425.92)	(6,536.95)	(8,424.92)	(6,535.95)	(681.67)	(1,207.30)
10161	NEW UNCLASSIFIED POS			502,590	464,418	502,590	464,418	38,172	
10161				(285.65)	(17.00)	(285.65)	(17.00)	(129.50)	(139.15)
10167	INTERIM NEW UNCLASSI								
10167				(6.75)	(6.75)	(6.75)	(6.75)		
	TOTAL UNCLASSIFIED	299,774,223	226,355,580	291,970,008	222,252,611	291,896,665	222,179,268	20,170,245	49,547,152
		(8,325.63)	(6,575.52)	(8,718.32)	(6,560.70)	(8,717.32)	(6,559.70)	(811.17)	(1,346.45)
10170	OTHER PERSONAL SERVI	49,692,165	20,004,576	54,046,211	20,336,084	54,039,135	20,329,008	8,556,563	25,153,564
10171	TEMPORARY POSITIONS	41,584,602	10,602,948	43,135,650	11,000,290	43,135,650	11,000,290	8,966,917	23,168,443
10172	PER DIEM	960,503	646,253	1,032,106	669,745	1,025,536	663,175	88,676	273,685
10173	OVERTIME AND SHIFT D	9,027,519	3,362,588	9,870,487	3,557,911	9,870,487	3,557,911	94,791	6,217,785
10191	INCREMENTS-CLASSIFIE			546,124		546,124		489,463	56,661
10192	INCREMENTS-LONGEVITY								
10193	INCREMENTS-UNCLASSIF			24,106		24,106		10,850	13,256
10195	BASE PAY INCREASE	384,857	353,979	36,782,037	20,978,932	36,782,037	20,978,932	4,948,138	10,854,967
99995	TOTAL PERSONAL SERVI	1433,429,313	854,656,194	1486,090,896	874,569,441	1485,885,835	874,491,762	180,213,908	431,180,165
99995		(66,062.70)	(39,038.15)	(66,992.84)	(39,514.79)	(66,984.84)	(39,513.79)	(7,872.53)	(19,598.52)

ANALYSIS OF CHANGE

70001	CHANGE IN HEAD OF AG	-1,169,587	-1,211,413	-1,162,567	-1,204,393
70001		(-95.75)	(-96.75)	(-95.75)	(-96.75)
70002	CHANGE IN CLASSIFIED	17,848,310	3,655,011	17,723,218	3,657,301
70002		(633.20)	(588.21)	(626.20)	(588.21)
70003	CHANGE IN UNCLASSIFI	-7,804,215	-4,102,969	-7,877,558	-4,176,312
70003		(392.69)	(-14.82)	(391.69)	(-15.82)
70006	OTHER PERSONAL SERVI	4,354,046	331,508	4,346,970	324,432
70007	TEMPORARY POSITIONS	1,551,048	397,342	1,551,048	397,342
70008	PER DIEM	71,603	23,492	65,033	16,922
70009	OVERTIME AND SHIFT D	842,968	195,323	842,968	195,323
70023	INCREMENT-CLASS.,UNC	570,230		570,230	
70025	BASE PAY INCREASE	36,397,180	20,624,953	36,397,180	20,624,953
99995	TOTAL CHANGES	52,661,583	19,913,247	52,456,522	19,835,568
99995		(930.14)	(476.64)	(922.14)	(475.64)

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00001

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
LEGISLATIVE DEPARTMENT								
A01 LEG. DEPT-THE SENATE	7,159,855	7,159,855	5,929,309	5,929,309	5,929,309	5,929,309		
	(175.00)	(175.00)	(175.00)	(175.00)	(175.00)	(175.00)		
A05 LEG. DEPT-HOUSE OF REPRESENTAT	9,893,608	9,893,608	8,239,557	8,239,557	8,243,785	8,243,785	4,228	4,228
	(359.00)	(359.00)	(358.00)	(358.00)	(358.00)	(358.00)		
A10 LEG. DEPT-SPECIAL SERVICES FOR	421,607	421,607	409,250	409,250	409,250	409,250		
	(22.00)	(22.00)	(22.00)	(22.00)	(22.00)	(22.00)		
A15 LEG. DEPT-CODIFICATION OF LAWS	1,867,866	1,867,866	1,778,208	1,778,208	1,778,208	1,778,208		
	(43.00)	(43.00)	(43.00)	(43.00)	(43.00)	(43.00)		
A17 LEG. DEPT-LEG PRINTING & INF.	3,255,445	3,255,445	2,739,452	2,739,452	2,739,452	2,739,452		
	(16.00)	(16.00)	(16.00)	(16.00)	(16.00)	(16.00)		
A20 LEG. DEPT-LEG AUDIT COUNCIL	961,484	961,484	915,333	915,333	915,333	915,333		
	(24.00)	(24.00)	(24.00)	(24.00)	(24.00)	(24.00)		
A25 LEG. DEPT-LEG INFORMATION SYST	956,412	956,412	910,504	910,504	910,504	910,504		
	(17.00)	(17.00)	(17.00)	(17.00)	(17.00)	(17.00)		
A27 STATE REORGANIZATION COMMISSIO	1,572,732	793,992	1,320,585	755,880	1,320,585	755,880		
	(12.00)	(12.00)	(12.00)	(12.00)	(12.00)	(12.00)		
A28 S C ADV COMM INTERGOVERNMENTAL	344,655	227,110	234,350	116,805	234,350	116,805		
	(4.00)	(3.00)	(4.00)	(3.00)	(4.00)	(3.00)		
A40 JT. LEG. COMMITTEE ON ENERGY	145,226	145,226	138,255	138,255	138,255	138,255		
	(6.00)	(6.00)	(6.00)	(6.00)	(6.00)	(6.00)		
A42 JT. LEG. APPROPRIATIONS REVIEW	311,796	311,796	296,830	296,830	296,830	296,830		
	(8.00)	(8.00)	(8.00)	(8.00)	(8.00)	(8.00)		
A45 JT. LEG. COMMITTEE-HEALTH CARE	121,363	121,363	115,538	115,538	115,538	115,538		
	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)		
A50 JT. LEG. COM. ALCOHOL & DRUG A	30,019	30,019	28,578	28,578	28,578	28,578		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
A51 JT. LEG. COM. STATE BIDDING PR	17,052	17,052	16,234	16,234	16,234	16,234		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
A52 JT. LEG. COM. TOBACCO ADVISORY	7,245	7,245	6,897	6,897	6,897	6,897		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
A53 JT. LEG. COM. EDUCATION STUDY	34,782	34,782	33,112	33,112	33,112	33,112		
	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)		
A54 JT. LEG. COM. TEXTILE - APPARE	23,683	23,683	22,546	22,546	22,546	22,546		
	(2.00)	(2.00)	(2.00)	(2.00)	(2.00)	(2.00)		
A55 JT. LEG. COM. STATE EMPLOYEES	8,566	8,566	8,155	8,155	8,155	8,155		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
A56 JT. LEG. COM. HANDICAPPED	3,000	3,000	2,856	2,856	2,856	2,856		
A57 JT. LEG. COM. INS LAWS & AUTO	83,098	83,098	79,109	79,109	79,109	79,109		
	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)		
A58 JT. LEG. COM. WORKERS COMPENSA	35,182	35,182	33,493	33,493	33,493	33,493		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00002

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
LEGISLATIVE DEPARTMENT								
A59 JT. LEG. COM. PUBLIC TRANSPORT	26,377	26,377	25,111	25,111	25,111	25,111		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
A60 JT. LEG. COM. AGING STUDY	67,943	67,943	64,682	64,682	64,682	64,682		
	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)		
A61 JT. LEG. COM. CONSUMER AFFAIRS	5,116	5,116	4,870	4,870			-4,870	-4,870
	(1.00)	(1.00)	(1.00)	(1.00)			(-1.00)	(-1.00)
A62 JT. LEG. COM. AGRICULTURE STUD	12,000	12,000	11,424	11,424	11,424	11,424		
A63 JT. LEG. COM. WATER RESOURCES	2,385	2,385	2,271	2,271	2,271	2,271		
A64 JT. LEG. COM. CATAWBA INDIAN C	1,000	1,000	952	952	952	952		
A65 JT. LEG. COM. MENTAL HEALTH &	65,299	65,299	62,165	62,165	62,165	62,165		
	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)		
A66 JT. LEG. COM. TOURISM & TRADE	26,245	26,245	24,985	24,985	24,985	24,985		
A68 JT. LEG. COM. CONT COM ON RETI	8,058	8,058	7,671	7,671	7,671	7,671		
	(2.00)	(2.00)	(2.00)	(2.00)	(2.00)	(2.00)		
A69 JT. LEG. COM. TAX STUDY COMMIS	55,909	55,909	53,225	53,225	53,225	53,225		
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
A70 JT. LEG. CHILDREN'S STUDY	660,102	660,102	876,960	876,960	876,960	876,960		
	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)		
A71 JT. LEG. COM. CRIME STUDY	4,000	4,000	3,808	3,808	3,808	3,808		
A72 JT. LEG. COM. INTERNAL SECURIT	2,500	2,500	1,500	1,500	1,500	1,500		
A78 JT. LEG. COM. ON RAILROAD ABAN	5,000	5,000	4,760	4,760	4,760	4,760		
A79 JT. LEG. COM. ON AQUACULTURE	6,018	6,018	5,729	5,729	5,729	5,729		
A80 JT. LEG. COM. ON CULTURAL AFFA	56,740	56,740	59,183	59,183	59,183	59,183		
	(1.00)	(1.00)	(2.00)	(2.00)	(2.00)	(2.00)		
A81 JT. LEG. ELECTION LAW STUDY CO	42,779	42,779	40,726	40,726	40,726	40,726		
	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)	(3.00)		
A82 JT. LEG. EIA SELECT COMMITTEE	88,000		88,000		88,000			
	(2.00)		(2.00)		(2.00)			
TOTAL LEGISLATIVE DEPARTMENT	28,390,147	27,405,862	24,596,173	23,825,923	24,595,531	23,825,281	-642	-642
	(725.00)	(722.00)	(725.00)	(722.00)	(724.00)	(721.00)	(-1.00)	(-1.00)

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00003

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
JUDICIAL DEPARTMENT								
B04 JUDICIAL DEPARTMENT	22,214,848 (403.22)	22,213,501 (403.22)	21,990,506 (399.22)	21,990,506 (399.22)	21,990,506 (399.22)	21,990,506 (399.22)		
TOTAL JUDICIAL DEPARTMENT	22,214,848 (403.22)	22,213,501 (403.22)	21,990,506 (399.22)	21,990,506 (399.22)	21,990,506 (399.22)	21,990,506 (399.22)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00004

AGY NO.		1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
	EXECUTIVE AND ADMINISTRATIVE DIVISION								
D05	GOVERNORS OFF-EXECUTIVE CONTRO	1,285,633	1,285,633	1,189,544	1,189,544	1,189,544	1,189,544		
		(24.00)	(24.00)	(24.00)	(24.00)	(24.00)	(24.00)		
D10	GOVERNORS OFF-STATE LAW ENFORC	16,715,760	15,365,760	15,699,907	14,561,907	15,699,907	14,561,907		
		(392.25)	(392.25)	(393.25)	(393.25)	(393.25)	(393.25)		
D17	GOVERNORS OFF-OFF EXEC POLICY	59,966,504	8,112,260	58,895,858	6,155,926	58,895,858	6,155,926		
		(175.75)	(116.51)	(170.00)	(114.48)	(170.00)	(114.48)		
D20	GOVERNORS OFF-MANSION AND GROU	186,236	186,236	187,059	187,059	187,059	187,059		
		(4.00)	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)		
E04	LIEUTENANT GOVERNOR'S OFFICE	204,044	204,044	195,000	195,000	195,000	195,000		
		(5.00)	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)		
E08	SECRETARY OF STATE'S OFFICE	948,022	948,022	910,927	910,927	910,927	910,927		
		(33.00)	(33.00)	(33.00)	(33.00)	(33.00)	(33.00)		
E12	COMPTROLLER GENERAL'S OFFICE	5,210,561	5,210,561	3,592,403	3,592,403	3,592,403	3,592,403		
		(189.00)	(189.00)	(97.00)	(97.00)	(97.00)	(97.00)		
E16	STATE TREASURER'S OFFICE	3,102,961	3,078,961	2,963,581	2,939,581	2,963,581	2,939,581		
		(64.49)	(63.49)	(64.49)	(63.49)	(64.49)	(63.49)		
E20	ATTORNEY GENERAL'S OFFICE	9,788,761	9,788,761	9,397,499	9,397,499	9,397,499	9,397,499		
		(150.00)	(150.00)	(141.75)	(141.75)	(141.75)	(141.75)		
E22	COMMISSION ON APPELLATE DEFENS	737,012	737,012	701,990	701,990	701,990	701,990		
		(18.07)	(18.07)	(18.07)	(18.07)	(18.07)	(18.07)		
E24	ADJUTANT GENERAL'S OFFICE	8,703,722	3,996,878	8,492,362	3,695,458	8,564,362	3,767,458	72,000	72,000
		(162.00)	(55.75)	(179.00)	(55.75)	(179.00)	(55.75)		
E25	SOUTH CAROLINA STATE GUARD	73,776	73,776	70,235	70,235	70,235	70,235		
E28	STATE ELECTION COMMISSION	2,539,697	2,389,697	1,328,516	1,178,516	1,328,516	1,178,516		
		(16.50)	(16.50)	(17.50)	(17.50)	(17.50)	(17.50)		
F02	B & C-OFFICE OF EXECUTIVE DIRE	7,457,383	5,966,764	7,272,712	5,701,442	7,272,712	5,701,442		
		(112.00)	(94.93)	(111.00)	(93.93)	(111.00)	(93.93)		
F06	B & C-BUDGET DIVISION	1,132,674	1,034,674	1,021,782	1,021,782	1,021,782	1,021,782		
		(22.00)	(22.00)	(23.00)	(23.00)	(23.00)	(23.00)		
F08	B & C-RESEARCH AND STATISTICAL	3,099,787	2,265,401	3,749,352	2,814,771	3,749,352	2,814,771		
		(66.30)	(54.67)	(80.30)	(68.67)	(80.30)	(68.67)		
F10	B & C-INFORMATION RESOURCES MA	43,107,076	3,031,288	32,354,954	1,990,653	32,354,954	1,990,653		
		(155.70)	(44.00)	(155.70)	(30.00)	(155.70)	(30.00)		
F12	B & C-GENERAL SERVICES DIVISIO	24,105,222	5,903,898	28,577,131	6,013,503	28,330,291	5,766,663	-246,840	-246,840
		(379.00)	(122.77)	(393.00)	(122.77)	(393.00)	(122.77)		
F14	B & C-STATE FIRE MARSHAL	2,733,066	2,589,673	3,071,780	2,465,773	3,071,780	2,465,773		
		(67.00)	(67.00)	(77.00)	(67.00)	(77.00)	(67.00)		

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

010487

DATE=03/24/87
TIME=13:42:15

APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00005

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
EXECUTIVE AND ADMINISTRATIVE DIVISION								
F16 B & C-MOTOR VEHICLE MANAGEMENT	4,110,787	253,575	4,927,645	241,752	4,927,645	241,752		
	(23.00)	(8.00)	(23.00)	(8.00)	(23.00)	(8.00)		
F24 B & C-HUMAN RESOURCE MANAGEMEN	4,169,922	3,055,270	3,969,522	2,861,500	3,969,522	2,861,500		
	(112.00)	(84.00)	(109.00)	(84.00)	(109.00)	(84.00)		
F26 B & C-LOCAL GOVERNMENT DIVISIO	6,629,794	6,629,794	9,083,017	9,083,017	9,083,017	9,083,017		
	(3.00)	(3.00)	(2.00)	(2.00)	(2.00)	(2.00)		
F27 B & C-STATE AUDITOR	4,021,736	4,021,736	3,358,301	3,358,301	3,358,301	3,358,301		
	(76.00)	(76.00)	(76.00)	(76.00)	(76.00)	(76.00)		
F29 B & C-RETIREMENT DIVISION	6,252,057		6,805,317		6,805,317			
	(142.00)		(143.00)		(143.00)			
F30 B & C-EMPLOYEE BENEFITS	28,692,544	28,692,544	66,097,648	66,097,648	64,597,648	64,597,648	-1,500,000	-1,500,000
F31 B & C-CAPITAL EXPENDITURE FUND	27,714,661	27,714,661	43,060,059	43,060,059	42,925,448	42,925,448	-134,611	-134,611
TOTAL EXEC. & ADMIN. DIV.	272,689,398	142,536,879	316,974,101	189,486,246	315,164,650	187,676,795	-1,809,451	-1,809,451
	(2392.06)	(1643.94)	(2340.06)	(1542.66)	(2340.06)	(1542.66)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00006

AGY NO.		1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
	EDUCATIONAL DIVISION								
H03	COMMISSION ON HIGHER EDUCATION	2,435,997	2,268,708	3,289,228	3,121,939	3,289,228	3,121,939		
		(23.00)	(23.00)	(23.00)	(23.00)	(23.00)	(23.00)		
H06	HIGHER EDUCATION TUITION GRANT	16,602,293	15,815,969	16,616,264	15,816,264	16,616,264	15,816,264		
		(7.00)	(7.00)	(7.00)	(7.00)	(7.00)	(7.00)		
H09	THE CITADEL	30,707,089	12,329,043	33,159,944	11,537,825	33,159,944	11,537,825		
		(521.21)	(323.97)	(517.22)	(323.98)	(517.22)	(323.98)		
H12	CLEMSON UNIVERSITY (EDUCATIONA	150,602,567	61,139,052	156,084,734	57,817,828	156,084,734	57,817,828		
		(2239.75)	(1336.40)	(2381.11)	(1357.13)	(2381.11)	(1357.13)		
H15	COLLEGE OF CHARLESTON	34,800,378	17,165,565	34,547,019	16,093,132	34,547,019	16,093,132		
		(604.76)	(459.81)	(601.76)	(457.41)	(601.76)	(457.41)		
H18	FRANCIS MARION COLLEGE	17,285,070	9,514,103	17,567,109	9,315,166	17,567,109	9,315,166		
		(314.08)	(227.68)	(314.08)	(227.68)	(314.08)	(227.68)		
H21	LANDER COLLEGE	13,530,584	7,175,063	13,154,471	6,627,844	13,154,471	6,627,844		
		(230.27)	(157.85)	(240.77)	(157.85)	(240.77)	(157.85)		
H24	S C STATE COLLEGE	31,764,081	17,442,594	31,836,718	16,816,526	31,836,718	16,816,526		
		(736.10)	(537.59)	(755.38)	(552.22)	(755.38)	(552.22)		
H27	UNIV OF SOUTH CAROLINA	227,374,743	105,115,207	240,193,490	102,078,550	240,193,490	102,078,550		
		(3905.49)	(2463.37)	(4110.19)	(2463.37)	(4110.19)	(2463.37)		
H28	UNIV OF SOUTH CAROLINA - MEDIC	17,077,792	13,051,275	18,768,871	13,973,664	18,768,871	13,973,664		
		(278.36)	(198.74)	(284.36)	(198.74)	(284.36)	(198.74)		
H29	U S C - AIKEN CAMPUS	9,744,106	5,497,389	10,319,876	5,266,041	10,319,876	5,266,041		
		(193.88)	(134.30)	(193.88)	(134.30)	(193.88)	(134.30)		
H32	U S C - COASTAL CAROLINA CAMPU	12,532,027	7,052,505	14,033,832	7,327,462	14,033,832	7,327,462		
		(235.41)	(132.74)	(271.14)	(132.74)	(271.14)	(132.74)		
H34	U S C - SPARTANBURG CAMPUS	13,262,759	7,011,904	13,870,915	6,836,900	13,870,915	6,836,900		
		(268.53)	(161.16)	(281.53)	(161.16)	(281.53)	(161.16)		
H36	U S C - BEAUFORT CAMPUS	2,047,778	976,543	2,099,554	1,115,967	2,099,554	1,115,967		
		(36.93)	(20.95)	(36.93)	(20.95)	(36.93)	(20.95)		
H37	U S C - LANCASTER CAMPUS	3,325,961	1,758,551	3,542,458	1,766,219	3,542,458	1,766,219		
		(58.50)	(26.91)	(58.50)	(26.91)	(58.50)	(26.91)		
H38	U S C - SALKEHATCHIE CAMPUS	2,190,802	1,173,603	2,079,616	1,089,081	2,079,616	1,089,081		
		(38.00)	(21.70)	(38.00)	(21.70)	(38.00)	(21.70)		
H39	U S C - SUMTER CAMPUS	4,705,075	2,512,017	4,534,215	2,480,690	4,534,215	2,480,690		
		(85.32)	(56.24)	(85.12)	(56.24)	(85.12)	(56.24)		
H40	U S C - UNION CAMPUS	1,292,257	702,357	1,495,306	727,200	1,495,306	727,200		
		(30.49)	(16.92)	(30.49)	(16.92)	(30.49)	(16.92)		
H47	WINTHROP COLLEGE	36,624,709	16,561,573	38,144,142	16,200,672	38,144,142	16,200,672		
		(640.83)	(410.36)	(655.83)	(425.36)	(655.83)	(425.36)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00007

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
EDUCATIONAL DIVISION								
H51 MEDICAL UNIVERSITY OF S C	105,169,531	69,334,531	106,821,334	66,571,334	106,821,334	66,571,334		
	(2527.25)	(2000.88)	(2527.25)	(2000.88)	(2527.25)	(2000.88)		
H52 MEDICAL UNIVERSITY OF S C HOSP	106,562,420	11,667,420	121,179,697	12,684,697	121,179,697	12,684,697		
	(2501.01)	(211.73)	(2501.01)	(211.73)	(2501.01)	(211.73)		
H53 S. C. CONSORTIUM OF COMM. TEAC	13,822,900	13,302,900	13,278,180	12,758,180	13,278,180	12,758,180		
	(57.47)	(56.47)	(57.47)	(56.47)	(57.47)	(56.47)		
H54 CHARLESTON HIGHER EDUCATION CO	662,246	222,246	692,680	212,680	667,680	187,680	-25,000	-25,000
	(8.80)	(5.00)	(8.80)	(5.00)	(8.80)	(5.00)		
H55 ADV COUNCIL VOCATIONAL & TECHN	181,655	41,790	185,940	39,784	185,940	39,784		
	(3.00)	(1.00)	(3.00)	(1.00)	(3.00)	(1.00)		
H59 ST. BD. FOR TECHNICAL & COMPRE	184,740,038	88,336,827	178,326,348	85,800,703	178,326,348	85,800,703		
	(3446.39)	(2695.40)	(3239.51)	(2660.82)	(3239.51)	(2660.82)		
H63 STATE EDUCATION DEPARTMENT	1353,768,955	944,634,944	1371,057,669	943,015,404	1366,035,725	937,993,460	-5,021,944	-5,021,944
	(1139.90)	(867.11)	(1146.90)	(867.11)	(1139.90)	(867.11)	(-7.00)	
H67 EDUCATIONAL TELEVISION COMMISS	21,033,960	16,031,201	20,937,177	15,464,845	20,937,177	15,464,845		
	(365.42)	(323.45)	(362.42)	(320.45)	(362.42)	(320.45)		
H71 WIL LOU GRAY OPPORTUNITY SCHOO	3,086,542	2,512,817	2,993,604	2,411,490	2,993,604	2,411,490		
	(89.72)	(81.54)	(88.60)	(80.52)	(88.60)	(80.52)		
H73 VOCATIONAL REHABILITATION	56,427,521	14,548,469	58,055,157	13,851,453	58,055,157	13,851,453		
	(1113.70)	(460.40)	(1150.70)	(460.40)	(1150.70)	(460.40)		
H75 SCHOOL FOR THE DEAF AND THE BL	10,891,613	9,474,784	10,648,872	9,185,524	10,648,872	9,185,524		
	(350.75)	(315.64)	(349.75)	(313.83)	(349.75)	(313.83)		
H79 DEPARTMENT OF ARCHIVES AND HIS	4,405,923	3,885,340	4,176,186	3,471,253	4,176,186	3,471,253		
	(123.50)	(118.50)	(123.50)	(119.50)	(123.50)	(119.50)		
H83 CONFEDERATE RELIC ROOM	199,931	199,931	190,334	190,334	190,334	190,334		
	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)		
H87 S. C. STATE LIBRARY	6,890,706	5,228,656	6,603,476	4,955,426	6,603,476	4,955,426		
	(50.00)	(43.00)	(50.00)	(43.00)	(50.00)	(43.00)		
H91 S. C. ARTS COMMISSION	3,577,408	2,892,937	3,526,198	2,754,432	3,531,068	2,759,302	4,870	4,870
	(40.12)	(32.83)	(41.31)	(32.83)	(41.31)	(32.83)		
H95 STATE MUSEUM COMMISSION	5,234,841	4,844,290	4,418,908	4,170,710	4,308,908	4,060,710	-110,000	-110,000
	(37.00)	(33.00)	(64.00)	(58.00)	(64.00)	(58.00)		
TOTAL EDUCATIONAL DIVISION	2504,562,258	1491,422,104	2558,429,522	1473,547,219	2553,277,448	1468,395,145	-5,152,074	-5,152,074
	(22306.94)	(13967.64)	(22605.51)	(14001.20)	(22598.51)	(14001.20)	(-7.00)	

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

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AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
HEALTH DIVISION								
J02 STATE HEALTH & HUMAN SERVICES	547,452,278	94,285,948	549,073,565	92,761,359	552,627,301	93,571,179	3,553,736	809,820
	(305.00)	(116.55)	(307.00)	(117.59)	(307.00)	(117.59)		
J04 DEPT OF HEALTH & ENVIRONMENTAL	192,948,758	77,670,883	192,426,214	75,782,576	192,676,214	76,032,576	250,000	250,000
	(4275.00)	(2193.56)	(4249.12)	(2111.79)	(4249.12)	(2111.79)		
J12 DEPARTMENT OF MENTAL HEALTH	176,122,757	132,243,223	198,714,075	140,926,165	198,714,075	140,926,165		
	(6286.81)	(4883.80)	(6649.71)	(5131.70)	(6649.71)	(5131.70)		
J16 DEPARTMENT OF MENTAL RETARDATI	130,828,458	66,958,735	140,652,282	66,492,553	139,529,107	66,192,553	-1,123,175	-300,000
	(4556.51)	(2865.68)	(4541.51)	(2861.68)	(4541.51)	(2861.68)		
J20 S. C. COMMISSION ON ALCOHOL &	11,540,996	7,936,221	11,944,464	8,399,941	11,944,464	8,399,941		
	(72.51)	(70.85)	(72.51)	(70.85)	(72.51)	(70.85)		
TOTAL HEALTH DIVISION	1058,893,247	379,095,010	1092,810,600	384,362,594	1095,491,161	385,122,414	2,680,561	759,820
	(15495.83)	(10130.44)	(15819.85)	(10293.61)	(15819.85)	(10293.61)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987 - 1988

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AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
SOCIAL REHABILITATION SERVICES DIVISION								
L04 DEPARTMENT OF SOCIAL SERVICES	470,005,775	91,077,628	471,563,846	92,861,846	470,563,846	91,861,846	-1,000,000	-1,000,000
	(4262.15)	(1856.42)	(4294.70)	(1886.62)	(4294.70)	(1886.62)		
L12 JOHN DE LA HOWE SCHOOL	3,372,149	3,071,203	2,748,278	2,458,041	2,748,278	2,458,041		
	(102.72)	(97.41)	(97.22)	(92.56)	(97.22)	(92.56)		
L16 ADV. BD. FOR REV OF FOSTER CAR	462,070	459,865	440,275	438,070	440,275	438,070		
	(14.00)	(14.00)	(16.00)	(14.00)	(16.00)	(14.00)		
L20 CHILDREN'S BUREAU	1,233,642	1,167,792						
	(32.55)	(30.20)						
L24 COMMISSION FOR THE BLIND	5,639,065	3,084,219	5,283,981	2,936,524	5,283,981	2,936,524		
	(119.00)	(53.90)	(112.50)	(52.60)	(112.50)	(52.60)		
L28 COMMISSION ON AGING	11,434,385	1,880,104	11,625,736	1,739,767	11,625,736	1,739,767		
	(29.00)	(13.30)	(28.01)	(13.12)	(28.01)	(13.12)		
L32 STATE HOUSING AUTHORITY	23,470,938	472,818	13,720,945	450,535	13,720,945	450,535		
	(49.00)	(8.00)	(49.00)	(8.00)	(49.00)	(8.00)		
L36 S. C. COMMISSION ON HUMAN AFFA	1,633,878	1,369,501	1,543,082	1,305,082	1,543,082	1,305,082		
	(47.00)	(38.55)	(44.00)	(35.55)	(44.00)	(35.55)		
L40 DEPT. OF VETERANS AFFAIRS	1,154,225	1,154,225	1,100,091	1,100,091	1,100,091	1,100,091		
	(19.00)	(19.00)	(19.00)	(19.00)	(19.00)	(19.00)		
L44 COMMISSION ON WOMEN	68,836	67,586	65,592	64,342	65,592	64,342		
	(1.75)	(1.75)	(1.75)	(1.75)	(1.75)	(1.75)		
TOTAL SOCIAL REHAB. SER. DIV	518,474,963	103,804,941	508,091,826	103,354,298	507,091,826	102,354,298	-1,000,000	-1,000,000
	(4676.17)	(2132.53)	(4662.18)	(2123.20)	(4662.18)	(2123.20)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987 - 1988

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
CORRECTIONAL DIVISION								
N04 DEPARTMENT OF CORRECTIONS	139,948,939	121,314,748	151,516,862	131,783,080	151,266,862	131,533,080	-250,000	-250,000
	(4644.15)	(4415.72)	(5062.27)	(4843.50)	(5062.27)	(4843.50)		
N08 PAROLES AND COMMUNITY CORRECTI	18,400,140	13,034,755	20,919,340	11,410,311	20,919,340	11,410,311		
	(655.00)	(510.00)	(670.00)	(477.00)	(670.00)	(477.00)		
N12 DEPARTMENT OF YOUTH SERVICES	29,512,050	26,682,724	30,173,925	27,124,673	30,173,925	27,124,673		
	(1017.50)	(934.75)	(1017.50)	(934.75)	(1017.50)	(934.75)		
N20 LAW ENFORCEMENT TRAINING COUNC	4,032,325		4,395,669		4,395,669			
	(76.95)		(84.95)		(84.95)			
N24 LAW OFFICERS HALL OF FAME COMM	175,231		175,231		175,231			
	(3.00)		(3.00)		(3.00)			
TOTAL CORRECTIONAL DIVISION	192,068,685	161,032,227	207,181,027	170,318,064	206,931,027	170,068,064	-250,000	-250,000
	(6396.60)	(5860.47)	(6837.72)	(6255.25)	(6837.72)	(6255.25)		

EXHIBIT
APR 14 1987 NO. 9
STATE BUDGET & CONTROL BOARD

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00011

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
CONSERVATION, NATURAL RESOURCES & DEVELOPMENT DIV.								
P04 WATER RESOURCES COMMISSION	4,293,389	3,405,324	4,369,216	3,178,564	4,449,356	3,258,704	80,140	80,140
	(55.00)	(50.00)	(61.00)	(56.00)	(61.00)	(56.00)		
P08 STATE LAND RESOURCES CONSERVAT	3,353,141	3,207,421	2,675,341	2,580,341	2,675,341	2,580,341		
	(63.00)	(62.00)	(62.00)	(62.00)	(62.00)	(62.00)		
P12 STATE FORESTRY COMMISSION	16,954,680	14,964,800	16,469,566	14,435,853	16,469,566	14,435,853		
	(600.43)	(574.42)	(593.43)	(574.42)	(593.43)	(574.42)		
P16 DEPARTMENT OF AGRICULTURE	9,985,112	6,075,711	9,722,397	5,775,687	9,722,397	5,775,687		
	(238.00)	(159.00)	(224.88)	(153.00)	(224.88)	(153.00)		
P18 FAMILY FARM DEVELOPMENT AUTHOR	1,555,073	1,263,850	1,531,633	751,567	1,131,633	351,567	-400,000	-400,000
	(10.00)	(6.00)	(22.00)	(8.00)	(22.00)	(8.00)		
P20 CLEMSON UNIVERSITY-PUBLIC SERV	49,383,738	35,469,239	49,259,054	34,878,466	49,079,054	34,698,466	-180,000	-180,000
	(1443.92)	(892.42)	(1326.82)	(870.08)	(1326.82)	(870.08)		
P22 MIGRATORY WATERFOWL COMMISSION	242,400	32,400	240,845	30,845	240,845	30,845		
	(.50)	(.50)						
P24 WILDLIFE & MARINE RESOURCES DE	34,113,271	17,851,578	35,442,317	17,032,498	35,442,317	17,032,498		
	(743.62)	(423.07)	(742.55)	(423.00)	(742.55)	(423.00)		
P25 COASTAL COUNCIL	2,648,790	1,230,251	2,481,819	1,028,819	2,488,969	1,035,969	7,150	7,150
	(34.00)	(14.00)	(35.00)	(14.00)	(35.00)	(14.00)		
P26 SEA GRANT CONSORTIUM	1,737,993	491,993	1,507,362	446,889	1,507,362	446,889		
	(13.00)	(9.00)	(13.00)	(9.00)	(13.00)	(9.00)		
P28 DEPARTMENT OF PARKS, RECREATIO	25,504,869	12,141,272	26,080,975	12,615,975	26,080,975	12,615,975		
	(478.67)	(346.47)	(478.67)	(346.47)	(478.67)	(346.47)		
P32 STATE DEVELOPMENT BOARD	6,226,661	5,800,532	6,424,230	6,014,230	6,388,976	5,978,976	-35,254	-35,254
	(73.00)	(73.00)	(75.00)	(75.00)	(75.00)	(75.00)		
P34 JOBS-ECONOMIC DEVELOPMENT AUTH	570,613	444,113	524,930	445,430	533,180	453,680	8,250	8,250
	(10.00)	(9.00)	(10.00)	(9.00)	(10.00)	(9.00)		
P36 PATRIOTS POINT DEVELOPMENT AUT	2,169,810	342,933	2,309,834		2,309,834			
	(39.00)	(10.00)	(39.00)		(39.00)			
P40 CLARKS HILL-RUSSELL AUTHORITY	1,768,341	1,768,341	1,731,690	1,731,690	1,642,690	1,642,690	-89,000	-89,000
	(4.00)	(4.00)	(8.00)	(8.00)	(8.00)	(8.00)		
P48 OLD EXCHANGE BUILDING COMMISSI	246,803	95,014	242,420	90,631	262,420	110,631	20,000	20,000
	(5.00)	(3.00)	(5.00)	(3.00)	(5.00)	(3.00)		
TOTAL CONSERVATION, NATURAL	160,754,684	104,584,772	161,013,629	101,037,485	160,424,915	100,448,771	-588,714	-588,714
	(3811.14)	(2635.88)	(3696.35)	(2610.97)	(3696.35)	(2610.97)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987 - 1988

PROG=AAP171CR
CONTROL=00012

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
REGULATORY DIVISION								
R04 PUBLIC SERVICE COMMISSION	5,603,338	5,509,272	5,760,338	5,666,272	5,760,338	5,666,272		
	(146.36)	(144.86)	(144.02)	(143.52)	(144.02)	(143.52)		
R08 WORKERS' COMPENSATION COMMISSI	3,532,278	3,480,028	3,401,284	3,314,284	3,401,284	3,314,284		
	(82.48)	(82.48)	(82.48)	(82.48)	(82.48)	(82.48)		
R12 STATE WORKERS' COMPENSATION FU	1,851,949	110,000	1,783,443	104,720	1,783,443	104,720		
	(48.00)		(49.00)		(49.00)			
R14 PATIENTS' COMPENSATION FUND	155,978		157,248		157,248			
	(3.00)		(3.00)		(3.00)			
R16 SECOND INJURY FUND	481,467		511,828		511,828			
	(12.00)		(13.00)		(13.00)			
R20 DEPARTMENT OF INSURANCE	4,800,194	4,800,194	4,464,477	4,464,477	4,464,477	4,464,477		
	(110.00)	(110.00)	(112.00)	(112.00)	(112.00)	(112.00)		
R23 FINANCIAL INST BD-ADMINISTRATI	19,545	19,545	19,545	19,545	16,559	16,559	-2,986	-2,986
R24 FINANCIAL INST BD-BANK EXAMINI	1,063,920	1,063,920	1,025,097	1,025,097	1,025,097	1,025,097		
	(28.00)	(28.00)	(25.00)	(25.00)	(25.00)	(25.00)		
R25 FINANCIAL INST BD-CONSUMER FIN	356,588	356,588	369,639	369,639	368,049	368,049	-1,590	-1,590
	(9.00)	(9.00)	(9.00)	(9.00)	(9.00)	(9.00)		
R28 DEPARTMENT OF CONSUMER AFFAIRS	1,755,384	1,660,384	1,597,205	1,502,205	1,597,205	1,502,205		
	(41.00)	(41.00)	(41.00)	(41.00)	(41.00)	(41.00)		
R36 DEPARTMENT OF LABOR	4,793,730	3,264,218	5,116,999	3,574,110	5,116,999	3,574,110		
	(152.50)	(112.19)	(152.50)	(112.19)	(152.50)	(112.19)		
R44 STATE TAX COMMISSION	30,074,340	29,712,340	30,937,366	30,607,366	30,937,366	30,607,366		
	(746.00)	(746.00)	(766.00)	(766.00)	(766.00)	(766.00)		
R48 ALCOHOLIC BEVERAGE CONTROL COM	3,427,819	3,110,819	3,408,796	2,946,796	3,408,796	2,946,796		
	(82.00)	(82.00)	(82.00)	(82.00)	(82.00)	(82.00)		
R52 STATE ETHICS COMMISSION	182,446	182,446	158,765	158,765	158,765	158,765		
	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)		
R60 EMPLOYMENT SECURITY COMMISSION	48,069,721	203,814	48,252,193	194,031	48,252,193	194,031		
	(1394.65)		(1089.68)		(1089.68)			
R64 BOARD OF ACCOUNTANCY	267,792	267,792	299,925	299,925	306,716	306,716	6,791	6,791
	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)	(4.00)		
R68 BOARD OF ARCHITECTURAL EXAMINE	176,639	176,639	194,660	194,660	203,139	203,139	8,479	8,479
	(3.35)	(3.35)	(3.75)	(3.75)	(3.75)	(3.75)		
R69 AUCTIONEERS' COMMISSION	108,873	108,873	103,948	103,948	103,948	103,948		
	(3.00)	(3.00)	(2.00)	(2.00)	(2.00)	(2.00)		
R72 BOARD OF BARBER EXAMINERS	146,706	146,706	144,000	144,000	144,000	144,000		
	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00013

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
REGULATORY DIVISION								
R74 STATE ATHLETIC COMMISSION	26,499	26,499	25,227	25,227	25,227	25,227		
R76 CEMETERY BOARD	20,569	20,569	21,902	21,902	19,402	19,402	-2,500	-2,500
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
R80 BOARD OF CHIROPRACTIC EXAMINER	53,170	53,170	58,594	58,594	53,170	53,170	-5,424	-5,424
	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)	(1.00)		
R82 CONTRACTORS LICENSING BD	284,326	284,326	331,901	331,901	331,901	331,901		
	(8.00)	(8.00)	(10.00)	(10.00)	(10.00)	(10.00)		
R84 BOARD OF COSMETOLOGY	379,993	379,993	361,963	361,963	361,963	361,963		
	(11.00)	(11.00)	(11.00)	(11.00)	(11.00)	(11.00)		
R88 BOARD OF DENTISTRY	148,037	148,037	148,105	148,105	148,105	148,105		
	(.25)	(.25)	(2.25)	(2.25)	(2.25)	(2.25)		
R92 BOARD OF ENGINEERS AND LAND SU	279,711	279,711	329,248	329,248	329,248	329,248		
	(6.50)	(6.50)	(6.50)	(6.50)	(6.50)	(6.50)		
R94 BD. OF CERT. OF ENVIR. SYSTEMS	164,026	164,026	164,283	164,283	164,283	164,283		
	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)	(5.00)		
R96 BOARD OF REGISTRATION FOR FORE	14,080	14,080	14,080	14,080	14,080	14,080		
	(.50)	(.50)	(.50)	(.50)	(.50)	(.50)		
R99 BOARD OF FUNERAL SERVICE	63,012	63,012	63,103	63,103	63,103	63,103		
	(1.75)	(1.75)	(1.75)	(1.75)	(1.75)	(1.75)		
S02 BOARD OF REGISTRATION FOR GEOL			22,760	22,760	22,760	22,760		
S04 BOARD OF MEDICAL EXAMINERS	714,523	714,523	714,834	714,834	714,834	714,834		
	(19.22)	(19.22)	(17.04)	(17.04)	(17.04)	(17.04)		
S08 BOARD OF NURSING	595,919	595,919	596,207	596,207	596,207	596,207		
	(18.00)	(18.00)	(18.00)	(18.00)	(18.00)	(18.00)		
S12 BD. OF EX. FOR NURSING HOME AD	52,998	52,998	60,411	60,411	60,411	60,411		
	(1.60)	(1.60)	(1.60)	(1.60)	(1.60)	(1.60)		
S14 BD. OF OCCUPATIONAL THERAPY	2,378	2,378	3,036	3,036	3,036	3,036		
S17 BD. OF EXAM. IN OPTICIANRY	14,272	14,272	14,272	14,272	14,272	14,272		
	(.50)	(.50)	(.50)	(.50)	(.50)	(.50)		
S18 BD. OF EXAM. IN OPTOMETRY	39,001	39,001	39,001	39,001	41,696	41,696	2,695	2,695
	(.50)	(.50)	(.35)	(.35)	(.35)	(.35)		
S20 THE BOARD OF PHARMACY	176,618	176,618	199,507	199,507	199,507	199,507		
	(4.25)	(4.25)	(5.50)	(5.50)	(5.50)	(5.50)		
S24 BOARD OF PHYSICAL THERAPY EXAM	30,785	30,785	38,047	38,047	38,047	38,047		
	(.80)	(.80)	(1.00)	(1.00)	(1.00)	(1.00)		
S28 BOARD OF PODIATRY EXAMINERS	1,044	1,044	1,044	1,044	1,044	1,044		
S30 BOARD OF PROF. COUNSELORS & TH	48,140	48,140	40,140	40,140	40,140	40,140		

EXHIBIT NO. 9
APR 14 1987
STATE BUDGET & CONTROL BOARD

010496

DATE=03/24/87
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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00014

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
REGULATORY DIVISION								
S32 BOARD OF EXAMINERS IN PSYCHOLO	12,901	12,901	12,901	12,901	12,901	12,901		
	(.30)	(.30)	(.30)	(.30)	(.30)	(.30)		
S36 REAL ESTATE COMMISSION	1,158,211	1,158,211	1,374,845	1,374,845	1,421,399	1,421,399	46,554	46,554
	(29.00)	(29.00)	(35.00)	(35.00)	(35.00)	(35.00)		
S40 RESIDENTIAL HOME BUILDERS COMM	471,812	471,812	472,112	472,112	472,112	472,112		
	(11.00)	(11.00)	(11.00)	(11.00)	(11.00)	(11.00)		
S44 BD. OF EX. FOR REGISTERED SANI	7,236	7,236	6,889	6,889	6,889	6,889		
S48 STATE BOARD OF SOCIAL WORK EXA	6,000	6,000	6,000	6,000	6,000	6,000		
S52 BD. OF EX. FOR SPEECH, PATHOLO	13,663	13,663	13,007	13,007	13,007	13,007		
S56 BD. OF VETERINARY MEDICAL EXAM	22,987	22,987	22,987	22,987	22,987	22,987		
	(.73)	(.73)	(.73)	(.73)	(.73)	(.73)		
TOTAL REGULATORY DIVISION	111,670,618	58,975,489	112,863,162	59,846,246	112,915,181	59,898,265	52,019	52,019
	(2995.24)	(1495.78)	(2717.45)	(1521.96)	(2717.45)	(1521.96)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00015

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
TRANSPORTATION DIVISION								
U04 AERONAUTICS COMMISSION	3,748,247 (42.00)	3,241,963 (42.00)	2,685,671 (42.00)	2,404,501 (42.00)	2,685,671 (42.00)	2,404,501 (42.00)		
TOTAL TRANSPORTATION DIVISION	3,748,247 (42.00)	3,241,963 (42.00)	2,685,671 (42.00)	2,404,501 (42.00)	2,685,671 (42.00)	2,404,501 (42.00)		

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00016

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
DEBT SERVICE								
V04 DEBT SERVICE	107,578,483	107,578,483	106,618,283	106,618,283	106,618,283	106,618,283		
TOTAL DEBT SERVICE	107,578,483	107,578,483	106,618,283	106,618,283	106,618,283	106,618,283		

EXHIBIT
APR 14 1987 NO. 9
STATE BUDGET & CONTROL BOARD

010499

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APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

PROG=AAP171CR
CONTROL=00017

AGY NO.	1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
MISCELLANEOUS DIVISION								
W90 DUES AND CONTRIBUTIONS	125,000	125,000						
X22 AID TO SUBDIVISIONS	179,992,876	179,992,876	207,438,337	207,438,337	206,688,337	206,688,337	-750,000	-750,000
TOTAL MISCELLANEOUS DIVISION	180,117,876	180,117,876	207,438,337	207,438,337	206,688,337	206,688,337	-750,000	-750,000

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PROG=AAP171CR
CONTROL=00018

APPROPRIATIONS BY FUNCTIONAL AREA
1987-1988

AGY NO.		1986-87 APPROP TOTAL FUNDS	1986-87 APPROP STATE FUNDS	1987-88 WAYS & MEANS BILL TOTAL FUNDS	1987-88 WAYS & MEANS BILL STATE FUNDS	1987-88 HOUSE BILL TOTAL FUNDS	1987-88 HOUSE BILL STATE FUNDS	CHANGES TOTAL FUNDS	CHANGES STATE FUNDS
	HIGHWAY DEPARTMENT								
X50	DEPT. OF HIGHWAYS & PUBLIC TRA	528,953,804 (6818.50)	1,303,288 (4.25)	562,118,105 (7147.50)	1,240,730 (2.72)	561,986,105 (7147.50)	1,108,730 (2.72)	-132,000	-132,000
	TOTAL HIGHWAY DEPARTMENT	528,953,804 (6818.50)	1,303,288 (4.25)	562,118,105 (7147.50)	1,240,730 (2.72)	561,986,105 (7147.50)	1,108,730 (2.72)	-132,000	-132,000
	STATE TOTALS	5,690,117,258 (66062.70)		5,882,810,942 (66992.84)		5,875,860,641 (66984.84)		-6,950,301 (-8.00)	
			2,783,312,395 (39038.15)		2,845,470,432 (39514.79)		2,836,599,390 (39513.79)		-8,871,042 (-1.00)

010501

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

SECTION 128
ESTIMATE OF GENERAL, SCHOOL, HIGHWAY
AND EDUCATION IMPROVEMENT ACT REVENUES
FISCAL YEAR 1987-88

	BOARD OF ECONOMIC ADVISORS ESTIMATE FY 1987-88 NOVEMBER 1, 1986	BUDGET AND CONTROL BOARD ESTIMATE FY 1987-88 NOVEMBER 26, 1986	WAYS AND MEANS COMMITTEE ESTIMATE FY 1987-88 MARCH 5, 1987	HOUSE OF REPRESENTATIVES ESTIMATE FY 1987-88	WAYS & MEANS ADDITIONS/ REDUCTIONS B & C ESTIMATED REVENUE	HOUSE OF REP. ADDITIONS/ REDUCTIONS W & M ESTIMATED REVENUE	HOUSE OF REP. ADDITIONS/ REDUCTIONS B & C ESTIMATED REVENUE
REGULAR SOURCES:							
RETAIL SALES TAX	$1,013,000,000	$1,015,500,000	$1,015,695,400	$1,013,440,000	$195,400	($2,255,400)	($2,060,000)
INCOME TAX:							
IND INCOME TAX	$1,066,000,000	$1,068,675,000	$1,063,825,000	$1,063,825,000	($4,850,000)	$0	($4,850,000)
CORP INCOME TAX	$213,000,000	$215,500,000	$208,800,000	$208,800,000	($6,700,000)	$0	($6,700,000)
TOTAL INCOME AND SALES	$2,292,000,000	$2,299,675,000	$2,288,320,400	$2,286,065,000	($11,354,600)	($2,255,400)	($13,610,000)
ALL OTHER REVENUE							
ADMISSION TAX	$7,000,000	$7,000,000	$7,000,000	$7,000,000	$0	$0	$0
AIRCRAFT TAX	$585,000	$585,000	$585,000	$585,000	$0	$0	$0
ALCOHOLIC LIQ TAX	$48,598,500	$48,598,500	$66,133,377	$66,133,377	$17,534,877	$0	$17,534,877
BANK TAX	$6,700,000	$6,700,000	$6,700,000	$6,700,000	$0	$0	$0
BEER AND WINE TAX	$67,600,000	$67,600,000	$68,700,000	$68,850,000	$1,100,000	$150,000	$1,250,000
BUSINESS LICENSE TAX	$30,900,000	$30,900,000	$43,700,000	$30,900,000	$12,800,000	($12,800,000)	$0
CABLE TELEVIS FEE	$700	$700	$700	$700	$0	$0	$0
COIN OPER DEV TAX	$7,700,000	$7,700,000	$11,141,610	$11,145,610	$3,441,610	$4,000	$3,445,610
COM HCLR WSTE TAX	$6,125,000	$6,125,000	$8,125,000	$8,725,000	$2,000,000	$600,000	$2,600,000
CONTRACTOR LIC TX	$940,000	$940,000	$940,000	$940,000	$0	$0	$0
CORPORATE LIC TAX	$32,500,000	$32,500,000	$33,150,000	$33,150,000	$650,000	$0	$650,000
DEPT OF AGRIC	$6,011,200	$6,011,200	$6,011,200	$6,011,200	$0	$0	$0
DEPT SUPP APPROP	$23,579,852	$23,579,852	$28,592,347	$28,592,347	$5,012,495	$0	$5,012,495
DOCUMENTARY TAX	$16,000,000	$16,000,000	$20,600,000	$20,600,000	$4,600,000	$0	$4,600,000
EARNED ON INVEST	$45,050,000	$45,050,000	$45,090,000	$45,090,000	$40,000	$0	$40,000
ELECTRIC POWER TX	$15,150,000	$15,150,000	$15,150,000	$15,150,000	$0	$0	$0
ESTATE TAX	$25,600,000	$25,600,000	$25,600,000	$25,600,000	$0	$0	$0
FERTILIZER TAX	$220,000	$220,000	$220,000	$220,000	$0	$0	$0
GAS TAX-COUNTIES	$17,800,000	$17,800,000	$17,800,000	$17,800,000	$0	$0	$0
GIFT TAX	$3,775,000	$3,775,000	$3,775,000	$3,775,000	$0	$0	$0
INSURANCE TAX	$74,914,485	$74,914,485	$74,964,485	$78,164,485	$50,000	$3,200,000	$3,250,000
MOTOR TRANSP FEE	$5,207,877	$5,207,877	$5,364,877	$5,364,877	$157,000	$0	$157,000
PRIV CAR LINES TX	$1,300,000	$1,300,000	$1,300,000	$1,300,000	$0	$0	$0
PUBLIC SER ASSMT	$3,852,206	$3,852,206	$4,116,651	$4,116,651	$264,445	$0	$264,445
PUBLIC SER AUTHOR	$2,000,000	$2,000,000	$4,000,000	$4,000,000	$2,000,000	$0	$2,000,000
RADIOACTIVE WASTE SURCHARGE	$4,000,000	$4,000,000	$4,000,000	$4,000,000	$0	$0	$0
RETAILERS LIC TX	$1,360,000	$1,360,000	$1,360,000	$1,360,000	$0	$0	$0
S & L ASSOC TAX	$100,000	$100,000	$100,000	$100,000	$0	$0	$0
SOFT DRINKS TAX	$20,850,000	$20,850,000	$20,850,000	$22,650,000	$0	$1,800,000	$1,800,000
WORK COMP INS TAX	$10,595,000	$10,595,000	$10,595,000	$10,595,000	$0	$0	$0
TOTAL ALL OTHER REVENUE	$486,014,820	$486,014,820	$535,665,247	$528,619,247	$49,650,427	($7,046,000)	$42,604,427
TOTAL REGULAR SOURCES	$2,778,014,820	$2,785,689,820	$2,823,985,647	$2,814,684,247	$38,295,827	($9,301,400)	$28,994,427

040502

MISCELLANEOUS SOURCES:							
CIR & FAM CT FINE	$1,875,000	$1,875,000	$1,875,000	$1,875,000	$0	$0	$0
DEBT SERVICE TRAN	$13,409,972	$13,409,972	$13,409,972	$13,409,972	$0	$0	$0
HOUSING AUTH REIM	$443,033	$443,033	$443,033	$443,033	$0	$0	$0
INDIRECT COST REC	$16,500,000	$16,500,000	$16,525,000	$16,525,000	$25,000	$0	$25,000
MENTAL HEALTH FEE	$3,800,000	$3,800,000	$3,800,000	$3,800,000	$0	$0	$0
PROB & PAROLE FEE	$2,600,000	$2,600,000	$2,600,000	$2,600,000	$0	$0	$0
UNCL PROP FD TRAN	$4,025,000	$4,025,000	$4,025,000	$4,025,000	$0	$0	$0
WSTE TRT LN REPAY	$334,052	$334,052	$334,052	$334,052	$0	$0	$0
NONRECURRING REVENUE			$4,000,000	$4,000,000	$4,000,000	$0	$4,000,000
TOTAL MISCELLANEOUS SOURCES	$42,987,057	$42,987,057	$47,012,057	$47,012,057	$4,025,000	$0	$4,025,000
TOTAL REGULAR & MISCELLANEOUS REVENUE	$2,821,001,877	$2,828,676,877	$2,870,997,704	$2,861,696,304	$42,320,827	($9,301,400)	$33,019,427
LESS:							
RESERVE FUND TRANSFERS		($25,092,881)	($25,092,881)	($25,092,881)	$0	$0	$0
BEA REVENUE CAP-SECTION 11-9-880			($327,337)	$0	($327,337)	$327,337	$0
TOTAL ALL SOURCES OF REVENUE		$2,803,583,996	$2,845,577,486	$2,836,603,423	$41,993,490	($8,974,063)	$33,019,427
TOTAL HIGHWAY REVENUE		$339,119,000	$339,119,000	$339,119,000	$0	$0	$0
EDUCATION IMPROVEMENT FUND							
1% RETAIL SALES TAX		$253,250,000	$253,923,850	$253,923,850	$673,850	$0	$673,850
EARNED ON INVESTMENTS		$1,800,000	$1,800,000	$1,800,000	$0	$0	$0
TOTAL EDUCATION IMPROVEMENT FUND		$255,050,000	$255,723,850	$255,723,850	$673,850	$0	$673,850
TOTAL GEN,SCHOOL,HIGHWAY, & E.I.A. REVENUE		$3,397,752,996	$3,440,420,336	$3,431,446,273	$42,667,340	($8,974,063)	$33,693,277

EXHIBIT

APR 14 1987 NO. 9

STATE BUDGET & CONTROL BOARD

010503

STATE BUDGET DIVISION

ANALYSIS OF THE

1987-88 GENERAL APPROPRIATIONS BILL

PART III

1986-87 Total Appropriation	$ 18,655,560
1987-88 Appropriation	
B & C Board Recommended Adjustments	$ 0
Total B & C Board Recommendation	$ 0
Ways & Means Committee Adjustments	$ 0
Total Ways & Means Committee Adjustments	$ 0
House Recommended Adjustments	$ 0
Total 1987-88 Part III Appropriation	$ 0

Prepared
March 24, 1987

010504

EXHIBIT
APR 14 1987 NO. 9
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 9
STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 10

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 10

AGENCY: Joint Legislative Committee on Cultural Affairs

SUBJECT: Study of Old Exchange Building Commission

The Joint Legislative Committee on Cultural Affairs has met with the Old Exchange Building Commission and proposes to conduct a study of the Commission to determine a possible course of action for the Commission including the desirability of merging the Commission with another existing State agency.

The study will include:

1. determining of agency's mission;

2. drafting plans for a collections/exhibit policy and marketing strategy;

3. determining feasibility of assumption of some administrative functions by a larger State agency in the Charleston area;

4. examining enabling legislation to determine if changes in membership structure are necessary;

5. contacting Senate members to add $5,000 to the recurring budget and $20,000 in non-recurring funds during FY 1987-88.

BOARD ACTION REQUESTED:

Receive as information a report that the Joint Legislative Committee on Cultural Affairs will conduct a study of the Old Exchange Building Commission to determine a possible course of action for the Commission.

ATTACHMENTS:

Conaty-Buck March 20 letter to Coussons

010505

APR - 1 1987

Joint Legislative Committee on Cultural Affairs

HOUSE MEMBERS:
HARRIET H. KEYSERLING, *Chairman*
JOHN H. BURRISS
JEAN L. HARRIS
TIMOTHY F. ROGERS
SARA V. SHELTON

SENATE MEMBERS:
JOHN C. LAND, III, *Vice Chairman*
SAM APPLEGATE
JOHN E. COURSON
JOHN C. HAYES, III
NELL W. SMITH

State of South Carolina

HARRIET H. KEYSERLING
House of Representatives
Chairman

SUSAN CONATY-BUCK
Director of Research

220 BLATT BUILDING
P.O. BOX 11867
COLUMBIA, S.C. 29211
(803) 734-3145

EXHIBIT

APR 14 1987 NO. 10

STATE BUDGET & CONTROL BOARD

March 30, 1987

RECEIVED
MAR 31 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

Capt. J.S. Coussons, USNR (Ret)
The Citadel
Charleston, SC 29409

Dear John:

Thank you for an informative meeting regarding the activities of the Old Exchange Building Commission last week. After hearing your presentation on the Commission, I believe that the study to be conducted by the Joint Legislative Committee on Cultural Affairs should take place in the following manner:

1) Those involved with the study should meet with you and your fellow Commissioners on May 5, 1987 when you meet in Columbia. I would like you to make some time on your agenda for us to discuss with all of the Commissioners what they feel is the mission of your agency. The determination of this is very important if those consulting you are to give you the best advice.

2) Dr. Overton Ganong of the S.C. Museum Commission and Mr. Fred Brinkman of the S.C. Dept. of Parks, Recreation and Tourism will assign staff members of their agencies to work with the Old Exchange Commission staff on draft plans for a collections/ exhibit policy and marketing strategy. I suspect that this consultation will require at least one on-site visit to the Old Exchange Building.

3) I will inquire into the feasibility of having a larger state agency in the Charleston area assume some of the admistrative functions of the Commission as discussed at our meeting.

4) The members of the Joint Legislative Committee on Cultural Affairs will examine the Old Exchange Building Commissions' enabling legislation to determine if changes in the Commissions' membership structure are necessary.

010506

5) I will contact the Senate members of the Joint Legislative Committee on Cultural Affairs regarding the need to add $5,000 to your recurring budget and $20,000 in non-recurring funds during FY: 1987-88 . I would, however, strongly suggest that you request a Senate Finance sub-committee hearing for this purpose to acquaint the members of the sub-committee with your request and your agency's needs.

When these steps have been taken and a draft plan formulated, another meeting of the Old Exchange Building Commission will be necessary for the Commissioners to discuss a suggested course of action. At that time we will be able to logically discuss the desirability of the Old Exchange Commission merging with another existing state agency, if this does appear to be necessary.

As you know, the S.C. Budget and Control Board has requested that this study be finished by August 1987 so that if we do determine that a merger with another agency is desirable, there will be sufficient time in the State budgetary cycle to plan for this. This deadline will require that actual work on the study begin as soon as possible after your May meeting, although there are some things will we be able to do prior to that time.

Please contact me if you feel that there are other areas that we should cover during the course of the study, or other persons you feel should be involved. I look forward to working with you and your fellow Commissioners, and will be in contact with you again in the very near future.

Sincerely,

Susan

Susan Conaty-Buck
Director of Research

cc: Rep. Harriet Keyserling
Dr. Jesse Coles
Dr. Overton Ganong
Mr. Fred Brinkman
Ms. Mary Denis Clark
Mr. Aaron Krute
Mr. Victor Brandt
Mrs. Louise Burgdorf
Ms. Marie Pelzer

EXHIBIT

APR 14 1987 NO. 10

STATE BUDGET & CONTROL BOARD

010507

EXHIBIT

APR 14 1987 NO. 11

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 11

AGENCY: Executive Director

SUBJECT: Temporary Withdrawal of Regulation Document #671 (Fire Extinguishers)

In response to the request of Chairman J. Verne Smith of the Senate Medical Affairs Committee, staff asked the presiding officers of the Senate and the House that Regulation Document #671, relating to Portable and Fixed Station Fire Extinguishers, be withdrawn temporarily.

The correspondence is attached.

BOARD ACTION REQUESTED:

Receive as information the staff report that Regulation Document #671 relating to fire extinguishers had been withdrawn temporarily in response to the request of the Senate Medical Affairs Committee.

ATTACHMENTS:

Coles April 3 letter to Speaker Sheheen and President Theodore; Smith April 2 letter to Fire Marshal Campbell.

010508

Medical Affairs Committee

J. VERNE SMITH
CHAIRMAN

CLAIRE H. PRINCE
DIRECTOR OF RESEARCH

CAROLYN K. RICHARDSON
ADMINISTRATIVE ASSISTANT

STATE SENATE
P.O. BOX 142
COLUMBIA, SOUTH CAROLINA 29202
803-734-2792

EXHIBIT

APR 14 1987 NO. 11

STATE BUDGET & CONTROL BOARD

Hand Delivered

April 2, 1987

RECEIVED
APR 02 1987
DIVISION OF
STATE FIRE MARSHAL

Mr. Richard S. Campbell, P.E.
Division of State Fire Marshal
S.C. Budget and Control Board
800 Dutch Square Boulevard, Suite 201
Columbia, South Carolina 29210

Dear Mr. Campbell:

Pursuant to Act 176 of 1977, as amended, the Senate Medical Affairs Committee respectfully requests that your office temporarily withdraw Regulation Number 671, Subarticle 8, relating to Portable and Fixed Station Fire Extinguishers. This request is made based upon the recommendation of the Medical Affairs Subcommittee on R.671 chaired by Senator Tom Moore.

As the expiration date on this regulation is April 6, 1987, we would appreciate your prompt attention to this request, and ask that you deliver your request for withdrawal to the Speaker of the House and the President of the Senate by Friday, April 3rd.

Thank you for your cooperation.

Sincerely,

J. Verne Smith

J. Verne Smith, Chairman

JVS/chp

cc: Senator Tom Moore
Senator Sam Applegate
Ms. Lynn Bartlett
Mr. David Cullum
Ms. Lorene Arledge

010509

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

Box 12444
Columbia
29211

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

April 3, 1987

The Honorable Nick A. Theodore
President, The Senate
The State House
Columbia, SC 29201

Dear Mr. President:

By letter dated April 2, 1987, a copy of which is enclosed for your information, the Board was notified that the Senate Medical Affairs Committee asked that Regulation Document #671, Subarticle 8, relating to Portable and Fixed Station Fire Extinguishers, be withdrawn temporarily.

By copy of this letter, I am respectfully requesting that Regulation Document #671 be withdrawn temporarily in compliance with the notification of the Senate Medical Affairs Committee and the Administrative Procedures Act.

Sincerely,

Jesse A. Coles, Jr., Ph.D.

JACj:m

Enclosure
cc: The Honorable J. Verne Smith
Ms. Lynn Bartlett, Legislative Council

010510

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

April 3, 1987

EXHIBIT
APR 14 1987 NO. 11
STATE BUDGET & CONTROL BOARD

The Honorable Robert J. Sheheen
Speaker of the House
506 Blatt Building
Columbia, SC 29201

Dear Mr. Speaker:

By letter dated April 2, 1987, a copy of which is enclosed for your information, the Board was notified that the Senate Medical Affairs Committee asked that Regulation Document #671, Subarticle 8, relating to Portable and Fixed Station Fire Extinguishers, be withdrawn temporarily.

By copy of this letter, I am respectfully requesting that Regulation Document #671 be withdrawn temporarily in compliance with the notification of the Senate Medical Affairs Committee and the Administrative Procedures Act.

Sincerely,

Jesse A. Coles, Jr., Ph.D.

JACj:m

Enclosure
cc: The Honorable J. Verne Smith
Ms. Lynn Bartlett, Legislative Council

EXHIBIT
APR 14 1987 NO. 11
STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD | BLUE AGENDA

MEETING OF April 14, 1987 | ITEM NUMBER 11

AGENCY: Executive Director

SUBJECT: Temporary Withdrawal of Regulation Document #671 (Fire Extinguishers)

In response to the request of Chairman J. Verne Smith of the Senate Medical Affairs Committee, staff asked the presiding officers of the Senate and the House that Regulation Document #671, relating to Portable and Fixed Station Fire Extinguishers, be withdrawn temporarily.

The correspondence is attached.

BOARD ACTION REQUESTED:

Receive as information the staff report that Regulation Document #671 relating to fire extinguishers had been withdrawn temporarily in response to the request of the Senate Medical Affairs Committee.

ATTACHMENTS:

Coles April 3 letter to Speaker Sheheen and President Theodore; Smith April 2 letter to Fire Marshal Campbell.

010512

EXHIBIT

APR 14 1987 NO. 12

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 12

AGENCY: College of Charleston

SUBJECT: Foreign Travel

The College of Charleston has requested that the Board cancel the request for approval of the travel of Professor M. Alpha Bah to London and Paris.

Professor Bah has been awarded a fellowship by the University of Florida's Institute for African Studies and this award will require a commitment of time that will conflict with the scheduled trip to London and Paris.

Controller Bolchoz points out that this decision to cancel the request was made before the Board's action at the March 24 meeting to carry over consideration of the request.

BOARD ACTION REQUESTED:

Receive as information a report that the College of Charleston has withdrawn its request for approval of the travel of Professor M. Alpha Bah to London and Paris due to a schedule conflict.

ATTACHMENTS:

Bolchoz March 26 letter to McInnis

010513

MAR 3 0 1987

College of Charleston

Charleston, South Carolina 29424

EXHIBIT

APR 1 4 1987 NO. 1 2

STATE BUDGET & CONTROL BOARD

March 26, 1987

Mr. William McInnis
Deputy Executive Director
SC Budget & Control Board
618 Wade Hampton Office Building
PO Box 12444
Columbia, SC 29211

Dear Bill

I respectfully request that you cancel our College of Charleston foreign travel request to London and Paris for Professor M. Alpha Bah. I have been informed by his department chairman that Professor Bah has been awarded a fellowship by the University of Florida's Institute for African Studies. This award will require a commitment of time by Dr. Bah which would conflict with his scheduled trip to London and Paris.

As a note to this letter, I wish to advise you that this decision to cancel our request was made prior to any action of the Budget & Control and based solely on the conflict in scheduling.

Sincerely

Joseph E. Bolchoz, Jr.
Controller

JEB,JR/map

010514

EXHIBIT

APR 14 1987 NO. 13

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD BLUE AGENDA

MEETING OF April 14, 1987 ITEM NUMBER 13

AGENCY: Executive Director

SUBJECT: Interviewee Travel Expense Reimbursement

Please refer to the attached report for details on payments of interviewee travel expenses by the following agencies:

Agency	Number	Estimated Cost
(a) Francis Marion College	3	850.72
(b) College of Charleston	12	1,369.85
(c) Parole and Community Corrections	3	1,090.84
(d) Mental Health	5	2,425.00
(e) Clemson University	16	4,493.45

BOARD ACTION REQUESTED:

Receive as information reports on the reimbursement of interviewee travel expenses by Francis Marion College (3) and College of Charleston (12), Parole and Community Corrections (3), Mental Health (5), and Clemson University (16).

ATTACHMENTS:

Referenced report

010515

INTERVIEWEE TRAVEL EXPENSE REIMBURSEMENT PAYMENTS

Agency	Period	Total Number	Total Cost	Number of Payments			
				$100 or Less	$101 to $300	$301 to $500	$501 and Over
Francis Marion College	February	3	850.72	2	0	0	1
College of Charleston	Feb-March	12	1,369.85	7	5	0	0
Parole & Community Corr.	March	3	1,090.84	2	0	0	1
Mental Health	March-April	5	2,425.00	0	0	4	1
Clemson University (3 do not show amount reimbursed)	March 86 - March 87	16	4,493.45	16	4	3	2
Total		39	10,229.86	27	9	7	5

EXHIBIT
APR 14 1987 NO. 13
STATE BUDGET & CONTROL BOARD

010516

MAR 2 3 1987

Office
VPAA
VPAF
VPCA
VPDCR
D.Athletics
D.Library
MM

FRANCIS MARION COLLEGE

BOX F7500, FLORENCE, SOUTH CAROLINA 29501-0547 (803) 661-1281

Office of the Vice President
for Academic Affairs and
Dean of the College

February 2, 1987

TO: President Thomas C. Stanton

FROM: William C. Moran

Your approval is requested to invite Dr. Ed R. Wheeler to campus for an interview for the position of Assistant Professor of Mathematics and to pay his travel expenses. The significance of this position is such that it warrants the costs of an interview. Further, participation of a number of Francis Marion College persons is vital to this particular interview; therefore, the costs of bringing Dr. Wheeler to campus would be far less than would be the expense of conducting the interview at his home area or elsewhere. As is our usual policy, candidates residing within South Carolina were considered before candidates from other states were sought.

Estimated Costs:

Travel------	$ 276.00
Food--------	$ 18.00
Lodging-----	$ 40.00
TOTAL	$ 334.00

EXHIBIT

APR 1 4 1987 NO. 13

STATE BUDGET & CONTROL BOARD

William C. Moran

William C. Moran
Dean of the College

WCM:rbd

Initial Approval: Thomas C Stanton
Date: 2/3/87

Actual Costs:

Budget to Charge: 1-305-E3300-0000
(Academic Admin.
Non-State Employee)

Travel---------	$
Food----------	$
Lodging--------	$ 29.96
TOTAL	$ 29.96

010517

APPROVAL RECOMMENDED William C Moran
Date: 3-9-87

APPROVED: Thomas C Stanton
Date: 3/19/87

FEB 03 1987 MAR 10 1987

N.L. Frederick 3/19/87

FRANCIS MARION COLLEGE

BOX F7500, FLORENCE, SOUTH CAROLINA 29501-0056 / (803) 661-1281

Act Info

PRES	✓	
VPAA		✓
VPFS		
VPDA		
VPUCR		
D.Athletics		
D.Library		

Office of the Vice President
for Academic Affairs and
Dean of the College

February 2, 1987

TO: President Thomas C. Stanton

FROM: William C. Moran

Your approval is requested to invite Ms. Sharon M. Strickland to campus for an interview for the position of Temporary Instructor of Chemistry and to pay her travel expenses. The significance of this position is such that it warrants the costs of an interview. Further, participation of a number of Francis Marion College persons is vital to this particular interview; therefore, the costs of bringing Ms. Strickland to campus would be far less than would be the expense of conducting the interview at her home area or elsewhere.

EXHIBIT

APR 14 1987 NO. 13

STATE BUDGET & CONTROL BOARD

Estimated Costs:

Travel------$ 40.00
Food--------$ 18.00
Lodging-----$ 40.00

TOTAL $ 98.00

William C. Moran
Dean of the College

WCM:rbd

Initial Approval: Thomas C. Stanton
Date: 2/3/87

Actual Costs:

Budget to Charge: 1-305-E3300-0000
(Academic Admin.
Non-State Employee)

Travel---------$ 35.50
Food-----------$
Lodging--------$

TOTAL $ 35.50

APPROVAL RECOMMENDED William C. Moran
Date: 3-5-87

APPROVED: Thomas C. Stanton
Date: 3/6/87

P.C. Vice Pres 3/9/87

FEB 03 1987 MAR - 3 1987

010518

FRANCIS MARION COLLEGE

Act Info

BOX F7500, FLORENCE, SOUTH CAROLINA 29501-0056 / (803) 661-1281

Office of the Vice President
for Academic Affairs and
Dean of the College

February 10, 1987

TO: President Thomas C. Stanton

FROM: William C. Moran

Your approval is requested to invite Dr. Thomas R. Hamilton to campus for an interview for the position of Assistant/Associate Professor of Business Administration (Finance) and to pay his travel expenses. The significance of this position is such that it warrants the costs of an interview. Further, participation of a number of Francis Marion College persons is vital to this particular interview; therefore, the costs of bringing Dr. Hamilton to campus would be far less than would be the expense of conducting the interview at his home area or elsewhere. As is our usual policy, candidates residing within South Carolina were considered before candidates from other states were sought.

Estimated Costs:

Travel------	$ 810.00
Food--------	$ 18.00
Lodging-----	$ 80.00
TOTAL	$ 908.00

William C. Moran
Dean of the College

WCM:rbd

Initial Approval: Thomas C. Stanton
Date: 2/15/87

FEB 11 1987

Actual Costs:

Budget to Charge: 1-305-E3300-0000
(Academic Admin.
Non-State Employee)

Travel---------	$ 726.00
Food----------	$
Lodging--------	$ 59.26
TOTAL	$ 785.26

010519

APPROVAL RECOMMENDED William C. Moran

Date: 3-5-87 V.P. Academic Affairs 3/9/87

APPROVED: Thomas C. Stanton

Date: 3/6/87

MAR 03 1987

MAR 3 1 1987

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Michael Kinsey

City Address: Kutztown, PA

Position to be Filled: Faculty - Languages

Travel Dates: From March 14 To March 17, 1987

Total Travel Reimbursement: Days Inn Hotel # 147.66

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M Lightsey Jr
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010520

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Norris Harrington

City Address: Charleston, S.C.

Position to be Filled: Faculty - Math Dept

Travel Dates: From Feb 27 To March 1, 1977

Total Travel Reimbursement: Seven Hundred Eight Eight

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: Harry M. Lightsey, Jr.

Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

010521

FOUNDED 1770

MAR 2 7 1987

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

EXHIBIT

APR 1 4 1987 NO. 13

STATE BUDGET & CONTROL BOARD

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Daniel Lewis

City Address: Ithaca, NY

Position to be Filled: Faculty - Math Dept

Travel Dates: From Feb 19 To Feb 23, 1987

Total Travel Reimbursement: France Merrick Hotel $175.48

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M. Lightsey, Jr.
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010522

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Jenara Duro

City Address: Miami, Fla

Position to be Filled: Faculty - Languages

Travel Dates: From Feb 21 To Feb 23, 1987

Total Travel Reimbursement: Francis Marion Hotel $ 98.44

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M. Lightsey, Jr.

Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010523

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Keita Bjerman

City Address: Columbus, Ga

Position to be Filled: Faculty - English Dept.

Travel Dates: From Feb 19 To Feb. 22, 1981

Total Travel Reimbursement: Francis Marion Hotel $147.66

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M. Lightsey, Jr.
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010524

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Jozef Modzelewski

City Address: New York City

Position to be Filled: Faculty - Foreign Lang. Dept.

Travel Dates: From Feb 19 To Feb 21, 1987

Total Travel Reimbursement: Francis Marion Hotel $98.44

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: Harry M. Lightsey, Jr.
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010525

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Susan Conner

City Address: Atlanta, Ga.

Position to be Filled: Faculty - History Dept.

Travel Dates: From Feb 18 To Feb 20, 1987

Total Travel Reimbursement: Francis Marion Hotel $94.44

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: Harry M. Lightsey Jr.

Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010526

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Nicholas Humphrey

City Address: Gainesville, Fla.

Position to be Filled: Faculty - Language Dept.

Travel Dates: From Jul 21 To Jul. 23, 1987

Total Travel Reimbursement: Francis Marion Hotel $99.44

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M. Lightsey, Jr.
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010527

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Cary Reedy

City Address: Davie NC

Position to be Filled: Library - History Dept

Travel Dates: From Dec. 21 To Dec. 24, 1987

Total Travel Reimbursement: Overnight Mileage $13.49

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: [signature]
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

010528

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: John Kelly

City Address: Raleigh NC

Position to be Filled: Faculty - Language

Travel Dates: From Feb 28 To March 3, 1987

Total Travel Reimbursement: Francis Marion Hotel $47.66

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M. Lightsey, Jr.

Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

010529

FOUNDED 1770

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: William Olejniczak

City Address: Erie, PA

Position to be Filled: Faculty - History Dept

Travel Dates: From Feb. 28 To March 3, 1987

Total Travel Reimbursement: Francis Marion Hotel $147.66

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits' home town.

Approved By: Harry M. Lightsey, Jr.

Harry M. Lightsey, Jr.
President

Original: Account Payable
Y: Budget and Control Board

FOUNDED 1770

010530

THE COLLEGE OF CHARLESTON

CHARLESTON, SOUTH CAROLINA 29401

EXHIBIT

APR 14 1987 NO. 13

STATE BUDGET & CONTROL BOARD

Office of the President

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

Name of Recruit: Herbert Espinoza

City Address: Plattsburgh, NY

Position to be Filled: Faculty - Languages

Travel Dates: From Feb. 28 To March 2, 1987

Total Travel Reimbursement: Francis Marion Hotel $ 98.44

Significance of position warrants such costs and the payment is more cost efficient than sending represenatives to the recruits'home town.

Approved By: [signature]
Harry M. Lightsey, Jr.
President

Original: Account Payable
Copy: Budget and Control Board

FOUNDED 1770

010531

APR - 3 1987

South Carolina Department of Parole and Community Corrections

HON. H. L. LACKEY
CHAIRMAN
MEMBER AT LARGE

HON. LEE R. CATHCART
SECRETARY
DISTRICT FIVE

HON. JOHN E. HUSS, D.D
DISTRICT ONE

HON. DR. JERRY M. NEAL
DISTRICT THREE

HON. RHETT JACKSON
VICE CHAIRMAN
DISTRICT TWO

HON. MARION BEASLEY
DISTRICT FOUR

HON. WALTER N. LAWSON
DISTRICT SIX

THOMAS J. CLEARY
INTERIM EXECUTIVE DIRECTOR

GRADY A. WALLACE
COMMISSIONER

ADDRESS: 2221 DEVINE STREET
P.O. BOX 50666
COLUMBIA, SC 29250

RECEIVED
APR 3 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

EXHIBIT
APR 14 1987 NO. 13
STATE BUDGET & CONTROL BOARD

March 31, 1987

Dr. Jesse A. Coles, Jr.
Executive Director
Budget and Control Board
612 Wade Hampton Building
PO Box 12444
Columbia, SC 29211

Dear Dr. Coles:

This letter serves as notification to the Budget and Control Board, that it was determined by the Board of the S.C. Department of Parole and Community Corrections that it was more cost effective to bring the following individuals (all non-State employees) to Columbia, South Carolina to be interviewed for the position of Executive Director of the Department of Parole and Community Corrections:

- Thomas Neil	Conyers, GA	Interviewed 3/5	$ 98.64
- Ronald Jackson	Houston, TX	Interviewed 3/5 & 3/19	$ 896.00
- Dorothy Manigault	Greenville, SC	Interviewed 3/5 & 3/19	$ 96.20

If additional information is desired, please advise.

Sincerely,

H. L. Lackey

H.L. Lackey, Chairman
S.C. Department of Parole
and Community Corrections

HL/kd

010532

South Carolina Department of Parole and Community Corrections

HON. H. L. LACKEY
CHAIRMAN
MEMBER AT LARGE

HON. LEE R. CATHCART
SECRETARY
DISTRICT FIVE

HON. JOHN E. HUSS, D.D
DISTRICT ONE

HON. DR. JERRY M. NEAL
DISTRICT THREE

HON. RHETT JACKSON
VICE CHAIRMAN
DISTRICT TWO

HON. MARION BEASLEY
DISTRICT FOUR

HON. WALTER N. LAWSON
DISTRICT SIX

THOMAS J. CLEARY
INTERIM EXECUTIVE DIRECTOR

GRADY A. WALLACE
COMMISSIONER

ADDRESS: 2221 DEVINE STREET
P.O. BOX 50666
COLUMBIA, SC 29250

RECEIVED
MAR 25 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

EXHIBIT
APR 14 1987 NO. 13
STATE BUDGET & CONTROL BOARD

March 20, 1987

Dr. Jesse A. Coles Jr., Executive Director
Budget and Control Board
612 Wade Hampton Office Building
Post Office Box 12444
Columbia, SC 29211

Dear Dr. Coles:

This request for reimbursement is submitted on behalf of the South Carolina Parole and Community Corrections Board. The individual being reimbursed for mileage and meals traveled to Columbia for an interview for the position of Executive Director for the S.C. Department of Parole and Community Corrections. This arrangement was more cost effective than the Board traveling to interview this candidate.

Please feel free to contact me if you have questions concerning this matter.

Sincerely,

H.L. Lackey, Chariman
S.C. Parole and Community Corrections Board

HLL/r

010533

South Carolina Department of Parole and Community Corrections

HON. H. L. LACKEY
CHAIRMAN
MEMBER AT LARGE

HON. LEE R. CATHCART
SECRETARY
DISTRICT FIVE

HON. JOHN E. HUSS, D.D
DISTRICT ONE

HON. DR. JERRY M. NEAL
DISTRICT THREE

THOMAS J. CLEARY
INTERIM EXECUTIVE DIRECTOR

GRADY A. WALLACE
COMMISSIONER

HON. RHETT JACKSON
VICE CHAIRMAN
DISTRICT TWO

HON. MARION BEASLEY
DISTRICT FOUR

HON. WALTER N. LAWSON
DISTRICT SIX

ADDRESS: 2221 DEVINE STREET
P.O. BOX 50666
COLUMBIA, SC 29250

RECEIVED
MAR 11 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

March 9, 1987

Dr. Jesse A. Coles, Jr., Executive Director
Budget and Control Board
612 Wade Hampton Office Building
Post Office Box 12444
Columbia, SC 29211

Dear Dr. Coles:

This request for reimbursement is submitted on behalf of the South Carolina Parole and Community Corrections Board. The individual being reimbursed for mileage and meals traveled to Columbia for an interview for the position of Executive Director for the S.C. Department of Parole and Community Corrections. This arrangement was more cost effective than the Board traveling to Greenville County to interview this candidate.

Please feel free to contact me is you have questions concerning this matter.

Sincerely,

H. L. Lackey PWP/for

H. L. Lackey, Chairman
S.C. Parole and Community Corrections Board

HLL/r

010534

RECEIVED
MAR 10 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

South Carolina Department of Parole and Community Corrections

HON. H. L. LACKEY
CHAIRMAN
MEMBER AT LARGE

HON. LEE R. CATHCART
SECRETARY
DISTRICT FIVE

HON. JOHN E. HUSS, D.D
DISTRICT ONE

HON. DR. JERRY M. NEAL
DISTRICT THREE

HON. RHETT JACKSON
VICE CHAIRMAN
DISTRICT TWO

HON. MARION BEASLEY
DISTRICT FOUR

HON. WALTER N. LAWSON
DISTRICT SIX

THOMAS J. CLEARY
INTERIM EXECUTIVE DIRECTOR

GRADY A. WALLACE
COMMISSIONER

ADDRESS: 2221 DEVINE STREET
P.O. BOX 50666
COLUMBIA, SC 29250

March 5, 1987

Dr. Jesse A. Coles, Jr., Executive Director
Budget and Control Board
612 Wade Hampton Office Building
Post Office Box 12444
Columbia, SC 29211

Dear Dr. Coles:

This request for reimbursement is submitted on behalf of the South Carolina Parole and Community Corrections Board. The individual being reimbursed for mileage and meals traveled to Columbia for an interview for the position of Executive Director for the S.C. Department of Parole and Community Corrections. This arrangement was more cost effective than the Board traveling to Georgia to interview this candidate.

Please feel free to contact me if you have questions concerning this matter.

Sincerely,

H. L. Lackey

H. L. Lackey, Chairman
S.C. Parole and Community Corrections Board

HLL/r

010535

APR - 3 1987

EXHIBIT

APR 14 1987 NO. 13

STATE BUDGET & CONTROL BOARD

RECEIVED

MAR 30 1987

State Commissioner of Mental Health
S. C. Dept. of Mental Health

MEMORANDUM

TO: Joseph J. Bevilacqua, Ph.D.
State Commissioner of Mental Health

FROM: Alexander G. Donald, M.D.
Director, William S. Hall Psychiatric Institute

DATE: March 25, 1987

SUBJECT: Reimbursement for Interview Travel

I request approval of travel reimbursement in the amount of approximately $700 for Lawrence J. Timmens, M.D., to visit the Institute on April 13, 1987, for the purpose of interviewing for a Teaching Psychiatrist II position at the William S. Hall Psychiatric Institute. This is a significant position to be filled in light of the teaching mission of the Institute, and the cost is warranted. The cost of this interview will be significantly less than to pay the costs of five staff members to travel to Hershey, Pennsylvania, for the interview. In addition, it is imperative that the applicant see the Institute in order to make an informed decision. This interview is necessary in that no qualified applicants within South Carolina have applied for the position.

Alexander G. Donald, M.D.
Director

AGD/jas

c Mr. William S. McInnis
Deputy Executive Director
State Budget and Control Board

S. C. STATE DEPARTMENT OF MENTAL HEALTH
APPROVED
MAR 31 1987
By
COMMISSIONER

010536

Charleston Area Mental Health Center
A Facility of the South Carolina Department of Mental Health

30 Lockwood Drive / Charleston, South Carolina 29401 / (803) 727-2000

March 25, 1987

RECEIVED
MAR 27 1987
State Commissioner of Mental Health
S. C. Dept. of Mental Health

MEMO TO: Joseph J. Bevilacqua, Ph.D.
State Commissioner of Mental Health

THROUGH: E. Wayne Jovanelly
Director of Management Services

FROM: Thomas G. Hiers, Ph.D.
Acting Director

SUBJECT: Reimbursement for Interview Travel

In accordance with Directive No. 625-83 (2-90), I wish to request your authorization for travel reimbursement for approximately $425.00 for William G. Prescott, M.D., for the purpose of interviewing for the Executive/Medical Directors position at the Charleston Area Mental Health Center.

The cost of this travel will be significantly less than to pay the costs of the five (5) Board of Director-appointed Recruitment Committee members to travel to various parts of the country to interview these applicants. In addition, these applicants have all indicated the need to visit this facility and its staff in order to make an informed decision. Qualified candidates residing in South Carolina were considered before candidates from other states were sought.

Thank you for your consideration in this matter.

S. C. STATE DEPARTMENT OF MENTAL HEALTH
APPROVED
MAR 27 1987
BY COMMISSIONER

TGH/nsf

CC: Mr. Neil E. Meisler

010537

Charleston Area Mental Health Center
A Facility of the South Carolina Department of Mental Health

30 Lockwood Drive / Charleston, South Carolina 29401 / (803) 727-2000

March 25, 1987

RECEIVED
MAR 27 1987
State Commissioner of Mental Health
S.C. Dept. of Mental Health

MEMO TO: Joseph J. Bevilacqua, Ph.D.
State Commissioner of Mental Health

THROUGH: E. Wayne Jovanelly
Director of Management Services

FROM: Thomas G. Hiers, Ph.D.
Acting Director

SUBJECT: Reimbursement for Interview Travel

In accordance with Directive No. 625-83 (2-90), I wish to request your authorization for travel reimbursement for approximately $400.00 for Louis H. Muzekari, Ed.D., for the purpose of interviewing for the Executive/Medical Directors position at the Charleston Area Mental Health Center.

The cost of this travel will be significantly less than to pay the costs of the five (5) Board of Director-appointed Recruitment Committee members to travel to various parts of the country to interview these applicants. In addition, these applicants have all indicated the need to visit this facility and its staff in order to make an informed decision. Qualified candidates residing in South Carolina were considered before candidates from other states were sought.

Thank you for your consideration in this matter.

S.C. STATE DEPARTMENT OF MENTAL HEALTH
APPROVED
MAR 27 1987
BY
COMMISSIONER

TGH/nsf

CC: Mr. Neil E. Meisler

010538

Charleston Area Mental Health Center
A Facility of the South Carolina Department of Mental Health

30 Lockwood Drive / Charleston, South Carolina 29401 / (803) 727-2000

March 25, 1987

RECEIVED
MAR 27 1987
State Commissioner of Mental Health
S. C. Dept. of Mental Health

MEMO TO: Joseph J. Bevilacqua, Ph.D.
State Commissioner of Mental Health

THROUGH: E. Wayne Jovanelly
Director of Management Services

FROM: Thomas G. Hiers, Ph.D.
Acting Director

SUBJECT: Reimbursement for Interview Travel

In accordance with Directive No. 625-83 (2-90), I wish to request your authorization for travel reimbursement for approximately $450.00 for Robert E. Hess, Ph.D., for the purpose of interviewing for the Executive/Medical Directors position at the Charleston Area Mental Health Center.

The cost of this travel will be significantly less than to pay the costs of the five (5) Board of Director-appointed Recruitment Committee members to travel to various parts of the country to interview these applicants. In addition, these applicants have all indicated the need to visit this facility and its staff in order to make an informed decision. Qualified candidates residing in South Carolina were considered before candidates from other states were sought.

Thank you for your consideration in this matter.

S. C. STATE DEPARTMENT OF MENTAL HEALTH
APPROVED
MAR 27 1987
By: COMMISSIONER

TGH/nsf

CC: Mr. Neil E. Meisler

010539

Charleston Area Mental Health Center
A Facility of the South Carolina Department of Mental Health

30 Lockwood Drive / Charleston, South Carolina 29401 / (803) 727-2000

March 25, 1987

RECEIVED
MAR 27 1987
State Commissioner of Mental Health
S. C. Dept. of Mental Health

MEMO TO: Joseph J. Bevilacqua, Ph.D.
State Commissioner of Mental Health

THROUGH: E. Wayne Jovanelly
Director of Management Services

FROM: Thomas G. Hiers, Ph.D.
Acting Director

SUBJECT: Reimbursement for Interview Travel

In accordance with Directive No. 625-83 (2-90), I wish to request your authorization for travel reimbursement for approximately $450.00 for John Rio, Jr., M.A.,CRC, for the purpose of interviewing for the Executive/Medical Directors position at the Charleston Area Mental Health Center.

The cost of this travel will be significantly less than to pay the costs of the five (5) Board of Director-appointed Recruitment Committee members to travel to various parts of the country to interview these applicants. In addition, these applicants have all indicated the need to visit this facility and its staff in order to make an informed decision. Qualified candidates residing in South Carolina were considered before candidates from other states were sought.

Thank you for your consideration in this matter.

S.C. DEPARTMENT OF MENTAL HEALTH
APPROVED
MAR 27 1987
BY COMMISSIONER

TGH/nsf

CC: Mr. Neil E. Meisler

010540

APR - 2 1987.

EXHIBIT

APR 14 1987 NO. 13

STATE BUDGET & CONTROL BOARD

CLEMSON UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

March 31, 1987

Mr. William A. McInnis
Deputy Executive Director
State Budget and Control Board
Wade Hampton Office Building
P. O. Box 12444
Columbia, SC 29211

Dear Mr. McInnis:

Enclosed are Travel Disbursement forms for those individuals who were brought to Clemson University for employee interviews.

Yours very truly,

William A. Thompson (jw)

William A. Thompson
Controller

WAT/jw

Enclosures

010541

SIKES HALL • CLEMSON, SOUTH CAROLINA 29634-5303 • TELEPHONE 803/656-2470

$1630

CLEMSON
UNIVERSITY

PRESIDENT

DATE January 8, 1987

RECEIVED
1987 MAR 13 PM 3:43
FINANCIAL MANAGEMENT

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. John M. MacDougal

CITY OF ADDRESS: Durham, NC

POSITION TO BE FILLED: Curator III

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

RECEIVED
1987 JAN 16 PM 4:31
FINANCIAL MANAGEMENT

Recommended by: _____
Department Head

_____
Dean or Director

_____
Vice President

Approved by: _____
President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010542

#12.17

CLEMSON UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

257-94-1374

DATE 2/10/87

RECEIVED
1987 FEB 17 PM 4 22
FINANCIAL MANAGEMENT

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Sherre Dryden

CITY OF ADDRESS: Hampton, SC

POSITION TO BE FILLED: Bibliographic Instruction Coordinator

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: _____
Department Head

_____
Dean or Director

_____
Vice President

Approved by: _____
President

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1987 MAR 12 AM 9 24
FINANCIAL MANAGEMENT

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Approved Copies: Financial Management, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010543

CLEMSON
UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

DATE February 13, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

70.9K

NAME OF RECRUIT: Mr. Joseph E. Broadus

CITY OF ADDRESS: 915 Blackwood Avenue, Tallahasse, FL 32303

POSITION TO BE FILLED: Position pending approval

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: Gerald J. Waddle
Department Head

J. D. Floyd 2/13/87
Dean or Director

W. Ed Tatwell 2/16/87
Vice President

Approved by: _____
President

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Approved Copies: Financial Management, Budget and Control Board
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1987 MAR -9 AM 11:32
FINANCIAL MANAGEMENT

010544

SIKES HALL • CLEMSON, SOUTH CAROLINA 29634-5303 • TELEPHONE 803/656-2470

ACCOUNTING

281.35

CLEMSON
UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

RECEIVED
1987 MAR -2 PM 4 22
FINANCIAL MANAGEMENT

DATE February 27, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Donald Seay

CITY OF ADDRESS: Lubbock, Texas

POSITION TO BE FILLED: Head, Department of Performing Arts

AMOUNT REIMBURSED: 281.35
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

RECEIVED
1987 FEB 20 PM 4 18
FINANCIAL MANAGEMENT

Recommended by: Robert a. Waller
Department Head

Robert a. Waller
Dean or Director

V. David Farwell
Vice President

Approved by:
President

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Original: Originator
Approved Copies: Financial Management, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010545

479-28-6987

317.85

CLEMSON
UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

DATE February 12, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Louis Chenette

CITY OF ADDRESS: Indianapolis, IN

POSITION TO BE FILLED: Head, Dept. of Performing Arts

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

RECEIVED
1987 FEB 20 PM 4:18
FINANCIAL MANAGEMENT

Recommended by: Robert A. Waller
Department Head

Robert A. Waller
Dean or Director

W. Daniel Bockwell
Vice President

Approved by: _____
President

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Approved Copies: Financial Management, Budget and Control Board
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FINANCIAL MANAGEMENT
1987 MAR -2 PM 4:00
RECEIVED

010546

CLEMSON
UNIVERSITY

360.23

RECEIVED
1987 FEB 27 AM 11:13
FINANCIAL MANAGEMENT

PRESIDENT

DATE January 8, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. Steven R. Hill

CITY OF ADDRESS: College Park, MD

POSITION TO BE FILLED: Curator III

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: _____
Department Head

_____
Dean or Director

_____
Vice President

RECEIVED
1987 JAN 16 PM 4:31
FINANCIAL MANAGEMENT

Approved by: _____
President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010547

CLEMSON
UNIVERSITY

$580.02

PRESIDENT

DATE January 8, 1987

RECEIVED
1987 FEB 27 AM 11:13
FINANCIAL MANAGEMENT

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Mr. Bill N. McKnight

CITY OF ADDRESS: Champaign, Ill.

POSITION TO BE FILLED: Curator III

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: _____
Department Head

_____
Dean or Director

_____
Vice President

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1987 JAN 16 PM 4:31
FINANCIAL MANAGEMENT

Approved by: _____
President

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Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010548

498:349820

CLEMSON UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

RECEIVED
1987 MAR 25 AM 11:27
FINANCIAL MANAGEMENT

DATE October 15, 1986

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. Robert G. Gast

CITY OF ADDRESS: East Lansing, MI

POSITION TO BE FILLED: Vice President and Vice Provost for Agriculture and Natural Resources

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: A B Hays
Department Head

_____
Dean or Director

W David Gatewell
Vice President

Approved by: J M Lee
President

RECEIVED
86 OCT 20 PM 3:28
FINANCIAL MANAGEMENT

Distribution:
Original: Originator
Approved Copies: Financial Management, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010549

290-32-6263

2/13/87

RECEIVED
1986 AUG 12 PM 3:46
FINANCIAL MANAGEMENT

CLEMSON
UNIVERSITY

PRESIDENT

DATE July 30, 1986

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

RECEIVED
1987 MAR 25 AM 11:27
FINANCIAL MANAGEMENT

NAME OF RECRUIT: Dr. Bruce Glick

CITY OF ADDRESS: Mississippi State, Mississippi

POSITION TO BE FILLED: Department Head, Poultry Science

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: Jack E. Jones 7/31/86
Department Head

A. W. Snell R. Hubbell S.E. Chapman
Dean or Director

B. J. Box
Vice President

Approved by:
President

Distribution:
Original: President's Office
Approved Copy: Executive Director, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010550

233-04-5041

3/25

RECEIVED
1987 MAR 25 AM 11:27
FINANCIAL MANAGEMENT

CLEMSON
UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

DATE March 3, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Barry P. Goettl

CITY OF ADDRESS: 514 Psychology Building, 603 East Daniel Street
University of Illinois, Champaign, IL 61820

POSITION TO BE FILLED: Assistant Professor

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: _____
Department Head

Robert A. Waller
Dean or Director

W David Mothwell
Vice President

Approved by: _____
President

RECEIVED
1987 MAR -9 PM 4:23
FINANCIAL MANAGEMENT

Distribution:
Original: Originator
Approved Copies: Financial Management, Budget and Control Board
Attached to all related Direct Purchase Vouchers

010551

CLEMSON
UNIVERSITY

BUSINESS AND FINANCE
Office of Financial Management

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DATE Feb. 18, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. Charles H. Barron, Jr. 525.00

CITY OF ADDRESS: Newark, DE

POSITION TO BE FILLED: Head of the Department of Chemical Engineering

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

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Recommended by: R. L. Mehrkens
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Jerry D. Holmes
Dean or Director

W. David Bagwell
Vice President

Approved by: _____
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$247.50

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DATE February 12, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Richard Nichols 376-40-2411

CITY OF ADDRESS: Rochester, NY

POSITION TO BE FILLED: Head, Dept. of Performing Arts

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

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Recommended by: Robert A. Waller
Department Head

Robert A. Waller
Dean or Director

W David Norwell
Vice President

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DATE 10-2-86

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Dr. R. Daniel Lineberger 246-80-8476

CITY OF ADDRESS: Columbus, OH

POSITION TO BE FILLED: Head, Department of Horticulture

AMOUNT REIMBURSED: _____
(To be entered by Financial Management)

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.

2. Payment of the expense involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.

3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

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Vice President

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$16.63

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UNIVERSITY

PRESIDENT

DATE March 3, 1986

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Eric Koperek 000-04-9278

CITY OF ADDRESS: Monroeville, PA

POSITION TO BE FILLED: Agricultural Librarian

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I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

Recommended by: _____
Department Head

_____
Dean or Director

W. Donald Bardwell
Vice President

Approved by: _____
President

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371.48

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DATE March 4, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Anna Hac

CITY OF ADDRESS: Baltimore, MD

POSITION TO BE FILLED: Assistant Professor

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

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Department Head

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010556

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PRESIDENT

CLEMSON UNIVERSITY

Wade 40.50

DATE January 8, 1987

EMPLOYEE RECRUITMENT - TRAVEL REIMBURSEMENT

NAME OF RECRUIT: Mr. Bill N. McKnight

CITY OF ADDRESS: Champaign, Ill.

POSITION TO BE FILLED: Curator III

I certify that in recruitment for the above position the following determinations were made:

1. The significance of the position warrants incurring such costs.
2. Payment of the expenses involved in bringing the above individual to Clemson is more cost efficient than sending representatives to the recruit's home town.
3. Qualified applicants residing in South Carolina were considered before applicants from other states were considered.

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Department Head

_____
Dean or Director

_____
Vice President

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President

EXHIBIT NO. 13
APR 14 1987
STATE BUDGET & CONTROL BOARD

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EXHIBIT NO. 13
APR 14 1987
STATE BUDGET & CONTROL BOARD

010557

EXHIBIT

APR 14 1987 NO. 14

STATE BUDGET AND CONTROL BOARD STATE BUDGET & CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 2

AGENCY: Local Government

SUBJECT: Environmental Challenge/State Support for Infrastructure

A report entitled "Meeting the Environmental Challenge in South Carolina, State Support for Infrastructure Needs" is attached. It was prepared by the Division of Local Government with the assistance of the Water Resources Commission, DHEC and the Development Board.

The report expresses the view that the State's water and sewer systems are the lifelines of economic development. It reviews the several financial assistance sources now available and finds them not adequate to meet needs.

The report concludes that the failure to maintain and expand water supply and wastewater treatment facilities will result in serious long-term economic development costs. It also says local communities will face increasing pressures to identify and explore financial alternatives.

The report will be discussed at the meeting.

BOARD ACTION REQUESTED:

Receive as information the Division of Local Government report on "Meeting the Environmental Challenge in South Carolina, State Support for Infrastructure Needs."

ATTACHMENTS:

Referenced report

010558

EXHIBIT

APR 14 1987 NO. 14

STATE BUDGET & CONTROL BOARD

Meeting the Environmental Challenge in South Carolina

State Support for Infrastructure Needs

Prepared by
The Division of Local Government
South Carolina State Budget & Control Board

With the assistance of the following agencies:
South Carolina Water Resources Commission
South Carolina Department of Health & Environmental Control
South Carolina State Development Board

March 1987

010559

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APR 14 1987 NO. 14

STATE BUDGET & CONTROL BOARD

Overview of the Infrastructure Problem

Investment in the nation's public infrastructure has been and remains today a critical ingredient for the economic growth of the country. Traditionally, the federal government has played a major role in financing these public needs - such as, streets, roads, bridges, airports, railroads and other capital facilities associated with transportation, water treatment facilities, water supply systems and reservoirs, etc. For the first time in decades, communities now face uncertain prospects for continued economic growth due in large part to reduction in federal loans and grants for public infrastructure investment. The vital component of the public infrastructure particularly jeopardized by such reductions is the construction of local environmental facilities: plants for the treatment and disposal of wastewater and the treatment and distribution of drinking water.

We learned from the drought of 1986 that many areas of the state experienced problems in meeting the demand for water. Several communities faced the threat of moratoriums on water use. These cutbacks would not only have affected industrial users, but also domestic consumers. Fortunately, this situation did not deteriorate to the point of affecting the public health, but it pointed out shortcomings of supply and availability. To meet present demands, particularly in rural areas, our systems simply are not adequate.

With decreasing federal participation in the construction and expansion of these facilities, communities may be faced with moratoriums on growth if existing facilities are not expanded or environmental standards are not met. Financial limitations often render local governments incapable of shouldering the entire burden as a result of federal reductions. Thus, local governments and publicly operated water facilities are turning now to their respective states for assistance in the continued construction of environmental facilities. Other states in the southeast - Virginia, Georgia, Tennessee, North Carolina and Florida - have established some sort of program for state assistance. (See Table 1)

Legislation to implement such a program in South Carolina has been introduced, discussed, and then shelved for the past two years. The provisions mandating a phasing out of the construction grants program in the recently passed Clean Water Act require that this issue be addressed. The following sections will highlight the key components of this issue.

010560

Water Management Affects Economic Development

In its recently released report "Halfway Home and a Long Way to Go," the Southern Growth Policies Board recommended that the southern states place a greater emphasis on water management. The report noted that states should develop strategic water management plans and that such plans should include legislation to protect water quality and provide adequate funding to implement them.

We must also recognize the benefits and amenities brought about by a clean environment, as it affects both our tourism industry and our ability to recruit new industry and business to South Carolina. The report states, "Today's new businesses are only choosing to locate in areas whose environment and culture will appeal to their employees and families."

With respect to the recruitment of new business to the state, it appears that without an adequate and dependable source of water, all other industry problems are academic. New and/or prospective industries expect that their water and sewer requirements will be met by a public agency. The South Carolina State Development Board noted these and other concerns in a report released in January 1987 entitled, "Water and Sewer Limitations Concerning Economic Development."

As would be expected, the state's larger utilities are well equipped to handle the needs of a large range of economic development prospects. However, the smaller, rural systems may be confined to serving certain types of industry, such as an apparel plant or a sawmill. Their limitations, primarily related to wastewater treatment capability, would preclude them from treating the waste from a chemicals, elctronics, paper, or food processing plant. The community's horizons are therefore controlled by its existing infrastructure.

The Development Board's report notes that water and sewer limitations are an impediment to economic development in communities throughout the state and that this situation is likely to worsen. Among the problems encountered by the Development Board are the following:

a. A number of municipalities require customers who connect to city utility systems to sign annexation agreements. Prospective developers may be "scared away" by such agreements. Because of land availability and lower taxes, manufacturers tend to locate outside city limits. Utility charges in these areas also may be higher.

b. Regional cooperation and/or consolidation of public utilities is needed. As noted above, small systems may be limited in meeting the needs of industrial prospects.

c. Properties with development potential may not have water and sewer capacity available and in-place.

d. Tap fees or impact fees in some communities are viewed as excessive, causing prospects to drop them from consideration.

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e. Water and sewer requirements for economic development are different than those for domestic usage. A two-inch water or sewer line is not usable by most industrial prospects.

f. Communities need more competent operation and maintenance of their water and sewer systems. Local officials may be unaware of the limitations of their systems, and their figures may be at odds with those of the South Carolina Department of Health and Environmental Control (DHEC). This problem is associated principally with small systems.

In summary, it could be reasonably stated that the state's water and sewer systems are the lifelines of economic development in South Carolina.

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STATE BUDGET & CONTROL BOARD

Current Sources of Funding

Wastewater Treatment Facilities

The South Carolina Department of Health and Environmental Control (DHEC) is responsible for the regulation of wastewater treatment in the state and since the early 1970's has received monies from the U. S. Environmnetal Protection Agency (EPA) to assist in the construction of such facilities. Nationally, federal grants for wastewater treatment plant construction declined from $4 billion per year in 1981 to $2.4 billion in 1986. Under the recently passed Clean Water Act, South Carolina should receive about $24.9 million for the current federal fiscal year for construction grants. As of late fall 1986, the Department had received approximately half of this total. The federal monies generally cover 55 percent of eligible portions of existing needs, with the remainder of the cost to be borne by the municipality or sewer authority involved. (In FY 1985, the federal government reduced its match from 75 percent to 55 percent of the eligible costs of constructing wastewater treatment facilities.)

According to DHEC's Domestic Wastewater Division, hundreds of projects in South Carolina have needs for initial building of wastewater treatment projects, as well as upgrading to meet growth projections. The monies DHEC receives this year are slated for about 14 new or renovated systems. Construction costs for these projects will amount to about $32 million, with the federal allowance providing 55 percent, or about $17 million. The remainder of this year's federal allowance will be divided between other sewer improvement projects, with about four percent spent on administration of the program.

Beginning in federal FY 1989, the grants program will begin phasing out and federal assistance will be provided to states to capitalize revolving loan funds for wastewater treatment facilities. South Carolina is slated to receive $87.5 million over a seven year period beginning October 1, 1988 (federal FY 1989-1996). The state must, however, provide

010562

a 20 percent match in order to receive these federal dollars. South Carolina will have to allocate $17 million to match the federal funds. By October 1, 1988, the State of South Carolina must also have in place and approved by EPA its authorizing legislation for the revolving loan fund program, regulations to implement it, and its intended use plan for operation of the program.

Table 2 provides a breakdown of municipal sewage system needs by county for 1985 and for the year 2005. DHEC projects a current need of almost $700 million for initially constructing or upgrading existing projects in the state. The total state needs by 2005 surpass $1.4 billion. Obviously, the funds that are currently available and being phased out, as well as the projected federal revolving loan fund dollars, are insufficient to meet existing and projected statewide needs.

Other Grant and Loan Programs

Other sources of financial support include the Farmers Home Administration, the Economic Development Administration (EDA), Small Cities Community Development Block Grant (CDBG) Program, and the rural improvement program administered by the Division of Local Government under the State Budget and Control Board. A brief discussion of each program follows.

The EDA provides grants to extend water or sewer service to an industry or commercial development where new jobs are created, or in some cases to prevent loss of jobs. In order to compete for these grants, which must be matched by local funds, an industry would have to be committed to locate and unemployment must be high. Funding levels are also dependent on Congressional appropriation and the amount of money allocated to each state. South Carolina's primary problem in attracting these funds is our inability to provide the requisite matching requirements.

The CBDG Program, administered by the Governor's Office, makes monies available for a variety of local community development projects, including water and sewer facilities. Over half of these funds must benefit low and moderate income persons and a match requirement of 10 percent is necessary. The maximum grant is $500,000 from the regular cycle.

The rural development funds administered by the Division of Local Government provides about $6 million annually to local governments for a variety of community improvements, including public water supply. Approximately half of these monies are used for public water supply needs.

The Rural Water and Sewer Grants Program, administered by DHEC, has provided supplemental funds to enable rural communities to construct or expand water and sewer facilities since 1974. The maximum grant is 55 percent of the total project cost, $600 per rural tap, or $400,000, whichever is is the least amount.

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The Farmers Home Administration provides the chief source of credit for water supply systems in the state, holding loans on about half of them. Farmers Home is empowered to make loans to rural systems and to municipalities with populations of 10,000 or less. Routine maintenance expenses may not be funded with these loans, but they may be used to upgrade and renovate facilities.

We see that water supply and wastewater treatment facilities may tap several sources for financial assistance in the state. These sources do not, however, stretch far enough to serve existing needs. It should be noted again that the projected estimates for capital investment for publicly owned wastewater treatment facilities for the year 2005 (Table 2) are only for those portions of the facility that are eligible for federal assistance under the Clean Water Act. With regard to water supply, those small systems serving rural and/or relatively low income communities may have special financing problems that are not covered under the existing programs.

Water Supply Facilities

The previous section addressed some of the financial resources available to public supply systems, but a brief description of their varying size and type is in order.

South Carolina has more than 2700 public water supply systems which are regulated by DHEC. Eighty-eight of these systems use surface waters as their source, while the rest obtain their supply from groundwater wells with yields ranging from six to eighteen hundred gallons per minute (6-1800 gpm). These systems are owned and operated by municipalities, counties, water authorities, water districts, and privately owned utilities. Between 300 to 400 of these water supply systems are publicly owned and operated. The larger systems which are usually owned by municipalities are generally well-operated and financially sound, whereas a large number of smaller systems struggle because of their inability to properly manage their finances.

DHEC estimates that about 40 percent of the state's population is not served by a public supply system. Moreover, the 1980 U.S. Census data for South Carolina indicates that over 80 percent of the state's rural population are not served by a public sewer facility.

Definitive statistics on the dollar estimates needed to maintain the state's water supply systems are hard to come by. However, a conservative estimate of $21 million per year necessary to maintain water supply systems at adequate levels to serve existing users was put forward by a 1983 Clemson University report.

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Throughout the country, public and regulatory officials are taking a closer look at water utilities' financial practices. Such systems must be operated as a business, with constant adjustments made to their rate structures. The capital financing programs for water supply systems have been and will continue to be affected by the following parameters:

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STATE BUDGET & CONTROL BOARD

* Environmental quality standards,
* Replacement of deteriorating infrastructure,
* Growing customer loads,
* Inflationary construction costs, and
* Increasing capital replacement costs.

Renewed emphasis is being placed upon public water supply systems by the federal government. Within a few months, systems will likely be required to substantially increase the level of treatment to meet new water quality standards imposed by the U. S. Environmental Protection Agency. Water supply systems will also be required to more than triple the number of contaminants for which they monitor. All of these additional requirements could lead to unanticipated problems which many systems will be financially unable to cope with.

Benefits of a State Infrastructure Program

Depending on the type of state infrastructure program authorized, local governments and public water and sewer authorities would realize various benefits from a statewide infrastructure program. Local governments may lack the financial expertise necessary to develop financial packages or the credit standing to secure loans at reasonable rates. A revolving loan fund would make packaging loans much easier for municipalities. Small communities may not require bonds that are large enough to make the bond market viable. A state authority could combine small bond issues or provide loans to communities unable to enter the bond market on their own. An example of a viable, established state program is given below.

The State of Texas has used a state revolving loan program since 1957. Communities receiving loans may have up to 20 years to repay the loan, with interest rates of normally one-half of one percent more than the most recent state bond issue. Both the interest rate and repayment schedule are negotiable, depending on a community's growth rate and similar considerations. As of the summer of 1986, proceeds from the state Water Development Board's most recent bond sales would be used for the following purposes: water reservoir purchase ($400 million); drinking water ($190 million); flood control ($200 million); and wastewater treatment ($190 million). It should be noted that a means of assessing a community's ability to meet its debts should be developed before funds are loaned. Texas bases its judgment on an audit of community finances for the previous five years.

010565

South Carolina needs a flexible program of state assistance that could include loans, grants, and bond assistance. It would provide assistance to local governments and other entities through credit enhancement, low interest rate loans, and managerial and financial assistance. Such a program would provide many communities with access to long-term capital that they could not otherwise obtain. Many such communities are unable to repay loans under normal market terms and conditions. Bond assistance, in the form of consolidation of small bond issues into larger, more marketable issues, would aid such localities.

Conclusion

Sound water infrastructure is vital to maintaining the quality of our environment and the welfare of the State. At a minimum, the failure to maintain and expand our water supply and wastewater treatment facilities will result in serious long-term economic development costs. The public must be made aware of the integral role played by water and wastewater services in ensuring the existing and continued vitality of our communities.

With the diminishing level of federal funds and a federal policy of increasing local and state responsibilities for maintaining our water infrastructure, local communities will face increasing pressures to identify and explore the available financial alternatives. As an example of this, the State of North Carolina is considering instituting a state matching grants program, with a 50/50 cost share ratio.

However we decide to address this issue, we will have to differentiate between our needs and our wants. The State must target those areas where water use is increasing and capacity is lacking. The physical elements of distributing and treating water will have to be addressed, but systems must also have strong administrative institutions in place. Some people claim that if the State can't afford it, we don't need it, but if we want the State of South Carolina to excel and compete in the forefront of the economic and industrial world, then we can't afford <u>not</u> to do it.

010566

References

American Water Works Association. AWWA Seminar Proceedings on Developing Financial Programs in the 80's. June 1984.

Black & Veatch, Inc. Newberry County Water and Sewer Study. September 1986.

Hite, James C. "Financing Water Supply Systems in South Carolina." Paper presented at SCWRC Annual Symposium, September 13, 1984.

Journal AWWA. "Emerging Trends in Infrastructure Financing." November 1985, pp 53-56.

S. C. State Development Board. "Water and Sewer Limitations Concerning Economic Development." January 6, 1987.

Southern Growth Policies Board. "Halfway Home and a Long Way to Go." The Report of the Commission on the Future of the South, 1986.

WATER/Engineering & Management. "Funding: The Status and the Options." August 1986, pp. 39-41.

010567

Table 1

STATES	GRANTS	LOANS	BOND BANKS
ALABAMA	X	-	-
ALASKA	X	-	-
ARKANSAS	-	-	-
ARIZONA	-	-	-
CALIFORNIA	X	X	-
COLORADO	X	X	-
CONNECTICUT	X	-	-
DELAWARE	X	-	-
FLORIDA	X	X	-
GEORGIA	X	X	-
HAWAII	X	-	-
IDAHO	X	-	-
ILLINOIS	X	-	-
INDIANA	X	-	X
IOWA	X	X	-
KANSAS	-	-	-
KENTUCKY	-	X	-
LOUISIANA	-	-	-
MAINE	-	-	X
MARYLAND	X	X	-
MASSACHUSETTS	-	X	-
MICHIGAN	X	-	-
MINNESOTA	X	X	-
MISSISSIPPI	-	X	-
MISSOURI	X	X	-
MONTANA	-	X	-
NEBRASKA	X	-	-
NEVADA	-	-	X
NEW HAMPSHIRE	X	X	X
NEW MEXICO	X	X	-
NEW JERSEY	-	X	-
NEW YORK	X	-	X
NORTH CAROLINA	X	-	-
NORTH DAKOTA	-	-	-
OKLAHOMA	-	X	-
OHIO	-	X	-
OREGON	X	X	-
PENNSYLVANIA	X	-	-

EXHIBIT

APR 14 1987 NO. 14

STATE BUDGET & CONTROL BOARD

010568

STATE SURVEY
LOANS, GRANTS, AND BOND BANKS
CONT.

RHODE ISLAND	-	-	-
SOUTH CAROLINA	-	-	-
SOUTH DAKOTA	-	X	-
TENNESSEE	X	X	-
TEXAS	-	X	-
UTAH	-	-	X
VERMONT	X	X	X
VIRGINIA	X	X	-
WASHINGTON	X	X	-
WEST VIRGINIA	X	-	X
WISCONSIN	X	-	-
WYOMING	X	X	-

010569

Table 2

SOUTH CAROLINA
Municipal Sewage System Needs

COUNTY	FOR YEAR 1985 POPULATION	FOR YEAR 2005 POPULATION
Abbeville	$ 4,720,000	$ 5,129,000
Aiken	35,623,000	40,578,000
Allendale	554,000	554,000
Anderson	31,371,000	68,919,000
Bamberg	3,333,000	4,147,000
Barnwell	5,481,000	6,999,000
Beaufort	23,158,000	47,895,000
Berkeley	21,503,000	26,492,000
Calhoun	666,000	778,000
Charleston	64,861,000	74,328,000
Cherokee	6,740,000	15,737,000
Chester	1,600,000	1,945,000
Chesterfield	5,179,000	6,246,000
Clarendon	720,000	864,000
Colleton	3,743,000	7,339,000
Darlington	3,172,000	10,069,000
Dillon	5,389,000	6,909,000
Dorchester	11,481,000	34,992,000
Edgefield	5,064,000	9,934,000
Fairfield	95,000	123,000
Florence	17,087,000	26,878,000
Georgetown	16,848,000	29,723,000
Greenville	77,291,000	121,839,000
Greenwood	31,442,000	44,752,000
Hampton	1,643,000	3,069,000
Horry	21,472,000	42,323,000
Jasper	1,045,000	1,423,000
Kershaw	6,217,000	6,984,000
Lancaster	9,813,000	12,144,000

010570

Laurens	2,986,000	8,245,000
Lee	-0-	38,000
Lexington	53,800,000	205,677,000
McCormick	3,477,000	4,304,000
Marion	6,187,000	7,650,000
Marlboro	4,334,000	5,064,000
Newberry	5,251,000	6,994,000
Oconee	3,042,000	3,388,000
Orangeburg	5,031,000	6,397,000
Pickens	21,406,000	31,450,000
Richland	62,125,000	253,552,000
Saluda	1,311,000	1,390,000
Spartanburg	39,358,000	58,861,000
Sumter	22,052,000	58,861,000
Union	22,052,000	33,780,000
Williamsburg	4,023,000	4,938,000
York	27,817,000	34,597,000
TOTAL	691,376,000	1,341,477,000

EXHIBIT

APR 14 1987 NO. 14

STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 14

STATE BUDGET & CONTROL BOARD

010571

EXHIBIT

APR 14 1987 NO. 15

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

STATE BUDGET & CONTROL BOARD

MEETING OF April 14, 1987 ITEM NUMBER 3

AGENCY: Election Commission

SUBJECT: Uniform Electronic Voting System

Election Commission Executive Director James B. Ellisor will report on the progress of the initial stage of the uniform electronic voting system and on plans for further implementation.

In 1986, the Budget and Control Board approved a State Election Commission plan to implement a uniform electronic voting system for the State. Capital Improvement Bond funding for the initial stage in the amount of $984,810 was approved by the General Assembly in Act 538 of 1986. These funds were scheduled by the Bond Review Committee and the Board so they were available prior to the 1986 general election.

Board members are reminded that staff on March 11, 1987, launched the process for gathering five-year permanent improvement plan updates which will include requests for additional capital improvement bond authorizations for consideration by the General Assembly in 1988.

Presumably, later in the year (perhaps December), the Board will hear detailed agency requests for additional bond authorizations as a part of the process of making its recommendations on them.

BOARD ACTION REQUESTED:

Receive as information Election Commission Executive Director Ellisor's progress report on the initial stage of the uniform electronic voting system project and his plans for further implementation.

ATTACHMENTS:

Ellisor April 2 letter to Coles

010572

State of South Carolina

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SYLVIA SCHWARTZ

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Election Commission

2221 DEVINE STREET
POST OFFICE BOX 5987
COLUMBIA, S.C. 29250

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April 2, 1987

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Dr. Jesse A. Coles, Jr.
Executive Director
State Budget and Control Board
Box 12444
Columbia, South Carolina 29211

Dear Dr. Coles:

As you know, the Budget and Control Board approved a plan submitted by the State Election Commission in 1986 to implement a uniform electronic voting system for the State. Funding for the initial stage of the plan was approved by the General Assembly in the Capital Improvement Bond Act of 1986 and subsequently funding authorization was granted by the Joint Bond Review Committee in the General Assembly prior to the general election in 1986.

I should appreciate very much if you could put me on the agenda to meet with the Budget and Control Board on April 14, 1987 to give the Board a report on the progress of this program and the plans for further implementation.

With kind regards, I am

Yours very truly,

James B. Ellisor

James B. Ellisor
Executive Director

JBE/wg

cc: William A. McInnis, Deputy Executive Director

EXHIBIT
APR 14 1987 NO. 15
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 15
STATE BUDGET & CONTROL BOARD

010573

EXHIBIT

APR 14 1987 NO. 16

STATE BUDGET AND CONTROL BOARD

STATE BUDGET & CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 4

AGENCY: General Services

SUBJECT: CCI Relocation Study Committee

The Division of General Services will present recommendations of the working committee on CCI relocation as follows:

1. Site Selection Criteria
   a. Should be about 150 to 200 acres in size. Shape of parcels to be reviewed will determine final size.
   b. Strong preference for site served by a municipal water and sewer facility with capability of supplying a minimum of 145,000 gallons per day and to treat a minimum of 120,000 gallons of sewage per day (with treatment capacity to yield a BOD of 600 to 700 parts per million and total suspended solids of 600 to 700 parts per million).
   c. Appropriate consideration should be given to existing community facilities near the sites reviewed.
   d. Locations to be considered should be in the following order of preference:
      (1) State-owned property within a 40-mile radius of the present CCI; then
      (2) Non-state-owned property within a 30-mile radius of the present CCI.

2. Site Selection Committee

   The following membership is recommended:

a. Department of Corrections	Nelson Meek Doug Catoe
b. General Services	Jack Sprott
c. Budget Division	Alan Kincaid
d. Ways and Means Committee	Susan Hooks

3. RFP Committee

   The following membership is recommended:

a. Department of Corrections	Nelson Meek
b. General Services	Marcia Szerlip
c. State Treasurer's Office	Mike Ey
d. Ways and Means Committee	Susan Hooks
e. Governor's Office	Mark Elam
f. Reorganization Commission	Kenny Long
g. Comptroller General's Office	George Lusk
h. Outside legal counsel	to be named

BOARD ACTION REQUESTED:

Approve recommendations as listed for site selection criteria, for site selection committee and for RFP committee.

ATTACHMENTS:

Kelly April 2 memorandum to study committee

010574

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ Blue Agenda
X Regular Session Agenda
___ Executive Session Agenda

1. Submitted By:
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard P. Kelly

2. Subject:
   CCI Relocation Report

3. Summary Background Information:

Status Report On Staff Work Committee Recommendations

EXHIBIT

APR 14 1987 NO. 16

STATE BUDGET & CONTROL BOARD

4. What is Board asked to do?

Approve

5. What is recommendation of the Board Division involved?

6. Recommendation of other office (as required)?

(a) Office Name _____ (b) Authorized Signature _____

7. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter

010575

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2140

CARROLL A. CAMPBELL, JR.
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

ROBERT N. McLELLAN
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D
EXECUTIVE DIRECTOR

RICHARD W. KELLY
DIVISION DIRECTOR

EXHIBIT

APR 14 1987 NO. 16

STATE BUDGET & CONTROL BOARD

April 2, 1987

MEMORANDUM:

TO: Whit Ayers, Mike Ey, Andy Laurent, Jesse Coles, George Lusk, Sue Hooks, Sam Griswold, Bill McInnis

FROM: Rick Kelly

RE: CCI Relocation

This memorandum is designed to outline our meeting yesterday concerning various aspects of the relocation of CCI. The following matters were discussed:

1. Site Selection - Many items concerning the criteria for site selection for the relocation were considered and discussed. The following were determined to be items which should be reviewed and evaluated in selecting a site:

a) Site size should be approximately 150-200 acres. The shape of the parcels to be reviewed should and will determine the final size.

b) There is a strong preference for a site which is served by a municipal water and sewer facility with sufficient capacity to supply a minimum of 145,000 gallons of water per day and to treat a minimum of 120,000 gallons of sewage per day (with treatment capacity to yield a BOD of 600 to 700 parts per million and total suspended solids of 600 to 700 parts per million);

(c) Appropriate consideration should be given to existing community facilities near the sites reviewed;

010576

(d) Locations to be considered should be in the following order of preference:

(i) State owned property within a 40 miles radius of the present CCI location; then

(ii) Non-State owned property within a 30 mile radius of the present CCI location.

2. Site Evaluation Committee. The following persons were designated as members of this committee:

(a) Dept. of Corrections: Nelson Meek, Doug Cato
(b) General Services: Jack Sprott
(c) Budget Division: Allen Kincaid
(d) Ways and Means: Sue Hooks

3. RFP Committee. The following persons were designated as members of this committee to prepare the RFP documents:

(a) Dept. of Corrections: Nelson Meek
(b) General Services: Marcia Szerlip
(c) Treasurer's Office: Mike Ev
(d) Ways and Means: Sue Hooks
(e) Governor's Office: Mark Elam
(f) Reorganization Committee: Kenny Long
(g) Comptroller General's Office: George Lusk
(h) Outside Legal Council: (to be advised)

/ab

EXHIBIT

APR 14 1987 NO. 16

STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 16

STATE BUDGET & CONTROL BOARD

010577

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2140

RECEIVED
APR 16 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

CARROLL A. CAMPBELL, JR.
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

ROBERT N. McLELLAN
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D
EXECUTIVE DIRECTOR

RICHARD W. KELLY
DIVISION DIRECTOR

April 14, 1987

The Honorable Rembert C. Dennis
Chairman
Senate Finance Committee
111 Gressette Building
Columbia, S.C. 29461

Dear Senator Dennis:

I wanted to take this opportunity to explain the omission of the Senate Finance Committee's representative on the Central Correctional Institute's Relocation project. Each of the Boards' members have shown extreme interest in being involved in this process from the beginning and that is how their representatives materialized. Please be assured there was not a deliberate attempt to exclude anyone who wished to be on the Committee, in fact, I welcome their involvement.

I will look forward to hearing from your office concerning the name of the individual that will represent the Senate Finance Committee in this procedure.

As always, if we can be of additional assistance, please advise.

Sincerely,

Richard W. Kelly

Richard W. Kelly

/ab

cc: Dr. Jesse A. Coles, Jr.

010578

EXHIBIT

APR 14 1987 NO. 17

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

STATE BUDGET & CONTROL BOARD

MEETING OF April 14, 1987 ITEM NUMBER 5

AGENCY: Department of Corrections

SUBJECT: Safe and Reasonable Operating Capacity

In accord with Section 24-3-2050, the Department of Corrections has certified that its safe and reasonable operating capacity will increase from 9,308 to 9,388 effective April 1, 1987, as a result of renovations to existing buildings as follows:

40 beds at Central Correctional Institution
48 beds at Catawba Work Release Center
4 beds at Manning Correctional Institution
-12 beds at Wateree River Correctional Institution (Unit Management conversion to provide space for staff who must have direct access to inmates)
80 beds, net

The Department advises that it had 10,802 inmates in its facilities as of March 31, 1,494 above the 9,388 safe and reasonable operating capacity. This is an increase of 981 inmates over the number at the same date last year. The Department projects that the inmate population will increase by almost 1,300 next fiscal year.

BOARD ACTION REQUESTED:

In accord with Section 24-3-2050, certify 9,388 as the safe and reasonable operating capacity of the facilities of the Department of Corrections effective April 1, 1987.

ATTACHMENTS:

Leeke March 31 letter to Coles plus attachment

010579

EXHIBIT

APR 14 1987 NO. 17

STATE BUDGET & CONTROL BOARD

§ 24–3–2050. Establishment of operating capacities.

The Board of Corrections and the Budget and Control Board shall within thirty days of the enactment of §§ 24-3-1110 through 24-3-2050 establish the operating capacities of the prisons operated by the Department of Corrections and shall at least quarterly certify existing operating capacities or establish changed or new operating capacities.

HISTORY: 1983 Act No. 123 § 3, effective 30 days after approval by the Governor (Approved June 16, 1983).

010580

APR - 3 1987

south carolina
department of corrections

P.O. BOX 21787/4444 BROAD RIVER ROAD/COLUMBIA, SOUTH CAROLINA 29221-1787
TELEPHONE (803) 737-8555
WILLIAM D. LEEKE, Commissioner

RECEIVED
APR 3 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

March 31, 1987

EXHIBIT
APR 14 1987 NO. 17
STATE BUDGET & CONTROL BOARD

Dr. Jesse A. Coles, Jr.
Executive Director
Budget and Control Board
Post Office Box 12444
Columbia, South Carolina 29211

Dear Dr. Coles:

Section 3 of the Prison Overcrowding Powers Act requires the Department of Corrections to certify existing capacity quarterly.

This is to certify that our Safe and Reasonable Operating Capacity will increase from 9,308 to 9,388 effective April 1, 1987. This increase of 80 beds is a result of renovations to existing buildings as follows:

40 beds at Central Correctional Institution
48 beds at Catawba Work Release Center
4 beds at Manning Correctional Institution
-12 beds at Wateree River Correctional Institution
(Unit Management Conversion)

We have 10,802 inmates in our facilities as of March 31, 1987 and 1,494 above the 9,388 Safe and Reasonable Operating Capacity. This is an increase of 981 inmates over the same date last year. We are projecting our average population to increase by almost 1,300 inmates next fiscal year.

Please let me know if you need additional information.

Sincerely,

William D. Leeke

WDL:baa

Attachment

cc: Mr. Bill McInnis
Mr. Stan McKinney
Mr. Thomas Cleary
Mr. Grady Wallace
Mr. Kenny Long
Dr. Hubert M. Clements
Mr. Larry Batson

010581

BOARD OF CORRECTIONS
NORMAN KIRKLAND, Chairman, Bamberg, S.C.
CHARLES C. MOORE, Vice Chairman, Spartanburg, S.C.
CLARENCE E. WATKINS, Secretary, Camden, S.C.
BETTY M. CONDON, Member, Mt. Pleasant, S.C.
EUGENE N. ZEIGLER, Member, Florence, S.C.
GOETZ B. EATON, Member, Anderson, S.C.
GOV. CARROLL A. CAMPBELL, JR., Member, Ex Officio, Columbia, S.C.

EXHIBIT

APR 14 1987 NO. 17

STATE BUDGET & CONTROL BOARD

SOUTH CAROLINA DEPARTMENT OF CORRECTIONS[1] 04/01/87
SAFE AND REASONABLE INMATE CAPACITIES DCA5/R
EMERGENCY OVERCROWDING POWERS ACT (S-258 - H-2618)

COLUMN	1	2	3	4	
REGION/INSTITUTION	DESIGN CAPACITY	SAFE & REASONABLE OPERATING CAPACITY	COUNT	OVER (UNDER) OPERATING CAPACITY	
				#	%**
APPALACHIAN CORRECTIONAL REGION					
Blue Ridge Work Release Center	143	180	-----	-----	-----
Catawba Work Release Center	86	144	-----	-----	-----
Cross Anchor Correctional Institution	528	528	-----	-----	-----
Dutchman Correctional Institution	528	528	-----	-----	-----
Givens Youth Correction Center	68	102	-----	-----	-----
Greenwood Correctional Center	48	72	-----	-----	-----
Livesay Work Release Center	96	96	-----	-----	-----
Northside Correctional Center	270***	308***	-----	-----	-----
Perry Correctional Institution	576	768	-----	-----	-----
SUB-TOTAL	2,343	2,726	-----	-----	-----
MIDLANDS CORRECTIONAL REGION:					
Aiken Youth Correction Center	224	253	-----	-----	-----
Campbell Work Release Center	100	100	-----	-----	-----
Central Correctional Institution	1,340	1,394*	-----	-----	-----
Goodman Correctional Institution	283***	283***	-----	-----	-----
Kirkland Correctional Institution	544	768	-----	-----	-----
Lower Savannah Work Release Center	45	48	-----	-----	-----
Manning Correctional Institution	452***	486***	-----	-----	-----
Midlands Reception & Evaluation Center	194	194	-----	-----	-----
State Park Correctional Center	250	250	-----	-----	-----
Stevenson Correctional Institution	129	152	-----	-----	-----
Walden Correctional Institution	246***	248***	-----	-----	-----
Wateree River Correctional Institution	456	480	-----	-----	-----
Watkins Pre-Release Center	144	144	-----	-----	-----
Women's Correctional Center	269	365	-----	-----	-----
SUB-TOTAL	4,676	5,165	-----	-----	-----
COASTAL CORRECTIONAL REGION					
Coastal Work Release Center	158	158	-----	-----	-----
Lieber Correctional Institution	696	696	-----	-----	-----
MacDougall Youth Correction Center	336***	568***	-----	-----	-----
Palmer Work Release Center	50	75	-----	-----	-----
SUB-TOTAL	1,240	1,497	-----	-----	-----
TOTAL SCDC FACILITIES:	8,259	9,388	-----	-----	-----
OTHER FACILITIES/PROGRAMS: (Break-out on back)				-	-
TOTAL SCDC JURISDICTION: (Excluding ___ - YOA Parolees)				-	-

*Maximum population permitted by Consent Agreement in Mattison suit.
**Percentage determined by dividing number of over/under by Safe and Reasonable Capacity.
***Includes 96-bed wooden barracks. Continued use subject to court rulings.

[1]Adopted by Board of Corrections on March 8, 1983. Increased to 7,880 (250 beds at State Park) January 1, 1984. Increased to 7,976 (96 beds at Gilliam Psychiatric Unit at KCI) in October 1984. Increased to 8,036 (addition of 60 beds) on April 1, 1986. Increased to 8,414 (378 beds at Lieber) on July 1, 1986). Increased to 9,212 on October 1, 1986 (addition of 318 beds at Lieber and five temporary 96-Bed wooden barracks for total of 798 beds).

Increased to 9,308 on January 1, 1987 by addition of 96 Beds at the Women's Correctional Center.

Increased to 9,388 on April 1, 1987 by addition of 80 beds as a result of renovations to existing buildings (40 beds at CCI; 48 beds at Catawba; 4 beds at Manning and loss of 12 beds at Wateree for Unit Management conversion).

[1]Approved by Budget and Control Board on July 12, 1983.

Amended on January 24, 1984.
Amended on April 26, 1984.
Amended on October 1, 1984.
Amended on April 1, 1986
Amended on July 1, 1986
Amended on October 1, 1986
Amended on January 1, 1987
Amended on April 1, 1987

010582

EXHIBIT

APR 14 1987 NO. 17

STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 17

STATE BUDGET & CONTROL BOARD

OTHER FACILITIES/PROGRAMS:

Alston Wilkes Society - Greenville	_____
- Columbia	_____
Authorized Absence	_____
Byrnes Clinical Center	_____
Commissioner Leeke's Home	_____
Designated Facilities	_____
Extended Work Release Program	_____
Governor's Mansion	_____
Hospital Facilities (civilian, VA, etc.)	_____
Interstate Corrections Compact (in other states)	_____
Provisional Parole	_____
SLED	_____
Stoney Building Hospital Safekeepers	_____
Supervised Furlough	_____
TOTAL	_____

EXHIBIT

APR 14 1987 NO. 18

STATE BUDGET AND CONTROL BOARD STATE BUDGET & CONTROL BOARD ULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 6

AGENCY: Department of Corrections

SUBJECT: Request for Additional Prison Facilities

Attachment 1 compares and reconciles key data for the Department's previous request (1,826 new beds at a cost of $84.3 million) presented to the Board on March 24 and the revised request (1,434 new beds at a cost of $54.3 million) of April 8.

On April 7, the Board of Corrections approved the double-celling of 208 cells of 100 square feet each at the Marlboro and the Allendale institutions, both of which are in the very early stages of construction. Required changes to the Department's prototypical design to enlarge 208 cells to 100 square feet can be paid for within the funds authorized for these projects, but the 208 added inmates will cause an increase in operating costs at each institution.

The 416 beds gained in this way, coupled with an additional 208 beds to be gained by applying this concept to a requested facility (also being increased from 600 to 808 beds), allows the agency to withdraw one of the two previously-requested 600-bed facilities which are estimated to cost $30 million each.

Attachment 2 reflects the effect of the Department's revised request on the currently-approved priority group release schedule. A problem is posed in 1987-88 if the $85 million allocation level is to be maintained for that fiscal year.

Attachment 3 represents a staff recommendation for each of the four facilities requested by the Department of Corrections.

BOARD ACTION REQUESTED:

Consider.

ATTACHMENTS:

Referenced attachments

010584

N04 Department of Corrections
Request for Capital Improvement Bond Funds to be Authorized in 1987

Priority Number	Priority Title	Number of Beds	Total Projected Cost	Annual Personal Svc Operating Cost	Annual Other Operating Cost	Annual Total State Operating Cost	Gross State Average Annual Operating Cost per Bed	State New FTEs
		Comparison of Request Proposals						
1.	**REQUEST AS SUBMITTED FEBRUARY 6, 1987**							
1	Medium Security Prison	600	30,000,000	6,453,488	2,300,000	8,753,488	14,589	331.50
2	Medium Security Prison	600	30,000,000	6,453,488	2,300,000	8,753,488	14,589	331.50
3	240-Bed Minimum Security Prison (Female)	240	10,500,000	3,010,694	755,520	3,766,214	15,693	132.00
4	Kirkland Correctional Institution 50-Bed Maximum Security Unit	50	1,800,000	980,944	157,400	1,138,344	22,767	45.00
5	336-Bed Minimum Security Prison (Male)	336	12,000,000	3,688,839	1,057,728	4,746,567	14,127	162.00
	TOTAL REQUEST FEBRUARY 6, 1987	1,826	84,300,000	20,587,453	6,570,648	27,158,101		1,002.00
2.	**REQUEST AS REVISED APRIL 8, 1987**							
1	Medium Security Prison	808	30,000,000	5,662,101	3,801,312	9,463,413	11,712	327.00
2	240-Bed Minimum Security Prison (Female)	240	10,500,000	3,010,694	755,520	3,766,214	15,693	132.00
3	Kirkland Correctional Institution 50-Bed Maximum Security Unit	50	1,800,000	980,944	157,400	1,138,344	22,767	45.00
4	336-Bed Minimum Security Prison (Male)	336	12,000,000	3,688,839	1,057,728	4,746,567	14,127	162.00
	TOTAL REVISED REQUEST APRIL 8, 1987	1,434	54,300,000	13,342,578	5,771,960	19,114,538		666.00

3. RECONCILIATION OF DIFFERENCE IN BED CONSTRUCTION

February 6 requested number of beds.....	1,826
April 8 revised requested number of beds.....	1,434
Reduction in beds requested.....	392

The 392 bed request reduction will be more than offset by 416 beds which will be gained in facilities now under construction. At its meeting of April 7, 1987, the Board of Corrections approved double-celling in 208 cells in the Allendale and Marlboro facilities. The necessary changes in the construction to increase double-cells to 100 square feet are affordable within the amounts authorized for these two projects. However, there will be an additional increase on operating cost for these two institutions of approximately $1,000,000 each beginning in FY1988-89.

BCB/OED:nl
April 1987

010585

EXHIBIT NO. 18
APR 14 1987
STATE BUDGET & CONTROL BOARD

ATTACH 1

N04 DEPARTMENT OF CORRECTIONS

PROPOSED DRAWS AND CURRENTLY SCHEDULED RELEASES OF AUTHORIZED FUNDS

Item	Group 11 (Jy-Dc 87) First Draw	Group 12 (Ja-Jn 88) Second Draw	Group 13 (Jy-Dc 88) Third Draw	Group 14 (Ja-Jn 89) Fourth Draw	Group 15 (Jy-Dc 89) Fifth Draw	Group 16 (Ja-Jn 90) Sixth Draw	Total
808 Med/Max	2,000,000.00	5,000,000.00	9,000,000.00	9,000,000.00	5,000,000.00	.00	30,000,000.00
240 Bed Min. (Female)	1,000,000.00	2,000,000.00	2,500,000.00	3,000,000.00	2,000,000.00	.00	10,500,000.00
50 Bed Max. KCI	600,000.00	1,200,000.00	.00	.00	.00	.00	1,800,000.00
336 Bed Min. (Male)	900,000.00	2,000,000.00	2,700,000.00	3,600,000.00	2,800,000.00	.00	12,000,000.00
SUBTOTALS	4,500,000.00	10,200,000.00	14,200,000.00	15,600,000.00	9,800,000.00	.00	54,300,000.00
Proposed Draws by FY	14,700,000.00		29,800,000.00		9,800,000.00		54,300,000.00
Future Scheduled Releases of Currently Authorized Funds	47,957,835.00	36,363,077.00	32,599,428.00	20,438,372.00	16,022,500.00	12,277,000.00	165,658,212.00
Scheduled Releases by FY	84,320,912.00		53,037,800.00		28,299,500.00		165,658,212.00
Total Proposed & Currently Scheduled	99,020,912.00		82,837,800.00		38,099,500.00		219,958,212.00

010586

EXHIBIT

APR 14 1987 NO. 18

STATE BUDGET & CONTROL BOARD

ATTACH 2

BCB/OED:nl
April 1987

EXHIBIT

APR 14 1987 NO. 18

STATE BUDGET & CONTROL BOARD

Attachment 3

Staff Recommendations:

Department of Corrections
1987 Request for Capital Improvement Bond Funds

Requested: Priority 1, Medium Security Prison - 808 Beds, $30,000,000.

Recommendation: Approve as requested with the following proviso:

Provided, that, if the Budget and Control Board and the Joint Bond Review Committee determine that the Department of Corrections, as a result of negotiations of the terms of the Nelson suit consent decree and the exercise of emergency executive authority under the Prison Overcrowding Powers Act, or of a combination thereof, is allowed to house additional inmates by double-celling in certain of its facilities or is allowed to reduce the number of inmates in its custody through early release, this authorization must be reduced accordingly to reflect the impacts of both the added housing capacity resulting from being allowed to double-cell and the reduced number of inmates resulting from early release.

Explanation: The Department is currently preparing to meet with the Nelson mediator to seek authority to double-cell in Lieber, McCormick and Broad River institutions. Double-celling would gain 252 beds at each institution, for a total gain of 756 beds. This gain, in effect, would eliminate the need to construct any additional medium security beds based on projected needs through the compliance date of January 8, 1990.

Requested: Priority 2, Minimum Security Prison (Female) - 240 Beds, $10,500,000.

Recommendation: Approve as requested.

Explanation: This item is necessary based on current projected population trends. Programming, support, and infrastructure as designed will allow for the addition of 3 additional 96-bed dormitories in the future to provide for a capacity of 528 inmates.

Requested: Priority 3, Kirkland Correctional Institution, Maximum Security Unit - 50 Beds, $1,800,000.

Recommendation: Disapprove item, but include the following proviso:

Provided further, that, it is the intent of the General Assembly that a 50-bed maximum security unit be constructed and maintained as soon as possible at Kirkland Correctional Institution. To finance this facility, the Department is authorized to utilize up to $1,800,000 of any funds remaining following the completion of previously-authorized medium security facilities.

Explanation: The agency will realize savings on current projects at a level which will provide for this project without the need for additional authorization.

010587

Staff Recommendations:

Department of Corrections
1987 Request for Capital Improvement Bond Funds

Requested: Priority 4, Minimum Security Prison (Male) - 336 Beds, $12,000,000.

Recommendation: Approve as requested.

Explanation: This item is necessary based on current projected population trends. Programming, support and infrastructure as designed will allow for the addition of 2 additional 96-bed dormitories in the future to provide for a capacity of 528 inmates.

Total Amount Requested: $ 54,300,000

Total Amount Recommended: $ 52,500,000

EXHIBIT
APR 14 1987 NO. 18
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 18
STATE BUDGET & CONTROL BOARD

010588

EXHIBIT

APR 14 1987 NO. 19

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 7

AGENCY: Retirement Systems

SUBJECT: State Health Insurance Program (July 1, 1987 - December 31, 1988)

The Retirement Systems Division advises that the House of Representatives has approved a 16% rate increase for the employer's portion of the State Health Insurance Program for fiscal year 1987-88 as was recommended by the Board.

In addition, Mr. Mark Peavy, actuarial consultant of Towers, Perrin, Forster and Crosby, has recommended that the Board institute a $150 per occurrence in-hospital deductible to replace the current $15 per day deductible for the first ten days of hospitalization.

The Division recommends that the Board approve a 16% rate increase for the employee portion of the program on the condition that this increase also is approved by the Senate and is in the appropriations bill as signed by the Governor. Board approval of the change in benefits of a $150 in-hospital deductible also is recommended by the Division.

BOARD ACTION REQUESTED:

(a) Approve a 16% rate increase for the employee portion of the State Health Insurance Program to match the employer portion increase approved by the House, on the condition that this amount is approved by the Senate and is in the appropriations bill as signed by the Governor; and (b) approve a change in program benefits to require a $150 in-hospital deductible, as recommended by the Retirement Systems.

ATTACHMENTS:

Agenda item worksheet; Peavy March 31 letter to Collins

010589

APR - 7 1987

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ *Blue Agenda*
XX *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: South Carolina Retirement Systems
   (b) Authorized Official Signature: Paul W. Collins

2. *Subject:*
   State Health Insurance Program - July 1, 1987 to December 31, 1988

3. *Summary Background Information:*

   The House of Representatives has approved a 16% rate increase for the State Health Insurance Program for FY87-88. The Board is asked to approve this employer increase and a 16% employee rate increase on the condition that this amount is approved by the Senate and the Appropriations Bill is signed by the Governor.

   Additionally, Mr. Mark Peavy, actuarial consultant, of Towers, Perrin, Forster and Crosby, has recommended that we institute a $150 per occurrence in-hospital deductible to replace the current $15 per day deductible for the first 10 days of hospitalization.

EXHIBIT

APR 14 1987 NO. 19

STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

   To approve the 16% rate increase and the change in benefits of a $150 in-hospital deductible.

5. *What is recommendation of the Board Division involved?*

   Approve changes as stated above.

6. *Recommendation of other office (as required)?*

   (a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
(1) Letter from Mr. Mark D. Peavy. (2) July 1, 1987 through December 31, 1988 State Health Insurance Rates.	

010590

TOWERS, PERRIN, FORSTER & CROSBY
IBM BUILDING, SUITE 200
815 SOUTH MAIN STREET
JACKSONVILLE, FLORIDA 32207
(904) 398-5661

EXHIBIT

APR 14 1987 NO. 19

STATE BUDGET & CONTROL BOARD

March 31, 1987

Mr. Purvis W. Collins
Director
State of South Carolina
Retirement System
1122 Lady Street
Columbia, SC 29211

Re: **Medical and Dental Benefit Plan**

Dear Mr. Collins:

We have been asked our opinion regarding the financial solvency of the medical and dental benefit plan if a 16% increase in medical contributions is implemented effective July 1, as well as a $150 per confinement deductible. Assuming that recent favorable experience continues, it is our opinion that the plan can be operated on such a basis for the period July 1, 1977 through December 31, 1988. Under this favorable scenario, the goal of establishing a reserve fund blanace of at least 1½ months of paid claims would be met.

It should be pointed out that trend assumptions we are typically using in our current assignments are in the 13% to 15% range. While it is hoped that the recent favorable experience will continue and fund balances well in excess of the $15 - $20 million figure will develop, it should be kept in mind that a return to the higher trend levels indicated above would result in little, if any, additions to the fund balance over the 18 month funding period. This in turn will result in a fund level less than the 1½ months of paid claims considered desirable. Close monitoring of the claims situation should be maintained so that a significant change in the trend can be recognized and responded to as early as possible.

Please call me if there are any further aspects of this issue that you would like to discuss.

Sincerely,

Mark D. Peavy

MDP/lm

cc: Phyllis Beighley
Joseph Mack

EXHIBIT

APR 14 1987 NO. 19

STATE BUDGET & CONTROL BOARD

STATE HEALTH PLAN - MONTHLY PREMIUMS

ACTIVES AND RETIREES

Contract Period July 1, 1987 through December 31, 1988

PLAN A

SUBSCRIBER ONLY	$78.03
SUBSCRIBER/SPOUSE	130.67
SUBSCRIBER/CHILDREN	105.06
FULL FAMILY	154.62

PLAN B

SUBSCRIBER ONLY	$86.83
SUBSCRIBER/SPOUSE	139.70
SUBSCRIBER/CHILD	110.91
FULL FAMILY	168.37

010592

EXHIBIT

APR 14 1987 NO. 20

STATE BUDGET AND CONTROL BOARD

STATE BUDGET & CONTROL BOARD REGULAR SESSION 8

MEETING OF April 14, 1987 ITEM NUMBER

AGENCY: Local Government Division

SUBJECT: Rural Improvement Funds Requests

The Division of Local Government has received the following requests for rural improvement funds:

(a) Anderson County, $50,000 to assist in funding the infrastructure (water and sewer lines) improvements at the new Clemson Research Park. The total cost of the project is $1,000,000 ($694,000 ARC, $256,000 Anderson County, $50,000 rural improvement funds). The $50,000 grant will be 50% Senate and 50% House funds.

The Division recommends approval of this request.

(b) Town of Williston, $125,000 to make necessary improvements to water system (currently under DHEC Consent Order 85-65-WS). The total cost of the project is $125,000. The grant will be 100% Senate funds.

The Division recommends that the Board approve a grant of $50,000 for this project.

BOARD ACTION REQUESTED:

Approve the following grants of rural improvement funds as recommended by the Divison of Local Government: (a) $50,000 to Anderson County; and (b) $50,000 to the Town of Willison.

ATTACHMENTS:

Agenda item worksheets and attachments

010593

APR - 8 1987

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: B&C BD--Local Government
   (b) Authorized Official Signature: M. A. Dentlodge

2. *Subject:* Request by Anderson County for Rural Improvement Funds

3. *Summary Background Information:*

Anderson County is requesting $50,000 in Rural Improvement Funds to assist in funding the infrastructure (water and sewer lines) improvements at the new Clemson Research Park. The total cost of this project is $1,000,000 with proposed financing as follows:

ARC	$ 694,000
Anderson County	256,000
Rural Improvement Funds	50,000
TOTAL	$1,000,000

½ Senate; ½ House

EXHIBIT

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

Approve request

5. *What is recommendation of the Board Division involved?*

6. *Recommendation of other office (as required)?*

(a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
Attached application	

010594

EXHIBIT

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENTS

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

APPLICATION FOR RURAL IMPROVEMENT FUNDS

NAME OF APPLICANT *Anderson County*

STREET ADDRESS *Post Office Box 4046*

CITY OR TOWN *Anderson* STATE *S. C.* ZIP *29622*

Application must be completed in full. Submit original and one copy.

Description of Project:

The project will assist in funding the infrastructure improvements at the new Clemson Research Park on S. C. 187. The improvements consist of water and sewer lines.

Anticipated Results of Projects:

The result will be the installation of three lines and allow industry to locate in one of the states three major research and development parks. Clemson University is moving its computer operation center to the park in August, 1987.

Source of Funding (Federal, State, Local, Private donations, etc...)

Appalachian Regional Commission (in hand)	*$694,000.00*
Anderson County (in hand)	*256,000.00*
Rural Improvement Funds (Requested)	*50,000.00* ✓
	$1,000,000.00

010595

EXHIBIT

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

Anticipated Expenditures (IN DETAIL)

Water, Sewer, Engineering - - - - $1,000,000.00

If approved, when will you need the funds? Date: *1-25-87* $ *50,000.00*

House Funds $ *25,000.00*

Senate Funds $ *25,000.00*

TOTAL $

General Information

A. Unencumbered General Fund Balance *1.8 mill as of June 30, 1986*

B. How often is the applicant audited? *Annually*

C. What period was covered by the last audit? *Fiscal Year 1985-86*

D. What audit procedures will apply to Rural Improvement funds? *Standard County Audit Procedures*

E. Name and Title of individual responsible for administration of Grant: *W. Russell Burns* Title *Exe. Dir., Planning & Development Board*

Approved by:
(Legislative Approval)

Senator T. Ed Garrison

Senator Charles L. Powell

Senator Alexander S. Macaulay

Rep. Patrick B. Harris

Rep. Ronald P. Townsend

Rep. M. J. Cooper

Rep. Thomas C. Alexander

Rep. Robert McLellan

Rep. John W. Tucker, Jr.

Rep. C. D. Chamblee

~~*Rep. Robert O. Kay*~~

Organization *COUNTY OF ANDERSON*

Authorized Signature *J. David Watson* Title *COUNTY ADMINISTRATOR*

J. DAVID WATSON

Phone *260-4031* Date

ALL FUNDS ARE SUBJECT TO RANDOM AUDIT

010596

APR - 8 1987

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: B&C BD--Local Government
   (b) Authorized Official Signature: M. A. Dulloday

2. *Subject:* Request by the town of Williston (Barnwell County) for Rural Improvement Funds

3. *Summary Background Information:*

The town of Williston is currently under a DHEC Consent Order (85-65-WS). Williston is requesting $125,000 in Rural Improvement Funds to make the necessary improvements to the water system. The total cost of the project is $125,000.

Senate funds

EXHIBIT

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

Approve request

5. *What is recommendation of the Board Division involved?*

The Division of Local Government is recommending that the Board approve $50,000 for this project. We will discuss this at the meeting

6. *Recommendation of other office (as required)?*

(a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
Attached application	

010597

EXHIBIT

BUDGET AND CONTROL BOARD
DIVISION OF LOCAL GOVERNMENTS

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

APPLICATION FOR RURAL IMPROVEMENT FUNDS

NAME OF APPLICANT TOWN OF WILLISTON

STREET ADDRESS P. O. BOX 414, Williston, South Carolina 29853

CITY OR TOWN Williston STATE S C ZIP 29853

Application must be completed in full. Submit original and one copy.

Description of Project:

The Town of Williston was issued a Consent Order. (85-65-WS) by the Department of Health and Environmental Control, compliance date is March 1987. This Consent Order requires the Town to improve the fire flow by replacing and extending existing waterlines. At this time, the Town has obtained the plans and specs, as well as all necessary easements, and is in the process of seeking bids for the project.

Anticipated Results of Projects:

The waterline repairs and replacements will benefit the general public's helath and safety by vastly improving the flow of water in the event of fire.

Source of Funding (Federal, State, Local, Private donations, etc...)

Currently, the Town of Williston is involved in the construction of a 5.2 million dollar wastewater treatment plant, thus any available funds are encumbered. Therefore, the Town respectfully requests $125,000.00 from the Rural Improvement Fund to complete the necessary waterline improvements for compliance of the Consent Order.

010598

EXHIBIT

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

Anticipated Expenditures (IN DETAIL)

The following is a breakdown of the cost of the project:

A)	Construction	$100,000.00
B)	Contingency	10,000.00
C)	Basic Engineering Services	10,500.00
D)	Right-of-Way Acquisition	3,500.00
E)	Legal Services	1,000.00
	TOTAL	$125,000.00

If approved, when will you need the funds? Date: 9/1/86 $125,000.00

House Funds $ _____

Senate Funds $ 125,000.00

TOTAL $ 125,000.00

EXHIBIT

APR 14 1987 NO. 20

STATE BUDGET & CONTROL BOARD

General Information

A. Unencumbered General Fund Balance $10,000.00

B. How often is the applicant audited? Annually

C. What period was covered by the last audit? 9/1/84 - 8/30/85

D. What audit procedures will apply to Rural Improvement funds? The funds will be included in the Town's annual audit.

E. Name and Title of individual responsible for administration of Grant:
Thomas B. Brady Title Mayor

Approved by:
(Legislative Approval)
Marshall B. Williams

Organization TOWN OF WILLISTON

Authorized Signature Thomas B. Brady Title Mayor

Phone (803) 266-7015 Date 8/29/86

ALL FUNDS ARE SUBJECT TO RANDOM AUDIT

010599

MAR 11 [illegible]

EXHIBIT

APR 14 1987 NO. 21

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 9

AGENCY: Budget Division

SUBJECT: Personal Service Funds Transfer, Youth Services

The Budget Division recommends approval of the Department of Youth Services request to transfer $448,013 of general fund personal service funds to other operating expenses to avoid a projected deficit in the operating budget and to provide basic levels of care and security to juveniles in custody.

BOARD ACTION REQUESTED:

Authorize the Department of Youth Services to transfer of $448,013 of general fund personal service funds to other operating expenses.

ATTACHMENTS:

Agenda item worksheet and attachments

010600

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (12/84)

87-72

Meeting Scheduled for: April 14, 1987 Regular Agenda

1. Submitted By:
   (a) Agency: State Budget Division
   (b) Authorized Official Signature: A Baron Holmes IV

2. Subject:
   Department of Youth Services request to transfer appropriated General Fund Personal Service to Other Operating Expenses

3. Summary Background Information:
   The Department of Youth Services requests authorization to transfer $448,013 of appropriated General Fund Personal Service funding to Other Operating Expenses. This transfer is needed to avoid a projected deficit in the agency Other Operating Expenses budget and to provide basic levels of care and security to juveniles in its custody.

4. What is Board asked to do?
   To approve the transfer of $448,013 of appropriated General Fund Personal Service funding to Other Operating Expenses.

5. What is recommendation of Board Division involved?
   Recommend approval

   Allan Kincaid
   Allan Kincaid

6. Recommendation of other Division/agency (as required)?

   (a) Authorized Signature:
   (b) Division/Agency Name:

7. Supporting Documents:
   (a) List Those Attached:
      1. Agency letter
      2. Transfer Form #30

   (b) List Those Not Attached But Available From Submitter:

EXHIBIT

APR 14 1987 NO. 21

STATE BUDGET & CONTROL BOARD

010601

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUME
N 12	T 046	13150	896,026.00			

AGENCY VOUCHER NUMBER: S 18

CG WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

AGENCY TRANSFERRED TO (CR)
NAME: Dept. Youth Services
ADDRESS:

AGENCY TRANSFERRED FROM (D)
NAME: Dept. Youth Services
ADDRESS:

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No commitment should be made in anticipation of the approval of a transfer.

EXHIBIT

APR 14 1987 NO. 21

REASON FOR TRANSFER: STATE BUDGET & CONTROL BOARD

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	350	N12	3251	1001							0158		93,010.00	
09	350	N12	3254	1001							0158		20,660.00	
09	350	N12	3254	1001							0160		102,957.00	
09	350	N12	3256	1001							0158		82,924.00	
09	350	N12	3257	1001							0158		64,645.00	
09	350	N12	3745	1001							0158		10,804.00	
09	350	N12	1491	1001							1300		73,013.00	
										TOTAL	2250		448,013.00	

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	N12	3251	1001							0300		47,916.00	
09	300	N12	3251	1001							2300		3,788.00	
09	300	N12	3256	1001							0200		72,469.00	
09	300	N12	3257	1001							0200		11,375.00	
09	300	N12	3257	1001							0400		10,723.00	
09	300	N12	3257	1001							1100		14,372.00	
09	300	N12	3257	1001							2300		1,295.00	
										TOTAL			(cont.)	

010602

AGENCY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUME
N 12	T 046	13150	896,026.00			

AGENCY VOUCHER NUMBER: S 18

C G WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD - FINANCE DIVISION

APPROPRIATION TRANSFER

AGENCY TRANSFERRED TO (CR)
NAME: Dept. Youth Services
ADDRESS:

AGENCY TRANSFERRED FROM (D
NAME: Dept. Youth Services
ADDRESS:

EXHIBIT

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

APR 14 1987 NO. 21

REASON FOR TRANSFER: STATE BUDGET & CONTROL BOARD

FROM

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	AGENCY REFERENCE NUMBER	OBJECT CODE	TRANSACTION AMOUNT	MULTI PURPOSE CODE
									TOTAL			

TO

FM	TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	AGENCY REFERENCE NUMBER	OBJECT CODE	TRANSACTION AMOUNT	MULTI PURPOSE CODE
09	300	N12	3745	1001						0300	38,797.00	
09	300	N12	3745	1001						0400	3,655.00	
09	300	N12	3745	1001						1100	235,940.00	
09	300	N12	3745	1001						2300	7,683.00	
									TOTAL	10900	448.013.00	

REQUESTED BY Kathryn S Mosley DATE March 10, 1987 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

010603

STATE AUDITOR _____ DATE _____

ARS FORM 30 [illegible] 80

Submit original and 3 copies

South Carolina Department of Youth Services

Harry W. Davis, Jr.
Commissioner

Youth Services
P.O. Box 7367/Columbia, S.C. 29202
Telephone (803) 758-3610

MEMORANDUM

TO: Baron Holmes

FROM: Nic Church, Deputy Commissioner

SUBJECT: Attached Transfer

DATE: March 17, 1987

Throughout this Fiscal Year, my staff and I have carefully monitored the Department's budget to ensure that we will conclude FY 86-87 without exceeding the resources allocated to us. You are well briefed on our budget condition and probably know that in order to stay within our means, we have had to carry a vacancy rate that has averaged in excess of 10% of our employment levels. As part of our plan to maintain a "balanced" budget, as your Office has been aware, we have anticipated for some time the need to transfer a portion of our personnel funds into operating accounts to avoid a projected deficit in our operating budget and provide basic levels of care and security to juveniles in our custody. Attached to this memorandum is a transfer request which we believe will enable the department to conduct business through the balance of FY 86-87 in as an effective manner as possible.

Any transfer from personnel represents a reluctant decision on our part to limit staff in order to provide reasonable support to existing programs. We applaud the decision of your budget office and the State Budget and Control Board to exempt Youth Services from mid-year budget cuts in FY 86-87 and are determined to do our part to ensure physical integrity by making hard decisions when necessary. The attached transfer reflects one of those hard decisions. Given our overcrowded conditions, each staff position is important. Nevertheless it would be a diseconomy to strip operating budgets in lieu of additional staff, and unacceptable to allow our total budget to be overspent if at all avoidable.

We consider this transfer request to be a temporary action, provided relief is granted the department in FY 87-88 through increased operating appropriations. At this time we expect additional pressure to be felt on our operating budget in FY 87-88 through the normal impact of inflation and a continued deterioration of our physical plant. Therefore, without some budgetary relief, we would anticipate a larger shift from personnel to operating in FY 87-88, resulting in even higher vacancy rates at

010604

Youth Services. That would be a tragedy given the continued increase in our client intakes at the community level and in our institutional programs. We intend to work closely with the legislature and your office during the budget formulation process to bring the facts of our situation to the attention of decision makers in hopes that some relief will be granted.

NC/ja

Attachment

cc: Allan Kincaid

EXHIBIT

APR 14 1987 NO. 21

STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 21

STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 22

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD SECOND SESSION

MEETING OF April 14, 1987 ITEM NUMBER 10

AGENCY: Budget Division

SUBJECT: Transfer Requests, Youth Services

The Budget Division recommends approval of the Department of Youth Services request to transfer $162,951 from the Emotionally Handicapped Project to other agency programs to avoid an operating deficit.

The Division advises that the Department was appropriated $400,000 for the Emotionally Handicapped Project, a special line item in the 1986-87 Appropriation Act. The Department has determined that it will be able to spend approximately $237,049 for the Project and requests authorization to transfer the balance.

The Division advises that, as this request is a change in legislative intent, Board approval is required.

BOARD ACTION REQUESTED:

Authorize the Department of Youth Services to transfer $162,951 from the Emotionally Handicapped Projec tto other agency programs to avoid an anticipated operating deficit.

ATTACHMENTS:

Agenda item worksheet and attachments

010606

EXHIBIT

APR 14 1987 NO. 22

STATE BUDGET & CONTROL BOARD

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (12/84)

87-73

Meeting Scheduled for: April 14, 1987 Regular Agenda

1. Submitted By:
   (a) Agency: State Budget Division
   (b) Authorized Official Signature: A Baron Holmes IV

2. Subject:
   Department of Youth Services request to transfer appropriated General Funds

3. Summary Background Information:
   The Department of Youth Services requests authorization to transfer $162,951 from the Emotionally Handicapped Project to other agency programs to avoid an operating deficit. The agency was appropriated Four Hundred Thousand Dollars for the Emotionally Handicapped Project, special line item, in the 1986-87 Appropriation Act. The agency has determined that it will be able to spend approximately $237,049 for the Emotionally Handicapped Project and requests authorization to transfer the balance. This request is a change in Legislative intent and, therefore, needs the Budget and Control Board approval.

4. What is Board asked to do?
   Approve the transfer of $162,951 from the Emotionally Handicapped Project to other agency programs to avoid anticipated operating deficit.

5. What is recommendation of Board Division involved?
   Recommend Approval

   Allan Kincaid
   Allan Kincaid

6. Recommendation of other Division/agency (as required)?

   (a) Authorized Signature:
   (b) Division/Agency Name:

7. Supporting Documents:
   (a) List Those Attached:
      1. Agency Letter
      2. Transfer Form #30

   (b) List Those Not Attached But Available From Submitter:

010607

CY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
12	T-027	13934	800,000.00			3

AGENCY VOUCHER NUMBER: S-10

AGENCY TRANSFERRED TO (CR):
t. Youth Services
ESS

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

C G WARRANT NUMBER

AGENCY TRANSFERRED FROM (DR)
NAME: Dept. Youth Services
ADDRESS

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer.

ON FOR TRANSFER:

M

TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
350	N12	4549	1001							6100		400,000.00		
									TOTAL	6100		400,000.00		

EXHIBIT
APR 14 1987 NO. 22
STATE BUDGET & CONTROL BOARD

TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
300	N12	3250	1001							0158		10,583.00		
300	N12	3250	1001							0200		5,000.00		
300	N12	3250	1001							0300		2,000.00		
300	N12	3250	1001							0500		3,000.00		
300	N12	3250	1001							0600		4,000.00		
300	N12	3254	1001							0158		86,709.00		
300	N12	3254	1001							0160		95,834.00		
									TOTAL			(cont)		

010608

PAGE ____ OF ____

LY NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
12	T-027	13934	800,000.00			3

GENCY VOUCHER NUMBER: S-10

CG WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

ENCY TRANSFERRED TO (CR):
~~Youth Services~~

AGENCY TRANSFERRED FROM (DR):
NAME: Dept. Youth Services
ADDRESS:

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer

ON FOR TRANSFER: _____

_____

TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT POSTING	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT POSTING	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
										TOTAL				

EXHIBIT

APR 14 1987 NO. 22

STATE BUDGET & CONTROL BOARD

TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT POSTING	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT POSTING	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
300	N12	3254	1001							0200		31,405.00		
300	N12	3254	1001							0300		50,000.00		
300	N12	3254	1001							0500		2,000.00		
300	N12	3254	1001							0600		25,000.00		
300	N12	3257	1001							0158		10,583.00		
300	N12	3257	1001							0200		5,000.00		
300	N12	3257	1001							0300		2,000.00		
										TOTAL		(cont)		

010609

PAGE _____ OF _____

Y NUMBER	AGENCY BATCH NUMBER	OBJECT CODE HASH TOTAL	TOTAL BATCH AMOUNT	BATCH DATE	BATCH NUMBER	DOCUMENT
2	T-027	13,934	800,000.00			3

AGENCY VOUCHER NUMBER: S-10

CG WARRANT NUMBER:

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD · FINANCE DIVISION

APPROPRIATION TRANSFER

AGENCY TRANSFERRED TO (CR): :.Youth Services

ADDRESS:

AGENCY TRANSFERRED FROM (DR): NAME Dept.Youth Services

ADDRESS:

TO REQUESTING AGENCY:
This form must be supported with documentation indicating the reason for the transfer. No committment should be made in anticipation of the approval of a transfer

ON FOR TRANSFER: _____

TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
										TOTAL				

TRANS CODE	AGCY NO	MINI CODE	SUB FUND CODE	SUB SIDIARY ACCOUNT NO	ENCUM BRANCE NO	MOD	PROJECT CODE	PROJECT	AGENCY REFERENCE NUMBER	OBJECT CODE	OBJECT	TRANSACTION AMOUNT	MULTI PURPOSE CODE	CGR
300	N12	3257	1001							0500		2,000.00		
300	N12	3257	1001							0600		5,000.00		
300	N12	3257	1001							1100		20,000.00		
300	N12	1491	1001							1300		39,886.00		
										TOTAL	7834	400,000.00		

ESTED BY Kathryn S Kirby DATE 11-05-86 STATE BUDGET ANALYST _____ DATE _____

To the Comptroller General and Treasurer: By unanimous approval of the Budget and Control Board, the above appropriation transfers are authorized

STATE AUDITOR 010610 DATE _____

S FORM 30 10 1 80

Submit original and 3 copies

South Carolina Department of Youth Services

Harry W. Davis, Jr.
Commissioner

Youth Services
P.O. Box 7367/Columbia, S.C. 29202
Telephone (803) 758-3610

April 6, 1987

Mr. Allan Kincaid
Budget Analyst
S. C. Budget Control Board
P. O. Box 11333
Columbia, South Carolina 29211

Dear Allan:

Transfer number T-027 was forwarded to you on November 5, 1986. This transfer reflects the initially anticipated annual expenses of the Emotionally Handicapped Program. It does not reflect the actual use of the funds for this fiscal year.

Since we were unable to fully staff the program or complete the necessary building renovations in time to expend the entire $400,000, the unused funds have been transferred to other programs. Unutilized funds for the Emotionally Handicapped project have been transferred in order to offset our projected deficit. Actual anticipated expenditures for the Emotionally Handicapped project are as follows:

Education

0100 - 103,049
0200 - 20,000
0300 - 24,000
0600 - 70,000
0700 - 20,000

Your review of this transfer and recommendation for approval will be greatly appreciated.

Sincerely,

Susan

Susan DeWitt
Director of Finance

SD:mlh

EXHIBIT
APR 14 1987 NO. 22
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 22
STATE BUDGET & CONTROL BOARD

010611

EXHIBIT

APR 14 1987 NO. 23

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 11

AGENCY: Development Board

SUBJECT: Moving Expenses

The State Development Board requests Board approval to pay the moving expenses of Ronald A. Young, a Spartanburg resident, who has accepted the position of Manager of Enterprise Development.

The Development Board advises that Mr. Young, a South Carolinian, was directing a similar initiative on the local level in Spartanburg and has the depth and understanding of the business formation process.

Development Board Director Holladay says that, as a result of accepting the position with the Development Board, Mr. Young's income was reduced by $30,000 and that he had to phase out his Spartanburg construction company to relocate to Columbia.

Because of the income reductions Mr. Young faced, the Development Board made a commitment to include his moving expenses in his employment offer. That Board now asks Budget and Control Board permission to follow through on that commitment to pay $5,000 of Mr. Young's moving expenses.

Code Section 8-11-135 (copy attached) authorizes State agencies to pay the cost of moving the personal and household effects for newly-employed personnel if five conditions are met. One of the five is that the new employee's place of residence at the time of employment is outside of the State of South Carolina.

BOARD ACTION REQUESTED:

Consider.

ATTACHMENTS:

Holladay March 27 letter to Mr. Morris; Code Section 8-11-135

010612

EXHIBIT
APR 14 1987 NO. 23
STATE BUDGET & CONTROL BOARD

§ 8–11–135. Payment of moving expenses of new employees.

A state agency may pay the cost of moving the personal and household effects for newly-employed personnel if all of the following conditions are met:

(a) The new employee's place of residence is outside of the State of South Carolina at the time of employment by the agency.

(b) The agency can demonstrate that paying these costs is necessary to fill the position.

(c) The maximum payment in any instance to any new employee may not exceed five thousand dollars.

(d) The payment is certified by the agency head (or the board or commission chairman if the new employee is the agency head) as the total paid by the agency toward the total moving cost incurred by the new employee.

(e) The amount certified by the agency is approved by the Budget and Control Board.

HISTORY: 1985 Act No. 201, Part II, § 10.

EXHIBIT

APR 14 1987 NO. 23

STATE BUDGET & CONTROL BOARD

STATE DEVELOPMENT BOARD

POST OFFICE BOX 927

COLUMBIA, SOUTH CAROLINA 29202

J. MAC HOLLADAY
DIRECTOR

TEL. 803/734-1400
TWX NO. 810 666 2628

March 27, 1987

The Honorable Earle E. Morris, Jr.
Comptroller General
P. O. Box 12444
Columbia, SC 29211

Dear Earle:

The State Development Board has been extremely fortunate in securing Ronald A. Young of Spartanburg as Manager of the Enterprise Development Department under the agency's Business Development and Assistance Division. This new department is responsible for providing the leadership, direction and coordination in developing the economic climate throughout South Carolina to support the creation and growth of new business ventures.

The new Enterprise Development Department was announced in August 1986. Realizing the innovative program we were developing and the limited number of professionals who had a full understanding and grasp of this entrepreneurial concept, we pursued a nationwide search to secure the most qualified department manager. Over 250 applicants were screened with leads derived from "networking" with experts in the field of entrepreneurial development across the country. Throughout this process, we were advised of our limitations as a state agency to compete for the qualified candidates and the private sector salaries which averaged a minimum of $70,000.

Fortunately for the agency, Mr. Young, a South Carolinian, was directing a similar initiative on the local level in Spartanburg and had the depth and understanding of the business formation process. Recognizing the unique opportunity and challenge for organizing this comprehensive effort in South Carolina, Mr. Young accepted the position of Manager, Enterprise Development.

In securing Mr. Young, the State Development Board realized the income reductions he faced and committed to include moving expenses in his employment offer. In accepting this position, Mr. Young's income was reduced by $30,000 and was further

impacted by the necessity to phase out his Spartanburg construction company in order to relocate to Columbia.

As a result, we are requesting permission to follow through on our commitment to pay Mr. Young's moving expenses. Estimates have been obtained and budgeted monies are reserved to cover these expenses of $5,000.

Your consideration and approval of this request will be greatly appreciated.

Kindest regards,

J. Mac Holladay

JMH/ecs
Enclosure

EXHIBIT
APR 14 1987 NO. 23
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 23
STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 24

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 12

AGENCY: Commission on Higher Education

SUBJECT: Interviewee Expense Reimbursement

The Commission on Higher Education requests approval to pay airfare expenses of approximately $900 incurred by Mrs. Warren Fox.

On February 13, 1987, Mr. and Mrs Fox. flew from Reno to Columbia at the request of Mrs. Nelle Taylor, Commission Chairperson. Mr. Fox was interviewed by the Commission for the position of Executive Director. Mrs. Fox was asked to accompany Mr. Fox so that she could look around Columbia to see if she were willing to move here if Mr. Fox were offered the position.

Mrs. Taylor had informed Mr. Fox that the Commission would pay Mrs. Fox's airfare and motel expenses.

There is no State law on the subject of interviewee travel reimbursement.

The Board's policy says that travel expenses, within the limits applicable to State employees, may be paid to any individual being considered for employment. This policy does not call for Board approval of reimbursement payments. It does require agencies making such payments to advise the Board of them.

BOARD ACTION REQUESTED:

Consider.

ATTACHMENTS:

Brooks March 30 letter to Coles; Board policy

010616

RECEIVED
APR 2 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

SOUTH CAROLINA COMMISSION ON HIGHER EDUCATION
1333 MAIN STREET
SUITE 650
COLUMBIA, S. C. 29201

CHARLES A. BROOKS, JR.
Interim
Executive Director

TELEPHONE
803/253-6260

March 30, 1987

EXHIBIT
APR 14 1987 NO. 24
STATE BUDGET & CONTROL BOARD

Dr. Jesse Coles
Executive Director
Budget & Control Board
Wade Hampton Building
Columbia, S.C. 29201

Dear Jesse:

On February 13, 1987, Mr. & Mrs. Warren Fox, flew in to Columbia at the request of Mrs. Nelle Taylor, Chairperson of the Commission, for Mr. Fox to interview with the Commission for the position of the Executive Director. Mrs. Fox was also asked to come so that she would have an opportunity to look around Columbia to see if she would be willing to move here if the job was offered to Mr. Fox. Mrs. Taylor informed Mr. Fox that the Commission would pay Mrs. Fox's airfare and motel room. We are requesting Budget & Control Board permission to pay Mrs. Fox's airfare and motel bill, since neither the Commission nor the Comptroller General can authorize this payment.

If you have any questions or need any additional information, please contact me at 253-6260.

Sincerely,

Charles A. Brooks, Jr.

CABjr:spr

010617

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

November 4, 1982

EXHIBIT

APR 14 1987 NO. 24

STATE BUDGET & CONTROL BOARD

MEMORANDUM

TO: Agency Heads

FROM: W. T. Putnam WTP

SUBJECT: Revision of Board's Interviewee Travel Expense Payment Policy

At its meeting on November 3, 1982, the Budget and Control Board amended its policy on the payment of interviewee travel expenses so as to require that a determination be made in each case that qualified candidates residing within South Carolina were considered before candidates from other states were sought.

As revised, the Board's interviewee travel expense payment policy is as follows:

> Travel expenses, within the limitations applicable to State employees, may be paid to any individual being considered for employment by any State government agency if the head of the interviewing agency makes a specific, formal determination in each case: (1) that the significance of the position to be filled is such that it warrants incurring such costs; (2) that such costs would not exceed the expense of conducting the interview at the interviewee's home area or elsewhere; and (3) that qualified candidates residing within South Carolina were considered before candidates from other states were sought.
>
> In any situation where the position to be filled is that of an agency head, the referenced determinations shall be made by the chairman of the board or commission of the interviewing agency.
>
> Not more than thirty days after a determination to pay the travel expenses of an interviewee has been made, a copy of that determination shall be forwarded to the Executive Director of the Budget and Control Board.

dr

cc: Finance Officers

C13010 010618

State of South Carolina

State Budget and Control Board

RICHARD W. RILEY, CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
TOM G. MANGUM
CHAIRMAN, WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

April 27, 1983

MEMORANDUM

TO: Agency Heads

FROM: W. T. Putnam

SUBJECT: Interviewee Travel Expense Payments

In a previous memorandum pertaining to the payment of travel expenses for prospective employees (see copy attached), the Budget and Control Board made no specific mention of the reporting of actual amounts paid for such purposes. Virtually all agencies making this report have included the amounts of such payments to each individual interviewee but a few have omitted this data.

At its meeting on April 26, 1983, the Budget and Control Board requested that the amount of each payment to interviewees be included in the reports.

If you have questions pertaining to this matter, please feel free to contact Donna Williams at 758-3854.

dw
Enclosure

EXHIBIT
APR 14 1987 NO. 24
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 24
STATE BUDGET & CONTROL BOARD

010619

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

Box 12444
Columbia
29211

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

July 14, 1987

Family Farm Development Authority
c/o Mr. Daniel R. McLeod, Jr.
McNair Law Firm, P.A.
Box 11390
Columbia, SC 29211

Dear Mr. McLeod:

Re: Family Farm Development Authority
Agricultural Development Revenue Bonds
(John F. Long Project)

By means of a certificate dated April 14, 1987, executed by State Treasurer Grady L. Patterson, Jr., and me, you were advised that a tentative allocation of $200,000 of the State Ceiling established in the Tax Reform Act of 1986 had been made by the Budget and Control Board for the referenced project in the amount indicated.

The 90-day life of this tentative allocation has now elapsed. Therefore, in accordance with Section 7(F) of Act 117 of 1987, this letter cancels the referenced certificate and its effect is to reduce the $200,000 allocation to zero.

Sincerely,

William A. McInnis
Secretary

WAM:dw

010620

APR 15 1987

The State of South Carolina

EXHIBIT
APR 14 1987 NO. 25
STATE BUDGET & CONTROL BOARD

Office of the Attorney General

T. TRAVIS MEDLOCK
ATTORNEY GENERAL

REMBERT C. DENNIS BUILDING
POST OFFICE BOX 11549
COLUMBIA, S.C. 29211
TELEPHONE 803-734-3680

April 14, 1987

Mr. William A. McInnis
Deputy Executive Director
State Budget and Control Board
Columbia, South Carolina 29201

Re: $200,000 Richland County, South Carolina
State Family Farm Development Revenue Bond
(John F. Long)

Dear Mr. McInnis:

Regarding the above-referenced bond we have reviewed the Petition and other documents submitted to the State Budget and Control Board for its approval pursuant to Sections 46-47-10, et seq., Code of Laws of South Carolina, 1976, as amended, and the same appear, in our opinion, to be in order.

This opinion addresses only the legal sufficiency of the documents you have provided for our review. No opinion is expressed as to any other matters, including whether the Petition should be approved as a matter of policy.

Sincerely yours,

David C. Eckstrom
Assistant Attorney General

DCE/dac

Enclosure

010621

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA)

John F. Long

COUNTY OF RICHLAND)

I, WILLIAM A. McINNIS, SECRETARY to the South Carolina State Budget and Control Board, DO HEREBY CERTIFY:

That the State Budget and Control Board (the Board) is composed of the following:

His Excellency, Carroll A. Campbell, Jr., Governor and Chairman of the Board;

The Honorable Grady L. Patterson, Jr., State Treasurer;

The Honorable Earle E. Morris, Jr., Comptroller General;

The Honorable Rembert C. Dennis, Chairman of the Senate Finance Committee; and

The Honorable Robert N. McLellan, Chairman of the House Ways and Means Committee.

That due notice of a meeting of the Board, called to be held in Columbia, South Carolina, at 9:30 a.m. on Tuesday, April 14, 1987, was given to all members in writing, and at least four (4) days prior to the meeting; that all members of the Board were present at the meeting, with the exception of Senator Dennis (during consideration of this item).

That at the meeting, a Resolution, of which the attached is a true, correct and verbatim copy, was introduced by Mr. Patterson, who moved its adoption; the motion was seconded by Mr. Morris, and upon the vote being taken and recorded it appeared that the following votes were cast:

FOR MOTION	AGAINST MOTION
4	0

That the Chairman thereupon declared the Resolution unanimously adopted and the original thereof has been duly entered in the permanent records of minutes of meetings of the Board in my custody as its Secretary.

April 15, 1987

William A McInnis

010622

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

A RESOLUTION

OF THE STATE BUDGET AND CONTROL BOARD APPROVING THE ISSUANCE BY THE SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY OF A $200,000 AGRICULTURAL DEVELOPMENT BOND (JOHN F. LONG PROJECT), 1987.

WHEREAS, it is provided by the South Carolina State Family Farm Development Act, Section 15 of Act No. 179 of the Acts and Joint Resolutions of the General Assembly of the State of South Carolina, Regular Session of 1981, now codified as Chapter 47, Title 46 of the Code of Laws of South Carolina, 1976, as amended, (the "Act"), that upon approval by the State Budget and Control Board (the "Board"), the South Carolina State Family Farm Development Authority (the "Authority") may borrow money through the issuance of its negotiable bonds in order to finance its programs; and

WHEREAS, the Authority has established a direct loan program (the "Direct Loan Program") as provided in Section 15 of the Act (now codified as section 46-47-90); and

WHEREAS, the Authority has submitted its petition (the "Petition") to the Board requesting approval by the Board of the issuance by the Authority pursuant to the Act of its $200,000 Agricultural Development Bond (John F. Long Project), 1987 (the "Bond"), which Bond has been authorized to be issued pursuant to Resolution No. 87-0003 adopted on March 25, 1987, by the Authority.

WHEREAS, the Bond shall be dated, shall mature, shall bear interest at the rate set forth on Exhibit B attached to the Authority's Petition and shall otherwise contain such terms and conditions as previously authorized by the Resolution of the Authority and shall be sold to Phillip Morris Credit Corporation, in White Plains, New York; and

WHEREAS, the Authority has presented to the Board the Petition which, together with exhibits and schedule thereto attached, sets forth certain information with respect to the Direct Loan Program and the Bond.

WHEREAS, the Board has determined, based upon such information, that the funds estimated to thereafter be available for the repayment of the Bond will be sufficient to provide for the payment of the principal and interest on the Bond as they become due;

NOW, THEREFORE, BE IT RESOLVED BY THE STATE BUDGET AND CONTROL BOARD, AS FOLLOWS:

010623

Section 1. It is found and determined that the facts set forth in the preambles to this Resolution and in the Petition are in all respects true and correct.

Section 2. The Petition filed by the Authority contain all matters required by the Act and the rules of the Board to be set forth therein.

Section 3. Approval is hereby granted by the Board to the issuance and sale by the Authority of its $200,000 Agricultural Development Bond (John F. Long Project), 1987, in order to make a mortgage loan or secured loan to the John F. Long (the "Farmer") to facilitate the acquisition of agricultural land, agricultural improvements and depreciable agricultural property by the Farmer.

The Bond shall be issued and secured as provided in the Resolution of the Authority.

Section 4. This Resolution shall take effect immediately upon its adoption.

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

Dated: April 14, 1987

010624

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

Box 12444
Columbia
29211

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

April 14, 1987

C E R T I F I C A T E

STATE CEILING ON ISSUANCE OF PRIVATE ACTIVITY BONDS

(UNDER TAX REFORM ACT OF 1986)

TENTATIVE ALLOCATION, CALENDAR YEAR 1987

TO: Family Farm Development Authority
$200,000
Agricultural Development Revenue Bonds
(John F. Long Project)

The State Budget and Control Board has made a tentative allocation of the State Ceiling established in the Tax Reform Act of 1986 in the amount indicated to the referenced bonds/notes and project. This allocation is valid for calendar year 1987 only. It will expire on July 13, 1987, which is ninety (90) calendar days from the date the allocation was approved by the Board, if the bonds/notes for which the allocation has been approved have not been issued prior to that time.

Before this tentative allocation becomes final, Section 6(b) of the Governor's Executive Order #87-01, effective January 8, 1987, requires that the exact amount of the bonds/notes being issued be certified to the Board Secretary by the issuing authority **before** the issue is made. In response to that issue amount certificate, the Secretary will issue a certificate which makes the ceiling allocation final.

Grady L. Patterson, Jr.

Attest:

William A. McInnis, Secretary

010625

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA)
)
COUNTY OF RICHLAND)

TO: THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA

PETITION

This Petition of the South Carolina State Family Farm Development Authority (the "Authority") is submitted to the State Budget and Control Board of South Carolina (the "Board") pursuant to the South Carolina State Family Farm Development Authority Act, Section 15 of Act No. 179 of the Acts and Joint Resolutions of the General Assembly of 1981, now codified as Chapter 47 of Title 46 of the Code of Laws of South Carolina, 1976, as amended (the "Act") and respectfully shows:

1. The Act, among other things, provides that following a determination made by the Authority that it is necessary to sell bonds to develop and implement one of the programs authorized by the Act and a finding that the revenues or other moneys estimated to thereafter be available therefor will provide moneys required for the payment of the principal and interest on the bond then proposed to be issued, upon obtaining the approval of the Board pursuant to the Act, the Authority is authorized to issue bonds for such purposes.

2. The Authority has developed its Direct Loan Program for Farmers of the Beneficiary Class.

3. Pursuant to the provisions of Act No. 512 of the Acts and Joint Resolutions for the General Assembly of the State of South Carolina for the year 1984, the State Budget and Control Board and the Joint Bond Review Committee have been assigned certain responsibilities with respect to allocation of the private activity bond ceiling (the "State Ceiling") applicable to the State of South Carolina under the Tax Reform Act of 1986.

4. By resolution duly adopted by the Authority on March 25,1987 (certified copy of which is attached hereto as Exhibit A), the Authority has authorized the issuance of its $200,000 Agricultural Development Bond (John F. Long Project) 1987 (the "Bond") being described on Exhibit B attached hereto.

010626

5. The Bond shall be a special obligation of the Authority secured by and payable solely from the moneys, income and receipts of the Authority to be pledged under a Loan Agreement between the Authority and the Farmer.

6. Attached hereto as Schedule 1 and by reference incorporated herein in its entirety is a schedule showing the annual debt service requirements and the amount and source of revenues available for the payment thereof on all outstanding bonds of the Authority.

7. The information contained in this Petition provides the Board with all the information required by Section 15 of Act No. 179 (1981 Acts) (now codified as Section 46-47-140 of the 1976 Code of Laws of South Carolina, as amended) to be presented by the Authority in connection with the Bond.

8. The Authority stands ready to produce any further information with respect to the Bond or other bonds of the Direct Loan Program required by the Board.

WHEREFORE, on the basis of the foregoing the Authority prays approval of the Board of the issuance and sale of the Authority's Bond in the principal amount and on the terms and conditions prescribed in the Resolution No. 87-0003 as described in this Petition and allocate $200,000 of the State Ceiling for the Bond.

To the best of my knowledge the approval of the John F. Long Project is not made in consideration of any bribe, gift, gratuity, or direct or indirect contributions to any political campaign.

Respectfully submitted,

SOUTH CAROLINA STATE FAMILY
FARM DEVELOPMENT AUTHORITY

By: _____
Clyde B. Livingston,
Executive Director

(SEAL)

April 14, 1987

Copy of Petition also forwarded to Joint Bond Review Committee.

EXHIBIT

APR 14 1987 NO. 25

010627 2

STATE BUDGET & CONTROL BOARD

EXHIBIT A

STATE OF SOUTH CAROLINA)
)
COUNTY OF RICHLAND)

CERTIFIED COPY OF RESOLUTION
NO. 87-0003

I, the undersigned being the duly qualified and acting Executive Director of the South Carolina State Family Farm Development Authority (the "Authority"), do hereby certify that attached hereto is a copy of Resolution No. 87-0003 authorizing the issuance of a $200,000 Agricultural Development Bond (John F. Long Project), 1987, adopted by the Authority at a meeting duly called and held on March 25, 1987, at which a quorum was present and acting throughout, which resolution has been compared by me with the original thereof, and that such resolution has been duly adopted and has not been modified, amended or repealed and is in full force and effect on and as of the date hereof in the form attached hereto.

In witness whereof, I have hereunto set my hand and the official seal of the Authority this 25th day of March, 1987.

SOUTH CAROLINA STATE FAMILY
FARM DEVELOPMENT AUTHORITY

By: _____
Clyde B. Livingston,
Executive Director

(SEAL)

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

010628

RESOLUTION NO. 87-0003

AUTHORIZING THE ISSUANCE OF A $200,000 AGRICULTURAL DEVELOPMENT BOND (JOHN F. LONG PROJECT), 1987, OF THE SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY; APPROVING AND AUTHORIZING THE EXECUTION AND DELIVERY OF A LOAN AGREEMENT AND LENDER LOAN AGREEMENT; APPROVING THE SUBMISSION OF A PETITION TO THE STATE BUDGET AND CONTROL BOARD; AND OTHER MATTERS RELATING THERETO.

BE IT RESOLVED BY THE BOARD OF COMMISSIONERS OF THE SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY, AS FOLLOWS:

Section 1. Findings and Determinations. The Authority hereby finds and determines:

(a) Pursuant to the South Carolina State Family Farm Development Act as amended (the "Act"), the Authority is authorized and empowered to undertake programs which assist farmers of the beneficiary class in acquiring agricultural land, agricultural improvements and/or depreciable agricultural property for the purpose of farming.

(b) The Authority is authorized and has developed under the Act a direct loan program for farmers (the "Direct Loan Program") of the beneficiary class by making mortgage loans or secured loans to such farmers to facilitate the acquisition of agricultural land, agricultural improvements and depreciable agricultural property by such farmers.

(c) The Authority is further authorized to borrow money through the issuance of its negotiable bonds as provided in the Act in order to finance its Direct Loan Program.

(d) The Authority has received a request from John F. Long (the "Borrower") to issue its agricultural development bond for the purpose of facilitating the acquisition of agricultural land, agricultural improvements and/or depreciable agricultural property as more particularly described in the Borrower's Application heretofore submitted to the Authority (the "Project").

(e) In order to raise the sum of $200,000 and loan such moneys to the Borrower under a Loan Agreement hereinafter described, the Authority finds it necessary and in its best interest to sell its agricultural development bond to Phillip Morris Credit Corporation, in White Plains, New York, South Carolina (the "Lender").

EXHIBIT

010629 1 APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

(f) The Authority further finds that:

(i) the Borrower receiving the mortgage loan or secured loan under the Direct Loan Program is a member of the "beneficiary class" as defined in the Act;

(ii) the Borrower satisfies the underwriting and credit standards as have been determined by the proposed regulations of the Authority so as to insure payment of the principal and interest on the Bond; and

(iii) the mortgage loan or secured loan authorized hereby is not otherwise available to the Borrower, wholly or in part, without the assistance of financing under the Direct Loan Program, upon reasonably equivalent terms and conditions.

(g) The Authority has caused to be prepared and presented to this meeting the following documents which the Authority proposes to enter into, execute and deliver:

(i) The form of Loan Agreement by and between the Authority and the Borrower including the form of promissory note of the Borrower;

(ii) The form of Lender Loan Agreement by and between the Authority and the Lender; and

(iii) The form of bond to be executed by the Authority.

It appears that each of the Agreements above referred to is in appropriate form and is an appropriate instrument to be executed and delivered by the Authority for the purposes intended.

(h) It is now necessary and in the best interest of the Authority to authorize the issuance of a $200,000 Agricultural Development Bond, 1987 (John F. Long Project) in order to develop and implement its Direct Loan Program and to authorize the execution and delivery of the aforementioned Loan Agreement, Lender Loan Agreement and other documents relating to this transaction.

Section 2. Authorization. Pursuant to the Act and the Direct Loan Program in order to finance the Project, including financial, legal, administrative and other costs of the Authority and other fees, there is hereby authorized to be issued a $200,000 Agricultural Development Bond, (John F. Long Project) 1987, (the "Bond"), of the Authority. The revenues and other monies estimated to be available will provide monies required for the payment of the principal and interest on the Bond.

010630

Neither the Commissioners of the Authority nor any persons executing the Bond shall be subject to personal liability on the Bond or accountability by reason of the issuance thereof.

The Bond shall be issued in the form submitted at this meeting of the Authority and shall be payable upon such terms and at such interest rate as specified therein with such variations, omissions and insertions as are permitted or required by this Bond Resolution, the Loan Agreement or the Lender Loan Agreement.

The Bond shall be payable in any coin or currency of the United States of America which at the time of payment is legal tender for the payment of public and private debts.

Section 3. Execution. The Bond shall be executed in the name of the Authority by the manual or facsimile signature of its Chairman or Vice Chairman, attested by the manual or facsimile signature of its Executive Director or any person duly designated by the Authority, or in such other manner as may be required by law; provided that at least one of such signatures shall be manual. In case any one or more of the members, officers or employees of the Authority who shall have signed the Bond or whose signature appears on the Bond shall cease to be such member, officer or employee before the Bond is actually delivered, the Bond may, nevertheless, be delivered as herein provided, and may be issued as if the persons who signed it or whose signatures appear thereon had remained in office or remained so employed.

Section 4. Tax Covenant. The Authority shall at all times do and perform all acts and things permitted by law and necessary or desirable in order to assure that interest paid by the Authority on the Bond shall be exempt from all Federal income taxation, particularly the requirements of the Tax Reform Act of 1986 (the "Tax Reform Act").

The Authority covenants and certifies to and for the benefit of the purchaser and holder of the Bond that so long as the Bond remains outstanding, the proceeds thereof will not be used in a manner which will cause the Bond to be classified as an "arbitrage bond" within the meaning of the Tax Reform Act. Pursuant to such covenant, the Authority obligates itself to comply throughout the term of the issue of the Bond with the requirements of the Tax Reform Act, the applicable regulations of the Treasury Department previously promulgated under Section 103 of the Internal Revenue Code of 1954, as amended, and any regulations promulgated thereunder under the Tax Reform Act so long as the Bond is outstanding.

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

010631

Section 5. Approval of Loan Agreement and Lender Loan Agreement. The respective forms, terms and provisions of the Loan Agreement and Lender Loan Agreement presented to this meeting and filed with the records of the Authority be and hereby are approved and all of the terms, provisions and conditions thereof are hereby incorporated herein by reference as if such Agreements were set out in this Bond Resolution in their entirety. The Chairman, Secretary and Executive Director of the Authority be and are hereby authorized, empowered and directed to execute, acknowledge and deliver such Agreements in the name of and on behalf of the Authority, and thereupon to cause such Agreements to be delivered to the Borrower and the Lender. Such Agreements are to be in substantially the form now before this meeting and hereby approved by the officials of the Authority executing the same, their execution thereof to constitute conclusive evidence of their approval of any and all changes or revisions therein from the form of such Agreements now before this meeting.

Section 6. Petition to State Budget and Control Board. The Executive Director of the Authority be and is hereby authorized and directed to submit, by petition, the information required under the Act to the State Budget and Control Board in the name of and on behalf of the Authority.

Section 7. Severability. If any provision of this Bond Resolution shall be held or deemed to be or shall, in fact, be illegal, inoperative or unenforceable, the same shall not affect any other provision or provisions herein contained or render the same invalid, inoperative or unenforceable to any extent whatever.

Section 8. Applicable Provisions of Law. This Bond Resolution shall be governed by and construed in accordance with the laws of the State.

Section 9. No Recourse on Bond. No recourse shall be had for the payment of the principal of or the interest on the Bond or for any claim based thereon or on this Bond Resolution against any member or officer of the Authority or any person executing the Bond.

Section 10. Additional Documents. The Chairman, Secretary and Executive Director of the Authority or either of them, are hereby authorized to execute and deliver on behalf of the Authority the Loan Agreement, Lender Loan Agreement, Bond and such other documents and certificates as are required to accomplish the issuance of the Bond.

Section 11. This Bond Resolution shall be in full force and effect upon its adoption.

010632

Adopted by the South Carolina State Family Farm Development Authority the 25th day of March, 1987.

(SEAL)

Attest.

By: _____
Clyde B. Livingston,
Executive Director

SOUTH CAROLINA STATE FAMILY
FARM DEVELOPMENT AUTHORITY

By: _____
Joseph W. King, Chairman

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

010633

EXHIBIT B

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

Resolution No.: 87-0003

Principal Amount: $200,000

Name of Borrower: John F. Long

Purpose: (Construct one brooder house and two growout houses for turkey production)

Maturity Schedule: Payable in annual installments of principal and interest at 8% per annum for a period of seven (7) years.

Method of Sale: Negotiation

Purchaser: Phillip Morris Credit Corporation

010634

SCHEDULE 1

OUTSTANDING AGRICULTURAL DEVELOPMENT BONDS OF THE
SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY

	DATE	FARMER	PRINCIPAL AMOUNT	MATURITY SCHEDULE	AMOUNT & SOURCE OF REVENUES
1.	5/3/85	Moore	$300,000	120 equal monthly payments of $3,882 each at 9½%.	Payments under a $300,000 Promissory Note dated 5/3/85.
2.	7/24/85	Hall	$ 15,000	2 annual installments at 8%.	Payments under a $15,000 Promissory Note dated 7/24/85.
3.	7/24/85	Woods	$ 43,000	Quarterly payments of $1572 for 10 years at 8%.	Payments under a $43,000 dated 7/24/85.
4.	9/6/85	East	$250,000	138 monthly payments from April 6, 1986; interest (not exceeding 15%).	Payments under a $250,000 Promissory Note dated 9/6/85.
5.	9/6/85	Double H Hog Farm	$ 25,000	3 annual payments at 7.75%.	Payments under a $25,000 Promissory Note dated 9/6/85.
6.	9/10/85	J. R. Bowen	$180,000	48 quarterly payments of $3,750 from March 10, 1986; interest not exceeding 15%.	Payments under a $180,000 Promissory Note dated 9/10/85.

010635

7.	9/18/85	Davis	$125,000	20 equal annual payments of principal of $6,250; interest not exceeding 15%.	Payments under a $125,000 Promissory Note dated 9/18/85.
8.	10/18/85	McPhail	$142,000	5 equal payments per year for 10 years at 8%.	Payments under a $142,000 Promissory Note dated 10/18/85.
9.	10/18/85	Etheredge	$ 17,000	48 equal monthly payments of $354.17; interestest not exceeding 15%.	Payments under a $17,000 Promissory Note dated 10/18/85.
10.	10/18/85	J. R. Bowen	$ 20,000	48 quarterly payments of $416.67 from March 10, 1986; interest not exceeding 15%.	Payments under a $20,000 Promissory Note dated 10/18/85.
11.	11/13/85	Neel's Poultry Farm, Inc.	$300,000	120 equal monthly payments of $3,883 each, from June 13, 1986; at 9.5%.	Payments under a $300,000 Promissory Note dated 11/13/85.
12.	11/27/85	Thomas L. Doremus and Marilyn Doremus	$150,000	120 equal monthly payments of $1,983, from December 27, 1985 at 10%.	Payments under a $150,000 Promissory Note dated 11/27/85.
13.	12/18/85	Riley	$325,000	120 equal monthly payments of $4,117, commencing 7/18/86 at 9%.	Payments under a $325,000 Promissory Note dated 12/18/85.

14.	12/20/85	R. L. Martin	$180,000	Equal monthly payments of $2,307 from 7/20/86 to 12/20/95 at at 8.5% adjusted on 1/20/91 to N/E 15%.	Payments under a $180,000 Promissory Note dated 12/20/85.
15.	12/20/85	Earls	$180,000	Equal monthly payments of $2,307 from 7/20/86 to 12/20/95 at 8.5% adjusted on 1/20/91 to N/E 15%.	Payments under a $180,000 Promissory Note dated 12/20/85.
16.	12/20/85	Bates	$75,000 (1985-A)	120 equal monthly payments of $981 from 1/30/86 at 9.75%.	Payments under a $75,000 Promissory Note dated 12/30/85.
17.	12/30/85	Bates	$85,000 (1985-B)	Equal monthly payments of $806.24 from 1/16/86 to 12/16/95 at 9.75% adjusted on 1/16/96 to N/E 15%.	Payments under a $85,000 Promissory Note dated 12/30/85.
18.	12/20/85	Wheeler	$50,000	40 equal quarterly payments of $1,828 commencing 3/30/86 at 8%.	Payments under a $50,000 Promissory Note dated 12/30/85.
19.	12/30/85	Corder	$42,100	20 equal quarterly payments of $2,650 commencing 6/30/86 at 9.5%.	Payments under a $42,100 Promissory Note dated 12/30/85.

20.	12/21/85	G. E. Martin	$45,000	28 equal quarterly payments of $2,184 commencing 4/1/86 at 9%.	Payments under a $45,000 Promissory Note dated 12/31/85.
21.	12/31/85	Greene	$25,000	84 equal monthly payments of $378.85 commencing 1/30/86 at 7.125%.	Payments under a $25,000 Promissory Note dated 12/31/85.
22.	12/31/85	Ables	$225,000	40 equal quarterly payments of principal in the amount of $5,625 from 4/1/86 plus interest at N/E 15%.	Payments under a $225,000 Promissory Note dated 12/31/85.
23.	12/31/85	Myers	$105,000	40 equal quarterly payments of principal in the amount of $2,625 from 7/1/86 plus interest at N/E 15%.	Payments under a $105,000 Promissory Note dated 12/31/85.
24.	1/30/86	Brown	$220,000	28 equal quarterly payments of $10,535 commencing 7/25/86 at 8%.	Payments under a $220,000 Promissory Note dated 1/30/86.
25.	2/5/86	Kesler	$350,000	120 equal monthly payments of $4,434 commencing 9/5/86 at 9%.	Payments under a $350,000 Promissory Note dated 2/5/86.

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

010638

26.	2/25/86	Paradice	$180,000	40 equal quarterly payments of $6,652.80 commencing 9/1/86 at 8.25%	Payments under a $180,000 Promissory Note dated 2/25/86
27.	3/14/86	Agerton	$130,000	120 equal monthly payments of $1,646.79 commencing 4/14/86 at 9%.	Payments under a $130,000 Promissory Note dated 3/14/86.
28.	3/14/86	Easler	$10,000	5 equal annual payments of $2,570.92 commencing 3/14/87 at 9%.	Payments under a $10,000 Promissory Note dated 3/14/86.
29.	4/4/86	Reese	$185,000	Equal semiannual payments of $13,908.59 from 4/4/87 to 10/4/96 at 8.5%; adjusted on 4/4/92 to N/E 15%.	Payments under a $185,000 Promissory Note dated 4/4/86.
30.	4/4/86	Croxton	$200,000	Equal semiannual payments of $15,036.32 from 4/4/87 to 10/4/96 at 8.5%; adjusted on 4/4/92 to N/E 15%.	Payments under a $200,000 Promissory Note dated 4/4/86
31.	4/4/86	W&W Farms	$15,000	5 equal annual payments of $3,000 commencing 1/15/87 at 8.5%.	Payments under a $15,000 Promissory Note dated 4/4/86.
32.	5/28/86	Ables	$40,000	40 equal quarterly payments of principal in the amount of $1,000 commencing 8/28/86 plus accrued interest at N/E 14%.	Payments under a $40,000 Promissory Note dated 5/28/86.

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

010639

33.	6/16/86	Hartley	$40,000	28 equal quarterly payments of $1,941 commencing 12/16/86 at 9%.	Payments under a $40,000 Promissory Note dated 6/16/86.
34.	6/16/86	Clamp	$100,000	40 quarterly payments of $3,777 commencing 12/16/86 at 8.75%	Payments under a $100,000 Promissory Note dated 6/16/86.
35.	7/3/86	Rochester	$220,000	40 equal quarterly payments of principal in the amount of $5,500 commencing 10/3/86 plus accrued interest at N/E 14%.	Payments under a $220,000 Promissory Note dated 7/3/86.
36.	10/10/86	Arrington	$150,000	40 equal quarterly payments of principal in the amount of $3,750 commencing 4/10/87 plus accrued interest at N/E 12%.	Payments under a $150,000 Promissory Note dated 10/10/86.
37.	10/10/86	Barnett	$130,000	40 equal quarterly payments of principal in the amount of $3,250 commencing 4/10/87 plus accrued interest at N/E 12%.	Payments under a $130,000 Promissory Note dated 10/10/86.
38.	11/12/86	Sprouse	$300,000	120 equal monthly payments of principal in the amount of $2,500 commencing 7/1/87, plus accrued interest at N/E 12%.	Payments under a $300,000 Promissory Note dated 11/12/86.
39.	11/26/86	Powell	$150,000	40 equal payments of $5,018.43, payable every 73 days, commencing 6/11/87, plus accrued interest at N/E 12%.	Payment under a $150,000 Promissory Note dated 11/26/86.

40.	12/30/86	Setzler Farms, Inc.	$190,000	10 annual payments of $30,920.50 commencing 3/1/88 as adjusted on 3/1 of each year at N/E 12%.	Payment under a $190,000 Promissory Note dated 12/30/86.

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

010641

The State of South Carolina

APR 8 1987
11:00 AM

South Carolina State Family Farm Development Authority

Members
JOE W. KING, Chairman
MELVIN L. CRUM, Vice Chairman
ROY L. GRAHAM, JR., Secretary
J. EDWARD BROWN
JAMES G. FOODY
STEPHEN P. NIVENS
STANLEY E. WASKIEWICZ
Chairman Emeritus
RAYMOND S. CAUGHMAN

P.O. Box 11735
Columbia, S.C. 29211
(803)734-3187

APRIL 8, 1987

Ex Officio
GOVERNOR CARROLL A. CAMPBELL, JR.
JOHN N. McMILLAN, Designee
COMMISSIONER, D. LESLIE TINDAL
WM. HARRY BUSBEE, Designee
SENATOR WILLIAM W. DOAR, JR.
SENATOR T. ED GARRISON, Designee
REPRESENTATIVE H.E. PEARCE, JR.
REP. THOMAS N. RHOAD, Designee
Executive Director
CLYDE B. LIVINGSTON

EXHIBIT

APR 14 1987 NO. 25

STATE BUDGET & CONTROL BOARD

MR. WILLIAM A. McINNIS
DEPUTY EXECUTIVE DIRECTOR
SOUTH CAROLINA STATE BUDGET AND
CONTROL BOARD
WADE HAMPTON BUILDING, ROOM 618
COLUMBIA, SOUTH CAROLINA 29211

RE: SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY, AGRICULTURAL DEVELOPMENT BONDS, 1987, $200,000 (JOHN F. LONG, PROJECT).

DEAR BILL:

ENCLOSED ARE THE ORIGINAL AND TWO COPIES OF THE RESPECTIVE PETITION OF THE SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY IN REGARD TO THE FOLLOWING BOND ISSUE.

1. SOUTH CAROLINA STATE FAMILY FARM DEVELOPMENT AUTHORITY, AGRICULTURAL DEVELOPMENT BOND, 1987, $200,000 (JOHN F. LONG, PROJECT).

I REQUEST THAT THIS ISSUE BE PLACED ON THE BUDGET AND CONTROL BOARD'S AGENDA FOR ITS MEETING ON APRIL 14, 1987.

ALSO ENCLOSED ARE TWO (2) COPIES OF RESPECTIVE RESOLUTION OF THE BOARD FOR THIS ISSUE. UPON ADOPTION, PLEASE CERTIFY THE RESOLUTION AND FORWARD IT TO: DANIEL R. McLEOD, JR., ESQUIRE, McNAIR LAW FIRM, POST OFFICE BOX 11390, COLUMBIA, SOUTH CAROLINA 29211.

IF THERE SHOULD BE ANY QUESTIONS, PLEASE CONTACT ME.

YOURS VERY TRULY,

CHARLIE I. CREWS
BOND DIRECTOR

CC: DANIEL R. McLEOD, JR.
(WITHOUT ENCLOSURES)

010642

TRANSMITTAL FORM, REVENUE BONDS

Date: 4-8-87
Submitted for BCB Meeting on: 4-14-87

TO: William A. McInnis, Secretary
State Budget and Control Board
600 Wade Hampton Office Building
Columbia, SC 29201
OR P. O. Box 12444, Columbia, SC 29211

FROM:
McNair Law Firm, P.A.
Name of Law Firm

P.O. Box 11390
Street Address/Box Number

Columbia, SC 29211
City, State, Zip Code

803 799-9800
Telephone Area Code and Number

RE: $200,000
Amount of Issue

Agricultural Development Revenue Bonds
Type of Bonds or Notes

SC State Family Farm Development Authority
Issuing Authority Name

on or before 7/13/87
Projected Issue Date

Project Name: John F. Long

Project Description:
Construction of one Brooder house and two growout houses for turkey production.

Employment as result of project:

CEILING ALLOCATION REQUIRED	REFUNDING INVOLVED	PROJECT APPROVED PREVIOUSLY
X Yes ($_____ Amount) __ No	__ Yes ($_____ Amount) X No	__ Yes (_____ Date) X No

DOCUMENTS ENCLOSED:
(ALL required for State law approval; A and C only for ceiling allocation only.)

A. X Petition (executed original and two copies)
B. X Resolution or ordinance (executed copy)
C. __ Inducement Resolution or comparable preliminary approval (executed copy)
D. __ Standard Form Investment Letter from bonds purchaser (executed original)
(Purchaser: _____)
OR __ Audited financial statements for three most recent years
E. __ Department of Health and Environmental Control certificate IF REQUIRED
F. X Budget and Control Board Resolution and Public Notice (original)
[Plus _____ copies for certification and return to counsel]
G. __ Processing fee
Amount $_____ Check No. _____
Payor _____

Bond Counsel: McNair Law Firm, P.A.
Typed Name

By: Daniel R. McLeod, Jr. /se
Signature

EXHIBIT
APR 14 1987 NO. 25
STATE BUDGET & CONTROL BOARD

010643

OED 1/86

DE 4-14-87

TRANSMITTAL FORM, REVENUE BONDS

Date: 4-8-87
Submitted for BCB Meeting on: 4-14-87

TO: William A. McInnis, Secretary
State Budget and Control Board
600 Wade Hampton Office Building
Columbia, SC 29201
OR P. O. Box 12444, Columbia, SC 29211

FROM:
McNair Law Firm, P.A.
Name of Law Firm
Columbia, SC 29211
City, State, Zip Code

P.O. Box 11390
Street Address/Box Number
803 799-9800
Telephone Area Code and Number

RE: $200,000
Amount of Issue
SC State Family Farm Development Authority
Issuing Authority Name

Agricultural Development Revenue Bonds
Type of Bonds or Notes
on or before 7/13/87
Projected Issue Date

Project Name: John F. Long
Project Description:
Construction of one Brooder house and two growout houses for turkey production.

Employment as result of project:

CEILING ALLOCATION REQUIRED	REFUNDING INVOLVED	PROJECT APPROVED PREVIOUSLY
X Yes ($) No	Yes ($) X No	Yes ( ) X No
Amount	Amount	Date

DOCUMENTS ENCLOSED:
(ALL required for State law approval; A and C only for ceiling allocation only.)

A. X Petition (executed original and two copies)
B. X Resolution or ordinance (executed copy)
C. ___ Inducement Resolution or comparable preliminary approval (executed copy)
D. ___ Standard Form Investment Letter from bonds purchaser (executed original)
(Purchaser:)
OR ___ Audited financial statements for three most recent years
E. ___ Department of Health and Environmental Control certificate IF REQUIRED
F. X Budget and Control Board Resolution and Public Notice (original)
[Plus ___ copies for certification and return to counsel]
G. ___ Processing fee
Amount $
Payor

EXHIBIT
APR 14 1987 NO. 25
STATE BUDGET & CONTROL BOARD

Bond Counsel: McNair Law Firm, P.A.
Typed Name

By: Daniel R. McLeod Jr., sc
Signature

EXHIBIT
APR 14 1987 NO. 25
STATE BUDGET & CONTROL BOARD

010644

OED 1/86

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

April 27, 1987

C E R T I F I C A T E

STATE CEILING ON ISSUANCE OF PRIVATE ACTIVITY BONDS

(UNDER TAX REFORM ACT OF 1986)

FINAL ALLOCATION, CALENDAR YEAR 1987

TO: Spartanburg County
Mr. M. William Youngblood
c/o McNair Law Firm
P. O. Box 11390
Columbia, SC 29211

RE: Issue of $1,415,000 Spartanburg County, South Carolina
Industrial Revenue Note
(M&M Chevrolet, Inc., Project)
Issue Date Projected By Issuing Authority: April 29, 1987
Allocation Expiration Date: July 13, 1987
Issue Amount Certificate Date: April 27, 1987

Based upon my receipt of the issue amount certificate required of the issuing authority by Section 6(b) of Governor's Executive Order #87-01, effective January 8, 1987, which certificate is dated not more than ten (10) business days prior to the projected date of issue which, as certified by the issuing authority, is within the time period during which the ceiling allocation approved previously on a tentative basis by the State Budget and Control Board for the referenced project is valid, I have determined that the allocation is now final in the amount indicated above.

I also have determined that the referenced issue when issued and combined with the amount of private activity bonds and notes certified to me previously by South Carolina issuing authorities as having been issued or which are to be issued in 1987 will not exceed the 1987 State Ceiling on the issuance of private activity bonds for the State of South Carolina.

William A McInnis

William A. McInnis, Secretary

010645

EXHIBIT APR 28 1987 1:17 P.M.

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

McNAIR LAW FIRM, P. A.
ATTORNEYS AND COUNSELORS AT LAW
NCNB TOWER
POST OFFICE BOX 11390
COLUMBIA, SOUTH CAROLINA 29211
803-799-9800

ROBERT E. McNAIR
TERRELL L. GLENN
JAMES S. KONDUROS
D. WAYNE CORLEY
E. McLEOD SINGLETARY
CHARLES PORTER
ROBERT W. DIBBLE, JR.
EMORY M. SNEEDEN
STEPHEN KOPLAN*
RICHARD S. WOODS
RICHARD L. C. SULLIVAN
M. JOHN BOWEN, JR.
JOHN H. LUMPKIN, JR.
M. WILLIAM YOUNGBLOOD, JR.
JOHN W. CURRIE
SCOTT Y. BARNES
M. ELIZABETH CRUM
THEODORE J. HOPKINS, JR.
DANIEL R. McLEOD, JR.
WILLIAM S. ROSE, JR.
HUEL O. ADAMS, JR.
JAMES P. FIELDS, JR.

M. CRAIG GARNER, JR.
ROBERT T. BOCKMAN
EDWIN W. JOHNSON II
PETER L. MURPHY
C. ALAN RUNYAN
JOHN W. FOSTER
ELIZABETH VAN DOREN GRAY
WILMOT B. IRVIN
APRIL C. LUCAS
ROBERT E. STEPP
J. SIMON FRASER
KATHLEEN CRUM McKINNEY
DEBORAH K. OWEN*
E. RUSSELL JETER, JR.
DOROTHY M. HELMS
NANCY PAGE
JANE W. TRINKLEY
MICHAEL S. GELACAK
WILLIAM MICHAEL HOUSE*
CARL B. CARRUTH
JOHN W. HUNTER*
J. WESLEY CRUM II

HENRY M. BURWELL
RICHARD J. MORGAN
CHRISTOPHER McG. HOLMES
SARA S. ROGERS
CELESTE TILLER JONES
KATHERINE ELIZABETH MIMS
JOSEPH D. WALKER
NANCY R. JEFFERIS
ALISON RENEE LEE
MARTHA R. McMILLIN
WILLIAM ASHLEY JORDAN, JR.
GREGORY HUGH WORTHY
MICHAEL M. BEAL
DEBORAH ANN DAVIS
ELIZABETH BOWE ANDERS
WILLIAM M. MUSSER
SHARON E. CRAWLEY
T. PARKIN HUNTER
ROBERT F. McMAHAN, JR.
ELIZABETH A. HOLDERMAN
JUDITH L. McINNIS
KENNETH B. WINGATE

*NOT ADMITTED IN SOUTH CAROLINA

THOMAS H. BARKSDALE, JR.*
RALPH W. KITTLE*
JOHN H. LUMPKIN, SR.
OF COUNSEL

GREENVILLE OFFICE
SUITE 1201
NCNB PLAZA
7 NORTH LAURENS STREET
GREENVILLE, S.C. 29601
803-271-4940

HILTON HEAD ISLAND OFFICE
NCNB BUILDING
POPE AVENUE
POST OFFICE BOX 5914
HILTON HEAD ISLAND, S.C. 29938
803-785-5169

WASHINGTON OFFICE
SUITE 400
MADISON OFFICE BUILDING
1155 15TH STREET, N.W.
WASHINGTON, D.C. 20005
202-659-3900

April 28, 1987

HAND DELIVERY

Mr. William A. McInnis
State Budget and Control Board
Wade Hampton Office Building
Columbia, South Carolina

RE: $1,415,000 Spartanburg County, South Carolina Industrial Revenue Note (Red Fox Realty/M&M Chevrolet, Inc. Project)

Dear Mr. McInnis:

Pursuant to our request for final allocations of Volume Cap for the above-referenced transaction, we are scheduled to close on April 29, 1987.

Sincerely,

Barbara G. McQuillan

Barbara G. McQuillan
Paralegal - Bond Department

BGM/kcu

010646

EXHIBIT

APR 24 1987
11:16 A.M.

NO. 26

McNAIR LAW FIRM, P. A.
ATTORNEYS AND COUNSELORS AT LAW
NCNB TOWER
POST OFFICE BOX 11390
COLUMBIA, SOUTH CAROLINA 29211
803-799-9800

APR 14 1987

STATE BUDGET & CONTROL BOARD

ROBERT E. McNAIR
TERRELL L. GLENN
JAMES S. KONDUROS
O. WAYNE CORLEY
E. McLEOD SINGLETARY
CHARLES PORTER
ROBERT W. DIBBLE, JR.
EMORY M. SNEEDEN
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RICHARD S. WOODS
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M. WILLIAM YOUNGBLOOD, JR.
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E. RUSSELL JETER, JR.
DOROTHY M. HELMS
NANCY PAGE
JANE W. TRINKLEY
MICHAEL S. GELACAK
WILLIAM MICHAEL HOUSE*
CARL B. CARRUTH
JOHN W. HUNTER*
J. WESLEY CRUM III

HENRY M. BURMELL
RICHARD J. MORGAN
CHRISTOPHER McD. HOLMES
SARA S. ROGERS
CELESTE TILLER JONES
KATHERINE ELIZABETH MIMS
JOSEPH D. WALKER
NANCY R. JEFFERIS
ALISON RENEE LEE
MARTHA R. McMILLIN
WILLIAM ASHLEY JORDAN, JR.
GREGORY HUGH WORTHY
MICHAEL M. BEAL
DEBORAH ANN DAVIS
ELIZABETH BOWE ANDERS
WILLIAM M. MUSSER
SHARON E. CRAWLEY
T. PARKIN HUNTER
ROBERT F. McMAHAN, JR.
ELIZABETH A. HOLDERMAN
JUDITH L. McINNIS
KENNETH B. WINGATE

*NOT ADMITTED IN SOUTH CAROLINA

THOMAS H. BARKSDALE, JR.*
RALPH W. KITTLE*
JOHN H. LUMPKIN, SR.
OF COUNSEL

GREENVILLE OFFICE
SUITE 1201
NCNB PLAZA
7 NORTH LAURENS STREET
GREENVILLE, S.C. 29601
803-271-4940

HILTON HEAD ISLAND OFFICE
NCNB BUILDING
POPE AVENUE
POST OFFICE BOX 5914
HILTON HEAD ISLAND, S.C. 29938
803-785-5169

WASHINGTON OFFICE
SUITE 400
MADISON OFFICE BUILDING
1155 15TH STREET, N.W.
WASHINGTON, D.C. 20005
202-659-3900

April 24, 1987

HAND DELIVERY

Mr. William A. McInnis
STATE BUDGET AND CONTROL BOARD
Wade Hampton Building - 6th Floor
Columbia, South Carolina 29201

RE: Final Volume Cap Allocation (Red Fox Realty/M&M Chevrolet, Inc. Project)

Dear Mr. McInnis:

Enclosed is a Certificate of the County Administrator for Spartanburg County as to the exact amount for the Spartanburg County, South Carolina Industrial Revenue Note (Red Fox Realty/M&M Chevrolet, Inc. Project). We respectfully request final allocation of Volume Cap for this project.

Sincerely,

Barbara G. McQuillan

Barbara G. McQuillan
Paralegal - Bond Department

BGM/kcu

Enclosure

Issue date
Wednesday, April 29

010647

CERTIFICATE OF THE COUNTY ADMINISTRATOR AS
TO THE EXACT AMOUNT OF THE NOTE

I, County Administrator of Spartanburg County, South Carolina (the "County"), DO HEREBY CERTIFY that Spartanburg County, South Carolina, Industrial Revenue Note, Series 1987 (Red Fox Realty/M&M Chevrolet Inc. Project) will be issued in the exact amount of $1,415,000.

WITNESS MY HAND as of the 23rd day of April, 1987.

Kenneth L. Westmoreland
County Administrator of
Spartanburg County

010648

APR 15 1987

The State of South Carolina

EXHIBIT
APR 14 1987 NO. 26
STATE BUDGET & CONTROL BOARD

Office of the Attorney General

T. TRAVIS MEDLOCK
ATTORNEY GENERAL

REMBERT C. DENNIS BUILDING
POST OFFICE BOX 11549
COLUMBIA, S.C. 29211
TELEPHONE 803-734-3680

April 14, 1987

Mr. William A. McInnis
Deputy Executive Director
State Budget and Control Board
Columbia, South Carolina 29201

Re: Not exceeding $2,000,000 Spartanburg County, South Carolina, Industrial Revenue Note (M&M Chevrolet, Inc.)

Dear Mr. McInnis:

Regarding the above-referenced bond we have reviewed the Petition and other documents submitted to the State Budget and Control Board for its approval pursuant to Sections 4-29-140, et seq., Code of Laws of South Carolina, 1976, as amended, and the same appear, in our opinion, to be in order.

This opinion addresses only the legal sufficiency of the documents you have provided for our review. No opinion is expressed as to any other matters, including whether the Petition should be approved as a matter of policy.

Sincerely yours,

David C. Eckstrom
Assistant Attorney General

DCE/dac

Enclosure

010649

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA)

COUNTY OF RICHLAND)

M&M Chevrolet, Inc.

I, WILLIAM A. McINNIS, SECRETARY to the South Carolina State Budget and Control Board, DO HEREBY CERTIFY:

That the State Budget and Control Board (the Board) is composed of the following:

His Excellency, Carroll A. Campbell, Jr., Governor and Chairman of the Board;

The Honorable Grady L. Patterson, Jr., State Treasurer;

The Honorable Earle E. Morris, Jr., Comptroller General;

The Honorable Rembert C. Dennis, Chairman of the Senate Finance Committee; and

The Honorable Robert N. McLellan, Chairman of the House Ways and Means Committee.

That due notice of a meeting of the Board, called to be held in Columbia, South Carolina, at 9:30 a.m. on Tuesday, April 14, 1987, was given to all members in writing, and at least four (4) days prior to the meeting; that all members of the Board were present at the meeting, with the exception of Senator Dennis (during consideration of this item).

That at the meeting, a Resolution, of which the attached is a true, correct and verbatim copy, was introduced by Mr. Patterson, who moved its adoption; the motion was seconded by Mr. Morris, and upon the vote being taken and recorded it appeared that the following votes were cast:

FOR MOTION	AGAINST MOTION
4	0

That the Chairman thereupon declared the Resolution unanimously adopted and the original thereof has been duly entered in the permanent records of minutes of meetings of the Board in my custody as its Secretary.

April 15, 1987

William A McInnis

010650

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

RESOLUTION
STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA

WHEREAS, heretofore Spartanburg County Council (the "County Board") did, pursuant to Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act"), petition the State Budget and Control Board of South Carolina (the "State Board") seeking the approval of the State Board for an undertaking by the County Board pursuant to the Act; and

WHEREAS, the proposed undertaking (the "Undertaking") consists of the financing of the acquisition, construction and equipping of certain buildings, fixtures, machinery and equipment (the "Project") to constitute remanufacturing and fabrication facilities to be owned by Red Fox Realty (the "Company") and operated by M&M Chevrolet, Inc. (the "Guarantor") in Spartanburg County, South Carolina (the "County") by the issuance and delivery of a not exceeding $2,000,000 Spartanburg County, South Carolina, Industrial Revenue Note, Series 1987 (Red Fox Realty/M&M Chevrolet, Inc. Project) (the "Note") pursuant to the Act and to an ordinance (the "Note Ordinance") to be adopted by the County Board; and

WHEREAS, the Company will agree in a Loan Agreement (the "Loan Agreement") between the County and the Company to pay to the County amounts sufficient to provide for the payment of the Note and the costs and expenses resulting from the issuance thereof; and

WHEREAS, in order to finance the acquisition, construction and installation of the Project, the County Board proposes to provide for the issuance and delivery of the Note pursuant to the Act and to the Note Ordinance, payable by the County from the amounts derived from the Loan Agreement and secured by an Assignment of Loan Agreement (the "Assignment") from the County to The South Carolina National Bank (the "Bank"), a Mortgage and Security Agreement (the "Mortgage") between the Company and the Bank and a Guaranty Agreement from the Guarantor and others in favor of the Bank; and

NOW, THEREFORE, BE IT RESOLVED BY THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA IN MEETING DULY ASSEMBLED:

1. It has been found and determined by the State Board:

(a) That the statement of facts set forth in the recitals to this Resolution are in all respects true and correct;

(b) That the County Board has filed a proper petition with the State Board establishing a reasonable estimate of the cost of the Project and containing a general summary of the terms and conditions of the Loan Agreement, the

Assignment, the Mortgage, the Guaranty Agreement, the Note Ordinance and the Note and a brief description of the Project;

(c) That the Project is expected to provide employment for approximately one hundred (100) additional persons and, therefore, is expected to have a beneficial effect upon the general public welfare of the County and the areas adjacent thereto by providing employment not otherwise provided in the County; and

(d) That the Undertaking is intended to promote the purposes of the Act and is reasonably anticipated to effect such purposes.

2. On the basis of the foregoing findings, the proposed undertaking of the County Board to finance the cost of the acquisition, construction and installation of the Project through the issuance of a not exceeding $2,000,000 Spartanburg County, South Carolina, Industrial Revenue Note, Series 1987 (Red Fox Realty/M&M Chevrolet, Inc. Project) pursuant to the Note Ordinance to be payable from the revenues to be derived by the County from the Loan Agreement and to be secured by the Assignment, the Mortgage and the Guaranty Agreement, all pursuant to the Act (including changes in any details of the said financing as finally consummated which do not materially affect the said undertaking) be and the same is hereby approved.

3. Notice of the action taken by the State Board in giving approval to the Undertaking shall be published in the Spartanburg Herald, a newspaper having general circulation in the County.

4. The Notice to be published shall be in form substantially as set forth as EXHIBIT "A" of this Resolution.

5. Approval of this undertaking is granted on the condition that a copy of Internal Revenue Service Form 8038 relating to any bonds issued pursuant to this approval be filed with the Board's Secretary at the same time such Form is submitted to the Internal Revenue Service.

EXHIBIT

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STATE BUDGET & CONTROL BOARD

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EXHIBIT "A"

NOTICE PURSUANT TO TITLE 4, CHAPTER 29,
CODE OF LAWS OF SOUTH CAROLINA 1976, AS AMENDED

Notice is hereby given that following the filing of a Petition by Spartanburg County Council (the "County Board") to the State Budget and Control Board of South Carolina (the "State Board") approval has been given by the State Board to the following undertaking (the "Undertaking") (including changes in any details of the said financing as finally consummated which do not materially affect the said undertaking), viz.: the financing of the acquisition, construction and equipping of certain buildings, fixtures, machinery and equipment (the "Project") to constitute remanufacturing and fabrication facilities to be owned by Red Fox Realty (the "Company") and operated by M&M Chevrolet, Inc. (the "Guarantor"), a corporation organized and existing under the laws of the State of South Carolina, and to be located on Asheville Highway just outside the Spartanburg City limits, bounded on the north by Ace Hardware Store in Spartanburg County, South Carolina (the "County").

To finance the acquisition, construction and installation of the Project, the County will issue a not exceeding $2,000,000 Spartanburg County, South Carolina, Industrial Revenue Note, Series 1987 (Red Fox Realty/M&M Chevrolet, Inc. Project) (the "Note") pursuant to Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended. The Note will be payable by the County solely from the amounts to be paid to the County by the Company pursuant to a Loan Agreement (the "Loan Agreement") between the County and the Company.

The Company will irrevocably covenant and agree to pay when due all sums required to pay the principal of and interest on the Note, and the Note will be secured by an Assignment of Loan Agreement under which the County will assign to The South Carolina National Bank (the "Bank") substantially all of its rights under the Loan Agreement, by a Mortgage and Security Agreement between the Company and the Bank pursuant to which the Company will grant a mortgage lien on, and security interest in, the Project to the Bank and by a Guaranty Agreement from the Guarantor and others in favor of the Bank. The Note will be issued pursuant to the Act and to an ordinance (the "Note Ordinance") to be adopted by the County Board.

The Project will provide employment for approximately one hundred (100) additional persons.

Notice is further given that any interested party may at any time within twenty (20) days after the date of publication of this Notice, but not afterwards, challenge the validity of the action

EXHIBIT
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010653
STATE BUDGET & CONTROL BOARD

of the State Board in approving the undertaking of the County Board by action de novo instituted in the Court of Common Pleas for Spartanburg County.

THE STATE BUDGET AND CONTROL BOARD
OF SOUTH CAROLINA

By: William A. McInnis

April 14, 1987

010654

PUBLIC NOTICE

Notice is hereby given by Spartanburg County Council (the "County Board") that a public hearing relating to the proposed issuance and delivery by Spartanburg County, South Carolina (the "County") of a Spartanburg County, South Carolina, Industrial Revenue Note, Series 1987 (Red Fox Realty--M&M Chevrolet, Inc. Project) in a principal amount not exceeding $2,000,000 (the "Note") pursuant to Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended, which Note is to be issued to finance the acquisition, construction and installation of certain buildings, fixtures, machinery and equipment (the "Project") to constitute remanufacturing and fabrication facilities to be owned by Red Fox Realty (the "Company") and operated by M&M Chevrolet, Inc. (the "Guarantor") and to be located on Asheville Highway just outside the Spartanburg City limits, bounded on the north by Ace Hardware Store in the County.

The Note will be payable by the County solely from the amounts to be paid to the County by the Company pursuant to a Loan Agreement (the "Loan Agreement") between the County and the Company.

The Company will irrevocably covenant and agree to pay when due all sums required to pay the principal of and interest on the Note, and the Note will be secured by an Assignment of Loan Agreement under which the County will assign to South Carolina National Bank (the "Bank") substantially all of its rights under the Loan Agreement and by a Mortgage and Security Agreement between the Company and the Bank. The Note will be issued pursuant to the Act and to an ordinance adopted by the County Board.

The obligation of the Company to make payments of principal of and interest on the Note will be unconditionally guaranteed by the Guarantor pursuant to a Guaranty Agreement between the Guarantor and the Bank.

The Project will provide employment for approximately one hundred (100) additional persons.

The hearing will be held in County Council Chambers, Spartanburg County Courthouse, Spartanburg, South Carolina, at 1:00 p.m., on April 22, 1987.

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

010655

STATE OF SOUTH CAROLINA
STATE BUDGET AND CONTROL BOARD
Standard Form Investment Letter

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

TO: Secretary, State Budget and Control Board
P. O. Box 12444
Columbia, SC 29211

RE: Sale by Spartanburg County, South Carolina (the "Issuer")
Of its not exceeding $2,000,000 Industrial Revenue Note (the "Bonds")
On behalf of Red Fox Realty (the "Company")
Remanufacturing and Fabrication Facility (M&M Chevrolet, Inc) (the "Project")
To The South Carolina National Bank (the "Purchaser")

In connection with the referenced sale of Bonds by the Issuer, the Purchaser makes the following representations and certifications:

1. The Purchaser has such knowledge and experience in financial and business matters that it is capable of evaluating the merits and risks of its prospective investment in the Bonds;

2. The Purchaser is financially able to bear the economic risk of its proposed investment in the Bonds for an indefinite period;

3. The Purchaser is familiar with the business affairs of the Company and has obtained and examined all financial and other information with respect to the Bonds, the Company and the officers and shareholders of the Company which it deems necessary in order to enable it to evaluate the merits and risks of its investment in the Bonds and to make an informed investment judgment in connection with the purchase of the Bonds;

4. The Purchaser has had the opportunity to ask questions of, and receive answers from, the Issuer and the Company concerning the terms and conditions of the offering and any other information which it has deemed relevant to the Bonds and its investment in the Bonds; and

5. The Bonds are being purchased for the account of the Purchaser and for the purpose of investment and not presently for resale, and the Purchaser has no present intention of offering the Bonds or any portion thereof for resale either currently or after the passage of a fixed period of time, or upon the occurrence or nonoccurrence of any predetermined event or circumstances.

SWORN to and subscribed before me this 1 day of April, 1987.

Kay T. McBee
Notary Public

My Commission expires 3-31-90.

PURCHASER: THE SOUTH CAROLINA NATIONAL BANK
Name: Ray Wells
Address: Post Office Box 5707
Spartanburg, South Carolina
29304

BY: Ray Wells, Assistant Cashier
Signature of Authorized Official

Date: 4-1-87

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STATE OF SOUTH CAROLINA

SPARTANBURG

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA

PETITION

The Petition of Spartanburg County, South Carolina (the "County") respectfully shows:

1. The County is an "issuing authority" as such term is used in the Regulations on Allocation of State Ceiling on Issuance of Private Activity Bonds (the "Regulations") promulgated by the State Budget and Control Board of South Carolina (the "State Board").

2. The County has agreed with M & M Chevrolet, Inc. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina, that the County will undertake to finance the acquisition, construction and equipping of facilities for the remanufacturing of transmissions and other automobile components as well as facilities for the fabrication of passenger car and truck bodies and other related facilities (the "Project") in the County through the issuance and delivery of Industrial Revenue Bonds or Notes pursuant to Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act"). In this connection, the County has agreed to issue its $2,000,000 Spartanburg County, South Carolina, Industrial Revenue Bonds or Notes (the "Note") pursuant to the Act and to an ordinance to be adopted by Spartanburg County Council.

3. The County is advised by the Company that the Project will provide employment for approximately one hundred (100) additional persons.

4. The Regulations authorize the County to submit its request to the State Board that a portion of the State Ceiling established by the Deficit Reduction Act of 1984 (the "State Ceiling") be allocated to the Note.

5. This Petition constitutes an "Authorized Request" within the meaning of the Regulations and, as required by the Regulations, is accompanied by a copy of the Inducement Contract executed by the County and the Company.

Upon the basis of the foregoing, the County respectfully prays:

010657

That the State Board accept the filing of the Petition presented herewith, that it determine that the allocation amount requested is not disproportionately large in comparison with the State Ceiling not yet allocated or with the public benefits to be derived from the Project and that it approve an allocation for the Project in an amount not to exceed $2,000,000.

April 9, 1986.

Respectfully Submitted,

By K. L. Westmoreland
County Administrator of
Spartanburg County

Attest:

By C. O. Garrison
Clerk, Spartanburg County Council

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

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EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

Box 12444
Columbia
29211

April 14, 1987

C E R T I F I C A T E

STATE CEILING ON ISSUANCE OF PRIVATE ACTIVITY BONDS

(UNDER TAX REFORM ACT OF 1986)

TENTATIVE ALLOCATION, CALENDAR YEAR 1987

TO: Spartanburg County
$2,000,000
Industrial Revenue Note
(M&M Chevrolet, Inc., Project)

The State Budget and Control Board has made a tentative allocation of the State Ceiling established in the Tax Reform Act of 1986 in the amount indicated to the referenced bonds/notes and project. This allocation is valid for calendar year 1987 only. It will expire on July 13, 1987, which is ninety (90) calendar days from the date the allocation was approved by the Board, if the bonds/notes for which the allocation has been approved have not been issued prior to that time.

Before this tentative allocation becomes final, Section 6(b) of the Governor's Executive Order #87-01, effective January 8, 1987, requires that the exact amount of the bonds/notes being issued be certified to the Board Secretary by the issuing authority **before** the issue is made. In response to that issue amount certificate, the Secretary will issue a certificate which makes the ceiling allocation final.

Grady L. Patterson, Jr.

Attest:

William A. McInnis, Secretary

010659

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA

SPARTANBURG COUNTY

TO THE STATE BUDGET AND CONTROL)
)
BOARD OF SOUTH CAROLINA)
)

PETITION

The Petition of Spartanburg County Council ("County Council") respectfully shows:

1. County Council is the governing body of Spartanburg County, South Carolina (the "County") as established by law, and, as such, is the Governing Board referred to in Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act").

2. The Act authorizes and empowers the County, if it shall comply with the provisions set forth in the Act, to acquire or cause to be acquired buildings, equipment, machinery and other improvements deemed necessary, suitable and useful by any industrial enterprise and to finance the acquisition and installation of the same through the issuance of bonds or notes payable from and secured by a pledge of the revenues to be derived from a financing agreement relating to such buildings, equipment and machinery and other improvements.

3. County Council has agreed with M&M Chevrolet, Inc. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina, that the County will undertake to finance the acquisition, construction and equipping of buildings, fixtures, machinery and equipment (the "Project") to constitute facilities for the remanufacturer of transmissions and other automobile components as well as facilities for the fabrication of automobile and truck bodies in the County through the issuance and delivery of an Industrial Revenue Note pursuant to the Act. In this connection, County Council has agreed to issue an Industrial Revenue Note, Series 1987 (M&M Chevrolet, Inc. Project) (the "Note") pursuant to the Act and to an ordinance (the "Note Ordinance") to be adopted by County Council.

4. County Council is advised by the Company that the cost of the Project will be approximately Two Million Dollars ($2,000,000) and that, therefore, in order to finance the acquisition, construction and installation of the Project (the "Undertaking"), including the costs and charges incident to the issuance and delivery of the Note, it is necessary that County Council issue and deliver the Note in that amount.

5. When completed, the Project will provide employment for approximately one hundred (100) additional persons.

6. For the reasons above set forth and hereinafter disclosed, County Council has found that:

(a) the Undertaking will subserve the purposes of the Act;

(b) the Undertaking will have a beneficial effect upon the general public welfare of the County and the areas adjacent thereto by providing employment not otherwise provided in the County;

(c) by reason of the Undertaking, no pecuniary liability will result to the County nor will there be a charge against its general credit or taxing powers;

(d) the amount required to finance the construction, equipping and installation of the Project is approximately $2,000,000;

(e) the proposed Loan Agreement (the "Loan Agreement") between the County and the Company unconditionally obligates the Company to pay an amount adequate to provide for the payments of the principal of and interest on the Note which will be dated and will mature in the amounts and bear interest at the rates set forth in Article II of the Note Ordinance;

(f) in view of the well established credit of the Company and the successful arrangements to effect the issuance and delivery of the Note without the establishment of a reserve fund for the payment of the principal of and interest on the Note, no such reserve fund will be established; and

(g) the terms of the Loan Agreement require the Company to maintain the Project in good repair and to carry all proper insurance with respect thereto.

7. The Loan Agreement will provide, among other things, the following:

(a) to finance the cost of the acquisition, construction and installation of the Project the County will issue and deliver the Note. The Note will be secured by a pledge of substantially all of the amounts to be paid to the County by the Company, as authorized by the Act;

010661

(b) the proceeds derived from the issuance and delivery of the Note will be used to pay the costs incident to the acquisition, construction and installation of the Project and the issuance of the Note; and

(c) the Loan Agreement contains no provision imposing any pecuniary liability upon the County or which would create a charge upon its general credit or taxing powers.

8. Pursuant to a proposed Assignment of Loan Agreement (the "Assignment") the County will assign to South Carolina National Bank (the "Bank"), as security for the payment of the Note, substantially all of the right, title and interest of the County in and to the Loan Agreement except tax payments and certain payments to be made by way of indemnification.

9. Pursuant to a proposed Mortgage and Security Agreement (the "Mortgage") the Company will grant a mortgage lien on, and security interest in, the Project to the Bank as additional security for the payment of the Note.

10. The Note will be issued by the County pursuant to the Note Ordinance. The Note Ordinance imposes upon the Company the obligation to pay, in addition to the moneys required for the payment of the principal of and interest on the Note, all other costs and expenses resulting from the Note Ordinance and the issuance of the Note pursuant thereto and the transactions contemplated to take place in connection therewith.

11. The Loan Agreement, the Mortgage, the Assignment, the Note Ordinance and the Note will be substantially in the form heretofore used in the issuance of Industrial Revenue Bonds or Notes pursuant to the Act. While changes may be made in the forms, it is not expected that there will be any changes which will substantially affect the undertaking as now outlined herein.

Upon the basis of the foregoing, County Council respectfully prays:

That the State Budget and Control Board of South Carolina (the "State Board") accept the filing of the Petition presented herewith; and that, thereafter and as soon as practicable, it make such independent investigation of the Undertaking as it deems advisable; that the State Board find that the proposed Undertaking is intended to promote the purposes of the Act and is reasonably anticipated to effect such result; and on the basis of such finding, that it approve the Undertaking, including changes in any

EXHIBIT

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STATE BUDGET & CONTROL BOARD

010662

details of the said financing as finally consummated which do not materially affect the Undertaking and give published notice of its approval in the manner set forth in the Act.

March 25, 1987.

Respectfully Submitted,

By: K. L. Westmoreland
County Administrator of
Spartanburg County

Attest:

By: C. O. Davis
Clerk, Spartanburg County Council

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

010663

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

A RESOLUTION

AUTHORIZING A PETITION TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA FOR ITS APPROVAL OF THE ISSUANCE BY SPARTANBURG COUNTY, SOUTH CAROLINA OF AN INDUSTRIAL REVENUE NOTE IN THE PRINCIPAL AMOUNT OF NOT EXCEEDING $2,000,000 ON BEHALF OF M&M CHEVROLET, INC.; PURSUANT TO TITLE 4, CHAPTER 29, CODE OF LAWS OF SOUTH CAROLINA 1976, AS AMENDED; PROVIDING FOR A PUBLIC HEARING TO BE HELD IN CONNECTION WITH THE ISSUANCE AND DELIVERY OF THE NOTE; AND PROVIDING FOR OTHER MATTERS RELATING THERETO.

BE IT RESOLVED BY SPARTANBURG COUNTY COUNCIL IN MEETING DULY ASSEMBLED:

ARTICLE I

FINDINGS OF FACT

SECTION 1.01

Incident to the adoption of this resolution, Spartanburg County Council ("County Council"), the governing body of Spartanburg County, South Carolina (the "County"), has made the following findings:

1. M&M Chevrolet, Inc. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina, has proposed that the County assist in financing the acquisition, construction and equipping of certain buildings, fixtures, machinery and equipment (the "Project") to constitute remanufacturing and fabrication facilities in the County at an estimated cost of $2,000,000 through the issuance and delivery of an Industrial Revenue Note pursuant to the authorization of Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act").

2. The Company has advised County Council that its proposed industrial project would be aided by the assistance which the County might render through the issuance and delivery of an Industrial Revenue Note in the principal amount of not exceeding $2,000,000 (the "Note") pursuant to the Act and to a proposed ordinance (the "Note Ordinance") to be adopted by County Council.

3. County Council has agreed to finance the acquisition, construction and installation of the Project and adopts this Resolution to authorize a Petition to the State Budget and Control Board of South Carolina (the "State Board") setting forth the facts required by the Act and to provide for a public hearing to be held in connection with the issuance and delivery of the Note.

4. County Council has determined that the financing of the construction and installation of the Project (the "Undertaking")

will subserve the purposes of the Act and that neither the Undertaking nor the Note will give rise to any pecuniary liability of the County or a charge against its general credit or taxing powers.

5. When completed, the Project will provide employment for approximately one hundred (100) additional persons. It is therefore believed that the Undertaking will have a beneficial effect upon the general public welfare of the County and areas adjacent thereto by providing employment not otherwise provided in the County.

6. The amount necessary to finance the acquisition, construction and installation of the Project is approximately Two Million Dollars ($2,000,000).

7. The Company has submitted to County Council a draft of a proposed Loan Agreement (the "Loan Agreement") pursuant to which the County will lend Two Million Dollars ($2,000,000) to the Company and under which the Company will unconditionally agree:

(a) to pay the amounts necessary to provide the payments of principal of and interest on the Note which will be dated and will mature in the amounts and bear interest at the rates set forth in Article II of the Note Ordinance,

(b) to maintain the Project in good repair, and

(c) to carry all proper insurance with respect to the Project.

8. The Company has also submitted to County Council a draft of a proposed Assignment of Loan Agreement pursuant to which the County will assign substantially all of its rights in the Loan Agreement to South Carolina National Bank (the "Bank") as security for the payment of the Note.

9. The Company has also submitted to County Council a draft of a proposed Mortgage and Security Agreement pursuant to which the Company will grant a mortgage lien on, and security interest in, the Project to the Bank as additional security for the payment of the Note.

10. The Company has arranged for the issuance and delivery of the Note to the Bank.

11. In view of the well established credit of the Company and the successful arrangements to effect the issuance and delivery of the Note without the establishment of a reserve fund for the payment of the principal of and interest on the Note, no such reserve fund will be established.

010665

EXHIBIT

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STATE BUDGET & CONTROL BOARD

ARTICLE II

SUBMISSION OF PETITION

SECTION 2.01

The Petition in form substantially as attached hereto as Exhibit "A" shall be presented to the State Board to seek the approval required by the Act; said Petition shall be duly executed by the County Administrator and the same shall be attested by the Clerk of County Council.

ARTICLE III

PUBLIC HEARING AND NOTICE

SECTION 3.01

Pursuant to Section 147(f) of the Internal Revenue Code of 1986, a public hearing shall be held in connection with the issuance and delivery of the Note by the County. Such public hearing shall be held before final action by County Council authorizing the issuance and delivery of the Note.

SECTION 3.02

Not less than fourteen (14) days prior to the hearing provided for in Section 3.01 hereof, the County Administrator shall cause notice of such hearing to be published in The Spartanburg Herald, a newspaper of general circulation in the County. Such notice shall be in substantially the form attached hereto as Exhibit "B".

010666

EXHIBIT "A"

STATE OF SOUTH CAROLINA

SPARTANBURG COUNTY

TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA	P E T I T I O N

The Petition of Spartanburg County Council ("County Council") respectfully shows:

1. County Council is the governing body of Spartanburg County, South Carolina (the "County") as established by law, and, as such, is the Governing Board referred to in Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act").

2. The Act authorizes and empowers the County, if it shall comply with the provisions set forth in the Act, to acquire or cause to be acquired land, buildings, equipment, machinery and other improvements deemed necessary, suitable and useful by any industrial enterprise and to finance the acquisition and installation of the same through the issuance of bonds or notes payable from and secured by a pledge of the revenues to be derived from a financing agreement relating to such land, buildings, equipment and machinery and other improvements.

3. County Council has agreed with M&M Chevrolet, Inc. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina, that the County will undertake to finance the acquisition, construction and equipping of land, buildings, fixtures, machinery and equipment (the "Project") to constitute facilities for the remanufacturer of transmissions and other automobile components as well as facilities for the fabrication of automobile and truck bodies in the County through the issuance and delivery of an Industrial Revenue Note pursuant to the Act. In this connection, County Council has agreed to issue an Industrial Revenue Note, Series 1987 (M&M Chevrolet, Inc. Project) (the "Note") pursuant to the Act and to an ordinance (the "Note Ordinance") to be adopted by County Council.

4. County Council is advised by the Company that the cost of the Project will be approximately Two Million Dollars ($2,000,000) and that, therefore, in order to finance the acquisition, construction and installation of the Project (the "Undertaking"), including the costs and charges incident to the issuance and delivery of the Note, it is necessary that County Council issue and deliver the Note in that amount.

010667

5. When completed, the Project will provide employment for approximately _____ (___) additional persons.

6. For the reasons above set forth and hereinafter disclosed, County Council has found that:

(a) the Undertaking will subserve the purposes of the Act;

(b) the Undertaking will have a beneficial effect upon the general public welfare of the County and the areas adjacent thereto by providing employment not otherwise provided in the County;

(c) by reason of the Undertaking, no pecuniary liability will result to the County nor will there be a charge against its general credit or taxing powers;

(d) the amount required to finance the construction, equipping and installation of the Project is approximately $2,000,000;

(e) the proposed Loan Agreement (the "Loan Agreement") between the County and the Company unconditionally obligates the Company to pay an amount adequate to provide for the payments of the principal of and interest on the Note which will be dated and will mature in the amounts and bear interest at the rates set forth in Article II of the Note Ordinance;

(f) in view of the well established credit of the Company and the successful arrangements to effect the issuance and delivery of the Note without the establishment of a reserve fund for the payment of the principal of and interest on the Note, no such reserve fund will be established; and

(g) the terms of the Loan Agreement require the Company to maintain the Project in good repair and to carry all proper insurance with respect thereto.

7. The Loan Agreement will provide, among other things, the following:

(a) to finance the cost of the acquisition, construction and installation of the Project the County will issue and deliver the Note. The Note will be secured by a pledge of substantially all of the amounts to be paid to the County by the Company, as authorized by the Act;

EXHIBIT
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010668 STATE BUDGET & CONTROL BOARD

(b) the proceeds derived from the issuance and delivery of the Note will be used to pay the costs incident to the acquisition, construction and installation of the Project and the issuance of the Note; and

(c) the Loan Agreement contains no provision imposing any pecuniary liability upon the County or which would create a charge upon its general credit or taxing powers.

8. Pursuant to a proposed Assignment of Loan Agreement (the "Assignment") the County will assign to South Carolina National Bank (the "Bank"), as security for the payment of the Note, substantially all of the right, title and interest of the County in and to the Loan Agreement except tax payments and certain payments to be made by way of indemnification.

9. Pursuant to a proposed Mortgage and Security Agreement (the "Mortgage") the Company will grant a mortgage lien on, and security interest in, the Project to the Bank as additional security for the payment of the Note.

10. The Note will be issued by the County pursuant to the Note Ordinance. The Note Ordinance imposes upon the Company the obligation to pay, in addition to the moneys required for the payment of the principal of and interest on the Note, all other costs and expenses resulting from the Note Ordinance and the issuance of the Note pursuant thereto and the transactions contemplated to take place in connection therewith.

11. The Loan Agreement, the Mortgage, the Assignment, the Note Ordinance and the Note will be substantially in the form heretofore used in the issuance of Industrial Revenue Bonds or Notes pursuant to the Act. While changes may be made in the forms, it is not expected that there will be any changes which will substantially affect the undertaking as now outlined herein.

Upon the basis of the foregoing, County Council respectfully prays:

That the State Budget and Control Board of South Carolina (the "State Board") accept the filing of the Petition presented herewith; and that, thereafter and as soon as practicable, it make such independent investigation of the Undertaking as it deems advisable; that the State Board find that the proposed Undertaking is intended to promote the purposes of the Act and is reasonably anticipated to effect such result; and on the basis of such finding, that it approve the Undertaking, including changes in any

010669

details of the said financing as finally consummated which do not materially affect the Undertaking and give published notice of its approval in the manner set forth in the Act.

March 25, 1987.

Respectfully Submitted,

By: _____
County Administrator of
Spartanburg County

Attest:

By: _____
Clerk, Spartanburg County Council

010670

EXHIBIT "B"

PUBLIC NOTICE

Notice is hereby given by Spartanburg County Council (the "County Board") that a public hearing relating to the proposed issuance and delivery by Spartanburg County, South Carolina (the "County") of a Spartanburg County, South Carolina, Industrial Revenue Note, Series 1987 (M&M Chevrolet, Inc. Project) in a principal amount not exceeding $2,000,000 (the "Note") pursuant to Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended, which Note is to be issued to finance the acquisition, construction and installation of certain buildings, fixtures, machinery and equipment (the "Project") to constitute remanufacturing and fabrication facilities to be owned and operated by M&M Chevrolet, Inc. (the "Company") and to be located on Asheville Highway just outside the Spartanburg City limits, bounded on the north by Ace Hardware Store in the County.

The Note will be payable by the County solely from the amounts to be paid to the County by the Company pursuant to a Loan Agreement (the "Loan Agreement") between the County and the Company.

The Company will irrevocably covenant and agree to pay when due all sums required to pay the principal of and interest on the Note, and the Note will be secured by an Assignment of Loan Agreement under which the County will assign to South Carolina National Bank (the "Bank") substantially all of its rights under the Loan Agreement and by a Mortgage and Security Agreement between the Company and the Bank. The Note will be issued pursuant to the Act and to an ordinance adopted by the County Board.

The Project will provide employment for approximately one hundred (100) additional persons.

The hearing will be held in County Council Chambers, Spartanburg County Courthouse, Spartanburg, South Carolina, at 1:00 p.m., on April 22, 1987.

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STATE OF SOUTH CAROLINA

SPARTANBURG COUNTY

I, the undersigned Clerk of Spartanburg County Council, do hereby certify that the foregoing is a true, correct and verbatim copy of a Resolution duly adopted by Spartanburg County Council having been read at a duly called meeting of County Council on March 25, 1987.

Witness my Hand, this 25th day of March, 1987.

C. O. Parris

Clerk, Spartanburg County Council

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

010672

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

A RESOLUTION

AUTHORIZING AN INDUCEMENT CONTRACT BETWEEN SPARTANBURG COUNTY, SOUTH CAROLINA (THE "COUNTY") AND M & M CHEVROLET, INC. RELATING TO THE ISSUANCE AND DELIVERY BY THE COUNTY OF INDUSTRIAL REVENUE BONDS OR NOTES (THE "NOTE") PURSUANT TO TITLE 4, CHAPTER 29, CODE OF LAWS OF SOUTH CAROLINA 1976, AS AMENDED; AND AUTHORIZING A PETITION TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA REQUESTING THAT A PORTION OF THE STATE CEILING ESTABLISHED BY THE DEFICIT REDUCTION ACT OF 1984 BE ALLOCATED TO THE NOTE.

WHEREAS, M & M Chevrolet, Inc. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina, has requested that Spartanburg County Council ("County Council") exercise the powers vested in it by Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act") and make provision for the issuance and delivery of Spartanburg County, South Carolina, Industrial Revenue Bonds or Notes (the "Note") the proceeds of which would be made available to the Company for the payment of costs and expenses relating to the acquisition, construction and equipping of facilities for the remanufacturing of transmissions and other automobile components as well as facilities for the fabrication of passenger car and truck bodies and other related facilities (the "Project") in Spartanburg County, South Carolina (the "County") including the filing of a Petition with the State Budget and Control Board of South Carolina (the "State Board") requesting that a portion of the State Ceiling established by the Deficit Reduction Act of 1984 (the "State Ceiling") be allocated to the Note; and

WHEREAS, County Council is informed by the Company that upon completion the Project will provide employment for approximately one hundred (100) additional persons; and

WHEREAS, after due consideration, County Council has determined to grant such assistance and to that end has agreed to enter into a contract with the Company making provision for the issuance and delivery of bonds or notes pursuant to the Act and to petition the State Board for allocation of a portion of the State Ceiling.

NOW, THEREFORE, BE IT RESOLVED by Spartanburg County Council in meeting duly assembled:

1. That the County shall issue the Note in the amount not exceeding Two Million Dollars ($2,000,000) to finance the cost of the proposed undertaking of the Company.

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2. That an agreement to implement the action to be taken pursuant to paragraph 1 above in substantially the form presented to this meeting and attached hereto (but with such changes, if any, as the officers herein authorized to execute the same shall approve, their approval to be evidenced by the execution thereof) shall be executed on behalf of the County by the Administrator and the same shall be attested by the Clerk of County Council.

3. That a Petition in form substantially as attached hereto as Exhibit "A" shall be presented to the State Board to request that a portion of the State Ceiling be allocated to the Note.

4. County Council and its duly elected officers, shall take any and all further action as may become necessary to effectuate the action herewith taken and the agreement herein authorized.

5. This Resolution shall take effect immediately.

EXHIBIT

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STATE BUDGET & CONTROL BOARD

010674

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

INDUCEMENT CONTRACT

THIS CONTRACT made and entered into between SPARTANBURG COUNTY, SOUTH CAROLINA (the "County"), a body politic and corporate and a political subdivision of the State of South Carolina (the "State"), and M & M CHEVROLET, INC. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina,

WITNESSETH:

ARTICLE I

RECITATION OF FACTS

Section 1.01

As a means of setting forth the matters of mutual inducement which have resulted in the making and entering into of this Contract, the following statements of fact are herewith recited:

1. The County is a body politic and corporate and a political subdivision of the State and is authorized and empowered by the provisions of Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act") to acquire, enlarge, improve, expand, equip, furnish, own, lease and dispose of properties through which the industrial development of the State will be promoted and trade developed by inducing new industries to locate in the State and by encouraging industries now located in the State to expand their investments and thus utilize and employ manpower and other resources of the State.

2. The Company proposes to acquire, construct and install land, buildings, fixtures, machinery and equipment (the "Project") to constitute facilities for the remanufacturing of transmissions and other automobile components as well as facilities for the fabrication of passenger car and truck bodies and other related facilities in the County. The cost of this undertaking is estimated to be approximately Two Million Dollars ($2,000,000). Upon completion, the Project will provide employment for approximately one hundred (100) additional persons.

3. The Company has advised the County that its contemplated program would be aided by the availability of the assistance which the County might render through the sale of Spartanburg County, South Carolina, Industrial Revenue Bonds or Notes (the "Note") pursuant to the Act whereby the County would finance the acquisition and installation of the Project.

010675

4. The County has given due consideration to all of the proposals and requests of the Company and has agreed to endeavor to effect the issuance and delivery pursuant to the Act of the Note at the time and on the terms and conditions hereafter set forth.

ARTICLE II

UNDERTAKINGS ON THE PART OF THE COUNTY

The County agrees as follows:

Section 2.01

That it will authorize the issuance of the Note in an amount not exceeding Two Million Dollars ($2,000,000) at such time as the Company may request the County to do so.

Section 2.02

That it will enter into a financing agreement (the "Agreement") with the Company upon such terms and conditions as shall be mutually agreed upon between the County and the Company pursuant to which the proceeds of the Note will be made available to the Company to be applied to the cost of acquiring and installing the Project and to the expenses incident thereto including the costs of the financing.

Section 2.03

That it will permit the Company to arrange for the sale of the Note and if successful marketing arrangements can be made, it will adopt such proceedings as are necessary for the making of the Agreement and the issuance and delivery of the Note.

Section 2.04

That if the Note shall be sold, the Agreement will provide that the proceeds thereof shall be applied to the payment of the costs theretofore and thereafter to be incurred in connection with the issuance and delivery of the Note and the acquisition and installation of the Project including the repayment of any funds advanced or loans incurred by the Company or any related entity for such purposes.

Section 2.05

That if requested by the Company prior to the issuance and delivery of the Note, it will enter into a trust indenture (the "Indenture") with a trustee bank to be selected by the Company pursuant to which the Note will be issued. The Indenture, if entered into, will be

010676

substantially in the form used in connection with the issuance of Industrial Revenue Bonds or Notes in the State and may constitute a lien on the Project to secure the payment of the Note.

Section 2.06

That it will perform such other acts and adopt such further proceedings as may be required to faithfully implement its undertakings and to consummate the proposed financing.

ARTICLE III

UNDERTAKINGS ON THE PART OF THE COMPANY

The Company agrees as follows:

Section 3.01

That the County will have no obligation to find a purchaser of the Note, and the Company will endeavor to market the Note on behalf of the County to the extent required to finance the cost of issuing and delivering the Note and the cost of the acquisition and installation of the Project.

Section 3.02

If the plan proceeds as contemplated, the Company further agrees as follows:

(a) to enter into the Agreement with the County, under the terms of which it will obligate itself to pay to the County sums sufficient to pay the principal of, prepayment penalty or premium, if any, and interest on the Note, as and when the same become due and payable, the Agreement to be in form and to contain such provisions as shall be satisfactory to the County and to the Company;

(b) to obligate itself to make the additional payments required by the Act including, but not limited to, payments in lieu of taxes;

(c) to hold the County harmless from all pecuniary liability and to reimburse it for all expenses to which it might be put in the fulfillment of its obligations under this Contract and in the implementation of its terms and provisions; and

(d) to perform such further acts and adopt such further proceedings as may be required to faithfully implement its undertakings and consummate the proposed financing.

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ARTICLE IV

GENERAL PROVISIONS

Section 4.01

All commitments of the County under Article II hereof are subject to all of the provisions of the Act and the condition that nothing contained in this Contract shall constitute or give rise to a pecuniary liability of the County or a charge against its general credit or taxing powers.

Section 4.02

The parties agree that the Company may proceed with the acquisition and installation of the Project prior to the issuance and delivery of the Note.

Section 4.03

All commitments of the County and the Company hereunder are subject to the condition that the County and the Company do agree on mutually acceptable terms and conditions of all documents whose execution and delivery are contemplated by the provisions hereof.

IN WITNESS WHEREOF, the parties hereto, each after due authorization, have executed this Contract as of the 9 day of April, 1986.

SPARTANBURG COUNTY, SOUTH CAROLINA

By K. L. Westmoreland
County Administrator of Spartanburg County

Attest:

By C. E. Davis
Clerk, Spartanburg County Council

010678

M & M CHEVROLET, INC.

By _____
President

Attest:

By _____
Executive Vice President

010679

EXHIBIT "A"

STATE OF SOUTH CAROLINA

SPARTANBURG

TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA	P E T I T I O N

The Petition of Spartanburg County, South Carolina (the "County") respectfully shows:

1. The County is an "issuing authority" as such term is used in the Regulations on Allocation of State Ceiling on Issuance of Private Activity Bonds (the "Regulations") promulgated by the State Budget and Control Board of South Carolina (the "State Board").

2. The County has agreed with M & M Chevrolet, Inc. (the "Company"), a corporation organized and existing under the laws of the State of South Carolina, that the County will undertake to finance the acquisition, construction and equipping of facilities for the remanufacturing of transmissions and other automobile components as well as facilities for the fabrication of passenger car and truck bodies and other related facilities (the "Project") in the County through the issuance and delivery of Industrial Revenue Bonds or Notes pursuant to Title 4, Chapter 29, Code of Laws of South Carolina 1976, as amended (the "Act"). In this connection, the County has agreed to issue its $2,000,000 Spartanburg County, South Carolina, Industrial Revenue Bonds or Notes (the "Note") pursuant to the Act and to an ordinance to be adopted by Spartanburg County Council.

3. The County is advised by the Company that the Project will provide employment for approximately one hundred (100) additional persons.

4. The Regulations authorize the County to submit its request to the State Board that a portion of the State Ceiling established by the Deficit Reduction Act of 1984 (the "State Ceiling") be allocated to the Note.

5. This Petition constitutes an "Authorized Request" within the meaning of the Regulations and, as required by the Regulations, is accompanied by a copy of the Inducement Contract executed by the County and the Company.

Upon the basis of the foregoing, the County respectfully prays:

010680

That the State Board accept the filing of the Petition presented herewith, that it determine that the allocation amount requested is not disproportionately large in comparison with the State Ceiling not yet allocated or with the public benefits to be derived from the Project and that it approve an allocation for the Project in an amount not to exceed $2,000,000.

_____ __, 1986.

Respectfully Submitted,

By/s/ (Exhibit)
County Administrator of
Spartanburg County

Attest:

By/s/ (Exhibit)
Clerk, Spartanburg County Council

010681

STATE OF SOUTH CAROLINA

SPARTANBURG

I, the undersigned, Clerk of Spartanburg County Council DO HEREBY CERTIFY:

That the foregoing constitutes a true, correct and verbatim copy of a resolution adopted by Spartanburg County Council at a duly called and regularly held meeting on April 9, 1986, at which all/a majority of the members of said County Council were present, and voted unanimously in favor of the adoption of said resolution.

That the original of said resolution is duly entered in the permanent records of minutes of meetings of County Council in my custody as Clerk.

IN WITNESS WHEREOF, I have hereunto set my Hand this 9 day of April, 1986.

C. P. Davis

Clerk, Spartanburg County Council

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

010682

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

INDUSTRIAL REVENUE BOND PROJECT

M & M Chevrolet, Inc.

GOVERNING BOARD

Spartanburg County Council

BOND COUNSEL

McNair Law Firm
Columbia, SC

FINDINGS BY GOVERNING BOARD (§ 4-29-60)

The Governing Board shall find:

✓ (1) The project will subserve the purposes of Chapter 29, Title 4;

✓ (2) The project is anticipated to benefit the general public welfare of the locality by providing services, employment, recreation, or other public benefits not otherwise provided locally;

✓ (3) The project will give rise to no pecuniary liability of county or incorporated municipality or a charge against its general credit or taxing power;

010683

$2,000,000. (4) The amount of bonds required to finance the project;

✓ (5) The amount necessary in each year to pay the principal of and the interest on the bonds proposed to be issued to finance the project;

No fund will be established (6) The amount necessary to be paid each year into any reserve funds which the governing board may deem advisable to establish in connection with the retirement of the proposed bonds and the maintenance of the project;

✓ (7) Unless the terms of the financing agreement with respect to the project provide that the industry shall maintain the project and carry all proper insurance with respect thereto, the cost of maintaining the project in good repair and keeping it properly insured;

✓ (8) The determinations and findings of the governing board required to be made above shall be set forth in the proceedings under which the proposed bonds are to be issued.

FINANCING AGREEMENT (§ 4-29-60)

Every financing agreement with respect to a project shall contain an agreement:

✓ obligating the industry to effect the completion of the project if the proceeds of the bonds prove insufficient, and

obligating the industry to pay an amount under the terms of a financing agreement, which, upon the basis of the determinations theretofore made, will be sufficient:

✓ (a) to pay the principal of and interest on the bonds issued to finance the project;

✓ (b) to build up and maintain any reserves deemed by the governing board to be advisable in connection therewith, and

✓ (c) unless the financing agreement obligates the industry to pay for the maintenance and insurance of the project, to pay the costs of maintaining the project in good repair and keeping it properly insured.

Every financing agreement in the form of a lease shall contain a provision requiring the industry to make payments to the county or counties, municipality or municipalities, school district or school districts, and other political units wherein the project shall be located in lieu of taxes, in such amounts as would result from taxes levied on the project by such county or counties, municipality or municipalities, school district or school districts, and other political unit

EXHIBIT

APR 14 1987 NO. 26

010685

STATE BUDGET & CONTROL BOARD

or units, if the project were owned by the industry, but with appropriate reductions similar to the tax exemptions, if any, which would be afforded to the industry if it were the owner of the project.

REFUNDING BONDS (§ 4-29-110)

_____ may not exceed an amount sufficient to refund the principal of the bonds to be refunded, together with any unpaid interest thereon and any premiums, expenses, and commissions necessary to be paid in connection therewith.

PETITION (§ 4-29-140)

Must set forth

_____ (a)(1) a brief description of the project proposed to be undertaken, and

_____ (a)(2) its anticipated effect upon the economy of the county or incorporated municipality in which the project is to be located and of the areas adjacent thereto;

_____ (b) a reasonable estimate of the cost of the project;

_____ (c)(1) a general summary of the terms and conditions of the financing agreement and security agreement to be made,

_____ (c)(2) a statement establishing the basis for the payment of sums in lieu of taxes as required by § 4-29-60.

FINDINGS BY BUDGET AND CONTROL BOARD

✓ the project is intended to promote the purposes of Chapter 29, Title 4, (Public Purpose)

✓ the project is reasonably anticipated to effect such result[illegible].

QUESTIONS CONSIDERED [illegible]

EXHIBIT

APR 14 1987 NO. 26

STATE BUDGET & CONTROL BOARD

010687

Date: April 8, 1937

Submitted for BCB Meeting on: April 14, 1987

4/13/87 9am

State Budget and Control Board
600 Wade Hampton Office Building
Columbia, SC 29201
OR P. O. Box 12444, Columbia, SC 29211

APR - 8 1987 11:25 AM

DE 4-14-87

FROM:
McNair Law Firm, P.A.
Name of Law Firm
Columbia, South Carolina 29211
City, State, Zip Code

P.O. Box 11390
Street Address/Box Number
799-9800
Telephone Area Code and Number

RE: Not exceeding $2,000,000
Amount of Issue

Industrial Revenue Note
Type of Bonds or Notes

Spartanburg County, South Carolina
Issuing Authority Name

Projected Issue Date

Project Name: M&M Chevrolet, Inc.

Project Description:
Facility to remanufacture transmissions and other automobile components as well as fabrication of passenger car and truck bodies and related facilities

Employment as result of project: approximately one hundred (100) persons

CEILING ALLOCATION REQUIRED	REFUNDING INVOLVED	PROJECT APPROVED PREVIOUSLY
X Yes ($2,000,000 Amount) ___ No	___ Yes ($ Amount) ___ No	___ Yes (Date) ___ No

DOCUMENTS ENCLOSED:
(ALL required for State law approval; A and C only for ceiling allocation only.)

A. X Petition (executed original and two copies)

B. X Resolution or ordinance (executed copy)

C. X Inducement Resolution or comparable preliminary approval (executed copy)

D. X Standard Form Investment Letter from bonds purchaser (executed original)
(Purchaser: The South Carolina National Bank)

OR ___ Audited financial statements for three most recent years

E. NA Department of Health and Environmental Control certificate IF REQUIRED

F. X Budget and Control Board Resolution and Public Notice (original)
[Plus 5 copies for certification and return to counsel]

G. X Processing fee
Amount $ 3,000 Check No. 69414
Payor M&M Chevrolet, Inc.

Bond Counsel: M. William Youngblood, Jr.
Typed Name

By: M. Wm. Youngblood, Jr.
Signature

EXHIBIT
APR 14 1987 NO. 26
STATE BUDGET & CONTROL BOARD

OED 1/86

010688

Date: April 8, 1987
Submitted for BCB Meeting on: April 14, 1987

State Budget and Control Board
600 Wade Hampton Office Building
Columbia, SC 29201
OR P. O. Box 12444, Columbia, SC 29211

APR - 8 1987 11:25 AM

FROM:

McNair Law Firm, P.A. — **Name of Law Firm**
Columbia, South Carolina 29211 — **City, State, Zip Code**

P.O. Box 11390 — **Street Address/Box Number**
799-9800 — **Telephone Area Code and Number**

RE: Not exceeding $2,000,000 — **Amount of Issue**
Spartanburg County, South Carolina — **Issuing Authority Name**

Industrial Revenue Note — **Type of Bonds or Notes**
Projected Issue Date

Project Name: M&M Chevrolet, Inc.
Project Description:
Facility to remanufacture transmissions and other automobile components as well as fabrication of passenger car and truck bodies and related facilities

Employment as result of project: approximately one hundred (100) persons

CEILING ALLOCATION REQUIRED	REFUNDING INVOLVED	PROJECT APPROVED PREVIOUSLY
X Yes ($2,000,000 Amount) ___ No	__ Yes ($_____ Amount) __ No	__ Yes (_____ Date) __ No

DOCUMENTS ENCLOSED:
(ALL required for State law approval; A and C only for ceiling allocation only.)

A. X Petition (executed original and two copies)
B. X Resolution or ordinance (executed copy)
C. X Inducement Resolution or comparable preliminary approval (executed copy)
D. X Standard Form Investment Letter from bonds purchaser (executed original)
(Purchaser: The South Carolina National Bank)
OR ___ Audited financial statements for three most recent years
E. NA Department of Health and Environmental Control certificate IF REQUIRED
F. X Budget and Control Board Resolution and Public Notice (original)
[Plus 5 copies for certification and return to counsel]
G. X Processing fee
Amount $ 3,000 Check No. 69414
Payor M&M Chevrolet, Inc.

EXHIBIT
APR 14 1987 NO. 26
STATE BUDGET & CONTROL BOARD

Bond Counsel: M. William Youngblood, Jr.
Typed Name

By: M. Wm. Youngblood, Jr.
Signature

EXHIBIT
APR 14 1987 NO. 26
STATE BUDGET & CONTROL BOARD

OED 1/86

010689

7/7/87

McNAIR LAW FIRM, P. A.
ATTORNEYS AND COUNSELORS AT LAW
NCNB TOWER
POST OFFICE BOX 11390
COLUMBIA, SOUTH CAROLINA 29211
803-799-9800

ROBERT E. McNAIR
TERRELL L. GLENN
JAMES S. KONDUROS
O. WAYNE CORLEY
E. McLEOD SINGLETARY
CHARLES PORTER
ROBERT W. DIBBLE, JR.
EMORY M. SNEEDEN
STEPHEN KOPLAN*
RICHARD S. WOODS
RICHARD L. C. SULLIVAN
M. JOHN BOWEN, JR.
JOHN H. LUMPKIN, JR.
M. WILLIAM YOUNGBLOOD, JR.
JOHN W. CURRIE
SCOTT Y. BARNES
M. ELIZABETH CRUM
THEODORE J. HOPKINS, JR.
DANIEL R. McLEOD, JR.
WILLIAM S. ROSE, JR.
HUEL D. ADAMS, JR.
JAMES P. FIELDS, JR.

M. CRAIG GARNER, JR.
ROBERT T. BOCKMAN
EDWIN W. JOHNSON II
PETER L. MURPHY
C. ALAN RUNYAN
JOHN W. FOSTER
ELIZABETH VAN DOREN GRAY
WILMOT B. IRVIN
APRIL C. LUCAS
ROBERT E. STEPP
J. SIMON FRASER
KATHLEEN CRUM McKINNEY
DEBORAH K. OWEN*
E. RUSSELL JETER, JR.
DOROTHY M. HELMS
NANCY PAGE
JANE W. TRINKLEY
MICHAEL S. GELACAK
WILLIAM MICHAEL HOUSE*
CARL B. CARRUTH
JOHN W. HUNTER*
J. WESLEY CRUM III

HENRY M. BURWELL
RICHARD J. MORGAN
CHRISTOPHER McG. HOLMES
SARA S. ROGERS
CELESTE TILLER JONES
KATHERINE ELIZABETH MIMS
JOSEPH D. WALKER
NANCY R. JEFFERIS
ALISON RENEE LEE
MARTHA P. McMILLIN
WILLIAM ASHLEY JORDAN, JR.
GREGORY HUGH WORTHY
MICHAEL M. BEAL
DEBORAH ANN DAVIS
ELIZABETH BOWE ANDERS
WILLIAM M. MUSSER
SHARON E. CRAWLEY
T. PARKIN HUNTER
ROBERT F. McMAHAN, JR.
ELIZABETH A. HOLDERMAN
JUDITH L. McINNIS
KENNETH B. WINGATE

*NOT ADMITTED IN SOUTH CAROLINA

THOMAS H. BARKSDALE, JR.*
RALPH W. KITTLE*
JOHN H. LUMPKIN, SR.
OF COUNSEL

GREENVILLE OFFICE
SUITE 1201
NCNB PLAZA
7 NORTH LAURENS STREET
GREENVILLE, S.C. 29601
803-271-4940

HILTON HEAD ISLAND OFFICE
NCNB BUILDING
POPE AVENUE
POST OFFICE BOX 5914
HILTON HEAD ISLAND, S.C. 29938
803-785-5169

WASHINGTON OFFICE
SUITE 400
MADISON OFFICE BUILDING
1155 15TH STREET, N.W.
WASHINGTON, D.C. 20005
202-659-3900

July 6, 1987
CERTIFIED MAIL
Receipt No. P 334 809 032

Internal Revenue Service
Philadelphia, Pennsylvania 19255

Re: Amendment and Reissuance of
$3,050,000 Principal Amount
Greenville County, South Carolina,
Hospital Revenue Bonds (St. Francis
Community Medical Office Building
Project) 1984

Gentlemen:

Please find enclosed one original and one copy of an Internal Revenue Service Form 8038 for the above referenced bonds. I would appreciate your stamping the copy as being received and returning it to me at your earliest possible convenience.

Thank you for your attention to this matter.

Very truly yours,

William M. Musser

William M. Musser

WMM/ts
Enclosures

cc: William A. McInnis

010690

Form **8038**
(Rev. December 1986)
Department of the Treasury
Internal Revenue Service

Information Return for Tax-Exempt Private Activity Bond Issues

► Under Section 149(e)

OMB No. 1545-0720
Expires 12/31/89

Part I **Reporting Authority** — Check box if **Amended Return** ► ☐

1 Issuer's name	2 Issuer's employer identification number
Greenville County, South Carolina	57-6000356
3 Number and street: Courthouse Annex	4 Report number: PA198 7 - 2
5 City or town, state, and ZIP code: Greenville, South Carolina 29601	6 Date of issue: June 11, 1987

Part II **Type of Issue (check box(es) that applies)**

		Issue Price
7	☐ Qualified hospital bond (section 145(c))	
8	☐ Qualified section 501(c)(3) bond other than a qualified hospital bond (section 145)	
9	☐ Qualified student loan bond (section 144(b))	
10	☐ Qualified mortgage bond (section 143(a)). Check box if you elect to rebate arbitrage profits to the U.S. ► ☐	
11	☐ Qualified veterans' mortgage bond (section 143(b)). Check box if you elect to rebate arbitrage profits to the U.S. ► ☐	
12	☐ Qualified redevelopment bond (section 144(c))	
13	☒ Qualified small issue bond (section 144(a)). Check box for $10 million small issue exemption ► ☒	$3,033,653.29
14	Exempt facility bond:	
a	☐ Airport (section 142(a)(1))	
b	☐ Docks and wharves (section 142(a)(2))	
c	☐ Mass commuting facilities (section 142(a)(3))	
d	☐ Water furnishing facilities (section 142(a)(4))	
e	☐ Sewage facilities (section 142(a)(5))	
f	☐ Solid waste disposal facilities (section 142(a)(6))	
g	☐ Residential rental projects (section 142(a)(7))	
h	☐ Facilities for the local furnishing of electric energy or gas (section 142(a)(8))	
i	☐ Local district heating or cooling facilities (section 142(a)(9))	
j	☐ Qualified hazardous waste facilities (section 142(a)(10))	
k	☐ Sports facilities (see instructions)	
l	☐ Convention or trade show facilities (see instructions)	
m	☐ Pollution control facilities (see instructions)	
n	☐ Hydroelectric generating facilities (see instructions)	
o	☐ Parking facilities (see instructions)	
15	☐ Industrial parks (see instructions)	
16	☐ Other. Describe (see instructions) ►	

Part III **Description of Bonds**

	(a) Maturity date	(b) Interest rate	(c) Issue price	(d) Stated redemption price at maturity	(e) Weighted average maturity	(f) Yield	(g) Net interest cost
17 Final maturity	10/15/95	VR %	2,880,802.63	2,880,802.63			
18 Entire issue			3,033,653.29	3,033,653.29	8.33 years	VR	VR

Part IV **Uses of Original Proceeds of Issue (including underwriters' discount)**

19	Proceeds used for accrued interest	19	-0-
20	Proceeds used for bond issuance costs (including underwriters' discount)	20	-0-
21	Proceeds used for credit enhancement	21	-0-
22	Proceeds allocated to reasonably required reserve or replacement fund	22	30,000
23	Proceeds used to refund prior issues (complete Part VI)	23	3,003,653.29
24	Nonrefunding proceeds of the issue (subtract lines 20, 21, 22, and 23 from line 18, column (c))	24	-0-

010691

S00010

Form **8038**
(Rev. December 1986)
Department of the Treasury
Internal Revenue Service

Information Return for Tax-Exempt Private Activity Bond Issues

► Under Section 149(e)

OMB No 1545-0720
Expires 12/31/89

Part I **Reporting Authority** — Check box if **Amended Return** ► ☐

1 Issuer's name	2 Issuer's employer identification number
Greenville County, South Carolina	57-6000356
3 Number and street: Courthouse Annex	4 Report number: PA198 7 - 2
5 City or town, state, and ZIP code: Greenville, South Carolina 29601	6 Date of issue: June 11, 1987

Part II **Type of Issue (check box(es) that applies)**

		Issue Price
7	☐ Qualified hospital bond (section 145(c))	
8	☐ Qualified section 501(c)(3) bond other than a qualified hospital bond (section 145)	
9	☐ Qualified student loan bond (section 144(b))	
10	☐ Qualified mortgage bond (section 143(a)). Check box if you elect to rebate arbitrage profits to the U.S. ► ☐	
11	☐ Qualified veterans' mortgage bond (section 143(b)). Check box if you elect to rebate arbitrage profits to the U.S. ► ☐	
12	☐ Qualified redevelopment bond (section 144(c))	
13	☒ Qualified small issue bond (section 144(a)). Check box for $10 million small issue exemption ► ☒	$3,033,653.29
14	Exempt facility bond:	
a	☐ Airport (section 142(a)(1))	
b	☐ Docks and wharves (section 142(a)(2))	
c	☐ Mass commuting facilities (section 142(a)(3))	
d	☐ Water furnishing facilities (section 142(a)(4))	
e	☐ Sewage facilities (section 142(a)(5))	
f	☐ Solid waste disposal facilities (section 142(a)(6))	
g	☐ Residential rental projects (section 142(a)(7))	
h	☐ Facilities for the local furnishing of electric energy or gas (section 142(a)(8))	
i	☐ Local district heating or cooling facilities (section 142(a)(9))	
j	☐ Qualified hazardous waste facilities (section 142(a)(10))	
k	☐ Sports facilities (see instructions)	
l	☐ Convention or trade show facilities (see instructions)	
m	☐ Pollution control facilities (see instructions)	
n	☐ Hydroelectric generating facilities (see instructions)	
o	☐ Parking facilities (see instructions)	
15	☐ Industrial parks (see instructions)	
16	☐ Other. Describe (see instructions) ► _____	

Part III **Description of Bonds**

	(a) Maturity date	(b) Interest rate	(c) Issue price	(d) Stated redemption price at maturity	(e) Weighted average maturity	(f) Yield	(g) Net interest cost
17 Final maturity	10/15/95	VR %	2,880,802.63	2,880,802.63			
18 Entire issue			3,033,653.29	3,033,653.29	8.33 years	VR	VR

Part IV **Uses of Original Proceeds of Issue (including underwriters' discount)**

19	Proceeds used for accrued interest	19	-0-
20	Proceeds used for bond issuance costs (including underwriters' discount)	20	-0-
21	Proceeds used for credit enhancement	21	-0-
22	Proceeds allocated to reasonably required reserve or replacement fund	22	30,000
23	Proceeds used to refund prior issues (complete Part VI)	23	3,003,653.29
24	Nonrefunding proceeds of the issue (subtract lines 20, 21, 22, and 23 from line 18, column (c))	24	-0-

010692

APR 15 1987

The State of South Carolina

Office of the Attorney General

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

T. TRAVIS MEDLOCK
ATTORNEY GENERAL

REMBERT C. DENNIS BUILDING
POST OFFICE BOX 11549
COLUMBIA, S.C. 29211
TELEPHONE 803-734-3680

April 14, 1987

Mr. William A. McInnis
Deputy Executive Director
State Budget and Control Board
Columbia, South Carolina 29201

Re: $3,050,000 Greenville County, South Carolina, Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project)

Dear Mr. McInnis:

Regarding the above-referenced bond we have reviewed the Petition and other documents submitted to the State Budget and Control Board for its approval pursuant to Sections 44-7-1590, et seq., Code of Laws of South Carolina, 1976, as amended, and the same appear, in our opinion, to be in order.

This opinion addresses only the legal sufficiency of the documents you have provided for our review. No opinion is expressed as to any other matters, including whether the Petition should be approved as a matter of policy.

Sincerely yours,

David C. Eckstrom
Assistant Attorney General

DCE/dac

Enclosure

010693

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA
STATE BUDGET AND CONTROL BOARD
Standard Form Investment Letter

TO: Secretary, State Budget and Control Board
P. O. Box 12444
Columbia, SC 29211

RE: Sale by Greenville County, South Carolina (the "Issuer")
Of its $3,050,000 Hospital Revenue Bonds (the "Bonds")
On behalf of St. Francis Community Hospital Medical Office Building Corporation (the "Company")
St. Francis Community Hospital Medical Office Building (the "Project")
To NCNB South Carolina (the "Purchaser")

DATE:

In connection with the referenced sale of Bonds by the Issuer, the Purchaser makes the following representations and certifications:

1. The Purchaser has such knowledge and experience in financial and business matters that it is capable of evaluating the merits and risks of its prospective investment in the Bonds;

2. The Purchaser is financially able to bear the economic risk of its proposed investment in the Bonds for an indefinite period;

3. The Purchaser is familiar with the business affairs of the Company and has obtained and examined all financial and other information with respect to the Bonds, the Company and the officers and shareholders of the Company which it deems necessary in order to enable it to evaluate the merits and risks of its investment in the Bonds and to make an informed investment judgment in connection with the purchase of the Bonds;

4. The Purchaser has had the opportunity to ask questions of, and receive answers from, the Issuer and the Company concerning the terms and conditions of the offering and any other information which it has deemed relevant to the Bonds and its investment in the Bonds; and

5. The Bonds are being purchased for the account of the Purchaser and for the purpose of investment and not presently for resale, and the Purchaser has no present intention of offering the Bonds or any portion thereof for resale either currently or after the passage of a fixed period of time, or upon the occurrence or nonoccurrence of any predetermined event or circumstances.

SWORN to and subscribed
before me this 16 day
of April, 1987

Notary Public

My Commission expires
December 8, 1992.

010694

PURCHASER:

Name: NCNB SOUTH CAROLINA

Address: P. O. Box 448
Columbia, SC 29202

BY: Signature of Authorized Official

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA) St. Francis Community Hospital MOB

COUNTY OF RICHLAND)

I, WILLIAM A. McINNIS, SECRETARY to the South Carolina State Budget and Control Board, DO HEREBY CERTIFY:

That the State Budget and Control Board (the Board) is composed of the following:

His Excellency, Carroll A. Campbell, Jr., Governor and Chairman of the Board;

The Honorable Grady L. Patterson, Jr., State Treasurer;

The Honorable Earle E. Morris, Jr., Comptroller General;

The Honorable Rembert C. Dennis, Chairman of the Senate Finance Committee; and

The Honorable Robert N. McLellan, Chairman of the House Ways and Means Committee.

That due notice of a meeting of the Board, called to be held in Columbia, South Carolina, at 9:30 a.m. on Tuesday, April 14, 1987, was given to all members in writing, and at least four (4) days prior to the meeting; that all members of the Board were present at the meeting, with the exception of Senator Dennis (during consideration of this item).

That at the meeting, a Resolution, of which the attached is a true, correct and verbatim copy, was introduced by Mr. Patterson, who moved its adoption; the motion was seconded by Mr. Morris, and upon the vote being taken and recorded it appeared that the following votes were cast:

FOR MOTION	AGAINST MOTION
4	0

That the Chairman thereupon declared the Resolution unanimously adopted and the original thereof has been duly entered in the permanent records of minutes of meetings of the Board in my custody as its Secretary.

April 20, 1987

William A McInnis

010695

EXHIBIT NO. 27

APR 14 1987

STATE BUDGET & CONTROL BOARD

A RESOLUTION APPROVING THE AMENDMENT BY GREENVILLE COUNTY, SOUTH CAROLINA OF THOSE CERTAIN $3,050,000 PRINCIPAL AMOUNT HOSPITAL REVENUE BONDS (ST. FRANCIS COMMUNITY HOSPITAL MEDICAL OFFICE BUILDING PROJECT) 1984 PURSUANT TO THE PROVISIONS OF SOUTH CAROLINA CODE ANNOTATED, TITLE 44, CHAPTER 7 (1976).

WHEREAS, the County Council of Greenville County, South Carolina (the "County Board") has heretofore, by submitting a resolution and petition (the "Resolution"), under and pursuant to the provisions of Section 44-7-1590 of South Carolina Code Annotated, Title 44, Chapter 7 (1976) (the "Act"), requested the approval by the State Budget and Control Board of the amendment by Greenville County (the "County") pursuant to the Act of its Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1984, in the aggregate principal amount of not exceeding $3,050,000 (the "Bonds"); and

WHEREAS, the State Budget and Control Board approved the issuance of the Bonds at its meeting on Novenmber 7, 1983; and

WHEREAS, the County issued the Bonds on April 4, 1984 for the purpose of defraying the costs of acquiring by construction and purchase, certain land and buildings and improvements thereon and certain other machinery, apparatus, equipment, office facilities and furnishings (the "Facilities") to be used as office facilities for hospital staff members and physicians; and

WHEREAS, St. Francis Community Hospital Medical Office Building Corporation (the "Company") has agreed to make payments sufficient to pay the principal of and premium, if any, and interest on the Bonds and the costs and expenses related to the issuance of and amendment to the Bonds; and

WHEREAS, the Bonds are secured by a pledge of the revenues to be derived from the Facilities, and in addition by a first mortgage on the leasehold with respect to Facilities; and

WHEREAS, the County, the Company and the holder of the Bonds (the "Holder") desire to amend the provisions of the Bonds to provide for (i) a lower interest rate to be payable thereunder and (ii) a debt service reserve fund in such amount as the County, the Company and the Holder to further secure payment of the principal and interest of the Bonds; and

WHEREAS, the County has submitted with the Petition (i) a copy of a resolution and petition (the "Petition") adopted by the County Board on April 19, 1983 and (ii) an executed original resolution of the County Board dated April 7, 1987 requesting approval of the amendment to the terms of the Bonds and this Board has reviewed and considered each of said documents in its consideration of said request by the County.

NOW, THEREFORE, BE IT RESOLVED, by the State Budget and Control Board of the State of South Carolina, as follows:

Section 1. The Board has made an independent investigation of the matters set forth in the Petition and Resolution, and on the basis of such investigation it is hereby found, determined and declared:

(a) The facts set forth in the Petition and Resolution, and in the preamble hereto, are in all respects true and correct;

(b) The Petition and Resolution filed by the County Board contain all matters required by law and the rules of this Board to be set forth therein, and that in consequence thereof the jurisdiction of this Board has been properly invoked under and pursuant to Section 44-7-1590 of the Act; and

(c) The Facilities which are the subject of the Petition and Resolution of the County Board are intended to promote the purposes of the Act and are reasonably anticipated to effect such result.

Section 2. In consequence of the foregoing, the proposal of the County to amend the terms of the Bonds to provide for (i) a lower interest rate thereon and (ii) a debt service reserve fund in such amount as is reasonably required by the County, the Company and the Holder be and the same is hereby in all respects approved.

Section 3. Notice of the action taken by this Board in approving the above-described undertaking of the County shall be published in a newspaper having general circulation in Greenville County.

Section 4. The Notice, required in Section 3 above to be published, shall be in substantially the form set forth in Exhibit "A" of this Resolution.

Section 5. This Resolution shall take effect immediately.

EXHIBIT

APR 14 1987 NO. 27

010697 STATE BUDGET & CONTROL BOARD

NOTICE PURSUANT TO THE PROVISIONS
OF SOUTH CAROLINA CODE ANNOTATED,
TITLE 44, CHAPTER 7

Notice is hereby given pursuant to the provisions and requirements of Section 44-7-1590 of South Carolina Code Annotated, as amended (the "Act") that the State Budget and Control Board of South Carolina, pursuant to a Petition filed by the County Council of Greenville County, South Carolina, has given its approval to the following undertaking by Greenville County, South Carolina:

The amendment by Greenville County of its $3,050,000 aggregate principal amount Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1984 (the "Bonds"). The Bonds were issued to defray the costs of acquiring, by construction and purchase, certain land, and buildings and improvements thereon, and certain other machinery, apparatus, equipment, office facilities and furnishings which are used as office facilities for hospital staff members and physicians (the "Facilities") located in Greenville County. The Facilities are owned by St. Francis Community Hospital Medical Office Building Corporation (the "Company"), a South Carolina corporation, which has unconditionally covenanted to pay the principal of, premium (if any) and interest on the Bonds. The Bonds, as amended, will be payable solely and exclusively out of revenues to be derived from such payments, and are to be additionally secured by a pledge of the Loan Agreement with respect to the Facilities.

The Greenville County Council determined that at the time of issuance of the Bonds: (a) there was a critical need for the Facilities in the area in which they are to be located; (b) the Company's obligations were sufficiently supported by guarantees, mortgages and by other agreements to insure that it is financially responsible and capable of fulfilling its obligations under the proposed loan agreement, including the obligations to make the payments described thereunder, to operate, maintain and repair the Facilities and to discharge all its other obligations under such loan agreement; (c) adequate provision has been made for the payment of the principal of and interest on the Bonds and any necessary reserves therefor and for the operation, repair and maintenance of the Facilities at the expense of the Company; and (d) the public facilities, including utilities, and public services necessary for the Facilities would be made available.

EXHIBIT

010698 APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

The Department of Health and Environmental Control has taken the position that no license is required from it prior to operation of the Facilities.

Notice is further given that any interested party may, within twenty (20) days after the date of the publication of this notice, but not afterwards, challenge the validity of the State Budget and Control Board's approval of the Facilities and the refunding of the Bonds by Greenville County to finance the same, by action de novo instituted in the Circuit Court for Greenville County, South Carolina.

STATE BUDGET AND CONTROL BOARD

BY: WILLIAM A. McINNIS, Secretary

Dated: April 14, 1987

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

010699

A RESOLUTION AUTHORIZING (1) THE AMENDMENT TO THE TERMS OF THOSE CERTAIN GREENVILLE COUNTY, SOUTH CAROLINA, HOSPITAL REVENUE BONDS (ST. FRANCIS COMMUNITY HOSPITAL MEDICAL OFFICE BUILDING PROJECT) 1984 (2) THE PETITION FOR APPROVAL OF THE AMENDMENT OF THE TERMS OF SUCH AMENDMENT TO THE STATE BUDGET AND CONTROL BOARD PURSUANT TO TITLE 44, CHAPTER 7, SECTION 1560(1), CODE OF LAWS OF SOUTH CAROLINA, 1976, AS AMENDED, AND (3) THE ENTERING INTO AN AMENDMENT AGREEMENT BY AND AMONG GREENVILLE COUNTY, SOUTH CAROLINA, NCNB SOUTH CAROLINA, AS SUCCESSOR IN INTEREST TO BANKERS TRUST OF SOUTH CAROLINA, ST. FRANCIS COMMUNITY HOSPITAL MEDICAL OFFICE BUILDING CORPORATION AND ST. FRANCIS MEDICAL OFFICE BUILDING ASSOCIATES.

EXHIBIT
APR 14 1987 NO. 27
STATE BUDGET & CONTROL BOARD

WHEREAS, Greenville County, South Carolina (the "Issuer") acting by and through its County Council (the "County Council"), as authorized by Title 44, Chapter 7, Code of Laws of South Carolina, 1976, as amended (the "Act"), pursuant to an Ordinance of the County Council dated November 1, 1983 and a Resolution of the County Council dated February 21, 1984, entered into a Loan Agreement (the "Loan Agreement") between the Issuer and St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Corporation") dated as of April 4, 1984, pursuant to which, on April 4, 1984, (i) the Hospital Revenue Bonds of the Issuer (St. Francis Community Hospital Medical Office Building Project) 1984 (the "Bonds") were issued and (ii) the Corporation delivered to the Issuer its promissory note (the "Note") dated the date of the Bonds evidencing the obligation of the Corporation under the Loan Agreement; and

WHEREAS, the Bonds were sold by the Issuer to Bankers Trust of South Carolina, since renamed NCNB South Carolina (the "Bank") and all of the Issuer's rights under the Loan Agreement and the Note, except for certain rights of reimbursement and indemnification, were assigned to the Bank pursuant to an Assignment dated as of April 4, 1984; and

WHEREAS, the Bonds and the Note provide that the rate of interest payable thereunder will adjust at such time as the highest statutory marginal Federal tax rate paid by corporations, such as the Bank, changes; and

WHEREAS, owing to changes in the maximum marginal tax rate payable by corporations the interest rate payable on the Bonds and the Note will adjust; and

WHEREAS, the Corporation leased the Project to St. Francis Community Hospital Medical Office Building Associates, a South Carolina limited partnership (the "Partnership"), pursuant to a lease agreement made as of September 14, 1984 (the "Lease") and agreed to pay as Basic Rent (as defined in the Lease) a sum equal to the aggregate principal amount of the Bonds, together with interest on the unpaid balance thereof at the rates payable by the Issuer any prepayment premiums due on the Bonds or the Note; and

WHEREAS, the Partnership, the Corporation, the Bank and the Issuer desire to amend the interest rate provisions of the Loan Agreement, the Bonds and the Note to provide for a floating rate of interest which is lower than the interest rate payable thereunder; and

WHEREAS, the amendment of the Bonds will constitute a refunding of the Bonds under federal tax law and any bonds issued under the Act or at any time outstanding may be refunded by the Issuer, but only with the approval of the State Budget and Control Board being first obtained; and

WHEREAS, the Bonds were issued on April 4, 1984 to defray the cost of office facilities for hospital staff members and physicians to be located on the campus of St. Francis Community Hospital. No action is required to be taken by the Department of Health and Environmental Control in connection with this refunding. The estimated cost of refunding the Bonds is not in excess of $3,050,000. The Loan Agreement under which the Bonds were issued will be amended in two respects: (1) to provide for the creation of a debt service reserve fund in such amount as shall be reasonably required by the Issuer, the Bank and the Corporation, and (2) to provide for a lower interest rate on the Bonds.

NOW, THEREFORE, BE IT RESOLVED by the County Council as follows:

SECTION 1. The form, terms and provisions of the Amendment Agreement among the Bank, the Issuer, the Corporation and the Partnership, the Amended Bond and Amended Note presented to this meeting and filed with the Clerk of Council be and hereby are approved and all the terms, provisions and conditions thereof are incorporated herein by reference as if the Amendment Agreement, Amended Bond and Amended Note were set out in this Resolution in their entirety. The Chairman of this County Council, the County Administrator of the County and the Clerk of this County Council be and hereby are authorized, empowered and directed to execute and deliver, by and on behalf of the Issuer, the Amendment Agreement and the Amended Bond and any other documents and instruments necessary or desireable to carry

010701

out fully the amendment of the Loan Agreement, Bonds and Note. The Chairman of Council, County Administrator and Clerk of Council be and hereby are further authorized to exchange the Note for the Amended Note upon due execution and delivery thereof by the Corporation and to assign the Amended Note to the Bank. The Amendment Agreement, the Amended Note and the Amended Bond are to be in substantially the form now before this meeting and hereby approved, or with such minor changes therein as shall be approved by the officials of the Issuer executing the same, with the advice of counsel to the Issuer, their execution thereof to constitute conclusive evidence of their approval of any and all changes or revisions therein from the forms of such documents now before this meeting.

SECTION 2. The Issuer hereby petitions the State Budget and Control Board for approval of the amendment of the interest rate provisions of the Loan Agreement, the Bonds and the Note and the creation of a debt service reserve fund and requests the State Budget and Control Board to give published notice of its approval as set forth in Section 44-7-1590 of the Act.

SECTION 3. The Issuer hereby elects to have the provisions of Section 144(a)(4) of the Internal Revenue Code of 1986, as amended, relating to the election of a $10,000,000 limit, applied to the Bonds.

Passed and approved this 7th day of April, 1987.

GREENVILLE COUNTY, SOUTH CAROLINA

By: W. Mann Batson
W. Mann Batson, Chairman,
County Council

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

ATTEST:

By: Mary T. Turner
Mary T. Turner, Clerk,
County Council

Joel R. Mashburn
Joel R. Mashburn,
County Administrator

010702

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

A RESOLUTION AUTHORIZING THE EXECUTION AND DELIVERY OF AN INDUCEMENT AGREEMENT BY AND BETWEEN GREENVILLE COUNTY, SOUTH CAROLINA AND ST. FRANCIS COMMUNITY MEDICAL OFFICE BUILDING CORPORATION, WHEREBY UNDER CERTAIN CONDITIONS, GREENVILLE COUNTY WILL ISSUE AN AMOUNT NOT EXCEEDING $5,000,000 HOSPITAL REVENUE BONDS.

WHEREAS, Greenville County, South Carolina (the "County") acting by and through its County Council (the "County Board"), is authorized and empowered under and pursuant to the provisions of Title 44, Chapter 7, Code of Laws of South Carolina, 1976 (the "Act"), to enter into agreements with any hospital agency or public agency necessary or incidental to the issuance of bonds and to issue bonds for the purpose of defraying the cost of providing "hospital facilities" as defined in the Act, through which the public health and welfare of the people of the State of South Carolina may be promoted at the lowest possible expense; and

WHEREAS, the County is further authorized by the Act to issue revenue bonds (as defined in the Act to include notes) payable solely out of the revenues derived pursuant to a loan agreement with respect to any such project or facility and secured by a pledge of said revenues, and to enter into a security agreement covering all or any part of such project; and

WHEREAS, St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Company"), has requested the County to issue an amount not exceeding $5,000,000 of its Hospital Revenue Bonds (as used herein to include notes), (St. Francis Community Hospital Medical Office Building Project) pursuant to the Act for the purpose of defraying the cost of acquiring by construction, purchase or lease certain land, improvements to be made thereon, and the machinery and equipment to be installed therein for the purpose of operating an office facility for hospital staff members and physicians (the "Project"), all as more fully set forth in the Inducement Agreement attached hereto; and

WHEREAS, the County Board has made a legislative finding that the Project would constitute "hospital facilities" as that term is defined in the Act and that the Project would subserve the purposes of the Act.

NOW, THEREFORE, BE IT RESOLVED, by the County Board as follows:

Section 1. Pursuant to the authority of the Act, subject to the approval by the State Budget and Control Board, and subject to the provisions of Title 4, Chapter 9,

010703

Code of Laws of South Carolina, 1976 (the "Home Rule Act"), and for the purpose of defraying the cost (as defined in the Act) of acquiring the Project there is hereby authorized to be issued revenue bonds of the County in the original principal amount of not exceeding $5,000,000 to be designated "Greenville County, South Carolina Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project)" (the "Bonds").

Section 2. The provisions, terms and conditions of the financing agreement by and between the County and the Company, the provisions, terms and conditions of the security agreement by and between the County and the trustee or bondholder, yet to be named, and the form, details, rate or rates of interest, maturity and redemption provisions, if any, of the Bonds, other details of any financing agreement relating to the Bonds, and findings required by the Act shall be prescribed by subsequent resolution or ordinance of the County Board.

Section 3. The Chairman of the County Board is hereby authorized and directed to execute the Inducement Agreement attached hereto in the name and on behalf of Greenville County, and the Clerk is hereby authorized and directed to affix thereto the seal of the County Board and to attest the same; and the Chairman of the County Board is hereby further authorized and directed to deliver said executed Inducement Agreement to the Company.

Section 4. Prior to the issuance of any Bonds, the County Board will comply with the provisions of the Home Rule Act regarding the procedural requirements for adopting ordinances and resolutions and with the Act.

Section 5. All orders, resolutions, ordinances and parts thereof in conflict herewith are to the extent of such conflict hereby repealed. This resolution shall take effect and be in full force from and after its passage by the County Board.

010704

Section 6. It is the intention of the County Board that this resolution shall constitute an official action on the part of the County within the meaning of the applicable regulations of the Treasury Department relating to the issuance of tax-exempt revenue bonds.

Done in meeting duly assembled this 4th day of October, 1983.

COUNTY COUNCIL OF GREENVILLE COUNTY, SOUTH CAROLINA

Chairman, Greenville County Council

(SEAL)

County Administrator

ATTEST:

Clerk, Greenville County Council

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

010705

INDUCEMENT AGREEMENT

THIS AGREEMENT made and entered into by and between Greenville County, a body politic and corporate and a political subdivision of the State of South Carolina (the "County"), and St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Company").

W I T N E S S E T H:

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

ARTICLE I

RECITATION OF FACTS

As a means of setting forth the matters of mutual inducement which have resulted in the making and entering into of this Agreement, the following statements of fact are herewith recited:

Section 1.01. The County is a body politic and corporate, and a political subdivision of the State of South Carolina, and is authorized and empowered by the provisions of Title 44, Chapter 7, Code of Laws of South Carolina, 1976 (the "Act"), to enter into agreements with any hospital agency or public agency necessary or incidental to the issuance of bonds and to issue bonds for the purpose of defraying the cost of providing "hospital facilities" as defined in the Act, through which the public health and welfare of the people of the State of South Carolina may be promoted at the lowest possible expense;

Section 1.02. The Company proposes to acquire (by lease) land in the County, and intends to acquire and install thereon all the buildings, facilities, machinery, apparatus, equipment, office facilities and furnishings, and any enlargement, improvement, and expansion thereof necessary to operate an office facility for hospital staff members and physicians (the "Project").

Section 1.03. The Company has requested the County to assist it with its contemplated program through the sale of Greenville County Hospital Revenue Bonds (or Notes) pursuant to the Act, whereby the County would defray certain costs of the Project.

Section 1.04. The County has given due consideration to all the proposals and requests of the Company and has agreed to endeavor to effect the issuance of the bonds at the time and on the terms and conditions hereafter set forth.

010706

ARTICLE II

UNDERTAKINGS ON THE PART OF THE COUNTY

The County agrees as follows:

Section 2.01. The County will, subject to the approval by the State Budget and Control Board required by the Act, and subject to the provisions of Title 4, Chapter 9, Code of Laws of South Carolina, 1976 (the "Home Rule Act"), authorize the issuance of an amount not exceeding $5,000,000 Greenville County, South Carolina Hospital Revenue Bonds (or Notes) (St. Francis Community Hospital Medical Office Building Project) (the "Bonds"), at such time as the Company may request the County to do so.

Section 2.02. The County will permit the Company to arrange for the sale of the Bonds to defray certain costs of the Project as aforesaid and if successful marketing arrangements can be made, it will adopt such proceedings and enter into such agreements as are necessary for the issuance and securing of the Bonds.

Section 2.03. The proceeds of any sale of the Bonds may be applied to the payment of the costs of the Project as determined under the Act including, without limitation, the expenses incurred in connection with the issuance and sale of the Bonds, the acquisition by construction and purchase of the Project including land, buildings, necessary machinery and equipment and other items permitted by the Act, and the repayment of any funds advanced or loans incurred by the Company for such purposes.

Section 2.04. Prior to issuing any Bonds, the County may enter into a Loan Agreement with the Company and a Security Agreement with a Trustee to be selected by the Company or with the purchasers of the Bonds, pursuant to which the Bonds will be secured and issued. Such documents shall be substantially in the form used in connection with the issuance of other South Carolina Hospital Revenue Bonds and shall constitute a lien on the revenues derived from the Company with respect to the Project to secure the payment of the Bonds.

Section 2.05. If requested by the Company and in order to provide interim financing pending the issuance of the Bonds, the County will adopt the necessary proceedings and provide for the issuance of bond anticipation notes pursuant to Title 11, Chapter 17, Code of Laws of South Carolina, 1976, in anticipation of the issuance of the Bonds.

010707

Section 2.06. The County will perform such other acts and adopt such further proceedings as may be required to faithfully implement its undertakings and to consummate the proposed financing.

ARTICLE III

UNDERTAKINGS ON THE PART OF THE COMPANY

Section 3.01. The Company agrees that the County will have no obligation to find a purchaser of the Bonds.

Section 3.02. The Company further agrees, if the plan proceeds as contemplated, as follows:

(a) to acquire by purchase and construction the Project;

(b) to enter into a loan agreement with the County, under the terms of which the Company will obligate itself to pay to the County sums sufficient to pay the principal, interest and premium, if any, on the Bonds, as and when the same become due and payable;

(c) to obligate itself to make the additional payments required by the Act, including, but not limited to, payments in lieu of taxes if it enters into a lease;

(d) to hold the County harmless from all pecuniary liability and to reimburse it for all expenses to which it might be put in the fulfillment of its obligations under this Agreement;

(e) to perform such further acts and adopt such further proceedings as may be required faithfully to implement its undertakings and to consummate the proposed financing; and

(f) to covenant and agree in the loan agreement referred to above to install in the buildings which are to become a part of the Project all necessary equipment and machinery and initially to operate the Project in the manner described in Section 1.02 hereof.

ARTICLE IV

GENERAL PROVISIONS

Section 4.01. All commitments of the County under Article II hereof are subject to all of the provisions of

010708

the Act and the condition that nothing contained in this Agreement shall constitute nor give rise to a pecuniary liability of the County or a charge against its general credit or taxing power.

Section 4.02. The parties hereto agree that the Company may proceed with the Project including the acquisition of land, the construction of a building or buildings and acquisition and installation of the equipment and machinery prior to the issuance of the Bonds.

Section 4.03. All commitments of the County and the Company hereunder are subject to the condition that the County and the Company do agree on mutually acceptable terms and conditions of all documents, the execution and delivery of which are contemplated by the provisions hereof.

Section 4.04. The parties understand that the Company may choose not to finance the Project as herein provided, in which event this Agreement shall become void.

Section 4.05. This Agreement may be assigned by the Company to any of its affiliates.

Section 4.06. Prior to the issuance of any Bonds, the County will comply with the provisions of the Home Rule Act regarding the procedural requirements for adopting ordinances and resolutions.

Section 4.07. It is the intention of the parties hereto that this Agreement shall constitute an official action on the part of the County within the meaning of the applicable regulations of the Treasury Department relating to the issuance of tax-exempt revenue bonds.

010709

IN WITNESS WHEREOF, the parties hereto, each after due authorization, have executed this Agreement on the respective dates indicated below.

ATTEST:

Mary D. Turner
Clerk, Greenville County Council

Dated: October 4, 1983

(SEAL)

GREENVILLE COUNTY, SOUTH CAROLINA

Chairman, Greenville County Council

County Administrator

ATTEST:

Its Asst. Sec.

Dated: October 21, 1983

(SEAL)

ST. FRANCIS COMMUNITY MEDICAL OFFICE BUILDING CORPORATION

By: Tom W. Coxdell

Its Pres.

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

010710

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA)
)
COUNTY OF GREENVILLE)

TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA

P E T I T I O N

This Petition of Greenville County, South Carolina (the "County"), pursuant to South Carolina Code Annotated, Title 44, Chapter 7 (1976), as amended (the "Act"), and specifically Section 44-7-1590 thereof, respectfully shows:

1. The County Council of the County (the "County Council") is the governing body of the County and as such is the "County Board" of the County referred to in the Act.

2. The Act empowers the County, subject to obtaining the approval of the State Budget and Control Board: (i) To acquire or cause to be acquired, and, in connection with such acquisition, to enlarge, improve and expand, whether by construction, purchase, gift or lease, hospital facilities which shall be located within the County; (ii) enter into a loan agreement with a hospital agency prescribing the terms and conditions of the payments to be made by the hospital agency to the issuer, or its assignee, to meet payments that shall become due on bonds; and (iii) to issue revenue bonds for the purpose of defraying the cost of acquiring, by construction and purchase, and in connection with any such acquisition, to enlarge, improve and expand any hospital facility, and to secure the payment of such bonds all as in the Act provided.

3. The County has agreed to assist St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Company") by issuing its revenue bonds for the purpose of defraying the cost of acquiring certain facilities more fully described in Exhibits A and B to the Loan Agreement attached hereto, located in the County (the "Facilities").

4. The County has been advised by the Company that the estimated cost of the Facilities will be in excess of $5,000,000 and it has requested the County to execute and deliver its Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1983 (the "Bonds") in the principal amount of not exceeding $5,000,000 to defray such costs.

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5. Pursuant to Section 44-7-1480 of the Act, the County Council has made the requisite findings that: (i) there is a need for the Facilities in the area in which they are to be located; (ii) the Facilities will subserve the purposes of the Act; (iii) the Facilities will give rise to no pecuniary liability of the County or a charge against its general credit or taxing power; (iv) the principal amount of the Bonds required to finance the Facilities is expected to be less than $5,000,000; (v) the Company's obligations are sufficiently supported by guarantees, mortgages and by other agreements to insure that the Company is financially responsible and capable of fulfilling its obligations under the financing agreement, including the obligations to make the payments described thereunder, to operate, repair and maintain the Facilities and to discharge all its other obligations under such agreement; (vi) adequate provision has been made for the payment of the principal of and interest on the bonds and any necessary reserves therefor and for the operation, repair and maintenance of the Facilities at the expense of the Company; and (vii) the public facilities, including utilities, and public services necessary for the Facilities will be made available.

6. Pursuant to Section 44-7-1590 of the Act, the County sets forth the following information:

(a) The Facilities, described in detail on Exhibit A and B to the drafts of Loan Agreement and Indenture submitted herewith, consists of land, buildings, improvements thereon and certain other machinery, apparatus, equipment and office furnishings to be used as office facilities for hospital staff members and physicians.

(b) It is estimated that the cost of the Facilities, including the items of cost authorized in the Act, will be not in excess of $5,000,000.

(c) Copies of drafts of financing documents are being submitted herewith. The following summary of terms is in no wise intended to affect or alter the actual terms of the documents themselves.

(i) The proposed Loan Agreement between the Company and the County provides in general:

(A) Proceeds derived from the placement of the Bonds will be used and applied by the County upon request of the Company solely for the payment of the costs (as that term is defined in the Act) incident

to the acquisition, by construction and purchase, of the Facilities.

(B) Under the terms of the Loan Agreement, the Company obligates itself: to effect the completion of the Facilities if the proceeds derived from the sale of the Bonds prove insufficient therefor without diminution of any payments to the County required by the Company, to pay principal, interest and premium, if any, on the Bonds as the same become due; and to pay the cost of maintaining and insuring the Facilities to the extent and in the manner provided in the Indenture.

(C) The Loan Agreement contains no provision imposing any pecuniary liability upon the County or which would create a charge upon its general credit or taxing powers.

(ii) The proposed Assignment (the "Assignment") from the County to the Lender (the "Lender") provides in general:

(A) An irrevocable pledge and assignment for the benefit of the holder of the Bonds of the County's rights, title and interest in and to the Facilities, the Loan Agreement and all payments, receipts and revenues which the County has a right to receive under the Loan Agreement or any lease or the sale of the Facilities (except payments and rights to payments of certain indemnification payments and administration expenses), and all the moneys and securities in funds created under the Loan Agreement.

(B) The execution of the Assignment imposes no pecuniary liability on the County and does not create a charge upon the general credit or taxing power of the County.

Upon the basis of the foregoing, the County respectfully prays that the State Budget and Control Board (i) accept the filing of this Petition and the documents submitted herewith, (ii) make such investigation as it deems advisable, (iii) if it finds that the Facilities are intended to promote the purposes of the Act and may be reasonably anticipated to effect such result, that it approve the Facilities and the proposed financing of the cost thereof by the County through the issuance of the Bonds

010713

pursuant to the Act (including changes in any details of the said financing as finally consummated which do not materially affect the undertaking of the County), and (iv) give published notice of its approval in the manner set forth in Section 44-7-1590 of the Act.

Respectfully submitted,

GREENVILLE COUNTY, SOUTH CAROLINA

By: _____
Chairman of Greenville County Council

_____
County Administrator

Dated: September 20, 1983

(SEAL)

ATTEST:

_____
Clerk of Greenville County Council

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

010714

EXHIBIT

RESOLUTION APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

A RESOLUTION MAKING APPLICATION TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA FOR APPROVAL OF THE ISSUANCE OF GREENVILLE COUNTY, SOUTH CAROLINA, OF ITS HOSPITAL REVENUE BONDS (ST. FRANCIS COMMUNITY HOSPITAL MEDICAL OFFICE BUILDING PROJECT) 1983, PURSUANT TO THE PROVISIONS OF SOUTH CAROLINA CODE ANNOTATED, TITLE 44, CHAPTER 7 (1976) IN THE PRINCIPAL AMOUNT OF NOT EXCEEDING $5,000,000.

WHEREAS, Greenville County, South Carolina (the "County") acting by and through its County Council is authorized and empowered under and pursuant to the provisions of South Carolina Code Annotated, Title 44, Chapter 7 (1976), as amended (the "Act") to finance properties through which the public health and welfare will be promoted by providing means for the financing of hospital facilities to serve the people of the State and to make accessible to them modern and efficient hospital facilities at the lowest possible expense to those utilizing such hospital facilities; and

WHEREAS, the County is further authorized by the Act to issue revenue bonds, payable solely from the revenues from the financing agreement with respect to any such project and secured by a pledge of said revenues; and

WHEREAS, the County and St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Company"), entered into an Inducement Agreement (the "Inducement Agreement") on October 4, 1983, pursuant to which and in order to implement the public purposes enumerated in the Act, the County proposes to issue its Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1983 (the "Bonds") in the principal amount of not exceeding $5,000,000 under and pursuant to the Act to defray the costs of acquiring by construction, purchase or lease certain land, buildings and improvements thereon and other machinery, apparatus, equipment and office furnishings to be used as office facilities for hospital staff members and physicians (the "Facilities") to be located in the County, and subject to the approval of the State Budget and Control Board of South Carolina, to finance the Facilities under and pursuant to the terms of the Loan Agreement to be entered into between the County and the Company; and

WHEREAS, it is now deemed advisable by the County Board to file with the State Budget and Control Board of

010715

South Carolina, in compliance with Section 44-7-1590 of the Act, the Petition of the County requesting approval of the proposed financing by the State Budget and Control Board;

NOW, THEREFORE, BE IT RESOLVED by the County Council as follows:

Section 1. It is hereby found, determined and declared as follows:

(a) The Facilities will constitute "hospital facilities" as said term is referred to and defined in Section 44-7-1430(d) of the Act, and the issuance of the Bonds in the principal amount of not exceeding $5,000,000 to finance the Facilities will subserve the purposes and in all respects conform to the provisions and requirements of the Act.

(b) Neither the Facilities, the Bonds proposed to be issued by the County to finance the Facilities, nor any documents or agreements entered into by the County in connection therewith will constitute or give rise to a pecuniary liability of the County or a charge against its general credit or taxing power.

(c) The issuance of the Bonds by the County in the principal amount of not exceeding $5,000,000 will be required to defray the cost of the Facilities.

(d) The provisions of the Loan Agreement concerning disbursements from the Construction Fund state that it shall be held by the lender during the construction of the Facilities and it is adequate to insure the financial responsibility of the Company to operate and maintain the Facilities and to make all payments due on the Bonds.

(e) The Facilities will be financed by the County upon terms which will require the Company, at its own expense, to maintain the Facilities in good repair and to carry all proper insurance with respect thereto.

(f) The Facilities will consist of the items described on Exhibits A and B to the Loan Agreement submitted with the Petition.

(g) A reasonable estimate of the cost of the Facilities including necessary expenses incident thereto in excess of $5,000,000.

Section 2. There be and is hereby authorized and directed the submission on behalf of the County, of a Petition by this County Council requesting the approval of the proposed financing by the State Budget and Control Board of South Carolina pursuant to the provisions of Section 44-7-1590 of the Act, said Petition, which constitutes and is hereby made a part of this authorizing resolution, to be in substantially the form attached hereto as Exhibit A.

Section 3. The Chairman of the County Council be and is hereby authorized and directed to execute said Petition in the name and on behalf of the County; and the Clerk be and is hereby authorized and directed to affix the seal of the County to said Petition and to attest the same and thereafter to submit an executed copy of this resolution to the State Budget and Control Board in Columbia, South Carolina.

Section 4. All orders and resolutions and parts thereof in conflict herewith are to the extent of such conflict hereby repealed, and this resolution shall take effect and be in full force from and after its passage and approval.

Passed and approved October 4, 1983.

Chairman,
County Council of Greenville
County, South Carolina

County Administrator

ATTEST:

Clerk,
Greenville County Council

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

010717

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

RESOLUTION

A RESOLUTION MAKING APPLICATION TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA FOR APPROVAL OF THE ISSUANCE OF GREENVILLE COUNTY, SOUTH CAROLINA, OF ITS HOSPITAL REVENUE BONDS (ST. FRANCIS COMMUNITY HOSPITAL MEDICAL OFFICE BUILDING PROJECT) 1983, PURSUANT TO THE PROVISIONS OF SOUTH CAROLINA CODE ANNOTATED, TITLE 44, CHAPTER 7 (1976) IN THE PRINCIPAL AMOUNT OF NOT EXCEEDING $5,000,000.

WHEREAS, Greenville County, South Carolina (the "County") acting by and through its County Council is authorized and empowered under and pursuant to the provisions of South Carolina Code Annotated, Title 44, Chapter 7 (1976), as amended (the "Act") to finance properties through which the public health and welfare will be promoted by providing means for the financing of hospital facilities to serve the people of the State and to make accessible to them modern and efficient hospital facilities at the lowest possible expense to those utilizing such hospital facilities; and

WHEREAS, the County is further authorized by the Act to issue revenue bonds, payable solely from the revenues from the financing agreement with respect to any such project and secured by a pledge of said revenues; and

WHEREAS, the County and St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Company"), entered into an Inducement Agreement (the "Inducement Agreement") on October 4, 1983, pursuant to which and in order to implement the public purposes enumerated in the Act, the County proposes to issue its Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1983 (the "Bonds") in the principal amount of not exceeding $5,000,000 under and pursuant to the Act to defray the costs of acquiring by construction, purchase or lease certain land, buildings and improvements thereon and other machinery, apparatus, equipment and office furnishings to be used as office facilities for hospital staff members and physicians (the "Facilities") to be located in the County, and subject to the approval of the State Budget and Control Board of South Carolina, to finance the Facilities under and pursuant to the terms of the Loan Agreement to be entered into between the County and the Company; and

WHEREAS, it is now deemed advisable by the County Board to file with the State Budget and Control Board of

010718

South Carolina, in compliance with Section 44-7-1590 of the Act, the Petition of the County requesting approval of the proposed financing by the State Budget and Control Board;

NOW, THEREFORE, BE IT RESOLVED by the County Council as follows:

Section 1. It is hereby found, determined and declared as follows:

(a) The Facilities will constitute "hospital facilities" as said term is referred to and defined in Section 44-7-1430(d) of the Act, and the issuance of the Bonds in the principal amount of not exceeding $5,000,000 to finance the Facilities will subserve the purposes and in all respects conform to the provisions and requirements of the Act.

(b) Neither the Facilities, the Bonds proposed to be issued by the County to finance the Facilities, nor any documents or agreements entered into by the County in connection therewith will constitute or give rise to a pecuniary liability of the County or a charge against its general credit or taxing power.

(c) The issuance of the Bonds by the County in the principal amount of not exceeding $5,000,000 will be required to defray the cost of the Facilities.

(d) The provisions of the Loan Agreement concerning disbursements from the Construction Fund state that it shall be held by the lender during the construction of the Facilities and it is adequate to insure the financial responsibility of the Company to operate and maintain the Facilities and to make all payments due on the Bonds.

(e) The Facilities will be financed by the County upon terms which will require the Company, at its own expense, to maintain the Facilities in good repair and to carry all proper insurance with respect thereto.

(f) The Facilities will consist of the items described on Exhibits A and B to the Loan Agreement submitted with the Petition.

(g) A reasonable estimate of the cost of the Facilities including necessary expenses incident thereto in excess of $5,000,000.

Section 2. There be and is hereby authorized and directed the submission on behalf of the County, of a Petition by this County Council requesting the approval of the proposed financing by the State Budget and Control Board of South Carolina pursuant to the provisions of Section 44-7-1590 of the Act, said Petition, which constitutes and is hereby made a part of this authorizing resolution, to be in substantially the form attached hereto as Exhibit A.

Section 3. The Chairman of the County Council be and is hereby authorized and directed to execute said Petition in the name and on behalf of the County; and the Clerk be and is hereby authorized and directed to affix the seal of the County to said Petition and to attest the same and thereafter to submit an executed copy of this resolution to the State Budget and Control Board in Columbia, South Carolina.

Section 4. All orders and resolutions and parts thereof in conflict herewith are to the extent of such conflict hereby repealed, and this resolution shall take effect and be in full force from and after its passage and approval.

Passed and approved October 4, 1983.

Chairman,
County Council of Greenville
County, South Carolina

William A. Hagg
County Administrator

ATTEST:

Mary K Turner
Clerk,
Greenville County Council

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

010720

STATE OF SOUTH CAROLINA)
)
COUNTY OF GREENVILLE)

TO THE STATE BUDGET AND CONTROL BOARD OF SOUTH CAROLINA	P E T I T I O N

This Petition of Greenville County, South Carolina (the "County"), pursuant to South Carolina Code Annotated, Title 44, Chapter 7 (1976), as amended (the "Act"), and specifically Section 44-7-1590 thereof, respectfully shows:

1. The County Council of the County (the "County Council") is the governing body of the County and as such is the "County Board" of the County referred to in the Act.

2. The Act empowers the County, subject to obtaining the approval of the State Budget and Control Board: (i) To acquire or cause to be acquired, and, in connection with such acquisition, to enlarge, improve and expand, whether by construction, purchase, gift or lease, hospital facilities which shall be located within the County; (ii) enter into a loan agreement with a hospital agency prescribing the terms and conditions of the payments to be made by the hospital agency to the issuer, or its assignee, to meet payments that shall become due on bonds; and (iii) to issue revenue bonds for the purpose of defraying the cost of acquiring, by construction and purchase, and in connection with any such acquisition, to enlarge, improve and expand any hospital facility, and to secure the payment of such bonds all as in the Act provided.

3. The County has agreed to assist St. Francis Community Medical Office Building Corporation, a South Carolina corporation (the "Company") by issuing its revenue bonds for the purpose of defraying the cost of acquiring certain facilities more fully described in Exhibits A and B to the Loan Agreement attached hereto, located in the County (the "Facilities").

4. The County has been advised by the Company that the estimated cost of the Facilities will be in excess of $5,000,000 and it has requested the County to execute and deliver its Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1983 (the "Bonds") in the principal amount of not exceeding $5,000,000 to defray such costs.

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5. Pursuant to Section 44-7-1480 of the Act, the County Council has made the requisite findings that: (i) there is a need for the Facilities in the area in which they are to be located; (ii) the Facilities will subserve the purposes of the Act; (iii) the Facilities will give rise to no pecuniary liability of the County or a charge against its general credit or taxing power; (iv) the principal amount of the Bonds required to finance the Facilities is expected to be less than $5,000,000; (v) the Company's obligations are sufficiently supported by guarantees, mortgages and by other agreements to insure that the Company is financially responsible and capable of fulfilling its obligations under the financing agreement, including the obligations to make the payments described thereunder, to operate, repair and maintain the Facilities and to discharge all its other obligations under such agreement; (vi) adequate provision has been made for the payment of the principal of and interest on the bonds and any necessary reserves therefor and for the operation, repair and maintenance of the Facilities at the expense of the Company; and (vii) the public facilities, including utilities, and public services necessary for the Facilities will be made available.

6. Pursuant to Section 44-7-1590 of the Act, the County sets forth the following information:

(a) The Facilities, described in detail on Exhibit A and B to the drafts of Loan Agreement and Indenture submitted herewith, consists of land, buildings, improvements thereon and certain other machinery, apparatus, equipment and office furnishings to be used as office facilities for hospital staff members and physicians.

(b) It is estimated that the cost of the Facilities, including the items of cost authorized in the Act, will be not in excess of $5,000,000.

(c) Copies of drafts of financing documents are being submitted herewith. The following summary of terms is in no wise intended to affect or alter the actual terms of the documents themselves.

(i) The proposed Loan Agreement between the Company and the County provides in general:

(A) Proceeds derived from the placement of the Bonds will be used and applied by the County upon request of the Company solely for the payment of the costs (as that term is defined in the Act) incident

010722

to the acquisition, by construction and purchase, of the Facilities.

(B) Under the terms of the Loan Agreement, the Company obligates itself: to effect the completion of the Facilities if the proceeds derived from the sale of the Bonds prove insufficient therefor without diminution of any payments to the County required by the Company, to pay principal, interest and premium, if any, on the Bonds as the same become due; and to pay the cost of maintaining and insuring the Facilities to the extent and in the manner provided in the Indenture.

(C) The Loan Agreement contains no provision imposing any pecuniary liability upon the County or which would create a charge upon its general credit or taxing powers.

(ii) The proposed Assignment (the "Assignment") from the County to the Lender (the "Lender") provides in general:

(A) An irrevocable pledge and assignment for the benefit of the holder of the Bonds of the County's rights, title and interest in and to the Facilities, the Loan Agreement and all payments, receipts and revenues which the County has a right to receive under the Loan Agreement or any lease or the sale of the Facilities (except payments and rights to payments of certain indemnification payments and administration expenses), and all the moneys and securities in funds created under the Loan Agreement.

(B) The execution of the Assignment imposes no pecuniary liability on the County and does not create a charge upon the general credit or taxing power of the County.

Upon the basis of the foregoing, the County respectfully prays that the State Budget and Control Board (i) accept the filing of this Petition and the documents submitted herewith, (ii) make such investigation as it deems advisable, (iii) if it finds that the Facilities are intended to promote the purposes of the Act and may be reasonably anticipated to effect such result. that it approve the Facilities and the proposed financing of the cost thereof by the County through the issuance of the Bonds

pursuant to the Act (including changes in any details of the said financing as finally consummated which do not materially affect the undertaking of the County), and (iv) give published notice of its approval in the manner set forth in Section 44-7-1590 of the Act.

Respectfully submitted,

GREENVILLE COUNTY, SOUTH CAROLINA

By: _____
Chairman of Greenville County Council

_____
County Administrator

Dated: September 20, 1983

(SEAL)

ATTEST:

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

_____
Clerk of Greenville County Council

010724

South Carolina Department of Health and Environmental Control

2600 Bull Street
Columbia, S.C. 29201

Commissioner
Robert S. Jackson, M.D.

Board
Moses H. Clarkson, Jr., Chairman
Leonard W. Douglas, M.D., Vice-Chairman
Barbara P. Nuessle, Secretary
Gerald A. Kaynard
Oren L. Brady, Jr.
James A. Spruill, Jr.
William H. Hester, M.D.

December 2, 1983

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

John H. Lumpkin, Jr.
Attorney at Law
Bankers Trust Tower
Post Office Box 11390
Columbia, South Carolina 29211

Re: St. Francis Medical Office Building Associates

Dear Mr. Lumpkin:

This letter is in response to your request for confirmation that a Certificate of Need will not be required for the proposed construction of the St. Francis Medical Office Building. Based upon the information contained in your letters of November 9, 1983, and November 28, 1983, it is the Department's position that the St. Francis Medical Office Building project does not require Certificate of Need review under the State Hospital Construction and Franchising Act, S.C. Code §44-7-110, et seq., 1976.

If you have any further questions regarding this matter, please do not hesitate to contact me.

Very truly yours,

Jacquelyn S. Dickman

Jacquelyn S. Dickman
Assistant General Counsel

JSD:pre

CC: Leon B. Frishman, Director
Div. of Certification of Need

010725

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

McNAIR LAW FIRM, P. A.
ATTORNEYS AND COUNSELORS AT LAW
NCNB TOWER
POST OFFICE BOX 11390
COLUMBIA, SOUTH CAROLINA 29211
803-799-9800

ROBERT E. McNAIR
TERRELL L. GLENN
JAMES S. KONDUROS
O. WAYNE CORLEY
E. McLEOD SINGLETARY
CHARLES PORTER
ROBERT W. DIBBLE, JR.
EMORY M. SNEEDEN
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*NOT ADMITTED IN SOUTH CAROLINA

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JAMES E. CARR
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OF COUNSEL

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SUITE 400
MADISON OFFICE BUILDING
1155 15TH STREET, N.W.
WASHINGTON, D.C. 20005
202-659-3900

April 13, 1987

DELIVERY BY HAND

Ms. Donna L. Williams
South Carolina State Budget
and Control Board
6th Floor, Hampton Building
Columbia, South Carolina 29201

Re: Greenville County, South Carolina, Hospital Revenue Bonds (St. Francis Community Hospital Medical Office Building Project) 1984

Dear Donna:

Please find enclosed a check in the amount of $3,000 payable to the State Budget and Control Board and an original and two copies of the Resolution of Greenville County with respect to the amendment of the above-referenced Bonds.

Please call me if you have any questions or comments regarding the enclosed.

Thank you for your kind attention to this matter.

Very truly yours,

William M. Musser

lsh

Enclosures

010726

APR 2 0 1987

McNAIR LAW FIRM, P.A.
ATTORNEYS AND COUNSELORS AT LAW
NCNB TOWER
POST OFFICE BOX 11390
COLUMBIA, SOUTH CAROLINA 29211

803-799-9800

MEMORANDUM

EXHIBIT

APR 14 1987 NO. 27

STATE BUDGET & CONTROL BOARD

TO: Ms. Donna L. Williams

FROM: Lynn S. Holt, Secretary to William M. Musser

DATE: April 20, 1987

RE: St. Francis Community Hospital Medical Office Building

Per Bill Musser's request, I am enclosing the Standard Form Investment Letter for the above-referenced transaction.

Enclosure

010727

DC 4-14-87 4/13/87 APR - 8 1987 12:07 P.M.

TRANSMITTAL FORM, REVENUE BONDS

Date: April 7, 1987
Submitted for BCB Meeting on:
April 14, 1987

TO: William A. McInnis, Secretary
State Budget and Control Board
600 Wade Hampton Office Building
Columbia, SC 29201
OR P. O. Box 12444, Columbia, SC 29211

FROM:
McNair Law Firm, P.A.
Name of Law Firm
Columbia, South Carolina 29202
City, State, Zip Code

Post Office Box 11390
Street Address/Box Number
(803) 799-9800
Telephone Area Code and Number

RE: $3,050,000
Amount of Issue
Greenville County, South Carolina
Issuing Authority Name

Hospital Revenue Bonds
Type of Bonds or Notes
Between April 15 and April 30
Projected Issue Date

Project Name: St. Francis Community Hospital Medical Office Building Project
Project Description:
Office facilities for hospital staff members and physicians

Employment as result of project:

CEILING ALLOCATION REQUIRED	REFUNDING INVOLVED	PROJECT APPROVED PREVIOUSLY
___ Yes ($_____) X No	X Yes ($_____) ___ No	X Yes (_____) ___ No
Amount	Amount	Date

DOCUMENTS ENCLOSED:
(ALL required for State law approval; A and C only for ceiling allocation only.)

A. X Petition (executed ~~original and~~ two copies)
B. X Resolution or ordinance (executed copy)
C. X Inducement Resolution or comparable preliminary approval (executed copy)
D. X Standard Form Investment Letter from bonds purchaser (executed ~~original~~ copy)
(Purchaser: NCNB is holder of bonds)
OR ___ Audited financial statements for three most recent years
E. ___ Department of Health and Environmental Control certificate IF REQUIRED
F. X Budget and Control Board Resolution and Public Notice (original)
[Plus 10 copies for certification and return to counsel]
G. ___ Processing fee
Amount $_____ Check No. _____
Payor _____

EXHIBIT

Bond Counsel: McNair Law Firm, P.A.
Typed Name

APR 14 1987 NO. 27
STATE BUDGET & CONTROL BOARD

By: William M. Mcnair
Signature

010728

(Copies included with this transmittal sheet are of those submitted to the **OED 1/86** Board for its November 7, 1983 meeting.)

APR - 8 1987
12:07 P.M.

TRANSMITTAL FORM, REVENUE BONDS

Date: April 7, 1987
Submitted for BCB Meeting on:
April 14, 1987

TO: William A. McInnis, Secretary
State Budget and Control Board
600 Wade Hampton Office Building
Columbia, SC 29201
OR P. O. Box 12444, Columbia, SC 29211

FROM:

McNair Law Firm, P.A.
Name of Law Firm

Post Office Box 11390
Street Address/Box Number

Columbia, South Carolina 29202
City, State, Zip Code

(803) 799-9800
Telephone Area Code and Number

RE: $3,050,000
Amount of Issue

Hospital Revenue Bonds
Type of Bonds or Notes

Greenville County, South Carolina
Issuing Authority Name

Between April 15 and April 30
Projected Issue Date

Project Name: St. Francis Community Hospital Medical Office Building Project
Project Description:
Office facilities for hospital staff members and physicians

Employment as result of project:

CEILING ALLOCATION REQUIRED	REFUNDING INVOLVED	PROJECT APPROVED PREVIOUSLY
___ Yes ($_____) X No	X Yes ($_____) ___ No	X Yes (_____) ___ No
Amount	Amount	Date

DOCUMENTS ENCLOSED:
(ALL required for State law approval; A and C only for ceiling allocation only.)

A. X Petition (executed ~~original~~ and two copies)
D. X Resolution or ordinance (executed copy)
C. X Inducement Resolution or comparable preliminary approval (executed copy)
D. X Standard Form Investment Letter from bonds purchaser (executed ~~original~~ copy)
(Purchaser: NCNB is holder of bonds to EAV 4/10
OR ___ Audited financial statements for three most recent years
E. ___ Department of Health and Environmental Control certificate IF REQUIRED
F. X Budget and Control Board Resolution and Public Notice (original)
[Plus 10 copies for certification and return to counsel]
G. ___ Processing fee
Amount $_____ Check No. _____
Payor _____

EXHIBIT
APR 14 1987 NO. 27
STATE BUDGET & CONTROL BOARD

Bond Counsel: McNair Law Firm, P.A.
Typed Name

By: William M. Mauna
Signature

EXHIBIT
APR 14 1987 NO. 27
STATE BUDGET & CONTROL BOARD

(Copies included with this transmittal sheet are of those submitted to the Board for its November 7, 1983 meeting.)

OED 1/86

010729

EXHIBIT

APR 14 1987 NO. 28

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD — REGULAR SESSION
MEETING OF April 14, 1987 — ITEM NUMBER 13

AGENCY: Executive Director

SUBJECT: Private Activity Bonds; Allocation of State Ceiling

The required reviews on the following proposals to issue revenue bonds have not yet been completed. Staff will advise the Board on the results of these reviews at the meeting.

Each of the projects requires approval under State law. An allocation of a portion of the Ceiling is requested for projects (a) and (b).

(a)	Issuing Authority:	Family Farm Development Authority
	Amount of Issue:	$200,000 Agricultural Development Revenue Bond
	Name of Project:	John F. Long
	Project Description:	Construct one brooder house and two growout houses for turkey production
	Maturity Schedule:	Annual installments of principal and interest at 8% per annum for a period of seven years
	Bond Purchaser:	Phillip Morris Credit Corporation
(b)	Issuing Authority:	Spartanburg County
	Amount of Issue:	$2,000,000 Industrial Revenue Note
	Name of Project:	M&M Chevrolet, Inc.
	Employment Impact:	approximately 100
	Project Description:	Manufacture transmissions and other automobile components; fabricate passenger car and truck bodies
(c)	Issuing Authority:	Greenville County
	Amount of Issue:	$3,050,000 Hospital Revenue Bonds (REFUNDING)
	Name of Project:	St. Francis Community Hospital Medical Office Building
	Project Description:	Office facilities for hospital staff members and physicians

The status report on the State Ceiling as of April 8, 1986, (year elapsed 27%) shows:

	CY 1986 Ceiling	Allocated	(%)	Not Allocated	(%)
State Pool	$101,340,000	$ 10,000,000	(10%)	$ 91,340,000	(90%)
Local Pool	152,010,000	3,563,850	(2%)	148,446,150	(98%)
Total	$253,350,000	$ 13,563,860	(5%)	$239,786,150	(95%)

BOARD ACTION REQUESTED:

Adopt resolutions approving proposals to issue revenue bonds, on the condition that the required reviews are completed with satisfactory results, and allocate a portion of the State Ceiling to projects (a) and (b); and receive as information the status report on the State Ceiling.

ATTACHMENTS:

Referenced status report on State Ceiling.

010730

STATE CEILING 1987

	State Pool	Local Pool	Total
1. Balance January 15, 1987	101,340,000	152,010,000	253,350,000
2. Allocations made since 1/15/87		-13,645,000	-13,645,000
3. Allocations relinquished since 1/15/87	0	81,150	81,150
4. Allocations increased since 1/15/87	0	0	0
4. Balance, State Ceiling 2/18/87	101,340,000	138,446,150	239,786,150

STATE CEILING 1987
Page 2

		State Pool	Local Pool	Total
01/15/86	BALANCE	101,340,000	152,010,000	253,350,000
01/29/87	Allocations:			
	Forrest R. Wilkerson, Jr.		-1,000,000	-1,000,000
	Hoke, Inc.		-1,500,000	-1,500,000
	Charles H. Gray		-215,000	-215,000
	Gwen C. Bulman		-180,000	-180,000
	Dispoz-o Plastics		-750,000	-750,000
	Duke Power Company		-10,000,000	-10,000,000
02/17/87	Relinquished:			
	Forrest R. Wilkerson, Jr.		81,150	81,150
Balance at 2/17/87		101,340,000	138,446,150	239,786,150
BALANCE		101,340,000	138,446,150	239,786,150

010731

EXHIBIT
APR 14 1987 NO. 28
STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 29

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 14

AGENCY: Laurens County

SUBJECT: Ceiling Allocation Extension (Dispoz-o Plastics, Inc., Project)

Bond counsel Nancy Page advises that, on January 29, 1987, the Board approved a $750,000 Laurens County Industrial Development Revenue Note on behalf of the Dispoz-o Plastics, Inc., project and tentatively allocated $750,000 of the State ceiling to the project. The tentative allocation will expire on April 29, 1987.

NCNB South Carolina has committed to purchase the Note, but the parties involved wish to postpone the closing until mid-May to allow the company to complete a corporate reorganization.

Pursuant to Section 7(c) of Executive Order 87-01, bond counsel requests an extension of the allocation period for an additional 31 days.

BOARD ACTION REQUESTED:

In accordance with Section 7(c) of Executive Order 87-01, grant a 31-day extension to the life of the tentative State ceiling allocation of $750,000 to Laurens County Dispoz-o Plastics, Inc., project.

ATTACHMENTS:

Page March 27 letter to McInnis

010732

MAR 3 0 1987

EXHIBIT

APR 1 4 1987 NO. 29

STATE BUDGET & CONTROL BOARD

McNAIR LAW FIRM, P. A.
ATTORNEYS AND COUNSELORS AT LAW
SUITE 1201
NCNB PLAZA
7 NORTH LAURENS STREET
GREENVILLE, SOUTH CAROLINA 29601
803-271-4940

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E. McLEOD SINGLETARY
CHARLES PORTER
ROBERT W. DIBBLE, JR.
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RICHARD L. C. SULLIVAN
M. JOHN BOWEN, JR.
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M. WILLIAM YOUNGBLOOD, JR.
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ROBERT F. McMAHAN, JR.

*NOT ADMITTED IN SOUTH CAROLINA

THOMAS H. BARKSDALE, JR.*
JAMES E. CARR
RALPH W. KITTLE*
JOHN H. LUMPKIN, SR.
OF COUNSEL

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803-785-5169

WASHINGTON OFFICE
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MADISON OFFICE BUILDING
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WASHINGTON, D.C. 20005
202-659-3900

March 27, 1987

Mr. William A. McInnis
State Budget and Control Board
Wade Hampton Office Building, Room 600
Post Office Box 12444
Columbia, South Carolina 29211

Re: $750,000 Laurens County, South Carolina,
Industrial Development Revenue Note
(Dispoz-o Plastics, Inc. Project)

Dear Mr. McInnis:

On January 29, 1987 the South Carolina State Budget and Control Board approved the above-referenced bond issue and tentatively allocated $750,000 of the State Ceiling established in the Internal Revenue Code of 1986. This tentative allocation is scheduled to expire on April 29, 1987.

NCNB South Carolina has committed to purchase the Note; however, the parties involved wish to postpone the closing until mid-May in order to allow the company to complete a reorganization of its corporate structure.

Pursuant to Section 7(c) of Executive Order 87-01, dated January 7, 1987 I would therefore request an extension of the allocation period for an additional 31 days. The company has informed me that it will be able to close the bond issue within that time period.

010733

EXHIBIT

APR 14 1987 NO. 29

STATE BUDGET & CONTROL BOARD

Thank you very much for your consideration of this matter. If you have any questions, please do not hesitate to contact me.

Sincerely,

McNAIR LAW FIRM, P.A.

Nancy Page

NP:jps
cc: Mr. Peter Iacovelli
Mr. Robert Wagner

010734

EXHIBIT

APR 14 1987 NO. 29

STATE BUDGET & CONTROL BOARD

Section 7. Time Limits on Allocations

(a) **Allocations Valid in Calendar Year Approved; Exceptions.** Any State Ceiling allocation approved by the Board is valid only for the calendar year in which it is approved, unless eligible and approved for carryforward election or unless specified differently in the Board certificates required by Section 6.

(b) **Expiration of Allocations.** Unless eligible and approved for carryforward election or unless specified differently in Board certificates required by Section 6, each State Ceiling allocation expires automatically if the bonds for which the allocation is made are not issued within ninety (90) calendar days from the date the allocation is approved by the Board. As provided in paragraph (c) of this Section, the Board may extend the period in which an allocation is valid by up to thirty-one (31) calendar days.

(c) **Allocation Extension.** In response to a written request by the chairman or other duly authorized official or agent of an issuing authority, the Board, acting during the period an approved allocation is valid, may extend the period in which an allocation is valid in a single calendar year by thirty-one (31) calendar days to a total of not more than one hundred twenty one (121) calendar days.

(d) **Allocation Reinstatement.** In response to a written request by the chairman or other duly authorized official or agent of an issuing authority, the Board may reinstate for a period of not more than thirty-one (31) calendar days in any one calendar year part or all of an allocation approved previously in that same calendar year which has expired. The reinstatement request must certify that the authorized request submitted previously is still true and correct or a new authorized request must be submitted.

(e) **Allocation Cancellation.** A tentative ceiling allocation is cancelled automatically if the chairman or other duly authorized official or agent of the issuing authority involved fails to deliver the issue amount certificate required by Section 6 to the Board Secretary before the bonds for which the allocation is made are issued.

(f) **Allocation Relinquishment.** The chairman or other duly authorized official or agent of an issuing authority must advise the Board Secretary in writing as soon as is practicable after a decision is made not to

010735

State of South Carolina

State Budget and Control Board

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR
GRADY L. PATTERSON, JR.
STATE TREASURER
EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

Box 12444
Columbia
29211

REMBERT C. DENNIS
CHAIRMAN, SENATE FINANCE COMMITTEE
ROBERT N. McLELLAN
CHAIRMAN, WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

April 14, 1987

Ms. Nancy Page
McNair Law Firm
1201 Bankers Trust Plaza
Greenville, SC 29601

Dear Nancy:

Re: Laurens County
$750,000 Industrial Development Revenue Note
Dispoz-o Plastics, Inc., Project

At its meeting on April 14, 1987, the Budget and Control Board granted a 31-day extension to the life of the tentative State ceiling allocation of $750,000 to the Laurens County Dispoz-o Plastics, Inc., project. This tentative ceiling allocation will expire on May 30, 1987.

The Board action was taken in response to your March 27 letter.

Sincerely,

William A McInnis

William A. McInnis
Deputy Executive Director

/dw

010736

EXHIBIT

APR 14 1987 NO. 30

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 15

AGENCY: Human Resource Management

SUBJECT: Coordination of Leave with Workers' Compensation Benefits

The Division of Human Resource Management advises that, in accordance with Section 8-11-145, the Board approves a revised formula and table to be used by State agencies in coordinating sick and annual leave with Workers' Compensation benefits. The Division advises that the revised table reflects the recent increase in Workers' Compensation payments.

BOARD ACTION REQUESTED:

In accordance with Section 8-11-145, approve a revised formula and table to be used by State agencies in coordinating sick and annual leave with Workers' Compensation benefits.

ATTACHMENTS:

Agenda item worksheet and attachment

010737

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

X *Blue Agenda*
___ *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) *Agency:* Division of Human Resource Management
   (b) *Authorized Official Signature:* Phyllis M. Mayes

2. *Subject:*
   Coordination of Leave with Workers' Compensation

3. *Summary Background Information:*

Annually, in accordance with Section 8-11-145 of the S.C. Code of Laws, the Budget and Control Board approves a revised formula and table to be used by State agencies in coordinating sick and annual leave with Workers' Compensation benefits. The table has been revised to reflect the recent increase in Workers' Compensation payments. A copy of the new table is attached.

EXHIBIT

APR 14 1987 NO. 30

STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

No action required.

5. *What is recommendation of the Board Division involved?*

Submitted for informational purposes.

6. *Recommendation of other office (as required)?*

(a) *Office Name* _____ (b) *Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
Copy of revised table.	

010738

EXHIBIT NO. 30
APR 14 1987
STATE BUDGET & CONTROL BOARD

COORDINATING SICK AND ANNUAL LEAVE WITH WORKERS' COMPENSATION

Section 8-11-145 of the South Carolina Code of Laws provides that an employee may use sick and annual leave in conjunction with Workers' Compensation benefits according to a formula to be developed by the Budget and Control Board.

Currently, Workers' Compensation will pay 66 2/3 % of an employee's average weekly gross pay up to a maximum of $308.24 per week. The total benefit received during a regular payroll period is less than the average net or take-home pay that an employee would receive if a regular payroll check was issued subject to taxation.

The purpose of this formula is to allow employees to maintain the level of spendable income that was being received prior to an injury. This can be accomplished by allowing the employee to use a reduced amount of leave time.

The table shows a constant amount of $40 per pay period for those employees whose salaries fall between $7,000 and $23,999 per year. This is due to the fact that the difference between Workers' Compensation benefits and regular net pay remains the same until the maximum Workers' Compensation is reached. $308.24 per week is approximately 66 2/3 % of a 24,000 annual salary. At this point, the shortfall in spendable income begins to increase.

The following formula and table were developed to assist agencies in calculating appropriate leave charges that will, when added to the Workers' Compensation benefits, equate closely to the net pay an employee would receive in a regular pay check if at work.

AMOUNT OF LEAVE TO BE CHARGED PER PAY PERIOD

$$\frac{\text{Annual Salary}}{\text{Base Hours (1950 or 2080)}} = \text{Hourly Rate}$$

$$\frac{\text{Gross Amount Paid (See Chart)}}{\text{Hourly Rate}} = \text{Leave Time Per Pay Period}$$

SALARY RANGE	GROSS AMOUNT TO BE PAID PER PAY PERIOD	SALARY RANGE	GROSS AMOUNT TO BE PAID PER PAY PERIOD
$ 7,000 - 23,999	$ 40.00	$42,000 - 42,999	$ 710.00
$24,000 - 24,999	$ 70.00	$43,000 - 43,999	$ 740.00
$25,000 - 25,999	$ 100.00	$44,000 - 44,999	$ 770.00
$26,000 - 26,999	$ 130.00	$45,000 - 45,999	$ 800.00
$27,000 - 27,999	$ 160.00	$46,000 - 46,999	$ 830.00
$28,000 - 28,999	$ 190.00	$47,000 - 47,999	$ 860.00
$29,000 - 29,999	$ 230.00	$48,000 - 48,999	$ 900.00
$30,000 - 30,999	$ 260.00	$49,000 - 49,999	$ 940.00
$31,000 - 31,999	$ 300.00	$50,000 - 50,999	$ 980.00
$32,000 - 32,999	$ 330.00	$51,000 51,999	$1,000.00
$33,000 - 33,999	$ 370.00	$52,000 - 52,999	$1,030.00
$34,000 - 34,999	$ 410.00	$53,000 - 53,999	$1,070.00
$35,000 - 35,999	$ 450.00	$54,000 - 54,999	$1,100.00
$36,000 - 36,999	$ 490.00	$55,000 - 55,999	$1,140.00
$37,000 - 37,999	$ 520.00	$56,000 - 56,999	$1,170.00
$38,000 - 38,999	$ 560.00	$57,000 - 57,999	$1,200.00
$39,000 - 39,999	$ 600.00	$58,000 - 58,999	$1,230.00
$40,000 - 40,999	$ 640.00	$59,000 - 59,999	$1,260.00
$41,000 - 41,999	$ 670.00	$60,000 - 60,999	$1,300.00

010739

EXHIBIT

APR 14 1987 NO. 30

STATE BUDGET & CONTROL BOARD

§ 8–11–145. Use of sick or annual leave in conjunction with workers' compensation under certain circumstances.

Notwithstanding any other provision of law, in the event of an accidental injury arising out of and in the course of employment with the State, which is covered under Workers' Compensation, an employee who is not eligible for or who has exhausted his paid administrative leave shall make an election to use either accrued leave time (sick and/or annual) or Workers' Compensation benefits awarded in accordance with Title 42 of the 1976 Code, provided that the election of the employee shall be irrevocable as to each individual incident.

When an employee is placed on paid administrative leave or has elected to use all or any portion of accrued leave time and such leave time is exhausted before the employee can return to work, the employee shall be entitled to Workers' Compensation benefits effective at the time the specified amount of leave is exhausted.

An employee who is placed on paid administrative leave or who has elected to use accrued leave time shall, under the provisions of this section, be eligible for the payment of medical costs provided by Workers' Compensation benefits.

Provided, However, That an employee may also elect to receive Workers' Compensation on a prorated basis in conjunction with sick and/or annual leave in accordance with a proration formula which shall be established by the Budget and Control Board.

HISTORY: 1984 Act No. 512, Part II, § 10; 1985 Act No. 58 § 2.

010740

RECEIVED
APR 30 1987
BUDGET AND CONTROL BOARD
OFFICE OF EXECUTIVE DIRECTOR

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF HUMAN RESOURCE MANAGEMENT
1205 PENDLETON STREET
POST OFFICE BOX 12547
COLUMBIA, SOUTH CAROLINA 29211
803 734 0208

CARROLL A. CAMPBELL, JR., CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

PHYLLIS M. MAYES
Director

REMBERT C. DENNIS
CHAIRMAN
SENATE FINANCE COMMITTEE

ROBERT N. McLELLAN
CHAIRMAN
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

M E M O R A N D U M

TO: Agency Directors and Personnel Directors of All Agencies, Departments, Institutions and Commissions

FROM: Stephen C. Osborne Stephen C. Osborne

DATE: April 17, 1987

SUBJECT: Coordinating Sick and Annual Leave with Workers' Compensation

Under a memorandum dated March 26, 1986 we distributed a chart to be used in coordinating sick and annual leave with the disability benefit under Workers' Compensation. The Workers' Compensation maximum disability benefit has now been increased from $294.95 to $308.24 per week, and the attached chart has been revised to reflect this change. The new chart is effective immediately.

Section 8-11-145 of the S.C. Code of Laws provides that, in the event of an accidental injury arising out of and in the course of employment with the State, a disabled employee shall make an election to receive compensation under one of the following methods:

-To be placed on paid leave status, using accrued sick and/or annual leave (when such leave credits are exhausted before the employee can return to work, the employee shall be entitled to Workers' Compensation disability benefits at the time the specified amount of leave is exhausted),

-To use Workers' Compensation benefits awarded in accordance with Title 42 of the 1976 Code (under this method the employee would receive the disability benefits equal to 66 2/3% of the employee's gross weekly pay, not to exceed the current rate of $308.24 per week), or

EXHIBIT
APR 14 1987 NO. 30
STATE BUDGET & CONTROL BOARD

-To receive sick and/or annual leave on a prorated basis in conjunction with Workers' Compensation according to the attached formula approved by the Budget and Control Board.

Regardless of which method of disability compensation an employee elects, he or she would continue to be eligible for payment of medical costs provided by Workers' Compensation.

The employing agency should have an election form, have the employee sign the election as soon as possible following the accident, and forward a copy along with the Employer's First Report of Injury (Form 12-A) to Workers' Compensation Fund at the earliest possible date.

If you have any questions, please contact your Employee Relations Analyst at 734-0527.

SCO/sb

Enclosure

EXHIBIT
APR 14 1987 NO. 30
STATE BUDGET & CONTROL BOARD

EXHIBIT
APR 14 1987 NO. 30
STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 16

AGENCY: General Services

SUBJECT: Emergency Asbestos Abatement Loan (Insurance Reserve Fund)

The Division of General Services is implementing an emergency asbestos abatement program consisting of asbestos sample testing (by DHEC) and employee asbestos awareness and handling training (by General Services). Cost of the testing is estimated at $50,000 and cost of the training is estimated at $150,000.

The Division proposes to finance these efforts by borrowing $200,000 from the Insurance Reserve Fund.

The Board is reminded that a proviso in the 1986-87 Appropriations Act (Section 16, Page 196--copy attached) empowers the Board to authorize loans from funds available in the Installment Purchase Program and the Insurance Reserve Fund for emergency asbestos abatement. Such loans must be repaid on a schedule determined by the Board unless they are funded by the General Assembly.

BOARD ACTION REQUESTED:

Authorize a $200,000 loan from the Insurance Reserve Fund to the Division of General Services for the referenced emergency asbestos abatement program and determine the repayment schedule and rate of interest to be charged.

ATTACHMENTS:

Agenda item worksheet; page 196 of 1986-87 Appropriations Act; Stein April 1 memo to Kelly; program statement; proposed contract with DHEC

010743

EXHIBIT

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

SECTION 16
BUDGET AND CONTROL BOARD

carried forward and expended for the costs associated with the provision of such goods and services.

Provided, Further, That for purposes of emergency asbestos abatement in state-owned buildings, the Budget and Control Board may authorize loans from funds available in the Installment Purchase Program and the Insurance Reserve Fund, not to exceed ten million dollars. The funds must be used only for emergency asbestos abatement and must be repaid by the agency to which the funds were loaned on a schedule determined by the Budget and Control Board unless funded by the General Assembly.

Provided, Further, That the State Auditor is directed to establish a Fraud Hot Line, and provide statewide toll free telephone service for use by citizens of this State to report incidences of waste, fraud, misuse, and abuse of state funds. The State Auditor is further directed to advertise the Fraud Hot Line in an appropriate manner.

Provided, Further, That all pending audits of the Department of Social Services and/or the Health and Human Services Finance Commission involving the purchase of Medicaid transportation services pending due to audit exceptions resulting from the disallowance of vehicle miles of general public riders shall be reaudited at the request of the provider or the Health and Human Services Finance Commission in accordance with OMB circular 128. Any audits performed under this authority shall supercede all findings of prior audits. The General Assembly recognizes that general public ridership on Medicaid routes represents a valued source of supplemental revenue for the Medicaid program.

Notwithstanding any other provision of law, the General Assembly shall, for Fiscal Year 1986-87, appropriate one percent of General Fund revenue estimates for that year, to the Capital Expenditure Fund, as provided for in SECTION 16M.

S COMMISSION

I. ADMINISTRATION
PERSONAL SERVICES:
EXECUTIVE DIRECTOR
CLASSIFIED POSITIO
UNCLASSIFIED POSIT
PER DIEM
TOTAL PERSONAL SERV
OTHER OPERATING EXP
CONTRACTUAL SERVIC
SUPPLIES AND MATER
FIXED CHARGES AND CONTRIBUTIONS
TRAVEL
LIBRARY BOOKS, MAP AND FILM
*EQUIPMENT
TRANSPORTATION
TOTAL OTHER OPERATI EXPENSES
TOTAL ADMINISTRATION

II. SERVICE PROGRAMS
SPECIAL ITEMS:
SREB CONTRACT PROG
ARTS GRANTS
SREB FEES & ASSESSM
SREB SMALL GRANTS
STATE GRANTS PROGRA
TOTAL SPECIAL ITEMS
TOTAL SERVICE PROGRAM

010744

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ Blue Agenda
X Regular Session Agenda
___ Executive Session Agenda

1. Submitted By:
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard W. Kelly

2. Subject: Insurance Reserve Fund Emergency Asbestos Abatement Loan

3. Summary Background Information:

FY 1986-87 Budget Proviso, requires Budget and Control Board loan authorization for funds from Insurance Reserve Fund to be used only for emergency asbestos abatement. Division of General Services is beginning implementation of the emergency asbestos abatement program requiring a loan of two hundred thousand dollars ($200,000.00).

EXHIBIT

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

4. What is Board asked to do?

Authorize two hundred thousand dollar loan ($200,000.00) from Insurance Reserve Fund for emergency asbestos abatement.

5. What is recommendation of the Board Division involved?

Approval

6. Recommendation of other office (as required)?

(a) Office Name _____ (b) Authorized Signature _____

7. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. April 1, 1987, from Bob Stein to Rick Kelly, letter. 2. Report on Statewide Asbestos Program 3. Proposed contract between DHEC and Division of General Services 4. Page 6 of Minutes of 5-27-86 B&C Brd meeting. 5. FY 86-87 Appropriations Proviso.	

010745

EXHIBIT

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2140

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

CARROLL A. CAMPBELL, JR.
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

ROBERT N. McLELLAN
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D
EXECUTIVE DIRECTOR

RICHARD W. KELLY
DIVISION DIRECTOR

April 1, 1987

MEMORANDUM

TO: Richard W. Kelly

FROM: Bob Stein RJS

SUBJECT:: Asbestos Abatement Project
Request for Training/Sample Analysis Funding

I have polled the members of the Asbestos task force, and the majority of the members agree that the Division of General Services should seek the Budget and Control Board's approval to borrow $200,000 from the Insurance Reserve Fund to pay for training and sample analysis. It is the members' understanding that this debt would be repaid with State funds appropriated for this purpose in the FY '87-'88 budget.

/kd

cc: Rick Howell

010746

EXHIBIT

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

ASBESTOS ABATEMENT PROGRAM - STATEWIDE

In the S. C. Budget and Control Board Meeting of May 27, 1986 (See Summary of B&CB Actions attached - Item 22) the Division of General Services provided a status report on the activities of the Asbestos Abatement Task Force. Subsequent to the report the Board authorized the Division of General Services to use certain sums of money from either the Installment Purchase Program (IPP) or the Insurance Reserve Fund (IRF) monies to be borrowed by the Board pursuant to authorization included in the Appropriations Bill, to pay for certain expenses associated with the Asbestos Abatement Program.

Among the designated expenses were costs associated with testing and storing samples and costs associated with providing an Employee Asbestos Training Awareness and Handling Program.

Subsequent to the Board meeting of May 27, 1986 the Division of General Services in harmony with the Asbestos Abatement Task Force has again identified a need for funds to be used for:

1. DHEC testing of asbestos samples ($50,000.00)

   The Division of General Services is prepared to enter into a contract (see attached) with the S. C. Department of Health and Environmental Control to provide testing and storage of asbestos samples. The Asbestos Survey Contract is now underway and it is imperative that a sample testing and storage program be initiated. Funding will provide that the necessary "Chain of Custody" be established for future potential cost recovery.

2. Employee Asbestos Training, Awareness and Handling Program ($150,000.00)

   The Division of General Services is prepared to begin through its Research and Training Section the implementation of a training program in educating State employees (Maintenance Staff) in the awareness aspect of asbestos identification and in the removal (abatement) of small amounts of asbestos.

   Additionally the Division of General Services is prepared to physically transform, through renovation, the basement area of the Research and Training Center into a training center for the aforementioned purpose. This will provide a laboratory setting which will simulate the layout of an actual asbestos abatement project and provide "hands-on" training for the employee.

   Often our maintenance workers are confronted with known and unknown situations where asbestos must be removed to effect a repair. Additionally on small projects the maintenance crew may be the answer to the "emergency project".

EXHIBIT

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STATE BUDGET & CONTROL BOARD

19. Approved the sale of the following property to employees who are retiring from State service, in accord with Board policy:
    (a) A 1985 LTD Crown Victoria S, fair market appraisal value $6,900 plus $300 sales tax, to Mr. J. Warren Gage of the State Fire Marshal's Office; and
    (b) A 1984 Pontiac Parisienne, appraised value $7,500 plus $300 sales tax, to Mr. William. T. Putnam, Executive Director, Budget and Control Board;

20. Granted procurement certification for Florence-Darlington Technical College for local fund procurement activity within the parameters described in the audit report for a period of two years for goods and services exclusive of printing equipment which must be approved by IRM, $10,000 per purchase commitment, excluding expenditure of State funds which must be authorized by the State Board for Technical and Comprehensive Education;

21. Approved transfer of procurement certification certificate #003 issued June 29, 1982, to the State Personnel Division (which authorized direct procurements up to a maximum of five years for an unlimited dollar amount in the employee insurance programs and up to $35,000 per year for related consulting services) from the Division of Human Resource Management (State Personnel) to the Retirement Systems;

22. Received a status report by the Division of General Services on the activities of the asbestos abatement task force which outlined the survey strategy and methodology; authorized the Division of General Services to use up to $460,000 of Installment Purchase Program (IPP) or Insurance Reserve Fund (IRF) monies to be borrowed by the Board pursuant to authorization included in the Appropriations Bill (up to $50,000 to be paid to DHEC for testing and storing samples; up to $150,000 to the Attorney General's Office for legal expenses of the Blatt & Fales firm for documentation work; up to $250,000 by General Services for Davis & Floyd, Inc., consulting engineers fees; and up to $10,000 by General Services for training employees in the handling of asbestos and for an employee awareness program; agreed that up to $9,540,000 of IPP or IRF monies (the balance of the amount the Board is to be authorized to borrow) could be used as necessary to finance emergency asbestos abatement measures, with all of these funds to be repaid on a schedule determined by the Board unless other funds are provided by the General Assembly; and agreed that such emergency asbestos abatement projects are not required to follow usual permanent improvement project establishment procedures but may be initiated before the required paperwork is submitted;

23. Approved the travel of James Bennett, Strom Johnston and John Trussell to London, England during the July 12-19, 1986, period at an estimated cost of $1,800 each to negotiate a reinsurance contract for medical malpractice liability insurance; and authorized the payment, estimated at $6,000, for consulting actuaries from Tillinghast, Nelson and Warren to accompany Insurance Reserve Fund staff to assist in the negotiations;

EXHIBIT

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

CONTRACT
BETWEEN
S.C. DEPARTMENT OF HEALTH & ENVIRONMENTAL CONTROL
AND
DIVISION OF GENERAL SERVICES, BUDGET & CONTROL BOARD

This contract shall be effective on April 1, 1987 between the S.C. Department of Health and Environmental Control (DHEC) and the Division of General Services, S.C. Budget and Control Board (General Services).

BIT

The parties agree as follows:

APR 14 1987 NO. 31

STATE BUDGET & CONTROL BOARD

A. Scope of Services:

DHEC, through its Bureau of Laboratories, will perform testing for asbestos on samples provided within seven (7) working days of receipt of the sample. Samples will be received during the normal business hours; however, DHEC will receive samples and perform emergency testing as necessary and provide results immediately on completion.

DHEC will secure and store the samples for a period of seven (7) years and will make them available as needed for any litigation that might appertain.

General Services will provide approximately 5,000 samples for testing.

B. Time of Performance

This contract shall be effective April 1, 1987 and terminate March 31, 1988 unless terminated by written notification by either party 30 days prior to the effective date of such termination.

C. Compensation:

General Services will pay DHEC the sum of $50,000 for the first year. Payment will be made by IDT upon receipt of invoice from DHEC.

D. Terms and Conditions:

Any changes to this contract, which are mutually agreed upon between the parties shall be incorporated into this contract.

The parties to this contract hereby agree to any and all provisions as stipulated above.

S.C. Dept. of Health
& Environmental Control

By: Malcolm U. Dantzler, M.D.

Date: March 25, 1987

Witnesses:

Charline M. Brandt

Mario Corcuera

Division of General
Services

By: _____

Date: _____

Witnesses:

_____

_____

010749

EXHIBIT

APR 14 1987 NO. 32

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 17

AGENCY: General Services

SUBJECT: Wildlife Department Land Purchase, Greenville County

The Division of General Services advises that the Department of Wildlife and Marine Resources proposes to purchase 58.56 acres of land in Greenville County to be dedicated as a heritage preserve (Bunched Arrowhead Preserve). The property is valued at $152,000 and the Property Management Office has reviewed and approved this value. The total project budget is $162,000. The $10,000 difference is for appraisal and legal work and other related matters.

This request was approved by the Joint Bond Review Committee on February 7, 1987.

BOARD ACTION REQUESTED:

Authorize the Department of Wildlife and Marine Resources to purchase a 58.56 acre tract in Greenville County for $152,000, to be dedicated as the Bunched Arrowhead Preserve (project #P24-9314).

ATTACHMENTS:

Agenda item worksheet and attachments

010750

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14,1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard L. Kelly

2. *Subject:* Purchase of 58.56 acres in Greenville County by the Wildlife and Marine Resources Department

3. *Summary Background Information:*

1. A13 has been approved by Joint Bond Review and will be submitted to Board in conjunction with this agenda item.
2. This 58.56 acre tract is to be dedicated by the Wildlife Department as the Bunched Arrowhead Preserve.
3. Property has been appraised at $152,000.00 and the Property Management Office has reviewed and approved this value.

EXHIBIT

APR 14 1987 NO. 32

STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

Approve the purchase

5. *What is recommendation of the Board Division involved?*

Approve the purchase

6. *Recommendation of other office (as required)?*

(a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
1. A-13 for project. 2. Appraisal letter dated 11-5-86. 3. Appraisal review letter dated 4-7-87.	

010751

BUDGET AND CONTROL BOARD FORM A-23 PAGE 1
STATEWIDE PERMANENT IMPROVEMENT REPORTING SYSTEM (SPIRS)

For Board Use Onl
Packet Number

REVISION OF PROJECT BUDGET OR PROJECT SCOPE

FOR ANNUAL PERMANENT IMPROVEMENT PROGRAM FOR FISCAL YEAR 86-87

1. PROJECT IDENTIFIERS:

   A. Agency: Number P24 Name Wildlife and Marine Resources Department

   B. Contact person: John B. Reeves Phone: 4-3975

   C. Project Number: 9314 Name: Greenville County-Bunched Arrowhead Preserv

2. PROJECT ACTION PROPOSED:

   XX Increase total project budget

   _____ Decrease total project budget

   _____ Change source of funds

   _____ Revise scope

3. WHAT IS THE REVISION PROPOSED?:

   To purchase 58.56 acres os land in Greenville County to be dedicated as a Heritage Preserve. Road access, parking area and hiking trails are also planned; increase project cost to conform to attached appraisal

4. JUSTIFICATION FOR REVISION (Why is it needed?):

   Increase project cost to allow purchase of property based on recent appraisal.

EXHIBIT

APR 14 1987 NO. 32

STATE BUDGET & CONTROL BOARD

5. ADDITIONAL OPERATING COSTS: Will this project require additional annual operating costs because of the revision? Yes _____ No XX

   If yes, complete and attach Addendum A-49.

6. ESTIMATES OF PROJECT COSTS AS REVISED

   A. Total estimated cost of project as revised: $ 162,000.00

   B. Total estimated cost of project as revised includes the following (1 through 10 = 6A. above)

   (1) $ _____ Planning/design services

   (2) _____ Site work (including utilities)

   (3) _____ Central energy systems repair/replacement

   (4) _____ Mechanical systems repair/replacement

   (5) _____ General renovation/repair of floor space: (Gross sq. ft. _____)

   (6) _____ Roof repair/replacement

   (7) _____ Construction of additional floor space (Gross sq. ft. _____)

   (8) _____ Equipment/supplies

   (9) 152,000.00 Purchase of facilities: (Floor space, gross sq. ft. _____)
   (Land, acres: 58.56 acres)

   (10) 10,000.00 Other (Specify) appraisal, legal fees, etc.

   $ 162,000.00 Total (Same as 6A)

010752

6. C. Total estimated cost of project, as revised, by broad purpose: Total cost: $ 162,000.00
(equals 1 through 8, below, and is same as 6A)

1. Purchase land	$ 152,000.00	5. Restore facility	$
2. Purchase facility	$	6. Maintain facility	$
3. Demolish facility	$	7. Replace facility	$
4. Construct additional facility	$	8. Other appraisal, legal fees, etc.	$ 10,000.00

7. PROJECT COMPLETION SCHEDULE AND ESTIMATED EXPENDITURES BY FISCAL YEAR AS REVISED:

A. Estimated expenditures and expenditure purposes, this FY: 86-87 $ 162,000.00
(expenditure purposes (use 6B categories): _____
_____)

B. Estimated expenditures after this FY $

C. Total (Same as 6A, 6B and 6C) $ 162,000.00

8. PROPOSED SOURCES OF FUNDS AS REVISED: TYPE	PREVIOUSLY APPROVED AMOUNT	PROPOSED INCREASE + DECREASE –	REVISED AMOUNT	REVENUE CODE	TREASURER I. D. NUMBER	SU FUN
(0) Capital Improvement Bonds	$	$	$			
(1) Depart Capital Imp Bonds						
(2) Inst (tuition) Bonds						
(3) Revenue Bonds						
(4) Excess Debt Service						
(6) Appropriated State						
(7) Federal U.S. Fish/ Wildlife Service	5,000	+ 25,000	30,000	2801	78800100	578
(8) Athletic						
(9) Other Heritage Land Trust Fund	5,000	+127,000	132,000	3907	98800100	070
TOTAL	$ 10,000	$ +152,000	$ 162,000			

EXHIBIT
APR 14 1987 NO. 32
STATE BUDGET & CONTROL BOARD

9. Submitted By:
Authorized Official John B. Reeves, Director Adm. Services Division
Typed Name and Title and Signature
Date Submitted 12-15-8
FY Submitted 86-87

10. APPROVED (For Board Use Only):

Typed Name and Title and Signature 010753 Date

EXHIBIT

APR 14 1987 NO. 32

STATE BUDGET & CONTROL BOARD

JEFFERY WYMAN & ASSOCIATES
REAL ESTATE APPRAISERS AND CONSULTANTS
17 CALENDAR COURT, SUITE 4
COLUMBIA, SOUTH CAROLINA 29206

TELEPHONE (803) 782-5008

November 5, 1986

Mr. Stuart E. Greeter, Jr.
Land Protection Coordinator
The South Carolina Wildlife & Marine Resources Dept.
Non-game and heritage Trust Program
P.O. Box 167, 1000 Assembly Street
Columbia, SC 29202

RE; Appraisal of a tract of land containing 58.56 acres located near the Town of Traveler's Rest, Greenville County, South Carolina.

Dear Mr. Greeter:

Pursuant to your request, we have inspected and appraised all that piece, parcel or tract of land as referenced above for the purpose of estimating its market value. This property is shown on a composite plat prepared for The South Carolina Nature Conservancy by W. R. Williams, Jr., Engineer/Surveyor, Inc., of Traveler's Rest, South Carolina, dated October 30, 1986.

After applying the methods and techniques recommended by the Society of Real Estate Appraisers, the American Society of Farm managers and Rural Appraisers and the American Institute of Real Estate Appraisers and after analyzing the data presented, including the attached Assumptions and Limiting Conditions, it is our opinion that the Market Value of the subject property, as of October 31, 1986, is:

ONE HUNDRED FIFTY TWO THOUSAND DOLLARS
($152,000)

Respectfully submitted,

Jeffery M. H. Wyman
Real Estate Appraiser
and Consultant

010754

EXHIBIT

APR 14 1987 NO. 32

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 758-7444

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

JACK C. SPROTT, CAE
ASSISTANT DIVISION DIRECTOR
PROPERTY MANAGEMENT

M E M O R A N D U M

TO: Richard W. Kelly, Director
Division of General Services

FROM: Jack C. Sprott, CAE
Assistant Division Director
Division of General Services
Property Management Section

SUBJECT: Review of a Real Estate Appraisal of 58.56 acres of land located near Traveler's Rest, South Carolina

DATE: April 7, 1987

This appraisal by Jeffery Wyman & Associates was complete and well done. This property is the J. Frank Ogletree Estate and is to be dedicated by the Wildlife Department as the Bunched Arrowhead Preserve.

I inspected this property on March 31, 1987 to answer two questions.

1. How and why was the highest and best use determined to be for residential purposes?

2. Why was the estimated value of the subject property weighted toward the higher end of the value range, considering that approximately ten (10) acres is located in the flood plain of the Enoree River?

I discovered that although this property is several miles out in the country and located on a back road, it has several residential neighbors. There is obviously a lot of sales activity in this area for rural residential sites. I agree with the appraisers choice of highest and best use.

010755

EXHIBIT

APR 14 1987 NO. 32

STATE BUDGET & CONTROL BOARD

Mr. Wyman answered my second question by explaining that sales 3 and 4 were bordered either by a tributary of or the Enoree River. This cleared up my doubts about these sales being comparable. The appraiser also explained that homes can be constructed in the 100 year flood plain as long as the finished floor elevations are one foot above the flood plain level.

Based on this information and my examination of the property, I agree with the market value estimate of $152,000.00 for the subject property.

JCS:dp

010756

Item 13. Agency: P24 Wildlife & Marine Res Project: 9314, Greenville Co-Bunched Arrowhead Preserve

CHE Approval Date: Not req'd
Committee Review Date: *
B&C Board Approval Date: *

Action Proposed: Increase budget from $ 10,000.00 to $ 162,000.00

(Add $ 25,000.00 [7] Federal)
(Add $ 127,000.00 [9] Other, Heritage Land Trust Fund)

Purpose: To purchase 58.56 acres of land in Greenville County to be dedicated as a Heritage Preserve. Road access, parking area and hiking trails are also planned. Attached appraisal establishes property property value at $152,000.

Ref: Supporting document pages 42-46.

Budget After Action Proposed

Source	Amount
Federal	30,000.00
Other	132,000.00
TOTAL FUNDS	162,000.00

010757

EXHIBIT
APR 14 1987 NO. 32
STATE BUDGET & CONTROL BOARD

Item 13. Agency: P24 Wildlife & Marine Res Project: 9314, Greenville Co-Bunched Arrowhead Preserve

CHE Approval Date: Not req'd
Committee Review Date: *
B&C Board Approval Date: *

Action Proposed: Increase budget from $ 10,000.00 to $ 162,000.00

(Add $ 25,000.00 [7] Federal)
(Add $ 127,000.00 [9] Other, Heritage Land Trust Fund)

Purpose: To purchase 58.56 acres of land in Greenville County to be dedicated as a Heritage Preserve. Road access, parking area and hiking trails are also planned. Attached appraisal establishes property property value at $152,000.

Ref: Supporting document pages 42-46.

Budget After Action Proposed

Source	Amount
Federal	30,000.00
Other	132,000.00
TOTAL FUNDS	162,000.00

010757

EXHIBIT
APR 14 1987 NO. 32
STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 18

AGENCY: General Services

SUBJECT: Employment Security Commission Land Purchase

The Division of General Services advises that the 1986 bond bill included funds for the Employment Security Commission to establish 18 local offices.

(a) The Employment Security Commission executed a contract of sale, contingent upon Board approval, to purchase approximately 1.25 acres on Summitt Drive in Liberty from Hitt and Associates for $31,500. The Division advises that the property has been appraised at $32,000 and that the Property Management Office has reviewed the appraisal and agrees with it. A permanent improvement project (#9294) was approved on September 23, 1986, for this purchase.

(b) The Employment Security Commission proposes to purchase a 6-acre tract on the east side of Radio Station Road just outside the city limits of Seneca for $22,000. The property has been appraised at $25,000; the Property Management Office estimates the value of the property to be $23,750. It also advises that ESC is getting only the useable portion of the 6-acre tract and is dropping off the rear, unuseable portion appraised at no value.

This request is for Board approval of the real property transaction in accord with Code Section 1-11-65 as a separate action apart from the prior approval of these permanent improvement projects. Efforts are in process to combine these approvals into a single step.

BOARD ACTION REQUESTED:

Authorize the Employment Security Commission to purchase (a) 1.25 acres of land on Summitt Drive in Liberty from Hitt and Associates for $31,500; and (b) a 6-acre tract identified on Oconee County tax maps as part of Lot 58, Block 4, page 223, on the east side of Radio Station Road just outside the city limits of Seneca for $22,000.

ATTACHMENTS:

Agenda item worksheet and attachments

010758

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard M. Kelly

2. *Subject:*
   Purchase of land in Liberty, SC for the Employment Security Commission

3. *Summary Background Information:*

   1. The 1986 Bond Bill included funds for the purchase of eighteen local Employment Security offices. A Permanent Improvement Project (#9294) was approved for this purchase on September 23, 1986.
   2. A contract of sale to purchase approximately 1.25 acres on Summitt Drive in Liberty, South Carolina from Hitt and Associates for $31,500 was signed by Mr. Robert David for Employment Security Commission on February 2, 1987. This contract is contingent upon Board Approval.
   3. The property was appraised at a market value of $32,000 by Mr. Charles Robinson, Jr., MAI. The Office of Property Management has reviewed this appraisal and agrees with Mr. Robinson's estimate.

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

   Approve the purchase for $31,500.

5. *What is recommendation of the Board Division involved?*

   Approve the purchase for $31,500.

6. *Recommendation of other office (as required)?*

   (a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
1. Letter to Rick Kelly dated February 11, 1987. 2. Contract of Sale 3. A-13 Form #9294 4. Appraisal letter dated December 8, 1986 5. Appraisal review dated April 6, 1987 6. Plat of Site	(a) 010759

UNEMPLOYMENT INSURANCE

JOB SERVICE

South Carolina

Employment Security Commission

COMMISSION

Cecil T. Sandifer, Chairman
Frank E. Baldwin, Jr., Vice-Chairman
C. Lem Harper, Commissioner

1550 Gadsden Street
P.O. Box 995
Columbia, S.C. 29202

EXECUTIVE DIRECTOR

Robert E. "Jack" David

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

February 11, 1987

Mr. Rick Kelly
Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Dear Mr. Kelly:

Attached is a copy of a Sales Contract for $31,500.00 for the purchase of a building site on Summitt Drive in Liberty, S.C. The lot has been appraised by Mr. Charles Robinson, Jr. (copy attached).

We are requesting the approval of the Budget and Control Board for the S.C. Employment Security Commission to purchase this property.

This project was previously approved as indicated on the attached Form A-13.

Your assistance in getting this on the agenda for the February 19th meeting of the Board will be appreciated.

Sincerely,

Robert E. David
Executive Director

tph
ADM-9
Enclosures

010760

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

STATE OF SOUTH CAROLINA)
)
COUNTY OF PICKENS)

CONTRACT OF SALE

This Contract of Sale entered into on the second day of February, 1987, by and between Archer Hitt, President of Hitt and Associates, hereinafter called the Seller, and the South Carolina Employment Security Commission, hereinafter called the Purchaser.

The Seller agrees to sell and Purchaser agrees to purchase for the sum of Thirty-One Thousand Five Hundred ($31,500) Dollars the following property, to wit:

A lot or tract of land in Pickens County, South Carolina, fronting 175 feet, more or less, on Summitt Drive and containing approximately 1.25 acres.

Seller shall be responsible for all real property taxes on the property, if any. Purchaser shall not pay any real property taxes on the property.

Seller covenants and agrees to bind its successors and assigns to convey the said property to the Purchaser, its successors and assigns, in fee, with proper general warranty deed, free from any and all encumbrances except as are herein agreed to be assumed by the Purchaser.

This Contact of Sale is subject to State and Federal approval and if not approved is void ab initio. Purchaser shall obtain the required State and Federal approval with dispatch.

010761

WITNESS the Hands and Seals of Seller and Purchaser this day, month and year above written.

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

Signed, Sealed and Delivered
in the Presence of:

HITT AND ASSOCIATES

By: _____
Archer Hitt, President

SOUTH CAROLINA
EMPLOYMENT SECURITY COMMISSION

By: _____
Robert E. David
Executive Director

Reviewed:
W. H. Griffin

ADDENDUM: It is understood and agreed by and between the parties to this Contract of Sale, that if the said South Carolina Employment Security Commission fails to close this Contract of Sale on or before the 10th day of May, 1987 then this Contract of Agreement is to be void and of no effect.

Reviewed:
W. H. Griffin

010762

BUDGET AND CONTROL BOARD FORM A-13 PAGE 1
STATEWIDE PERMANENT IMPROVEMENT REPORTING SYSTEM (SPIRS)

For Board Use Only

Packet Number

PROJECT PROPOSAL AND JUSTIFICATION STATEMENT FOR ANNUAL PERMANENT IMPROVEMENT PROGRAM FOR FISCAL YEAR 86-87

1. **PROJECT IDENTIFIERS:**
   A. Agency: Number R60 Name South Carolina Employment Security Commission
   B. Contact Person C. Michael Mungo Phone: 737-2560
   C. Project Name: Liberty Employment Security Office Building · #9294
   D. Facility Affected: Name _____ Number _____

2. **PROJECT DESCRIPTION (What does it consist of? Attach supporting documentation):**

   Purchase of land and construction of a new office building containing 3,500 square feet of space.

   EXHIBIT

   Site Description: (Attach a map showing project location)
   Location: Pickens (county) 39 (code) Liberty (city) APR 14 1987 (site) NO. 39

   STATE BUDGET & CONTROL BOARD

3. **PROJECT JUSTIFICATION (What does it consist of? Attach supporting documentation):**

   To relieve overcrowded conditions to provide much better physical plant and to house our operations in a state owned building.

   (What specific needs does this project address?):
   A new larger office building will provide adequate space for a conference room; for larger waiting area; for additional general work area; for private offices, and for more storage space. Also, the need for improved physical plant will be satified. The present office is located in an old store front building downtown with no off street par

4. **ALTERNATIVES CONSIDERED AS A MEANS OF MEETING NEEDS SPECIFIED IN #3:**
   The rental of a newer and larger office was considered but office space to meet our requirements is not available.

5. **PRIORITY:** This project is priority number 9 of 10 projects proposed in this program.

6. **ADDITIONAL OPERATING COSTS:** Will this project require additional annual operating costs?
   Yes X No ____ If yes, complete and attach addendum A-49.

7. **ESTIMATES OF PROPOSED PROJECT COSTS:**
   A. Total estimated cost of project $ 202,000
   B. Total estimated cost of project includes the following (1. through 10. = 7A above)

(1)	$ 12,300	Planning/design services
(2)		Site work (including utilities)
(3)		Central energy systems repair/replacement
(4)		Mechanical systems repair/replacement
(5)		General renovation/repair of floor space (Gross sq. ft.:)
(6)		Roof repair/replacement
(7)	165,000	Construction of ~~additional~~ new floor space: (Gross sq. ft.: 3,500)
(8)		Equipment/supplies
(9)	21,000	Purchase of facilities: (Floor space, gross sq. ft.:) (Land, acres: 1.05 to 1.25)
(10)	3,700	Other (Specify) contingencies
	$ 202,000	Total (Same as 7 A)

010763

7. C. Total estimated cost of project by broad purpose: Total cost: $ 202,000
(equals 1 through 8, below and is same as 7A)

1. Purchase land	$ 21,000	5. Restore facility	$
2. Purchase facility	$	6. Maintain facility	$
3. Demolish facility	$	7. Replace facility	$
4. Construct additional facility	$ 165,000	8. Other: Design	12,300
		contingencies	$ 3,700

8. PROJECT COMPLETION SCHEDULE AND ESTIMATED EXPENDITURES BY FISCAL YEAR:

A. Estimated expenditures and expenditure purposes, this FY: 87 $ 202,000
(Expenditure purposes (use 7B categories):

B. Estimated expenditures after this FY: $

C. Total (Same as 7A, 7B and 7C): $ 202,000

9. PROPOSED SOURCES OF FUNDS: Type	Amount	Revenue Code	Treasurer I D Number	Sub Fund	Mini Code	Object Code
(0) Capital Improvement Bonds	$ 202,000	8115	0280700	3043		
(1) Dept Capital Imp Bonds						
(2) Inst (tuition) Bonds						
(3) Revenue Bonds						
(4) Excess Debt Service						
(6) Appropriated State						
(7) Federal						
(8) Athletic						
(9) Other						
TOTAL (Same as 7A)	$ 202,000					

EXHIBIT
APR 14 1987 NO. 33
STATE BUDGET & CONTROL BOARD

10. Submitted By:

Authorized Official: Robert E. David
Executive Director
Typed Name and Title and Signature

Date Submitted 9/30/86
FY Submitted 86-87

11. APPROVED (For Board Use Only):

Priority Group 9
9/23/86

William A. McInnis
Deputy Executive Director
Typed Name and Title and Signature

SEP 23 1986
Date

010764

PROJECT NUMBER
PROJECT NAME:

Charles Robinson Co., Inc.

REALTOR® MAI AMERICAN INSTITUTE OF REAL ESTATE APPRAISERS

P. O. Box 5152 • Greenville, South Carolina 29606 • (803) 233-3628

Charles E. Robinson, Jr., MAI

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

December 8, 1986

S. C. Employment Security Commission
1550 Gadsden Street
Columbia, S.C. 29202

ATTENTION: Mr. Mike Mungo

Gentlemen:

Pursuant to your request I have made a real estate appraisal of a vacant lot located on Summitt Drive in the City of Liberty, S.C. The lot contains 1.05 acres or 45,917 square feet of area. A plat of this lot is included in the Addenda to this report.

I have considered pertinent data affecting the valuation, including location, probable use and earning power of the property. It is my opinion that the market value of this property, as of December 4, 1986 was:

THIRTY-TWO THOUSAND DOLLARS
($32,000.00)

This appraisal is not intended to comply with Federal Home Loan Bank Board Regulation 41c. A report applying the methods and techniques recommended by the American Institute of Real Estate Appraisers is attached hereto and made a part hereof.

Sincerely.

Charles E. Robinson, Jr., MAI

CERjr:cm

RECEIVED
DEC 1 01986
PROPERTY

RECEIVED
DEC 15 1986
SUPPORT SERVICES

010765

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES

300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 758-7444

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

JACK C. SPROTT, CAE
ASSISTANT DIVISION DIRECTOR
PROPERTY MANAGEMENT

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

MEMORANDUM

TO: Richard W. Kelly, Director
Division of General Services

FROM: Jack C. Sprott, CAE
Assistant Division Director
Division of General Services
Property Management Section

SUBJECT: Review of a Real Estate Appraisal of a vacant lot on Summitt Drive in the City of Liberty, South Carolina

DATE: April 6, 1987

I visited and inspected this property on March 31, 1987, after examining all land sales in the Liberty and Pickens area that occurred over the past several years. My main objective was to find sales of comparable vacant land, commercially zoned, located on or near Summitt Drive since Mr. Robinson could produce none in his appraisal dated December 8, 1986. In fact, Mr. Robinson lists three sales located downtown and one on heavily traveled High way 123. These sales each required a 65% adjustment by the appraiser to make them comparable, I feel that an adjustment this large for every sale is unacceptable. Evidently he could not locate any recent comparable sales. I also had difficulty locating good sales in this area. The problem is that the subject is in an isolated commercial area on the west side of town while all other commercial lots are either downtown or on Highway 123.

I did discover some data that can be used for comparison purposes. While an asking price is not a sale, it does tend to establish the upper range of value. One offer is for an 83 x 300 vacant lot just south of downtown. The owner is asking $16,000.00 or .64 per square foot. Another lot downtown was offered to Employment Security Commission for $27,500.00. It is 106 x 150 which is 1.72 per square foot. Another property near Summitt Drive was going to be sold for $19,000.00 per acre before the deal fell through. All other sales in the Pickens area seemed to indicate a pattern of $20,000.00 per acre (.46 per

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

square foot) for property in average locations and $30,000.00 per acre (.69 per square foot) for property in good locations (excluding excellent locations such as Highway 123 and I-85.

The subject site is only a few hundred yards from Liberty High School and has all services available including water and sewer. In addition, the site has been graded and prepared for development but some erosion has occurred.

Based on my information and these facts, I can agree with Mr. Robinson's final value estimate of $32,000.00, but not with his method of arriving at this estimate. In addition to the large adjustment for the sales listed, that I previously mentioned, he did not adjust for time; did not give a sales date for Sale #3; made assumptions on building values; and did not examine other areas such as Pickens or Easley for comparable neighborhoods and sales. This appraisal contained only one paragraph of hard facts and one simple chart for adjusting the four sales listed at the end of the report.

Mr. Robinson has done some very good reports for us in the past, the next time I contact him for an appraisal I will demand that he give us a much higher quality report.

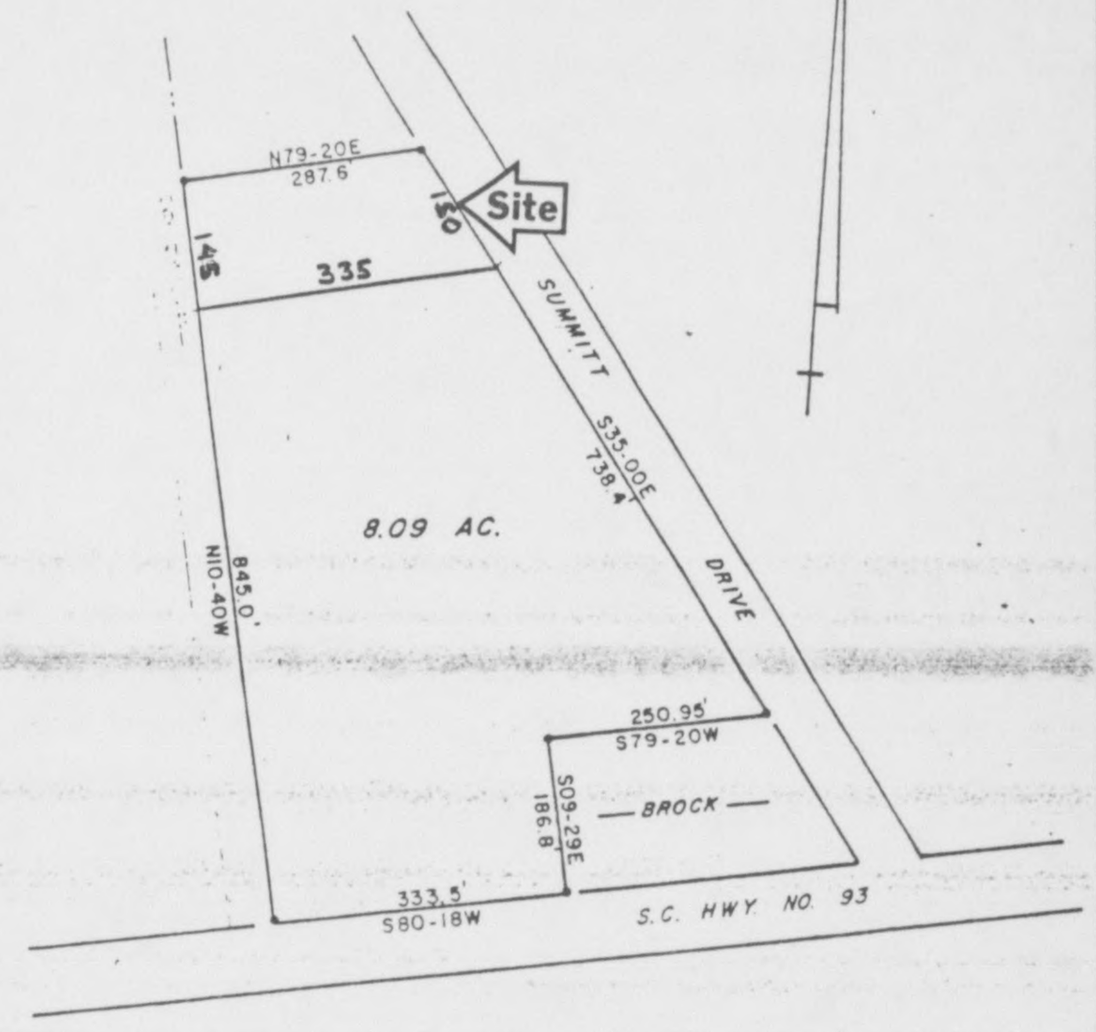
RWK:dp

010767

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD



010768

ROBERT R. SPEARMAN
Registered Surveyor 3615
Post Office Box 6
Easley, South Carolina 29640

TITLE

PROPERTY OF
J & L DEVELOPMENT CORP.
LOCATED NEAR THE TOWN OF LIBERTY

STATE	COUNTY
S.C.	*PICKENS*
SCALE	DATE / REF. NO.
1" = 200'	*AUG. 1974*

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard A. Kelly

2. *Subject:*
   Purchase of land in Seneca, SC for the Employment Security Commission

3. *Summary Background Information:*

   1. The 1986 Bond Bill included funds for the purchase of eighteen local Employment Security offices. A Permanent Improvement Project (#9295) - was approved for this purchase on September 23, 1986.
   2. The owner is asking $22,000.00 for the property.
   3. The property was appraised at a market value of $25,000.00 by Mr. Charles Robinson, Jr., MAI. The Office of Property Management estimates a value of $23,750.00 for the property.

EXHIBIT
APR 14 1987 NO. 33
STATE BUDGET & CONTROL BOARD

4. *What is Board asked to do?*

   Approve the purchase for $22,000.00.

5. *What is recommendation of the Board Division involved?*

   Approve the purchase for $22,000.00.

6. *Recommendation of other office (as required)?*

   (a) Office Name _____ *(b) Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
1. Letter to Jack Sprott dated March 5, 1987. 2. A-13 Form #9295 3. Appraisal letter dated March 2, 1987 4. Appraisal review dated April 6, 1987 5. Copy of Tax Map showing subject site	010769

(b)

UNEMPLOYMENT INSURANCE

JOB SERVICE

South Carolina

Employment Security Commission

COMMISSION

Frank E. Baldwin, Jr., Chairman
C. Lem Harper, Vice-Chairman
Cecil T. Sandifer, Commissioner

1550 Gadsden Street
P.O. Box 995
Columbia, S.C. 29202

EXECUTIVE DIRECTOR

Robert E. "Jack" David

March 5, 1987

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

Mr. Jack Sprott
Assistant Director
Property Management
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Dear Jack:

This is the appraisal for the property in Seneca. The owner is asking $22,000 for the property.

I would appreciate your assistance in getting this one and Liberty on the Board's agenda for March 24.

If you need any further assistance or information, please let me know.

Sincerely,

C. Michael Mungo

C. Michael Mungo
Support Services Manager

tph
ADM-9
Enclosure

RECEIVED
MAR 06 1987
REAL PROPERTY

010770

Charles Robinson Co., Inc.

REALTOR® MAI APPRAISERS

P. O. Box 5152 • Greenville, South Carolina 29606 • (803) 233-3628

Charles E. Robinson, Jr. MAI

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

March 2, 1987

S. C. Employment Security Commission
1550 Gadsden Street
Columbia, S.C. 29202

ATTENTION: Mr. Mike Mungo

Dear Mr. Mungo:

Pursuant to your request, I have made a real estate appraisal of vacant property located on the east side of Radio Station Road, just outside the city limits of Seneca, S.C. The property is identified on Oconee County Tax Maps as a portion of Lot 58, Block 4, on page 223.

I have considered pertinent data affecting the valuation, including location, probable use and earning power of the property. It is my opinion that the market value of this property, as of February 27, 1987 was:

TWENTY-FIVE THOUSAND DOLLARS
($25,000.00)

This appraisal is not intended to comply with Federal Home Loan Bank Board Regulation 41C. A report applying the methods and techniques recommended by the American Institute of Real Estate Appraisers is attached hereto and made a part hereof.

Respectfully submitted,

Charles E. Robinson, Jr., MAI

CERjr:cm

010771

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD

DIVISION OF GENERAL SERVICES

300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 758-7444

RICHARD W. RILEY, CHAIRMAN
GOVERNOR

GRADY L. PATTERSON, JR.
STATE TREASURER

EARLE E. MORRIS, JR.
COMPTROLLER GENERAL

REMBERT C. DENNIS
CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

WILLIAM T. PUTNAM
EXECUTIVE DIRECTOR

JACK C. SPROTT, CAE
ASSISTANT DIVISION DIRECTOR
PROPERTY MANAGEMENT

EXHIBIT

APR 14 1987 NO. 33

STATE BUDGET & CONTROL BOARD

M E M O R A N D U M

TO: Richard W. Kelly, Director
Division of General Services

FROM: Jack C. Sprott, CAE
Assistant Division Director
Division of General Services
Property Management Section

SUBJECT: Review Appraisal of a 6 acre Employment Security office site located in Seneca, South Carolina

DATE: April 7, 1987

I inspected this site on March 31, 1987 and found that the appraiser is correct on his assessment of the site conditions. There is only two (2) acres of frontage property that can be used for a building site. The rear portion should be valued at 25% of front value and the portion within the right-of-way of the railroad is worthless to the purchaser.

All of the property across the street from the subject sold for $8,000.00 per acre in 1985. Other sites in this neighborhood that were not mentioned in this appraisal sold for $8,300.00 to $9,500.00 per acre.

My estimate of the value of the subject site based on the data presented in the appraisal and additional data which I discovered at the site is:

Front two (2) acres @ $9,500.00/acre	$19,000.00
Rear two (2) acres in Flood Plain	4,750.00
R/W two (2) acres	0.00
TOTAL VALUE	$23,750.00

JCS:dp

010772

BUDGET AND CONTROL BOARD FORM A-13 PAGE 1
STATEWIDE PERMANENT IMPROVEMENT REPORTING SYSTEM (SPIRS)

For Board Use Only
Packet Number

PROJECT PROPOSAL AND JUSTIFICATION STATEMENT FOR ANNUAL PERMANENT IMPROVEMENT PROGRAM FOR FISCAL YEAR 86-87

1. PROJECT IDENTIFIERS:
   A. Agency: Number R60 Name South Carolina Employment Security Commission
   B. Contact Person C. Michael Mungo Phone: 737-2560
   C. Project Name: Seneca Employment Security Office Building #9295
   D. Facility Affected: Name _____ Number _____

2. PROJECT DESCRIPTION (What does it consist of? Attach supporting documentation):

   Purchase of land and the construction of a new office building containing 3,500 square feet of space.

   Site Description: (Attach a map showing project location)
   Location: Oconee (county) 38 (code) Seneca (city) _____ (site)

3. PROJECT JUSTIFICATION (What does it consist of? Attach supporting documentation):
   To relieve overcrowded conditions; to provide much better physical plant and to house our operations in a state owned building.

   (What specific needs does this project address?):
   It will provide a conference room which the present office does not have; a larger waiting area; more general work area; private office space; more storage space; a greatly improved physical plant as the present office is located in an old front building in the downtown area.

4. ALTERNATIVES CONSIDERED AS A MEANS OF MEETING NEEDS SPECIFIED IN #3:
   The rental of a newer and larger office was considered, but nothing suitable is available.

5. PRIORITY: This project is priority number 10 of 10 projects proposed in this program.

6. ADDITIONAL OPERATING COSTS: Will this project require additional annual operating costs?
   Yes X No ____ If yes, complete and attach addendum A-49.

EXHIBIT

7. ESTIMATES OF PROPOSED PROJECT COSTS:
   A. Total estimated cost of project $ 202,000

APR 14 1987 NO. 33
STATE BUDGET & CONTROL BOARD

   B. Total estimated cost of project includes the following (1. through 10. = 7A above)

(1)	$ 12,300	Planning/design services
(2)		Site work (including utilities)
(3)		Central energy systems repair/replacement
(4)		Mechanical systems repair/replacement
(5)		General renovation/repair of floor space (Gross sq. ft.:)
(6)		Roof repair/replacement
(7)	165,000	Construction of ~~additional~~ new floor space: (Gross sq. ft.:)
(8)		Equipment/supplies
(9)	21,000	Purchase of facilities: (Floor space, gross sq. ft. 3,500) (Land, acres: 4±)
(10)	3,700	Other (Specify) contingencies
	$ 202,000	Total (Same as 7 A)

010773

FORM A-13 PAGE 2

7. C. Total estimated cost of project by broad purpose: Total cost: $ 202,000
(equals 1 through 8, below and is same as 7A)

1. Purchase land	$ 21,000	5. Restore facility	$
2. Purchase facility	$	6. Maintain facility	$
3. Demolish facility	$	7. Replace facility	$
4. Construct new facility	$ 165,000	8. Other: Design 12,300 contingencies 3,700	$

8. PROJECT COMPLETION SCHEDULE AND ESTIMATED EXPENDITURES BY FISCAL YEAR:

A. Estimated expenditures and expenditure purposes, this FY: 87 $ 202,000
(Expenditure purposes (use 7B categories):

B. Estimated expenditures after this FY: $ 0

C. Total (Same as 7A, 7B and 7C): $ 202,000

9. PROPOSED SOURCES OF FUNDS: Type	Amount	Revenue Code	Treasurer I D Number	Sub Fund	Mini Code	Object Code
(0) Capital Improvement Bonds	$ 202,000	8115	028 07700	3043		
(1) Dept Capital Imp Bonds						
(2) Inst (tuition) Bonds						
(3) Revenue Bonds						
(4) Excess Debt Service						
(6) Appropriated State						
(7) Federal						
(8) Athletic						
(9) Other						
TOTAL (Same as 7A)	$ 202,000					

EXHIBIT
APR 14 1987 NO. 33
STATE BUDGET & CONTROL BOARD

10. Submitted By:

Authorized Official: Robert E. David
Executive Director
Typed Name and Title and Signature

Date Submitted 9/30/86
FY Submitted 86-87

11. APPROVED (For Board Use Only):

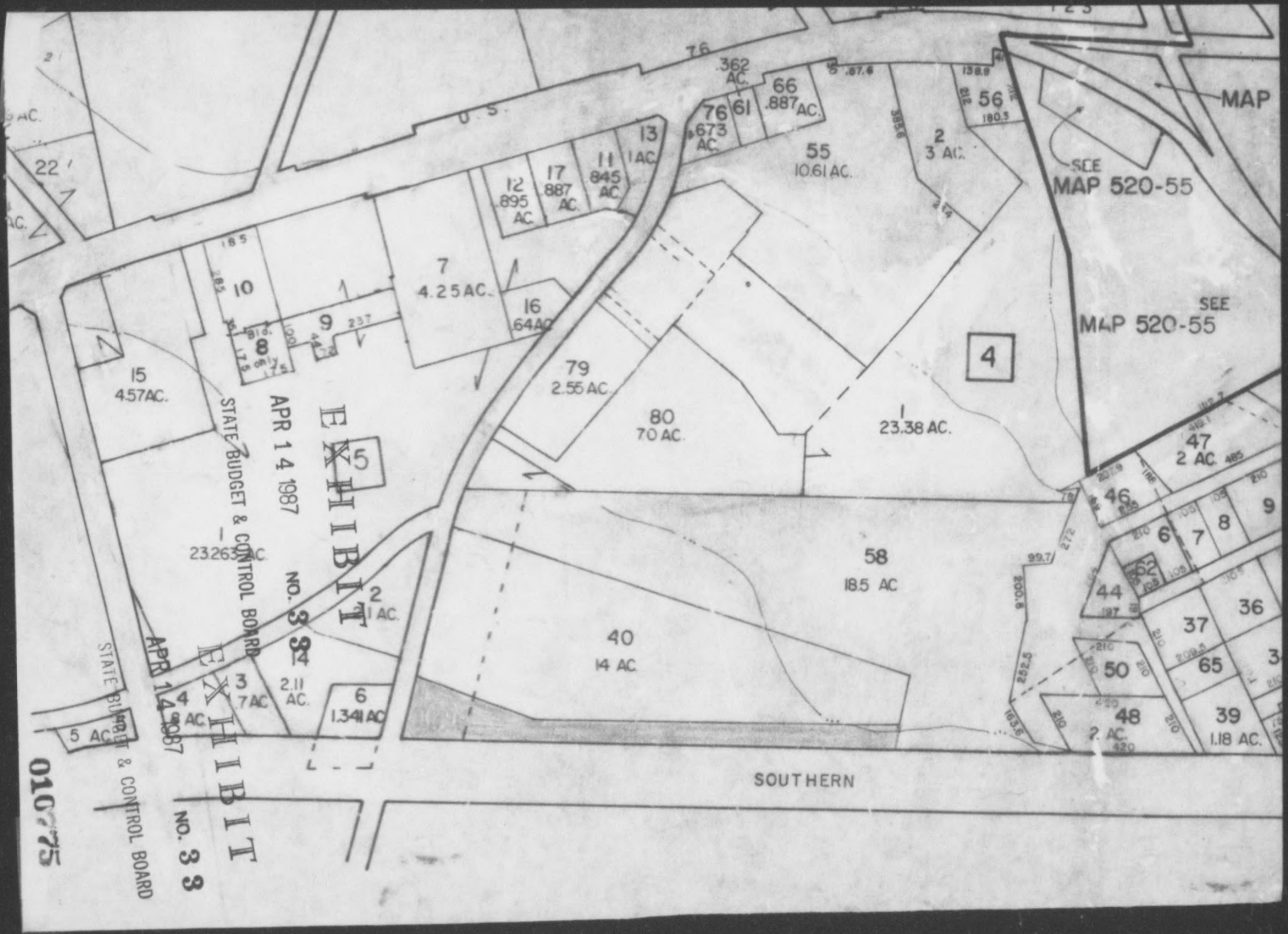
Priority Group 9
9/23/86

William A McInnis
Typed Name and Title and Signature

WILLIAM A. McINNIS
Deputy Executive Director

SEP 23 1986

PROJECT NUMBER 910774
PROJECT NAME:


MAP
SEE MAP 520-55
SEE MAP 520-55
U.S. 76
55 10.61 AC.
56
2 3 AC.
66 .887 AC.
76 .673 AC.
61
.362 AC.
13 1 AC.
11 .845 AC.
17 .887 AC.
12 .895 AC.
7 4.25 AC.
16 .64 AC.
9
10
15 4.57 AC.
79 2.55 AC.
80 70 AC.
4
23.38 AC.
47 2 AC.
46
58 18.5 AC.
40 14 AC.
2 1 AC.
3 7 AC.
4 AC.
2.11 AC.
6 1.341 AC.
5 AC.
5
44
37
36
50
65
48 2 AC.
39 1.18 AC.
STATE BUDGET & CONTROL BOARD
APR 14 1987
EXHIBIT NO. 3 3
SOUTHERN
010775

EXHIBIT

APR 14 1987 NO. 34

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 19

AGENCY: General Services

SUBJECT: Revisions to Regulation 19-445.2150, Supply Management

The Division of General Services advises that, at its meeting on February 19, 1987, the Budget and control Board approved publication of a Notice of Drafting Period for revised regulations relating to surplus property which would provide an expanded statewide surplus property program.

The Division advises that the proposed changes should enable the State to increase effectiveness of its surplus property program. The program has generated $2,468,017 in sales this fiscal year to date.

Under the proposed program, State agencies and other political subdivisions could participate in the buying end of the program on a priority basis. This would result in reduced costs of acquisition and prolonged useful life of State assets. Due primarily to a lack of warehousing facilities, the existing State surplus property program has focused on the disposition of surplus property through public auction rather than through sale to other governmental bodies.

The Division advises that the proposed regulations provide detailed procedures for reporting and transferring surplus property; eligibility criteria for entities participating in the program; determination of sales prices, term and conditions for use of the property; disposition cycles and priorities for program participation; and inventory and accounting systems.

BOARD ACTION REQUESTED:

Authorize the submission for publication in the April State Register of proposed permanent regulations relating to the statewide surplus property program administered by the Division of General Services.

ATTACHMENTS:

Agenda item worksheet and attachment

010776

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ Blue Agenda
X Regular Session Agenda
___ Executive Session Agenda

1. Submitted By:
   (a) Agency: Division of General Services
   (b) Authorized Official Signature: Richard M. Kelly

2. Subject: Proposed Revisions to Regulation 19-445.2150, Supply Management

3. Summary Background Information: At its meeting of February 19, 1987, the Board approved publishing a Notice of Drafting Period for revised surplus property regulations which would provide an expanded state-wide surplus property program. These regulations, used in conjunction with the new 25,000 sq. ft. warehouse facility, should enable the State to increase the effectiveness of its surplus property program, which currently is generating $2,468,017 in sales year-to-date. Under the proposed program, state agencies and other political subdivisions could participate in the buying end of the program on a priority basis, thereby reducing costs at the acquisition level and prolonging the useful life of the State's assets. Due primarily to a lack of warehousing facilities, the existing state surplus property program has focused on the disposition of surplus property through public auction rather than sale to other governmental bodies. The proposed regulations provide detailed procedures for the reporting and transfer of surplus property, eligibility criteria for entities participating in the program, determination of sales prices, term and conditions for use of the property, disposition cycles and priorities for participation in the program, and inventory and accounting systems.

4. What is Board asked to do?

Give Notice of Intended Action on the proposed regulations in the State Register

EXHIBIT

APR 14 1987 NO. 34

STATE BUDGET & CONTROL BOARD

5. What is recommendation of the Board Division involved?

Approve giving Notice of Intended Action

6. Recommendation of other office (as required)?

(a) Office Name _____ (b) Authorized Signature _____

7. Supporting Documents:

List Those Attached	List Those Not Attached But Available from Submitter
1. Synopsis of proposed regulations	
2. Text of proposed regulations	

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EXHIBIT

APR 14 1987 NO. 34

STATE BUDGET & CONTROL BOARD

SYNOPSIS OF PROPOSED AMENDMENTS TO STATE PROCUREMENT REGULATIONS

Section	
19-445.2150	Changes the title of the section from Supply Management to Surplus Property Management to more accurately describe the ensuing regulations.
Subsection A	Incorporates the definition of surplus property and the Surplus Property Management Program's mission into the statement of authority.
Subsection B	Clarifies and defines the reporting requirements and describes responsibility in the relocation of property.
Subsection C	Defines the eligible entities and their priority for participation in the disposal of surplus property; establishes the methodology for determining its sale price; and outlines the terms and conditions of its use and the time frames for access to the process.
Subsection D	Establishes the public's priority in the disposal process.
Subsection E	Grants the Budget and Control Board the authority to establish a fee schedule that allows the Surplus Property Management Office to cover all program costs.
Subsection F	Describes the accounting and marketing features necessary for the proper administration of the program.
Subsection G	Defines trade-in sales, establishes limits and defines the approving officials and the procedures for proper authorizations.
Subsection H	Defines junk and establishes the Surplus Property Management Office and agency responsibilities in its disposal.

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EXHIBIT

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STATE BUDGET & CONTROL BOARD

Subsection I Establishes the procedures required when an unauthorized disposal occurs and responsibility of the governmental body committing an unauthorized act.

Subsection J Applies the debar and suspension procedures enumerated in the Procurement Code to the disposal process.

Section 19-445.2152 Changes Section 19-445.2150 Subsection F. Leases, Lease/Payment, Installment Purchase, and Rental of Personal Property to 19-445.2152 to accommodate expanded range of Surplus Property Management regulations.

010779

PROPOSED AMENDMENTS TO THE REGULATIONS OF THE S. C. CONSOLIDATED PROCUREMENT CODE, SECTION 19-445.2150, SUPPLY MANAGEMENT

EXHIBIT

APR 14 1987 NO. 34

STATE BUDGET & CONTROL BOARD

19-445.2150. Surplus Property Management.

Subsection A. - Definition, Authority and Mission.

Item 1. Definition.

Surplus property is all State-owned supplies and equipment, not in actual public use, with remaining useful life and available for disposal.

Item 2. Authority.

The disposition of all surplus property shall be conducted by the Materials Management Office's Surplus Property Management Office (SPMO) at such places and in such manner determined most advantageous to the State, except as defined in Section 11-35-1580 of the Procurement Code. All governmental bodies must identify surplus items and declare them as such, and report them in writing to the Materials Management Officer or the Information Technology Management Officer (ITMO), or the designee of either, within ninety (90) days from the date they become surplus. The SPMO shall deposit the proceeds from such disposition, less expense of the disposition, in the State's General Fund unless a governmental body makes a written request to retain such proceeds, less cost of disposition, for the purchase of like kind property and the Materials Management Officer or ITMO, or the designee of either, approves such request.

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The Manager of Supply and Surplus Property Management, Materials Management Office (MMO), will act as a designee of the Materials Management Officer.

Item 3. Mission.

The primary mission of the Surplus Property Management Program shall be to receive, warehouse and dispose of the State's surplus property in the best interest of the State. The central warehousing of State surplus property will allow all State governmental bodies and other political subdivisions one location to acquire needed property which otherwise might escape the system and be sold to the public.

The purpose of this program is to provide the following:

(1) Elimination of costs related to the warehousing, insurance and accounting systems necessary to fulfill an agency's surplus property responsibility.

(2) Maximization of proceeds by disposing of property as soon as possible after it becomes excess to an agency's needs.

(3) Establishment of priorities in the disposal process that encourage keeping assets in public use as long as possible.

(4) Conversion of unneeded fixed assets into available funds on a timely basis for offsetting the cost of new like equipment.

Subsection B. - Reporting and Relocation of Surplus Property.

010781

Item 1. Reporting.

Within ninety (90) days from the date property becomes surplus, it must be reported to the SPMO on a turn-in document (TID) designed by the SPMO. The description, model or serial number, acquisition cost, date of purchase and agency ID number shall be listed for each item.

Upon receipt of the TID, the SPMO will screen the property to determine whether it is surplus or junk as defined in these regulations.

Item 2. Property Relocation.

Surplus property reported shall be scheduled for relocation to the SPMO, Boston Avenue, West Columbia; or, upon consultation and agreement with the generating governmental body, remain at the governmental body's site if deemed by the SPMO to be a more cost-effective method for disposal. All costs associated with relocation of property will be borne by the SPMO, except property as defined in these regulations under Subsection C, Item 2, A and B.

At such time as property is officially received by the SPMO, title will pass to the Materials Management Office and shall be accounted for as described herein. Governmental bodies shall delete insurance coverage on such property. The SPMO shall carry sufficient insurance to ensure these assets are safeguarded against loss. Governmental bodies shall delete such property from their fixed asset records at this point of transfer.

010782

Upon disposal of the property, the proceeds, less cost of disposition, will be returned to the authorized revenue center if so requested and authorized in accordance with these regulations.

If determined to be junk, disposal will be the responsibility of the generating governmental body in accordance with Section 11-35-4020, of the Procurement Code.

Subsection C. - Transfer of Surplus Property to Governmental Bodies, Political Subdivisions, and Eligible Nonprofit Health or Education Institutions.

Item 1. Eligibility.

The SPMO's primary role shall be to relocate surplus property to eligible governmental bodies, political subdivisions and nonprofit health and educational institutions. The Manager of Supply and Surplus Property Management shall be responsible for determining an applicant's eligibility prior to any transfer of property.

The term governmental bodies means any State government department, commission, council, board, bureau, committee, institution, college, university, technical school, legislative body, agency government corporation, or other establishment or official of the executive, judicial, or legislative branches of the State.

The term political subdivisions includes counties, municipalities, school districts or public service or special purpose districts.

010783

The term eligible nonprofit health or educational institutions means tax-exempt entities, duly licensed and/or accredited by the State.

The SPMO will maintain sufficient records to support the eligibility status of these entities.

Item 2. Determination of Sale Price.

The sale price for all items will be established by the Manager of Supply and Surplus Property Management, or the Manager's designee. The Manager or the Manager's designee shall have the final authority to accept or reject bids received via public sale. The following categories and methods will be used:

A. Vehicles: NADA loan value shall be used for the sale price. In certain instances, the most recent public sale figures and consultation with the generating governmental body shall be the basis for a sale price.

B. Boats, motors, heavy equipment, farm equipment, airplanes and other items with an acquisition cost in excess of $5,000: The sale price shall be set from the most recent public sale figures and/or any other method necessary to establish a reasonable value including consultation with the generating governmental body.

C. Miscellaneous items with an acquisition cost of $5,000 or less such as office furniture and machines, shop equipment, cafeteria equipment, etc: A sale price will be assessed in accordance with the following schedule. Sale prices shall be rounded to the nearest dollar:

010784

Condition	Price
New or no repairs necessary	75% of acquisition cost (AC) or current fair market value
Used - Repairs of 10% or less of AC necessary	50% of AC or current fair market value
Used - Repairs of 11% to 50% of AC necessary	20% of AC or fair market value
Used - Repairs of 51% to 75% of AC necessary	10% of AC or current fair market value
Used - Spare parts only	5% of AC or current fair market value

EXHIBIT

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This schedule will be evaluated periodically by the SPMO to ensure that maximum values are obtained.

Item 3. Terms and Conditions on Property Transferred from Warehouse.

The purchasing entity will certify that all items acquired will be for the sole benefit of the buying institution and that no personal use will be involved. This certification will be formalized by the agreement signed at the time eligibility is established. The following terms and conditions will be set forth therein.

(1) Property must be placed into public use within one(1) year of acquisition and remain in use one(1) year from the date placed into actual use.

(2) Property which becomes unusable may be disposed of prior to the one-year limitation with the approval of the SPMO.

010785

A utilization visit may be made by authorized personnel of the SPMO. All vehicles and property with an acquisition cost in excess of $5,000 require a utilization review during the twelve-month period from date of transfer to ensure the property is in public use.

A. Any misuse of property will be reported in writing to the SPMO's State Surplus Property Supervisor or his successor by the utilization staff of the SPMO. The State Surplus Property Supervisor or his successor will consult with the designee of the Material's Management Officer or the ITMO who shall have the authority to suspend all further purchases until a determination can be made under Subsection B. If warranted, the matter shall be referred to the proper law enforcement authority for full investigation.

B. Upon determination that misuse of property has occurred, purchasing privileges will be terminated and not restored until the buying governmental body, political subdivision, or nonprofit health or educational institution pays to the SPMO the fair market value of the item(s) misused or returns the misused property to the SPMO.

Item 4. Disposition Cycles for Surplus Property.

A nine-week cycle shall be used for the disposal process of surplus property. Time frames and disposal priorities shall be as follows:

010786

A. Beginning with the Monday following the week during which the property is received by the SPMO, governmental bodies will have a two-week priority period in which to purchase surplus items.

B. Political subdivisions and nonprofit health or educational institutions will be allowed to purchase during the remaining seven (7) weeks. Governmental bodies will also be allowed to purchase during this time period, but without priority.

Subsection D. - Public Sale of Surplus Property.

Item 1. Public Sale Cycle.

Upon completion of the nine-week disposal cycle, the remaining items shall be made available to the public, on a first come, first served basis, at the same price offered in the disposal cycle for a period of four (4) weeks. Governmental bodies, political subdivisions, and nonprofit health and educational institutions shall be allowed to purchase in this cycle, but without priority.

Item 2. Final Disposition by Competitive Public Sale.

Upon completion of the public sale cycle, all surplus property shall be offered through competitive sealed bids or public auction.

When surplus property is sold via the competitive sealed bid process, notification of such sale shall be given through a Notice of Sale to be posted at the SPMO at least fifteen (15)

010787

days prior to the bid opening date. The sale shall also be announced through advertisement in newspapers of general circulation and/or the South Carolina Business Opportunities publication. The Notice of Sale shall list the supplies or property offered for sale; designate the location and how property may be inspected; and state the terms and conditions of sale and instructions to bidders including the place, date, and time set for bid opening. Bids shall be opened publicly.

Award shall be made in accordance with the provisions set forth in the Notice of Sale and to the highest responsive and responsible bidder provided that the price offered by such bidder is deemed reasonable by the designee of the MMO or ITMO, or his designee. Where such price is not deemed reasonable, the bids may be rejected in whole, or in part, and the sale negotiated beginning with the highest bidder provided the negotiated sale price is higher than the highest responsive and responsible bid. In the event of a tie bid the award will be made in accordance with the tie bid procedures set forth in Section 11-35-1520(9) of the Consolidated Procurement Code.

Property may also be sold at a public auction by an experienced auctioneer. The Notice of Sale shall include, at a minimum, all terms and conditions of the sale and a statement clarifying the authority of the designee of the MMO or ITMO, or his designee, to reject any and all bids.

Item 3. Other Means of Disposal.

010788

Some types and classes of items can be sold or disposed of more economically by some other means of disposal including barter. In such cases, and also where the nature of the supply or unusual circumstances necessitate its sale to be restricted or controlled, the Materials Management Officer may employ such other means, including but not limited to appraisal, provided the Materials Management Officer makes a written determination that such procedure is advantageous to the State.

Subsection E. - Fee Schedule.

The State Surplus Property Management Program will operate solely from service charges retained from the sale of surplus property. The Board shall establish a fee schedule sufficient to fund all program costs and it shall be reviewed by the Board as required to ensure the adequacy and equity of the Program.

Subsection F. - Inventory and Accounting Systems.

Item 1. Forms.

Turn-in documents designed by the Surplus Property Office shall be used by all governmental bodies for reporting surplus property to the SPMO. It shall be the responsibility of the generating governmental body to obtain these forms and to furnish all information required on the form. Items received by the SPMO shall be physically checked by the SPMO against the turn-in document and a signed receipt issued to the governmental body.

Item 2. Tagging.

010789

Items received by the SPMO shall be assigned an inventory number and data including generating governmental body, name, description of property, quantity, original acquisition cost, date purchased, serial or model number and other relevant information entered into an automated inventory system. Inventory tags listing all necessary information shall be attached to each item.

Item 3. Display.

Items shall be displayed in locations with other like commodities to allow for easy viewing.

Item 4. Issuing property.

All items sold by the SPMO to governmental bodies, political subdivisions and nonprofit health or educational institutions shall be recorded on a Bill of Sale and all required information shall be listed on the document. The Bill of Sale must be signed by the signatory authority of the governmental body, political subdivision or nonprofit health or educational institution as defined in Subsection C, Item 1 of these regulations. At the time of sale, the eligible entity shall receive a copy of the Bill of Sale.

Item 5. Invoicing.

Invoices shall be generated and mailed to the acquiring agency. All cash and accounts receivable transaction records shall be properly maintained. All transfers of funds to various accounts will be performed in accordance with these regulations.

010790

Item 6. Deletions.

Items shall be deleted from the SPMO's inventory simultaneously with the invoicing process.

Item 7. Property sold to the public shall be paid for in full at the time of purchase. Transactions shall be documented by a Bill of Sale enumerating all conditions of the sale i.e., "as is, where is," etc. and must be signed by the purchaser.

Personal checks with proper identification, certified checks, or money orders made payable to the State of South Carolina shall be accepted as a form of payment. No cash shall be accepted.

A copy of the Bill of Sale shall be presented to the purchaser and a copy along with the payment shall be forwarded to the Internal Operations Cashier. Two copies shall be retained internally by the SPMO, one as the source document for updating the computer records and the other for filing.

Subsection G. - Trade-In Sales.

Governmental bodies may trade in personal property, the trade-in value of which must be applied to the purchase of new like items. The trade-in value of such personal property shall not exceed $500. When the trade-in value exceeds $500, the governmental body shall refer the matter to the Materials Management Officer, the ITMO, or the designee of either, for disposition.

Trade-in value is determined by the value established by an offeror for a used item or group of items in offsetting the cost

010791

of a new like item or group of items in one specific transaction. The Materials Management Officer or the ITMO, or the designee of either, shall have the authority to determine whether the property shall be traded in and the value applied to the purchase of new like items or classified as surplus and sold in accordance with the provisions of Section 11-35-3820 of the Procurement Code. When the trade-in value exceeds $25,000, the Materials Management Officer or the ITMO, or the designee of either, shall make a written determination as to its reasonableness and report such trade-in transaction to the Board as information.

Subsection H. - Definition and Sale of Junk.

Junk is State-owned supplies and equipment having no remaining useful life in public service or the cost to repair or refurbish the property in order to return it to public use would exceed the value of like used equipment with remaining useful life.

Section 11-35-4020 of the Procurement Code shall be strictly enforced pertaining to junk items. It shall be the responsibility of the SPMO to inspect and/or declare items as junk. Upon declaration as junk, if the owning agency determines to sell the property, it shall advertise the property in a newspaper of general circulation for fifteen (15) days in advance of sale.

Subsection I. - Unauthorized Disposal.

010792

Item 1. The ratification of an act of unauthorized and/or improper disposal of State property by any person without the requisite authority to do so by an appointment or delegation under the Procurement Code rests with either the Materials Management Officer or State Engineer.

Item 2. Corrective Action and Liability.

In all cases, the head of the governmental body shall prepare a written determination describing the facts and circumstances surrounding the act, corrective action being taken to prevent recurrence, and action taken against the individual committing the act and shall report the matter in writing to the Materials Management Officer or State Engineer within ten (10) days after the determination.

Subsection J. - Authority to Debar or Suspend.

The procedures and policies set forth in Section 11-35-4220 of the Procurement Code shall apply to the disposal of State property. The authority to debar a person from participation in the public sales of State-owned property shall rest with the Materials Management Officer.

EXHIBIT

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010793

19-445.2152.

Leases, Lease/Payment, Installment Purchase, and Rental of Personal Property.

Item 1. Justification: A governmental body proposing to enter into an agreement other than an outright purchase is responsible for the justification of such action. Lease, lease/purchase, installment purchase, or rental agreements are subject to the procedures of the Procurement Code and these Regulations.

Item 2. Procedures: Upon written justification by the procurement officer of the governmental body of such alternate method, the following procedures will be followed:

(a) The State of South Carolina Standard Equipment Agreement (Form 80-SC-EL-i Revised 8/80) will be used in all cases unless modifications are approved by the Director of the Division of General Services or his designee. Except in the case of rentals where the value of the equipment is $1,000.00 or less and the rental agreement does not exceed 30 days (consecutive renewals not permitted) the use of the south Carolina Standard Equipment Agreement Form may be waived; however, its use in these cases is encouraged.

(b) Installment purchases will require the governmental body to submit both a justification and purchase requisition to the Materials Management Office for processing.

010794

(c) All lease/purchase and installment sales contracts must contain an explicitly stated rate of interest to be incurred by the State under the contract.

EXHIBIT

APR 14 1987 NO. 34

STATE BUDGET & CONTROL BOARD

EXHIBIT

APR 14 1987 NO. 34

STATE BUDGET & CONTROL BOARD

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EXHIBIT

APR 14 1987 NO. 35

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 20

AGENCY: General Services

SUBJECT: Piedmont Technical College Procurement Certification

The Division of General Services, in accord with Section 11-35-1210, has audited Piedmont Technical College and recommends its certification within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

BOARD ACTION REQUESTED:

In accord with Section 11-35-1210, grant procurement certification to Piedmont Technical College within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

ATTACHMENTS:

Agenda item worksheet and attachment

010796

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for:

April 14, 1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) *Agency:* Division of General Services
   (b) *Authorized Official Signature:* Richard W. Kelly, Director

2. *Subject:* Procurement Certification for Piedmont Technical College

3. *Summary Background Information:* The Division of General Services has audited Piedmont Technical College and recommends its certification in accordance with Section 11-35-1210 of the Consolidated Procurement Code within the parameters described in the audit report for the following limits for a period of Two (2) years:

I.	Goods and Services (local funds only)	*10,000 per purchase commitment
II.	Consultants (local funds only)	*10,000 per purchase commitment
III.	Information Technology in accordance with the approved Information Technology Plan (local funds only)	*10,000 per purchase commitment

*This limit means the total potential purchase commitment to the College whether single year or multi-year contracts are used.

4. *What is Board asked to do?*

Grant procurement certification to Piedmont Technical College

EXHIBIT

APR 14 1987 NO. 35

STATE BUDGET & CONTROL BOARD

5. *What is recommendation of the Board Division involved?*

Grant Certification

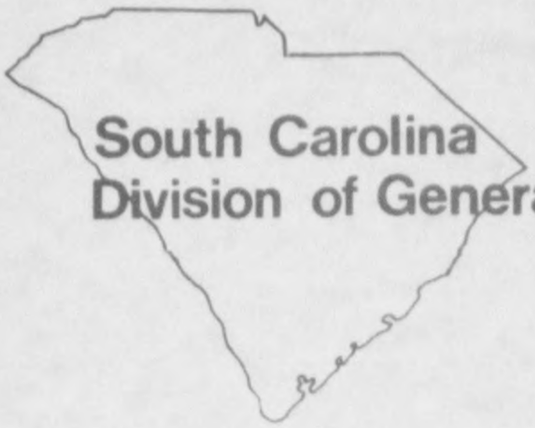
6. *Recommendation of other office (as required)?*

(a) *Office Name* _____ (b) *Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
1. 20 Copies of the Procurement Audit and Certification Report.	

010797



South Carolina
Division of General Services

EXHIBIT

APR 14 1987 NO. 35

STATE BUDGET & CONTROL BOARD

PROCUREMENT AUDIT AND CERTIFICATION

PIEDMONT TECHNICAL COLLEGE
AGENCY

APRIL 1, 1985 - MARCH 20, 1986
DATE

010738

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2150

RICHARD W. RILEY, CHAIRMAN
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SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

WILLIAM J. CLEMENT
ASSISTANT DIVISION DIRECTOR

EXHIBIT

APR 14 1987 NO. 35

STATE BUDGET & CONTROL BOARD

February 27, 1987

Mr. Richard W. Kelly
Division Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Dear Rick:

Attached is the final Piedmont Technical College audit report and recommendations made by the Office of Audit and Certification. I concur and recommend the Budget and Control Board grant the College two years certification as outlined in the audit report.

Sincerely,

William J. Clement
Assistant Division Director

Attachment

010799

EXHIBIT

APR 14 1987 NO. 35

STATE BUDGET & CONTROL BOARD

PIEDMONT TECHNICAL COLLEGE
AUDIT REPORT

April 1, 1985 - March 20, 1986

010800

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010801

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2130

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CHAIRMAN,
SENATE FINANCE COMMITTEE

TOM G. MANGUM
CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

WILLIAM J. CLEMENT
ASSISTANT DIVISION DIRECTOR

July 29, 1986

Mr. William J. Clement
Assistant Division Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

We have examined the local fund procurement policies and procedures of the Piedmont Technical College for the period April 1, 1985 - March 20, 1986. As a part of our examination we made a study and evaluation of the system of internal control over procurement transactions to the extent we considered necessary.

The purpose of such evaluation was to establish a basis for reliance upon the system of internal control to assure adherence to the Consolidated Procurement Code and State and College procurement policy. Additionally, the evaluation was used in determining the nature, timing and extent of other auditing procedures that were necessary for developing an opinion on the adequacy, efficiency and effectiveness of the procurement system.

The administration of Piedmont Technical College is responsible for establishing and maintaining a system of internal control over procurement transactions. In fulfilling this responsibility, estimates and judgements by management are required to assess the expected benefits and related costs of

control procedures. The objectives of a system are to provide management with reasonable, but not absolute, assurance of the integrity of the procurement process, that affected assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and are recorded properly.

Because of inherent limitations in any system of internal control, errors or irregularities may occur and not be detected. Also, projection of any evaluation of the system to future periods is subject to the risk that procedures may become inadequate because of changes in conditions, or that the degree of compliance with the procedures may deteriorate.

Our study and evaluation of the system of internal control over procurement transactions as well as our overall examination of procurement policies and procedures were conducted with due professional care. They would not, however, because of the nature of audit testing, necessarily disclose all weaknesses in the system.

The examination did disclose conditions enumerated in this report which we believe to be subject to correction or improvement.

Corrective action based on the recommendations described in these findings will in all material respects place the Piedmont Technical College in compliance with the South Carolina Consolidated Procurement Code and ensuing regulations.

R. Voight Shealy

R. Voight Shealy, Manager
Office of Audit and Certification

010803

INTRODUCTION

The Office of Audit and Certification conducted an examination of the internal procurement operating procedures and policies and related manual of the Piedmont Technical College.

Our on-site review was conducted May 5, 1986 through May 22, 1986, and was made under the authority as described in Section 11-35-1230(1) of the South Carolina Consolidated Procurement Code and Regulation 19-445.2020.

The examination was directed principally to determine whether, in all material respects, the procurement system's internal controls were adequate and the procurement procedures, as outlined in the Internal Procurement Operating Procedures Manual, were in compliance with the South Carolina Consolidated Procurement Code and its ensuing regulations.

010804

BACKGROUND

Section 11-35-1210 of the South Carolina Consolidated Procurement Code states:

> The (Budget and Control) Board may assign differential dollar limits below which individual governmental bodies may make direct procurements not under term contracts. The Division of General Services shall review the respective governmental body's internal procurement operation, shall certify in writing that it is consistent with the provision of this code and the ensuing regulations, and recommend to the board those dollar limits for the respective governmental body's procurement not under term contract.

While on site, we received a written request from the Piedmont Technical College for certification to make procurements in the following categories and designated amounts:

Area	Amount
Goods and Services (local funds only)	$10,000.00
Information Technology	$10,000.00
Construction	$10,000.00
Consultants	$10,000.00

010805

SCOPE

Our examination encompassed a detailed analysis of the internal procurement operating procedures of Piedmont Technical College and the related policies and procedures manual to the extent we deemed necessary to formulate an opinion on the adequacy of the system to properly handle procurement transactions. The examination was limited to procurements from local funds, which includes some federal funds, local contributions and student collections.

The Audit and Certification team selected random samples for the period July 1, 1985 - March 30, 1986, of procurement transactions for compliance testing and performed other auditing procedures that we considered necessary in the circumstances to formulate this opinion. As specified in the Consolidated Procurement Code and related regulations, our review of the system included, but was not limited to, the following areas:

(1) adherence to provisions of the South Carolina Consolidated Procurement Code and regulations;

(2) procurement staff and training;

(3) adequate audit trails and purchase order registers;

(4) evidence of competition;

(5) small purchase provisions and purchase order confirmations;

(6) emergency and sole source procurements;

(7) source selections;

(8) file documentation of procurements;

010806

(9) disposition of surplus property;

(10) economy and efficiency of the procurement process; and

(11) approval of Minority Business Enterprise Utilization Plan.

010807

SUMMARY OF AUDIT FINDINGS

Our audit of the procurement system of Piedmont Technical College produced findings and recommendations in the following areas:

		PAGE
I.	Compliance - Procurements Four procurements were not made in compliance with the Consolidated Procurement Code and its ensuing regulations.	8
II.	Compliance - Sole Source One procurement was handled improperly as a sole source.	9
III.	Transaction Control Accounts payable failed to take two cash discounts. Additionally, four invoices were paid without purchasing approval of increased amounts.	10
IV.	Compliance - Contracts Two annual contracts for services were not supported by evidence of competition.	11

010808

RESULTS OF EXAMINATION

I. Compliance - Procurements

The following procurements were not made in accordance with the Consolidated Procurement Code and regulations.

	P.O. Number	Amount	Description
1.	28184	$1,970.00	Recondition copier
2.	28982	665.49	Rescue equipment
3.	27892	2,209.10	Maintenance supplies
4.	29553	1,722.00	Building material

Items one and two were not supported by evidence of competition. Section 19-445.2100 of the regulations requires competition for all procurements greater than $500.00 that are not sole source or emergency procurements or items available from State term contracts.

Telephone quotes were solicited for items three and four. Section 19-445.2015, Subsection B, Item 3, requires solicitation of written quotations from three qualified sources of supply.

The College should take care to ensure that these and all other requirements of the Procurement Code are met in the future.

AGENCY RESPONSE

P. O. #28184: Reconditioned Copier - $1,970.00
Proposals were solicited from Xerox and others on several models of copiers to replace one which was inoperable. After extensive review, it was determined to recondition a copier which had been donated to the college. Since it was a Xerox model, we obtained a proposal from the Xerox serviceman. We termed this as a Sole Source Procurement. In documenting this purchase, the Sole Source Justification became detached from the purchase order and was lost. This type of incident has been reviewed and is not a recurring problem. Every precaution is taken to keep this from happening again.

P. O. #28982: Rescue Equipment - $584.26

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Requisitioner was unable to obtain quotes due to absence of procurement officer. Items were needed for a class beginning that week in Continuing Education. The purchasing office is taking precautions to eliminate any reoccurrence of orders being placed without proper documentation and approvals.

P. O. #27892: Maintenance Supplies - $2,209.10
In expediting this order, a handwritten listing of the required supplies was hand carried to vendors, who entered their prices on these sheets. Originals of these sheets were attached to the purchase order. This procedure has been eliminated for orders requiring written bids. The purchasing office prepares bid invitations for orders requiring bids from three qualified sources of supply.

P. O. #29553: Building Materials - $1,722.00
This was an order for a large quantity of paneling for several priority construction projects in process on campus. There are only two vendors in Greenwood who carry this type of paneling and telephone bids were solicited from both. In lieu of written quotes, the unit price was written on the dummy invoice copy from Snead's. The college recognizes this as a questionable procedure and will reinforce procedures as outlined in the Code for obtaining written quotes from vendors on all orders exceeding the $1,499.99 dollar limit. We also recognize the need to obtain at least one more quote. Procedures have been implemented to ensure more control of documentation on maintenance supply orders processed by the purchasing office.

II. Compliance - Sole Source

Our review of the sole source and emergency procurements for the quarters April 1, 1985 through March 31, 1986 revealed the following exceptions that do not qualify as sole source.

P.O. Number	Amount	Description
29450	$ 7,875.00	Breathing ventilator. "Vendor has given us the lowest quote for reconditioning this unit." The determination itself indicated that this was not a sole source. Competition should have been solicited.

010810

Section 19-445.2105, Subsection B, of the regulations states in part, "Sole source procurement is not permissible unless there is only a single supplier.... In cases of reasonable doubt, competition should be solicited."

The College should adopt the Materials Management Office's definition of a sole source which is a unique item or service available only from a single supplier. Competition should be sought before making procurements that do not meet this criteria.

AGENCY RESPONSE

P. O. #29450: Breathing Ventilator - $7,875.00
This was termed "Sole Source" due to the technical aspects in reconditioning this unit. Three vendors were contacted for proposals on reconditioning the ventilator. Vendors not located in Greenwood did not provide written quotations because they could not give a firm price until they could determine what would have to be done to this unit in the reconditioning process. This would not have been possible without incurring additional costs, such as travel, time and possible materials used for the preview of this unit. Consequently, only verbal estimates were provided. With the above considerations, Self Memorial Hospital was declared the only responsive and responsible vendor who could perform the required tasks. This seemed logical at the time as Self Memorial had donated the unit to the college and had been maintaining it. The purchasing office concurs that this was an unqualified Sole Source Procurement according to the definition in the code. Competition will be solicited on all items and/or services which are not unique and are not available only from a single supplier.

III. Transaction Control

The following transactions were not properly processed by Accounts Payable.

Item	P.O. Number	P.O. Amount	Invoice Amount	Description
1	27946	$1,402.00	$1,421.67	Cable
2	27808	$1,460.40	$1,524.66	Lightbulbs
3	28299	$ 621.60	$ 686.60	Carpet

010811

4	28199	$ 865.16	$ 874.29	Carpet

The above invoices were paid by Accounts Payable without having the price increases approved by the Purchasing Department. In order to insure proper payment, Purchasing should review and approve or disapprove price changes. The increases may not be appropriate. This was discussed with the appropriate officials of the College during the audit. We understand that corrective action has been taken.

Additionally, we noted two invoices which were paid timely but discounts totaling $72.23 were not taken. We recommend the college examine invoices more closely, and take all available discounts.

AGENCY RESPONSE

Informal college policy has been that price increases not exceeding 5 percent of the purchase order cost could be verbally approved. This informal policy accounted for the transactions cited in the report that were not approved by the purchasing officer. Since that time, a formal written policy has been developed that requires approval by the purchasing officer and in some cases the initiation of a purchase change. In addition, the purchasing officer spot checks documentation to ensure compliance. The discounts not taken were oversights. The business office manager will review invoices to ensure available discounts are taken. The purchasing office has established internal controls suggested by the audit. These guidelines have been included in the Policies and Procedures Manual in the Purchasing Office.

IV. Compliance - Contracts

The following annual contracts were not renewed in accordance with the Consolidated Procurement Code.

	Amount	Description
1.	$7,318.00	Auto liability insurance

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2. $3,941.00 Trash removal

Section 19-445.2035 requires solicitation of a minimum of three sealed bids for purchases from $2,500.00 to $4,999.99 and solicitation of a minimum of five sealed bids for purchases from $5,000 to $9,999.99.

Additionally, these items exceeded the college's procurement certification limit of $2,500.00 and consequently are unauthorized procurements that require ratification from the Materials Management Officer, in accordance with Section 19-445.2015.

AGENCY RESPONSE

The college concurs that the contracts for auto liability insurance and for trash removal services were not renewed in accordance with the Consolidated Procurement Code and recognizes these as questionable procurements. Competition had been sought on both contract renewals, however, including soliciting bids from automobile insurers, resulting in a change to an insurer with a lower insurance cost. Since the audit, the college has taken action to ensure that the appropriate documents in support of the contract renewals are retained in the procurement files. In addition, qualifying renewals will be forwarded to the State Procurement Contracts Administrator's Office. This month a contract has been concluded for a new trash removal contract through the State bid process.

010813

CERTIFICATION RECOMMENDATIONS

As enumerated in our transmittal letter, corrective action based on the recommendations described in the findings contained in the body of this report, we believe, will in all material respects place Piedmont Technical College in compliance with the South Carolina Consolidated Procurement Code and ensuing regulations.

Under the authority described in Section 11-35-1210 of the Procurement Code, subject to this corrective action, we recommend Piedmont Technical College be certified to make direct agency procurements up to the limits as follows when using local funds.

PROCUREMENT AREAS	RECOMMENDED CERTIFICATION LIMITS
Goods and Services (Local Funds Only)	$10,000 per purchase commitment
Information Technology in accordance with the approved Information Technology Plan (Local Funds Only)	$10,000 per purchase commitment
Consultants (Local Funds Only)	$10,000 per purchase commitment
Construction	Not Recommended at this time

Jeff Widdowson

Jeff Widdowson, P.P.B.
Audit and Certification Analyst

R. Voight Shealy

R. Voight Shealy, Manager
Office of Audit and Certification

010814

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2150

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STATE TREASURER

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EXECUTIVE DIRECTOR

WILLIAM J. CLEMENT
ASSISTANT DIVISION DIRECTOR

EXHIBIT
APR 14 1987 NO. 35
STATE BUDGET & CONTROL BOARD

February 27, 1987

EXHIBIT
APR 14 1987 NO. 35
STATE BUDGET & CONTROL BOARD

Mr. William J. Clement
Assistant Division Director
300 Gervais Street
Columbia, South Carolina 29201

Dear Bill:

We have returned to Piedmont Technical College to determine the progress made toward implementing the recommendations in our audit report covering the period April 1, 1985 through March 20, 1986. During this visit, we followed up on each recommendation made in the audit report through inquiry, observation and limited testing.

We observed that the college has made substantial progress toward correcting the problem areas found and improving the internal controls over the procurement system. With the changes made, the system's internal controls should be adequate to ensure that procurements are handled in compliance with the Consolidated Procurement Code and ensuing regulations.

We, therefore, recommend that the certification limits as outlined in the audit report, be granted for a period of two (2) years.

Sincerely,

R. Voight Shealy

R. Voight Shealy, Manager
Audit and Certification

010815

EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 21

AGENCY: General Services

SUBJECT: Midlands Technical College Procurement Certification

The Division of General Services, in accord with Section 11-35-1210, has audited Midlands Technical College and recommends its certification within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

BOARD ACTION REQUESTED:

In accord with Section 11-35-1210, grant procurement certification to Midlands Technical College within the parameters described in the audit report for the following limits (total potential purchase commitment whether single- or multi-year contracts are used) for a period of two years in the amount of $10,000 per purchase commitment, local funds only, for goods and services, consultants, and information technology in accordance with the approved Information Technology Plan.

ATTACHMENTS:

Agenda item worksheet and attachment

010816

BUDGET AND CONTROL BOARD AGENDA ITEM WORKSHEET (Revised 8/84)

For meeting scheduled for: April 14, 1987

___ *Blue Agenda*
X *Regular Session Agenda*
___ *Executive Session Agenda*

1. *Submitted By:*
   (a) *Agency:* Division of General Services
   (b) *Authorized Official Signature:* Richard W. Kelly, Director Richard W. Kelly

2. *Subject:* Procurement Certification for Midlands Technical College

3. *Summary Background Information:* The Division of General Services has audited Midlands Technical College and recommends its certification in accordance with Section 11-35-1210 of the Consolidated Procurement Code within the parameters described in the audit report for the following limits for a period of Two (2) years:

I.	Goods and Services (local funds only)	*10,000 per purchase commitment
II.	Consultants (local funds only)	*10,000 per purchase commitment
III.	Information Technology in accordance with the approved Information Technology Plan (local funds only)	*10,000 per purchase commitment

*This limit means the total potential purchase commitment to the College whether single year or multi-year contracts are used.

4. *What is Board asked to do?*

Grant procurement certification to Midlands Technical College

EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

5. *What is recommendation of the Board Division involved?*

Grant Certification

6. *Recommendation of other office (as required)?*

(a) *Office Name* _____ (b) *Authorized Signature* _____

7. *Supporting Documents:*

List Those Attached	*List Those Not Attached But Available from Submitter*
1. 20 Copies of the Procurement Audit and Certification Report	

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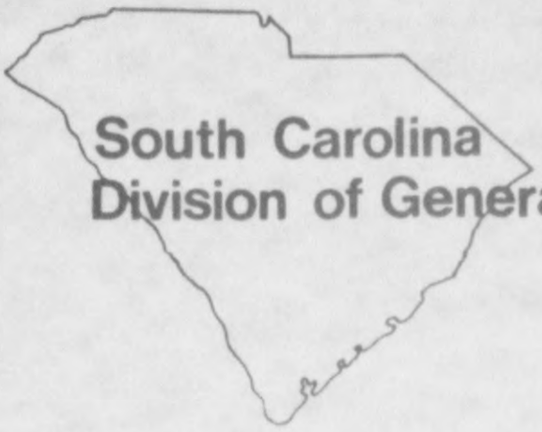
EXHIBIT
APR 14 1987 NO. 36
STATE BUDGET & CONTROL BOARD



South Carolina
Division of General Services

PROCUREMENT AUDIT AND CERTIFICATION

MIDLANDS TECHNICAL COLLEGE
AGENCY

APRIL 1, 1985 - MARCH 30, 1986
DATE

010818

STATE OF SOUTH CAROLINA
BUDGET AND CONTROL BOARD
DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
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JESSE A. COLES, JR., PH.D.
EXECUTIVE DIRECTOR

WILLIAM J. CLEMENT
ASSISTANT DIVISION DIRECTOR

EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

March 2, 1987

Mr. Richard W. Kelly
Division Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Dear Rick:

Attached is the final Midlands Technical College audit report and recommendations made by the Office of Audit and Certification. I concur and recommend the Budget and Control Board grant the College two years certification as outlined in the audit report.

Sincerely,

William J. Clement, AIA
Assistant Division Director

Attachment

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EXHIBIT
APR 14 1987 NO. 36
STATE BUDGET & CONTROL BOARD

MIDLANDS TECHNICAL COLLEGE
AUDIT REPORT

April 1, 1985 - March 31, 1986

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EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

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010821

STATE OF SOUTH CAROLINA
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CHAIRMAN,
HOUSE WAYS AND MEANS COMMITTEE

JESSE A. COLES, JR., Ph.D.
EXECUTIVE DIRECTOR

WILLIAM J. CLEMENT
ASSISTANT DIVISION DIRECTOR

April 1, 1986

EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

Mr. William J. Clement
Assistant Division Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

We have examined the local fund procurement policies and procedures of Midlands Technical College for the period April 1, 1985 - March 31, 1986. As a part of our examination we made a study and evaluation of the system of internal control over local fund procurement transactions to the extent we considered necessary.

The purpose of such evaluation was to establish a basis for reliance upon the system of internal control to assure adherence to the Consolidated Procurement Code and State and internal procurement policy. Additionally, the evaluation was used in determining the nature, timing and extent of other auditing procedures that were necessary for developing an opinion on the adequacy, efficiency and effectiveness of the procurement system.

The administration of Midlands Technical College is responsible for establishing and maintaining a system of internal control over procurement transactions. In fulfilling this responsibility, estimates and judgements by management are required to assess the expected benefits and related costs of

010822

control procedures. The objectives of a system are to provide management with reasonable, but not absolute, assurance of the integrity of the procurement process, that affected assets are safeguarded against loss from unauthorized use or disposition, and that transactions are executed in accordance with management's authorization and are recorded properly.

Because of inherent limitations in any system of internal control, errors or irregularities may occur and not be detected. Also, projection of any evaluation of the system to future periods is subject to the risk that procedures may become inadequate because of changes in conditions, or that the degree of compliance with the procedures may deteriorate.

Our study and evaluation of the system of internal control over procurement transactions as well as our overall examination of procurement policies and procedures were conducted with due professional care. They would not, however, because of the nature of audit testing, necessarily disclose all weaknesses in the system.

The examination did disclose conditions enumerated in this report which we believe to be subject to correction or improvement.

Corrective action based on the recommendations described in these findings will in all material respects place Midlands Technical College in compliance with the South Carolina Consolidated Procurement Code and ensuing regulations.

R. Voight Shealy

R. Voight Shealy
Office of Audit and Certification

010823

INTRODUCTION

The Office of Audit and Certification conducted an examination of the internal procurement operating procedures and policies and related manual of Midlands Technical College.

Our on-site review was conducted April 1, 1986 through April 18, 1986, and was made under the authority as described in Section 11-35-1230(1) of the South Carolina Consolidated Procurement Code and Regulation 19-445.2020.

The examination was directed principally to determine whether, in all material respects, the procurement system's internal controls were adequate and the procurement procedures, as outlined in the Internal Procurement Operating Procedures Manual, were in compliance with the South Carolina Consolidated Procurement Code and its ensuing regulations.

010824

BACKGROUND

Section 11-35-1210 of the South Carolina Consolidated Procurement Code states:

> The (Budget and Control) Board may assign differential dollar limits below which individual governmental bodies may make direct procurements not under term contracts. The Division of General Services shall review the respective governmental body's internal procurement operation, shall certify in writing that it is consistent with the provision of this code and the ensuing regulations, and recommend to the board those dollar limits for the respective governmental body's procurement not under term contract.

While on site, we received a written request from Midlands Technical College for certification to make local fund procurements in the following categories and designated amount:

Area	Amount
Goods and Services (local funds only)	$10,000.00
Consultant Services	10,000.00
Information Technology	10,000.00
Construction	10,000.00

010825

SCOPE

Our examination encompassed a detailed analysis of the internal procurement operating procedures of Midlands Technical College and the related policies and procedures manual to the extent we deemed necessary to formulate an opinion on the adequacy of the system to properly handle procurement transactions. Our examination was limited to procurements from local funds, which includes some federal funds, local contributions and student collections.

The Office of Audit and Certification selected random samples for the period July 1, 1985 - March 31, 1986, of local fund procurement transactions for compliance testing and performed other auditing procedures that we considered necessary in the circumstances to formulate this opinion. As specified in the Consolidated Procurement Code and related regulations, our review of the system included, but was not limited to, the following areas:

(1) adherence to provisions of the South Carolina Consolidated Procurement Code and regulations;

(2) procurement staff and training;

(3) adequate audit trails and purchase order registers;

(4) evidence of competition;

(5) small purchase provisions and purchase order confirmations;

(6) emergency and sole source procurements;

(7) source selections;

010826

(8) file documentation of procurements;

(9) disposition of surplus property;

(10) economy and efficiency of the procurement process; and

(11) approval of Minority Business Enterprise Utilization Plan.

010827

SUMMARY OF AUDIT FINDINGS

Our audit of the procurement system of Midlands Technical College produced findings and recommendations in the following areas:

		PAGE
I.	COMPLIANCE - PROCUREMENTS Three purchases were not made in accordance with the Procurement Code.	8
II.	Sole Source and Emergency Procurements Seven procurements were handled improperly as sole sources. One emergency procurement resulted from poor planning.	9
III.	Procurement Procedures Manual Our review of the current manual indicated several areas that need to be added, changed or expanded.	11

010828

RESULTS OF EXAMINATION

I. Compliance - Procurements

Our examination included a review of sixty transactions selected at random from the period of July 1, 1985 through March 31, 1986. The majority of these procurements were in compliance with the Code, however, we did encounter the following problems.

	P.O. Number	P.O. Amount	Description
1.	07970	$1,722.75	Graphic tablet repair
2.	08202	$1,814.40	Coil evaporators
3.	06739	$ 950.00	Recover greenhouse

Item one was not supported by evidence of competition, and item two was improperly supported by two phone quotations, instead of written quotations as required by Section 19-445.2100 Subsection B - item 3 of the regulations. All future procurements greater than $500.00 must be either competed or, if appropriate, justified as sole source or emergency procurements.

Payment for item three was $1,144.00. The price increase was not approved by the Purchasing Department. In order to insure proper payment, Purchasing should review and approve or disapprove contract price changes.

AGENCY RESPONSE

We concur with the findings of the procurement auditor on item no. one. Three written quotations should have been solicited for the graphic tablet repair. In the future, we will endeavor to solicit the appropriate competition regardless of the circumstances.

We concur with the findings of the procurement auditor on item no. two. Three written quotations should have been

010829

solicited for the coil evaporators rather than the two phone quotations. We have developed a standard request form to use when soliciting written quotations and will use this form when written quotations are required.

We concur with the findings of the procurement auditor on item no. three. Payment had not been properly approved by the Purchasing Department. In order to insure proper payment, Purchasing will review and approve or disapprove contract price changes. We have developed an amendment form to be issued when an approved change affects the total value of the order by 10% or $100.00, whichever is lesser.

II. Sole Source and Emergency Procurements

SOLE SOURCE

Our review of sole source and emergency procurements for the quarters April 1, 1985 through March 31, 1986 revealed the following exceptions that do not qualify as sole sources:

P.O. #	Amount	Description/Justification
004766	$2,305.75	Label Affixer.... "one of two systems demonstrated...clearly superior in design." Preference for one piece of equipment does not constitute a sole source criteria. Competition should have been solicited.
005017	$2,074.10	Display Booth. "Vendor is sole distributor for booth. Not available from anybody else according to vendor." Competition should have been solicited.
06190	$1,681.50	Key cutter machine. "Duplicates keys....and has advanced features which makes it easier to use than other machines." Preferred design features do not meet sole source requirements.
05775	$ 700.00	New door, frame and hardware. "Contractor already on jobsite to install wall and both needed to be done at the same time." Poor planning does not meet sole source requirements.

010830

06318	$ 567.00	Gas Detector...."used by S.C.E.&G. personnel and is recommended as the best." In our opinion, this is not a sole source. Solicitation of one additional phone quote could have eliminated the need for a sole source.
06168	$ 551.54	Anatomical charts. In our opinion, this is not a sole source.
07837	$ 570.54	Amplifying equipment. In our opinion this is not a sole source and should be competed.

Section 19-445.2105 indicates that a sole source procurement is not permissible unless there is only a single supplier. Further, it states that, "In cases of reasonable doubt, competition should be solicited." Sole source procurements must meet both criteria of being unique items or services and being available from only a single supplier.

All but one of these transactions could have been handled under the small purchase provisions of the regulations. As a general rule, sole source procurements should be avoided when these simplified procedures can be used.

EMERGENCY

The following emergency procurement did not meet the criteria of Regulation 19-445.2110 which states that situations exist which would seriously threaten the functioning of state government, preservation of property, or the health and safety of persons.

P.O. #	Amount	Description/Justification
05861	$1,575.00	Furniture had to be upholstered and delivered back to Winnsboro by 08/09/85 due to startup of program and reception.

010831

Poor planning resulting in an emergency situation does not meet the proper criteria for an emergency procurement. The college should make a better effort to eliminate these tvpe of procurement resulting from poor planning.

AGENCY RESPONSE

We concur with the procurement auditor's report citing seven improper sole sources. We have redesigned the sole source form listing acceptable criteria for sole source determination. We have adopted a more restrictive attitude toward sole source procurements.

We concur with the procurement auditor's report citing one improper emergency procurement. We have redesigned the emergency procurement form listing acceptable criteria for emergency procurement determination. We have adopted a more restrictive attitude toward emergency procurements.

III. Procurement Procedures Manual

The following areas of the manual need to be added, changed or expanded:

1. Page 2: Insert general organizational chart covering procurement.

2. Section B, Item 1.A., Page 3: Clarify delegation of authority to request quotes (i.e., maintenance).

3. Section B, Item II, Page 4: Reference the Code and regulation requirements, responsibility for written determinations, ratification procedures and pecuniary liability.

4. Section B, Item IV, Page 4: Reference certification of college if applicable.

5. Include in Section B, a short statement addressing the following:

   A) Retention of Records

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B) Term Contract Usage (mandatory)
C) Approval Authority for Written Determinations
D) Sample Submission

6. Move all exhibits to an appendix section (i.e., copies of requisition, purchase order, quote, bid forms, etc.)

7. Include in the manual or appendix a list of the latest exemptions approved by the Budget and Control Board.

8. Section C, Item 1, Page 7: Delete the first paragraph at the top of the page which deals with compatibility as this is replaced by sole source procurements.

9. Section C, Item VI, Page 8: Use this section to address emergency procurements rather than confirmation orders. Indicate criteria for an emergency, determination approvals, etc.

10. Section C, Item XIX - Page 13: Clarify this statement as to whether this policy concerns blanket agreements or blanket orders. If it is an open order of expendable supplies, reference the necessary competitive requirements.

11. Section C, Item XXI - Page 13: rewrite this procedure to indicate that consultant and professional services are subject to competitive procurement unless specifically exempted by the Budget and Control Board.

010833

CERTIFICATION RECOMMENDATIONS

As enumerated in our transmittal letter, corrective action based on the recommendations described in the findings contained in the body of this report, we believe, will in all material respects place Midlands Technical College in compliance with the South Carolina Consolidated Procurement Code and ensuing regulations.

Under the authority described in Section 11-35-1210 of the Procurement Code, subject to this corrective action, we recommend Midlands Technical College be certified to make direct agency procurements up to the limits as follows:

PROCUREMENT AREAS	RECOMMENDED CERTIFICATION LIMITS
Goods and Services	$10,000 per purchase commitment
Information Technology in accordance with the approved Information Technology Plan (Local Funds Only)	$10,000 per purchase commitment
Consultants (Local Funds Only)	$10,000 per purchase
Construction (Not recommended at this time)	

Jeff Widdowson, P.P.B.
Audit and Certification Analyst

R. Voight Shealy, Manager
Office of Audit and Certification

010834

STATE OF SOUTH CAROLINA

BUDGET AND CONTROL BOARD

DIVISION OF GENERAL SERVICES
300 GERVAIS STREET
COLUMBIA, SOUTH CAROLINA 29201
(803) 737-2150

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ASSISTANT DIVISION DIRECTOR

EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

March 2, 1987

EXHIBIT

APR 14 1987 NO. 36

STATE BUDGET & CONTROL BOARD

Mr. William J. Clement
Assistant Division Director
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Dear Bill:

We have returned to Midlands Technical College to determine the progress made toward implementing the recommendations in our audit report covering the period April 1, 1985 through March 31, 1986. During this visit, we followed up on each recommendation made in the audit report through inquiry, observation and limited testing.

We observed that the college has made substantial progress toward correcting the problem areas found and improving the internal controls over the procurement system. With the changes made, the system's internal controls should be adequate to ensure that procurements are handled in compliance with the Consolidated Procurement Code and ensuing regulations.

We, therefore, recommend that the certification limits as outlined in the audit report, be granted for a period of two (2) years.

Sincerely,

R. Voight Shealy

R. Voight Shealy, Manager
Audit and Certification

010835

EXHIBIT

APR 14 1987 NO. 37

STATE BUDGET & CONTROL BOARD

STATE BUDGET AND CONTROL BOARD REGULAR SESSION

MEETING OF April 14, 1987 ITEM NUMBER 22

AGENCY: Clemson University

SUBJECT: Foreign Travel

Clemson University requests approval of the travel of James F. Barker, Dean of the College of Architecture, to Genoa, Italy during April (probably 24-30) to visit the Charles Daniel Center for Building Research and Urban Studies - Graduate Program for the College of Architecture. The cost of the travel is estimated to be $1,200 and will be paid from State-appropriated funds.

Justification for the use of State-appropriated funds for this travel is attached (Barker April 8 letter to McInnis).

BOARD ACTION REQUESTED:

Approve the travel of James F. Barker, Dean, College of Architecture, Clemson University, to Genoa, Italy during April.

ATTACHMENTS:

Maxwell March 31 memo to McInnis; Barker April 8 letter to McInnis

010836

APR - 1 1987

CLEMSON
UNIVERSITY

PROVOST AND VICE PRESIDENT
FOR ACADEMIC AFFAIRS

March 31, 1987

REQUEST FOR APPROVAL OF FOREIGN TRAVEL

TO: Mr. William A. McInnis
Deputy Executive Director, State Budget & Control Board

FROM: W. David Maxwell
W. David Maxwell
Provost and Vice President for Academic Affairs

SUBJECT: James F. Barker
Name

Dean of the College of Architecture
Title

APPROVED
APR 14, 1987
Donna Williams
STATE BUDGET AND CONTROL BOARD

DESTINATION: Genoa, Italy

TIME PERIOD: Sometime in April, 1987, probably 24-30

PURPOSE: Visit the Charles Daniel Center for Building Research and Urban Studies - Graduate Program for the College of Architecture

FUNDS: State-appropriated: 1-40-5180-0501-51-0000 $1,200
Account No. Amount

Account No. Amount

Other sources:

Account No. Amount

Account No. Amount

Carol Flood called 3/31/87 to add to agenda

James F. Barker
Traveller Date

Department Head Date

James F. Barker 3/26/87
Dean Date

010837

EXHIBIT

APR 14 1987 NO. 37

APR 10 1987

STATE BUDGET & CONTROL BOARD

College of Architecture

OFFICE OF THE DEAN

CLEMSON UNIVERSITY

April 8, 1987

Mr. William A. McInnis
State Budget and Control Board
P. O. Box 12444
Columbia, SC 29211

Dear Mr. McInnis:

It is my understanding that my request for foreign travel will be presented to the State Budget and Control Board next week. I would like to justify the use of state funds.

The Charles E. Daniel Center for Building Research and Urban Studies, located in Genoa, Italy, is owned and operated by the Clemson Architectural Foundation exclusively as a residence and instructional facility for graduate students in the College of Architecture. Each spring and fall semester twenty graduate students are chosen to participate in this Center in intensive travel and study. I have been appointed Secretary-Treasurer of the Clemson Architectural Foundation, and as you know, I am also Dean of the College of Architecture. It is imperative that I travel to Italy to participate in the students' project reviews which are scheduled for April 27-28.

In addition, the trip would also allow me to acquaint myself with the staff and operation of the facility. In the past two years, the costs involved in operating the Center have risen rapidly and have been a tremendous drain on the Foundation's financial resources. The Clemson Architectural Foundation is my only source of travel funds other than E & G funds, and unfortunately, it has no funds for travel available at this time.

I hope this letter will answer any questions which may arise at next week's meeting. Please feel free to contact me if you have any further questions.

Sincerely,

James F. Barker, AIA
Dean

cmh

xc: Provost David Maxwell

010838

114 LEE HALL • CLEMSON, SOUTH CAROLINA 29634-0501 • TELEPHONE 803/656-3081

THE END

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